



RF Exposure Report

(Part 2: Test Under Dynamic Transmission Condition)

FCC ID : ZNFG900UM
Equipment : Portable Handset
Base Model Name : LM-G900UM
Additional Model Name : LMG900UM, G900UM, LM-G900QM, LMG900QM,
G900QM, LM-G901V, LMG901V, G901V
Applicant : LG Electronics U.S.A, Inc.

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We, SPORTON INTERNATIONAL INC., would like to declare that the tested sample has been evaluated in accordance with the test procedures and has been in compliance with the applicable technical standards.

The test results in this report apply exclusively to the tested model / sample. Without written approval of SPORTON INTERNATIONAL INC. EMC & Wireless Communications Laboratory, the test report shall not be reproduced except in full.

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History of this test report

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1 Introduction

The equipment under test (EUT) is a portable handset (FCC ID: ZNFG900UM), it contains the Qualcomm SM7250 modem supporting 2G/3G/4G technologies and supporting 5G NR bands. The modem is enabled with Qualcomm Smart Transmit feature to control and manage transmitting power in real time and to ensure at all times the time-averaged RF exposure is in compliance with the FCC requirement.

This purpose of the Part 2 report is to demonstrate the EUT complies with FCC RF exposure requirement under Tx varying transmission scenarios, thereby validity of Qualcomm Smart Transmit feature for FCC equipment authorization

The P_{limit} and *input.power.limit* used in this report is determined in Part 0 and Part 1 reports.

Refer to PART 0 SAR AND POWER DENSITY CHAR REPORT, for product description and terminology used in this report.

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2 Tx Varying Transmission Test Cases and Test Proposal

To validate time averaging feature and demonstrate the compliance in Tx varying transmission conditions, the following transmission scenarios are covered in Part 2 test:

1. During a time-varying Tx power transmission: To prove that the Smart Transmit feature accounts for Tx power variations in time accurately.
2. During a call disconnect and re-establish scenario: To prove that the Smart Transmit feature accounts for history of past Tx power transmissions accurately.
3. During technology/band handover: To prove that the Smart Transmit feature functions correctly during transitions in technology/band.
4. During DSI (Device State Index) change: To prove that the Smart Transmit feature functions correctly during transition from one device state (DSI) to another.
5. During antenna (or beam) switch: To prove that the Smart Transmit feature functions correctly during transitions in antenna (such as AsDiv scenario) or beams (different antenna array configurations).
6. SAR exposure switching between two active radios (radio1 and radio2): To prove that the Smart Transmit feature functions correctly and ensures total RF exposure compliance when exposure varies among SAR_radio1 only, SAR_radio1 + SAR_radio2, and SAR_radio2 only scenarios.

As described in Part 0 report, the RF exposure is proportional to the Tx power for a SAR- and PD-characterized wireless device. Thus, feature validation in Part 2 can be effectively performed through conducted (for $f < 6\text{GHz}$) and radiated (for $f \geq 6\text{GHz}$) power measurement. Therefore, the compliance demonstration under dynamic transmission conditions and feature validation are done in conducted/radiated power measurement setup for transmission scenario 1 through 8.

To add confidence in the feature validation, the time-averaged SAR and PD measurements are also performed but only performed for transmission scenario 1 to avoid the complexity in SAR and PD measurement (such as, for scenario 3 requiring change in SAR probe calibration file to accommodate different bands and/or tissue simulating liquid).

The strategy for testing in Tx varying transmission condition is outlined as follows:

- Demonstrate the total RF exposure averaged over FCC defined time windows does not exceed FCC's SAR and PD limits, through time-averaged power measurements
 - Measure conducted Tx power (for $f < 6\text{GHz}$) versus time, and radiated Tx power (EIRP for $f > 10\text{GHz}$) versus time.
 - Convert it into RF exposure and divide by respective FCC limits to get normalized exposure versus time.
 - Perform running time-averaging over FCC defined time windows.
 - Demonstrate that the total normalized time-averaged RF exposure is less than 1 for all transmission scenarios at all times.

Mathematical expression:

- For sub-6 transmission only:

$$1g_or_10gSAR(t) = \frac{conducted_Tx_power(t)}{conducted_Tx_power_P_{limit}} * 1g_or_10gSAR_P_{limit} \quad (1a)$$

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^t 1g_or_10gSAR(t) dt}{FCC\ SAR\ limit} \leq 1 \quad (1b)$$

- For sub-6+mmW transmission:

$$1g_or_10gSAR(t) = \frac{conducted_Tx_power(t)}{conducted_Tx_power_P_{limit}} * 1g_or_10gSAR_P_{limit} \quad (2a)$$

$$4cm^2PD(t) = \frac{radiated_Tx_power(t)}{radiated_Tx_power_input.power.limit} * 4cm^2PD_input.power.limit \quad (2b)$$

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^t 1g_or_10gSAR(t) dt}{FCC\ SAR\ limit} + \frac{\frac{1}{T_{PD}} \int_{t-T_{PD}}^t 4cm^2PD(t) dt}{FCC\ 4cm^2\ PD\ limit} \leq 1 \quad (2c)$$

where, $conducted_Tx_power(t)$, $conducted_Tx_power_P_{limit}$, and $1g_or_10gSAR_P_{limit}$ correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at P_{limit} , and measured $1gSAR$ or $10gSAR$ values at P_{limit} corresponding to sub-6 transmission. Similarly, $radiated_Tx_power(t)$, $radiated_Tx_power_input.power.limit$, and $4cm^2PD_input.power.limit$ correspond to the measured instantaneous radiated Tx power, radiated Tx power at $input.power.limit$ (i.e., radiated power limit), and $4cm^2PD$ value at $input.power.limit$ corresponding to mmW transmission. Both P_{limit} and $input.power.limit$ are the parameters pre-defined in Part 0 and loaded via Embedded File System (EFS) onto the EUT. T_{SAR} is the FCC defined time window for sub-6 radio; T_{PD} is the FCC defined time window for mmW radio.

- Demonstrate the total RF exposure averaged over FCC defined time windows does not exceed FCC's SAR and PD limits, through time-averaged SAR and PD measurements. Note as mentioned earlier, this measurement is performed for transmission scenario 1 only.
 - For sub-6 transmission only, measure instantaneous SAR versus time; for LTE+sub6 NR transmission, request low power (or all-down bits) on LTE so that measured SAR predominantly corresponds to sub6 NR.
 - For LTE + mmW transmission, measure instantaneous E-field versus time for mmW radio and instantaneous conducted power versus time for LTE radio.

- Convert it into RF exposure and divide by respective FCC limits to obtain normalized exposure versus time.
- Perform time averaging over FCC defined time window.
- Demonstrate that the total normalized time-averaged RF exposure is less than 1 for transmission scenario 1 at all times.

Mathematical expression:

- For sub-6 transmission only:

$$1g_or_10gSAR(t) = \frac{pointSAR(t)}{pointSAR_P_{limit}} * 1g_or_10gSAR(t)_P_{limit} \quad (3a)$$

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^t 1g_or_10gSAR(t) dt}{FCC\ SAR\ limit} \leq 1 \quad (3b)$$

- For LTE+mmW transmission:

$$1g_or_10gSAR(t) = \frac{conducted_Tx_power(t)}{conducted_Tx_power_P_{limit}} * 1g_or_10gSAR_P_{limit} \quad (4a)$$

$$4cm^2PD(t) = \frac{[pointE(t)]^2}{[pointE_input.power.limit]^2} * 4cm^2PD_input.power.limit \quad (4b)$$

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^t 1g_or_10gSAR(t) dt}{FCC\ SAR\ limit} + \frac{\frac{1}{T_{PD}} \int_{t-T_{PD}}^t 4cm^2PD(t) dt}{FCC\ 4cm^2PD\ limit} \leq 1 \quad (4c)$$

where, $pointSAR(t)$, $pointSAR_P_{limit}$, and $1g_or_10gSAR_P_{limit}$ correspond to the measured instantaneous point SAR, measured point SAR at P_{limit} , and measured $1gSAR$ or $10gSAR$ values at P_{limit} corresponding to sub-6 transmission. Similarly, $pointE(t)$, $pointE_input.power.limit$, and $4cm^2PD_input.power.limit$ correspond to the measured instantaneous E-field, E-field at $input.power.limit$, and $4cm^2PD$ value at $input.power.limit$ corresponding to mmW transmission.

NOTE: cDASY6 measurement system by Schmid & Partner Engineering AG (SPEAG) of Zurich, Switzerland measures relative E-field, and provides ratio of $\frac{[pointE(t)]^2}{[pointE_input.power.limit]^2}$ versus time.

3 SAR Time Averaging Validation Test Procedures

This chapter provides the test plan and test procedure for validating Qualcomm Smart Transmit feature for sub-6 transmission. The 100 seconds time window for operating $f < 3\text{GHz}$ is used as an example to detail the test procedures in this chapter.

3.1 Test sequence determination for validation

Following the FCC recommendation, two test sequences having time-variation in Tx power are predefined for sub-6 ($f < 6\text{GHz}$) validation:

- Test sequence 1: request EUT's Tx power to be at maximum power, measured $P_{max}^{\dagger}$, for 80s, then requesting for half of the maximum power, i.e., measured $P_{max}/2$, for the rest of the time.
- Test sequence 2: request EUT's Tx power to vary with time. This sequence is generated relative to measured P_{max} , measured P_{limit} and calculated $P_{reserve}$ (= measured P_{limit} in dBm - Reserve_power_margin in dB) of EUT based on measured P_{limit} .

The details for generating these two test sequences is described and listed in Appendix A.

NOTE: For test sequence generation, “measured P_{limit} ” and “measured P_{max} ” are used instead of the “ P_{limit} ” specified in EFS entry and “ P_{max} ” specified for the device, because Smart Transmit feature operates against the actual power level of the “ P_{limit} ” that was calibrated for the EUT. The “measured P_{limit} ” accurately reflects what the feature is referencing to, therefore, it should be used during feature validation testing. The RF tune up and device-to-device variation are already considered in Part 0 report prior to determining P_{limit} .

3.2 Test configuration selection criteria for validating Smart Transmit feature

For validating Smart Transmit feature, this section provides a general guidance to select test cases. In practice, an adjustment can be made in test case selection. The justification/clarification may be provided.

3.2.1 Test configuration selection for time-varying Tx power transmission

The Smart Transmit time averaging feature operation is independent of bands, modes, and channels for a given technology. Hence, validation of Smart Transmit in one band/mode/channel per technology is sufficient.

The criteria for the selection are based on the P_{limit} values determined in Part 0 report. Select the band in each supported technology that corresponds to the P_{limit} value that is less than P_{max} for validating Smart Transmit.

*

3.2.2 Test configuration selection for change in call

The criteria to select a test configuration for call-drop measurement is:

- Select technology/band with least P_{limit} among all supported technologies/bands, and select the radio configuration (e.g., # of RBs, channel#) in this technology/band that corresponds to the highest *measured* 1gSAR at P_{limit} listed in Part 1 report.
- In case of multiple bands having same least P_{limit} , then select the band having the highest *measured* 1gSAR at P_{limit} in Part 1 report.

This test is performed with the EUT's Tx power requested to be at maximum power, the above band selection will result in Tx power enforcement (i.e., EUT forced to have Tx power at $P_{reserve}$) for longest duration in one FCC defined time window. The call change (call drop/reestablish) is performed during the Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at $P_{reserve}$). One test is sufficient as the feature operation is independent of technology and band.

3.2.3 Test configuration selection for change in technology/band

The selection criteria for this measurement is, for a given antenna, to have EUT switch from a technology/band with lowest P_{limit} within the technology group (in case of multiple bands having the same P_{limit} , then select the band with highest *measured* 1gSAR at P_{limit}) to a technology/band with highest P_{limit} within the technology group, in case of multiple bands having the same P_{limit} , then select the band with lowest *measured* 1gSAR at P_{limit} in Part 1 report, or vice versa.

This test is performed with the EUT's Tx power requested to be at maximum power, the technology/band switch is performed during Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at $P_{reserve}$).

3.2.4 Test configuration selection for change in DSI

The criteria to select a test configuration for DSI change test is

- Select a technology/band having the $P_{limit} < P_{max}$ within any technology and DSI group, and for the same technology/band having a different P_{limit} in any other DSI group. Note that the selected DSI transition need to be supported by the device.

This test is performed with the EUT's Tx power requested to be at maximum power in selected technology/band, and DSI change is conducted during Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at $P_{reserve}$).

3.2.5 Test configuration selection for SAR exposure switching

If supported, the test configuration for SAR exposure switching should cover

1. SAR exposure switch when two active radios are in the same time window
 - a. LTE + sub6 NR: LTE is protected as it is anchor in NR call. However, Sub6 NR can sustain the link if LTE is at low power

b. Inter-band ULCA: PCC is protected as it is primary in inter-band ULCA call. SCC will drop the link if SCC is requested to transmit continuously at or above P_{limit} regardless of PCC transmitting power level.

Note that Smart Transmit treats intra-band ULCA as single Tx, so, this test is not needed for intra-band ULCA.

2. SAR exposure switch when two active radios are in different time windows. One test with two active radios in any two different time windows is sufficient as Smart Transmit operation is the same for RF exposure switch in any combination of two different time windows.

The Smart Transmit time averaging operation is independent of the source of SAR exposure (for example, LTE vs. Sub6 NR) and ensures total time-averaged RF exposure compliance. Hence, validation of Smart Transmit in any one simultaneous SAR transmission scenario (i.e., one combination for LTE + Sub6 NR transmission, and one band combination for inter-band ULCA) is sufficient, where the SAR exposure varies among SAR_{radio1} only, $SAR_{radio1} + SAR_{radio2}$, and SAR_{radio2} only scenarios.

The criteria to select a test configuration for validating Smart Transmit feature during SAR exposure switching scenarios is

- Select any two < 6GHz technologies/bands that the EUT supports simultaneous transmission (for example, LTE+Sub6 NR).
- Among all supported simultaneous transmission configurations, the selection order is
 1. select one configuration where both P_{limit} of radio1 and radio2 is less than their corresponding P_{max} , preferably, with different P_{limits} . If this configuration is not available, then,
 2. select one configuration that has P_{limit} less than its P_{max} for at least one radio. If this can not be found, then,
 3. select one configuration that has P_{limit} of radio1 and radio2 greater than P_{max} but with least $(P_{limit} - P_{max})$ delta.

Test for one simultaneous transmission scenario is sufficient as the feature operation is the same.

3.3 Test procedures for conducted power measurements

This section provides general conducted power measurement procedures to perform compliance test under dynamic transmission scenarios described in Section 2. In practice, an adjustment can be made in these procedures. The justification/clarification may be provided.

3.3.1 Time-varying Tx power transmission scenario

This test is performed with the two pre-defined test sequences described in Section 3.1 for all the technologies and bands selected in Section 3.2.1. The purpose of the test is to demonstrate the effectiveness of power limiting enforcement and that the time-averaged

SAR (corresponding time-averaged Tx power) does not exceed the FCC limit at all times (see Eq. (1a) and (1b)).

Test procedure

1. Measure P_{max} , measure P_{limit} and calculate $P_{reserve}$ (= measured P_{limit} in dBm – *Reserve_power_margin* in dB) and follow Section 3.1 to generate the test sequences for all the technologies and bands selected in Section 3.2.1. Both test sequence 1 and test sequence 2 are created based on measured P_{max} and measured P_{limit} of the EUT. Test condition to measure P_{max} and P_{limit} is:
 - Measure P_{max} with Smart Transmit disabled and callbox set to request maximum power.
 - Measure P_{limit} with Smart Transmit enabled and *Reserve_power_margin* set to 0 dB, callbox set to request maximum power.
2. Set *Reserve_power_margin* to actual (intended) value (3dB for this EUT based on Part 1 report) and reset power on EUT to enable Smart Transmit, establish radio link in desired radio configuration, with callbox requesting the EUT's Tx power to be at pre-defined test sequence 1, measure and record Tx power versus time, and then convert the conducted Tx power into 1gSAR or 10gSAR value (see Eq. (1a)) using measured P_{limit} from above Step 1. Perform running time average to determine time-averaged power and 1gSAR or 10gSAR versus time as illustrated in Figure 3-1 where using 100-seconds time window as an example.

NOTE: In Eq.(1a), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the measured worst-case 1gSAR or 10gSAR value at P_{limit} for the corresponding technology/band/antenna/DSI reported in Part 1 report.

NOTE: For an easier computation of the running time average, 0 dBm can be added at the beginning of the test sequences the length of the responding time window, for example, add 0dBm for 100-seconds so the running time average can be directly performed starting with the first 100-seconds data using excel spreadsheet. This technique applies to all tests performed in this Part 2 report for easier time-averaged computation using excel spreadsheet.

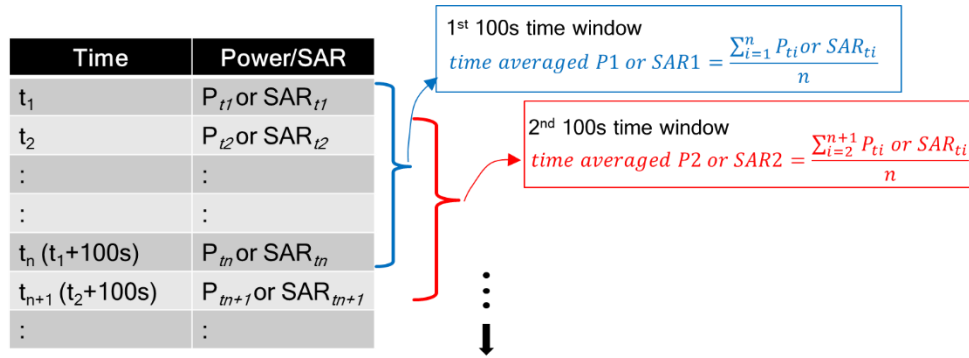


Figure 3-1 100s running average illustration

3. Make one plot containing:
 - a. Instantaneous Tx power versus time measured in Step 2,
 - b. Requested Tx power used in Step 2 (test sequence 1),
 - c. Computed time-averaged power versus time determined in Step 2,
 - d. Time-averaged power limit (corresponding to FCC SAR limit of 1.6 W/kg for 1gSAR or 4.0W/kg for 10gSAR) given by

$$Time\ averaged\ power\ limit = meas.P_{limit} + 10 \times \log\left(\frac{FCC\ SAR\ limit}{meas.SAR_Plimit}\right) \quad (5a)$$

where $meas.P_{limit}$ and $meas.SAR_Plimit$ correspond to measured power at P_{limit} and measured SAR at P_{limit} .

4. Make another plot containing:
 - a. Computed time-averaged 1gSAR or 10gSAR versus time determined in Step 2
 - b. FCC 1gSAR_{limit} of 1.6W/kg or FCC 10gSAR_{limit} of 4.0W/kg.
5. Repeat Steps 2 ~ 4 for pre-defined test sequence 2 and replace the requested Tx power (test sequence 1) in Step 2 with test sequence 2.
6. Repeat Steps 2 ~ 5 for all the selected technologies and bands.

The validation criteria are, at all times, the time-averaged power versus time shown in Step 3 plot shall not exceed the time-averaged power limit (defined in Eq. (5a)), in turn, the time-averaged 1gSAR or 10gSAR versus time shown in Step 4 plot shall not exceed the FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR (i.e., Eq. (1b)).

3.3.2 Change in call scenario

This test is to demonstrate that Smart Transmit feature accurately accounts for the past Tx powers during time-averaging when a new call is established.

The call disconnect and re-establishment needs to be performed during power limit enforcement, i.e., when the EUT's Tx power is at $P_{reserve}$ level, to demonstrate the continuity of RF exposure management and limiting in call change scenario. In other words, the RF exposure averaged over any FCC defined time window (including the time

windows containing the call change) doesn't exceed FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

Test procedure

1. Measure P_{limit} for the technology/band selected in Section 3.2.2. Measure P_{limit} with Smart Transmit enabled and *Reserve_power_margin* set to 0 dB, callbox set to request maximum power.
2. Set *Reserve_power_margin* to actual (intended) value and reset power on EUT to enable Smart Transmit.
3. Establish radio link with callbox in the selected technology/band.
4. Request EUT's Tx power at 0 dBm for at least one time window specified for the selected technology/band, followed by requesting EUT's Tx power to be at maximum power for about ~60 seconds, and then drop the call for ~10 seconds. Afterwards, re-establish another call in the same radio configuration (i.e., same technology/band/channel) and continue callbox requesting EUT's Tx power to be at maximum power for the remaining time of at least another full duration of the specified time window. Measure and record Tx power versus time. Once the measurement is done, extract instantaneous Tx power versus time, convert the measured conducted Tx power into 1gSAR or 10gSAR value using Eq. (1a), and then perform the running time average to determine time-averaged power and 1gSAR or 10gSAR versus time.

NOTE: In Eq.(1a), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the measured worst-case 1gSAR or 10gSAR value at P_{limit} for the corresponding technology/band/antenna/DSI reported in Part 1 report.

5. Make one plot containing: (a) instantaneous Tx power versus time, (b) requested power, (c) computed time-averaged power, (d) time-averaged power limit calculated using Eq.(5a).
6. Make another plot containing: (a) computed time-averaged 1gSAR or 10gSAR versus time, and (b) FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

The validation criteria are, at all times, the time-averaged power versus time shall not exceed the time-averaged power limit (defined in Eq.(5a)), in turn, the time-averaged 1gSAR or 10gSAR versus time shall not exceed the FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR (i.e., Eq. (1b)).

3.3.3 Change in technology and band

This test is to demonstrate the correct power control by Smart Transmit during technology switches and/or band handovers.

Similar to the change in call test in Section 3.3.2, to validate the continuity of RF exposure limiting during the transition, the technology and band handover needs to be performed when EUT's Tx power is at $P_{reserve}$ level (i.e., during Tx power enforcement) to

make sure that the EUT's Tx power from previous $P_{reserve}$ level to the new $P_{reserve}$ level (corresponding to new technology/band). Since the P_{limit} could vary with technology and band, Eq. (1a) can be written as follows to convert the instantaneous Tx power in 1gSAR or 10gSAR exposure for the two given radios, respectively:

$$1g_or_10gSAR_1(t) = \frac{conducted_Tx_power_1(t)}{conducted_Tx_power_P_{limit_1}} * 1g_or_10gSAR_P_{limit_1} \quad (6a)$$

$$1g_or_10gSAR_2(t) = \frac{conducted_Tx_power_2(t)}{conducted_Tx_power_P_{limit_2}} * 1g_or_10gSAR_P_{limit_2} \quad (6b)$$

$$\frac{1}{T_{SAR}} \left[\int_{t-T_{SAR}}^{t_1} \frac{1g_or_10gSAR_1(t)}{FCC\ SAR\ limit} dt + \int_{t-T_{SAR}}^t \frac{1g_or_10gSAR_2(t)}{FCC\ SAR\ limit} dt \right] \leq 1 \quad (6c)$$

where, $conducted_Tx_power_1(t)$, $conducted_Tx_power_P_{limit_1}$, and $1g_or_10gSAR_P_{limit_1}$ correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at P_{limit} , and measured 1gSAR or 10gSAR value at P_{limit} of technology1/band1; $conducted_Tx_power_2(t)$, $conducted_Tx_power_P_{limit_2}(t)$, and $1g_or_10gSAR_P_{limit_2}$ correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at P_{limit} , and measured 1gSAR or 10gSAR value at P_{limit} of technology2/band2. Transition from technology1/band1 to the technology2/band2 happens at time-instant ' t_1 '.

Test procedure

1. Measure P_{limit} for both the technologies and bands selected in Section 3.2.3. Measure P_{limit} with Smart Transmit enabled and *Reserve_power_margin* set to 0 dB, callbox set to request maximum power.
2. Set *Reserve_power_margin* to actual (intended) value and reset power on EUT to enable Smart Transmit
3. Establish radio link with callbox in first technology/band selected.
4. Request EUT's Tx power at 0 dBm for at least one time window specified for the selected technology/band, followed by requesting EUT's Tx power to be at maximum power for about ~60 seconds, and then switch to second technology/band selected. Continue with callbox requesting EUT's Tx power to be at maximum power for the remaining time of at least another full duration of the specified time window. Measure and record Tx power versus time for the full duration of the test.
5. Once the measurement is done, extract instantaneous Tx power versus time, and convert the conducted Tx power into 1gSAR or 10gSAR value using Eq. (6a) and (6b) and corresponding measured P_{limit} values from Step 1 of this section. Perform the running time average to determine time-averaged power and 1gSAR or 10gSAR versus time.

NOTE: In Eq.(6a) & (6b), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the measured worst-case 1gSAR or 10gSAR value at P_{limit} for the corresponding technology/band/antenna/DSI reported in Part 1 report.

6. Make one plot containing: (a) instantaneous Tx power versus time, (b) requested power, (c) computed time-averaged power, (d) time-averaged power limit calculated using Eq.(5a).
7. Make another plot containing: (a) computed time-averaged 1gSAR or 10gSAR versus time, and (b) FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

The validation criteria are, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed the FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR (i.e., Eq. (6c)).

3.3.4 Change in antenna

This test is to demonstrate the correct power control by Smart Transmit during antenna switches from one antenna to another. The test procedure is identical to Section 3.3.3, by replacing technology/band switch operation with antenna switch. The validation criteria are, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

NOTE: If the EUT does not support antenna switch within the same technology/band, but has multiple antennas to support different frequency bands, then the antenna switch test is included as part of change in technology and band (Section 3.3.3) test.

3.3.5 Change in DSI

This test is to demonstrate the correct power control by Smart Transmit during DSI switches from one DSI to another. The test procedure is identical to Section 3.3.3, by replacing technology/band switch operation with DSI switch. The validation criteria are, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

3.3.6 SAR exposure switching

This test is to demonstrate that Smart Transmit feature is accurately accounts for switching in exposures among SAR from radio1 only, SAR from both radio1 and radio2, and SAR from radio2 only scenarios, and ensures total time-averaged RF exposure complies with the FCC limit. Here, radio1 represents primary radio (for example, LTE anchor in a NR non-standalone mode call) and radio2 represents secondary radio (for example, sub6 NR or mmW NR). The detailed test procedure for SAR exposure switching in the case of LTE+Sub6 NR non-standalone mode transmission scenario is provided in Appendix B.2.

Test procedure:

1. Measure conducted Tx power corresponding to P_{limit} for radio1 and radio2 in selected band. Test condition to measure conducted P_{limit} is:



- Establish device in call with the callbox for radio1 technology/band. Measure conducted Tx power corresponding to radio1 P_{limit} with Smart Transmit enabled and *Reserve_power_margin* set to 0 dB, callbox set to request maximum power.
 - Repeat above step to measure conducted Tx power corresponding to radio2 P_{limit} . If radio2 is dependent on radio1 (for example, non-standalone mode of Sub6 NR requiring radio1 LTE as anchor), then establish radio1 + radio2 call with callbox, and request all down bits for radio1 LTE. In this scenario, with callbox requesting maximum power from radio2 Sub6 NR, measured conducted Tx power corresponds to radio2 P_{limit} (as radio1 LTE is at all-down bits)
2. Set *Reserve_power_margin* to actual (intended) value, with EUT setup for radio1 + radio2 call. In this description, it is assumed that radio2 has lower priority than radio1. Establish device in radio1+radio2 call, and request all-down bits or low power on radio1, with callbox requesting EUT's Tx power to be at maximum power in radio2 for at least one time window. After one time window, set callbox to request EUT's Tx power to be at maximum power on radio1, i.e., all-up bits. Continue radio1+radio2 call with both radios at maximum power for at least one time window, and drop (or request all-down bits on) radio2. Continue radio1 at maximum power for at least one time window. Record the conducted Tx power for both radio1 and radio2 for the entire duration of this test.
 3. Once the measurement is done, extract instantaneous Tx power versus time for both radio1 and radio2 links. Convert the conducted Tx power for both these radios into 1gSAR or 10gSAR value (see Eq. (6a) and (6b)) using corresponding technology/band P_{limit} measured in Step 1, and then perform the running time average to determine time-averaged 1gSAR or 10gSAR versus time.
 4. Make one plot containing: (a) instantaneous Tx power versus time measured in Step 2.
 5. Make another plot containing: (a) instantaneous 1gSAR versus time determined in Step 3, (b) computed time-averaged 1gSAR versus time determined in Step 3, and (c) corresponding regulatory $1gSAR_{limit}$ of 1.6W/kg or $10gSAR_{limit}$ of 4.0W/kg.

The validation criteria is, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed the regulatory $1gSAR_{limit}$ of 1.6W/kg or $10gSAR_{limit}$ of 4.0W/kg.

3.4 Test procedure for time-varying SAR measurements

This section provides general time-varying SAR measurement procedures to perform compliance test under dynamic transmission scenarios described in Section 2. In practice, an adjustment can be made in these procedures. The justification/clarification may be provided.

To perform the validation through SAR measurement for transmission scenario 1 described in Section 2, the “path loss” between callbox antenna and EUT needs to be calibrated to ensure that the EUT Tx power reacts to the requested power from callbox in a radiated call. It should be noted that when signaling in closed loop mode, protocol-level power control is in play, resulting in EUT not solely following callbox TPC (Tx power control) commands. In other words, EUT response has many dependencies (RSSI, quality of signal, path loss variation, fading, etc.) other than just TPC commands. These dependencies have less impact in conducted setup (as it is a controlled environment and the path loss can be very well calibrated) but have significant impact on radiated testing in an uncontrolled environment, such as SAR test setup. Therefore, the deviation in EUT Tx power from callbox requested power is expected, however the time-averaged SAR should not exceed FCC SAR requirement at all times as Smart Transmit controls Tx power at EUT.

The following steps are for time averaging feature validation through SAR measurement:

1. “Path Loss” calibration: Place the EUT against the phantom in the worst-case position determined based on Section 3.2.1. For each band selected, prior to SAR measurement, perform “path loss” calibration between callbox antenna and EUT. Since the SAR test environment is not controlled and well calibrated for OTA (Over the Air) test, extreme care needs to be taken to avoid the influence from reflections. The test setup is described in Section 6.1.
2. Time averaging feature validation:
 - i For a given radio configuration (technology/band) selected in Section 3.2.1, enable Smart Transmit and set *Reserve_power_margin* to 0 dB, with callbox to request maximum power, perform area scan, conduct pointSAR measurement at peak location of the area scan. This point SAR value, *pointSAR_{P_{limit}}*, corresponds to point SAR at the measured *P_{limit}* (i.e., measured *P_{limit}* from the EUT in Step 1 of Section 3.3.1).
 - ii Set *Reserve_power_margin* to actual (intended) value and reset power on EUT to enable Smart Transmit. Note, if *Reserve_power_margin* cannot be set wirelessly, care must be taken to re-position the EUT in the exact same position relative to the SAM phantom as in above Step 2.i. Establish radio link in desired radio configuration, with callbox requesting the EUT’s Tx power at power levels described by test sequence 1 generated in Step 1 of Section 3.3.1, conduct point SAR measurement versus time at peak location of the area scan determined in Step 2.i of this section. Once the measurement is done, extract instantaneous point SAR vs time data, *pointSAR(t)*, and convert it into instantaneous 1gSAR or 10gSAR vs. time using Eq. (3a), re-written below:

$$1g_or_10gSAR(t) = \frac{pointSAR(t)}{pointSAR_{P_{limit}}} * 1g_or_10gSAR_{P_{limit}}$$



where, $pointSAR_{P_{limit}}$ is the value determined in Step 2.i, and $pointSAR(t)$ is the instantaneous point SAR measured in Step 2.ii, $1g_or_10gSAR_{P_{limit}}$ is the measured 1gSAR or 10gSAR value listed in Part 1 report.

- iii Perform 100s running average to determine time-averaged 1gSAR or 10gSAR versus time.
- iv Make one plot containing: (a) time-averaged 1gSAR or 10gSAR versus time determined in Step 2.iii of this section, (b) FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.
- v Repeat 2.ii ~ 2.iv for test sequence 2 generated in Step 1 of Section 3.3.1.
- vi Repeat 2.i ~ 2.v for all the technologies and bands selected in Section 3.2.1.

The time-averaging validation criteria for SAR measurement is that, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR (i.e., Eq. (3b)).



4 Test Configurations

4.1 WWAN (sub-6) transmission

The P_{limit} values, corresponding to 1.01 W/kg (1gSAR) and 2.52 W/kg (10gSAR) of *SAR_design_target*, for technologies and bands supported by EUT are derived in Part 0 report and summarized in Table 4-1. Note all P_{limit} power levels entered in Table 4-1 correspond to average power levels after accounting for duty cycle in the case of TDD modulation schemes (for e.g., GSM, LTE TDD & Sub6 NR TDD).

According to FCC guidance, in general, as long as the radio Tx chain under evaluation remains the same as what was initially granted, the “normalized quantity” determined in Part 2 test can be re-used for those selected test cases if the P_{limit} remains the same. In this case, the Part 2 testing can be exempt, but new Part 2 report should be generated using corresponding measured SAR from new Part 1 report.”

Table 4-1: P_{limit} for supported technologies and bands (P_{limit} in EFS file)

Mode/Band	DSI=1	DSI=6	DSI=7	DSI=5	DSI=8	Pmax*
	Head / Body-worn / extremity Mode	Dual Screen 0 or 360 degree	Dual Screen 180 degree	Hotspot mode	Extremity (proximity sensor ON)	
	PLimit	PLimit	PLimit	PLimit	PLimit	
	(dBm)	(dBm)	(dBm)	(dBm)	(dBm)	
CDMA BC0	26.8	26.8	26.8	26.8	26.8	24.5
CDMA BC1	24.6	23.5	23.5	21.5	21.5	24.5
GSM850**	28.6	27.3	27.7	28.6	28.6	24.8
GSM1900**	22.9	28.5	25.8	22.9	22.9	22.6
WCDMA B5	27.2	29.8	25.8	27.2	27.2	24.5
WCDMA B2	24.8	23.5	23.5	21.5	21.5	24.5
WCDMA B4	24.6	23.5	23.5	21.5	21.5	24.5
LTE B12(17)	28.9	32.2	32.3	28.9	28.9	24.5
LTE B12(17)_ULCA	28.9	32.2	32.3	28.9	28.9	17.0
LTE B13	28.9	30.6	27.0	28.9	28.9	24.5
LTE B14	28.6	30.6	27.0	28.6	28.6	24.5
LTE B5	27.1	31.7	27.7	27.1	27.1	24.5
LTE B5_ULCA	28.4	31.5	27.4	27.1	27.1	17.0
LTE B71	28.6	33.2	33.8	28.6	28.6	24.5
LTE B2(25)	24.7	23.5	23.5	21.5	21.5	24.5
LTE B2_ULCA	23.5	26.2	26.0	23.5	23.5	17.0
LTE B66(4)	24.6	23.5	23.5	21.5	21.5	24.5
LTE B66_ULCA	23.3	25.6	25.5	23.3	23.3	17.0
LTE B7	25.9	23.5	23.5	22.5	22.5	24.5
LTE B30	27.1	23.5	23.5	22.5	22.5	22.0
LTE B41(PC3)**	26.7	25.9	26.5	22.0	22.0	22.5
NR n71	31.4	35.5	31.4	31.4	31.4	23.8
NR n5	27.1	31.9	28.6	27.1	27.1	23.8
NR n25(2)	23.5	23.5	23.5	23.5	23.5	24.5
NR n66	23.0	23.5	23.5	23.0	23.0	24.5
NR n41(PC3)**	16.7	19.5	19.0	16.7	16.7	17.7

LTE B12(17)/LTE B5/LTE B2(25)/LTE B4(66) is defined by ULCA PCC and Standalone, and LTE B12(17)/LTE B5/LTE B2(25) / LTE B4(66) ULCA is defined by ULCA SCC.

When B12/B5/B2/B66 is operated by ULCA, the max power is 22.5dBm.(2 dB lower than standalone power).

Also, LTE B2(25) / LTE B4(66) PLimit is 21.5dBm (Only correspond to the DSI=5 and DSI=8)



*Pmax is used for RF tune up procedure. The maximum allowed output power is equal to Pmax + device uncertainty.

**All Plimit power levels entered in the Table correspond to average power levels after accounting for duty cycle in the case TDD modulation schemes (for e.g., GSM & LTE TDD & NR TDD).

Based on selection criteria described in Section 3.2.1, the selected technologies/bands for testing time-varying test sequences are listed in Table 4-2, the *Reserve_power_margin* (dB) for ZNFG900UM is set to 3dB in EFS, and is used in Part 2 test.

The radio configurations used in Part 2 test for selected technologies, bands, DSIs and antennas are listed in Table 4-2. The corresponding worst-case radio configuration 1gSAR or 10gSAR values for selected technology/band/DSI are extracted from Part 1 report and are listed in the last column of Table 4-2.

Based on equations (1a), (2a), (3a) and (4a), it is clear that Part 2 testing outcome is normalized quantity, which implies that it can be applied to any radio configuration within a selected technology/band/DSI. Thus, as long as applying the worst-case SAR obtained from the worst radio configuration in Part 1 testing to calculate time-varying SAR exposure in equations (1a), (2a), (3a) and (4a), the accuracy in compliance demonstration remains the same.

Table 4-2: Radio configurations selected for Part 2 test

Part 2 test configurations													Part 1 worst-case radio config 1g or 10g SAR measured at Plimit(W/kg)
Test case#	Test scenario	Tech	Band	Ant	DSI	Channel	Freq (MHz)	RB/offset	mode	position	Position details	Detail	
1	Time-Varying Seq1	CDMA	BC1	2	5	1175	1908.75		EVDO	Bottom	Hotspot		0.912
2		WCDMA	2	2	5	9538	1907.6		RMC	Bottom	Hotspot		0.738
3		LTE	66	2	5	132572	1770	1/0	QPSK	Bottom	Hotspot		0.635
4		LTE	7	2	5	21350	2560	50/25	QPSK	Bottom	Hotspot		0.709
5		Sub6 NR	n25	3	5	372000	1860	1/104	DFT-S-OFDM QPSK	Right Side	Hotspot		0.825
6	Time-Varying Seq1	Sub6 NR	n41	9	5	518598	2592.99	135/138	DFT-S-OFDM QPSK	Back	Hotspot		0.484
7	Call Drop	LTE	66	2	5	132572	1770	1/0	QPSK	Bottom	Hotspot		0.635
8	Tech/band switch	LTE	66	2	5	132572	1770	1/0	QPSK	Bottom	Hotspot		0.635
		WCDMA	2	2	5	9538	1907.6		RMC	Bottom	Hotspot		0.738
9	Change In DSI	LTE	66	2	5	132572	1770	1/0	QPSK	Bottom	Hotspot		0.635
		LTE	66	2	1	132572	1770	1/0	QPSK	Back	Body worn		0.794
10	Antenna switch	LTE	66	2	5	132572	1770	1/0	QPSK	Bottom	Hotspot		0.635
		WCDMA	5	1	5	4183	836.6		RMC	Back	Hotspot		0.520
11	SAR vs SAR	LTE	25(2)	2	5	26590	1905	50/50	QPSK	Bottom	Hotspot	EN-DC	0.846
		Sub6 NR	n41	9	5	518598	2592.99	135/138	DFT-S-OFDM QPSK	Back	Hotspot		0.484
		LTE UL CA-PCC	66	2	5	132572	1770	1/0	QPSK	Bottom	Hotspot	Inter Band Uplink CA	0.635
		LTE UL CA-SCC	2	3	5	18700	1860	50/25	QPSK	Right Side	Hotspot		0.270

Note that the EUT has a proximity sensor to manage extremity exposure, which is represented using DSI = 8; the hotspot exposure is distinguished via hotspot mode, represented as DSI = 5; DSI = 1 represents all other exposures conditions, When Dual screen attached, which is represented using DSI=6 or 7, thus, in this case, the maximum 1gSAR and/or 10gSAR among all remaining exposure scenarios or the minimum Plimit among all remaining exposure scenarios (i.e., body worn 1gSAR evaluation at 10 mm spacing, phablet 10gSAR extremity evaluation at 0 mm spacing) is used in Smart Transmit feature for time averaging operation.

When LTE B2(25)/LTE B4(66) is operated by Inter-Band ULCA and as PCC or standalone LTE, specified to use antenna 2 for transmission.

When LTE B2(25)/LTE B4(66) is operated by Inter-Band ULCA and as SCC, specified to use antenna 3 for transmission.

Based on the selection criteria described in Section 3.2, the radio configurations for the Tx varying transmission test cases listed in Section 2 are:

1. Technologies and bands for time-varying Tx power transmission: The test case 1~6 listed in Table 4-2 are selected to test with the test sequences defined in Section 3.1 in both time-varying conducted power measurement and time-varying SAR measurement.



2. Technology and band for change in call test: The test case 7 listed in Table 4-2 are selected for performing the call drop test in conducted power setup. LTE B66 having the lowest P_{limit} among all technologies and bands
3. Technologies and bands for change in technology/band test: The test case 8 listed in Table 4-2 is selected for handover test from a technology/band to another technology/band, in conducted power setup.
4. Technologies and bands for change in DSI: The test case 9 listed in Table 4-2 is selected for DSI switch test by establishing a call in LTE B66 in DSI=5, and then handing over to DSI = 1 exposure scenario in conducted power setup.
5. Technologies and bands for change in antenna: The test case 10 listed in Table 4-2 is selected for handover test from antenna to another antenna, in conducted power setup.
6. Technologies and bands for switch in SAR exposure: The test case 11 listed in Table 4-2 are selected for SAR exposure switching test in one of the supported simultaneous WWAN transmission scenario, i.e., LTE + Sub6 NR or LTE Inter-Band Uplink CA active in the same 100s time window, in conducted power setup.



5 Conducted Power Test Results for Sub-6 Smart Transmit Feature Validation

5.1 Measurement setup

The Rohde & Schwarz CMW500 callbox is used in this test. The test setup schematic are shown in Figures 5-1. For single antenna measurement, one port (RF1 COM) of the callbox is connected to the RF port of the EUT using a directional coupler. For antenna & technology switch measurement, two ports (RF1 COM and RF3 COM) of the callbox used for signaling two different technologies are connected to a combiner, which is in turn connected to a directional coupler. The other end of the directional coupler is connected to a splitter to connect to two RF ports of the EUT corresponding to the two antennas of interest. In both the setups, power meter is used to tap the directional coupler for measuring the conducted output power of the EUT. For time averaging validation test (Section 3.3.1), call drop test (Section 3.3.2), and DSI switch test (Section 3.3.4), only RF1 COM port of the callbox is used to communicate with the EUT. For technology/band switch measurement (Section. 3.3.3), both RF1 COM and RF3 COM port of callbox are used to switch from one technology communicating on RF1 COM port to another technology communicating on RF3 COM port. All the path losses from RF port of EUT to the callbox RF COM port and to the power meter are calibrated and automatically entered as offsets in the callbox and the power meter via test scripts on the PC used to control callbox and power meter.

LTE+Sub6 NR or LTE Inter-band Uplink CA test setup:

If LTE conducted port and Sub6 NR conducted port are same on this EUT (i.e., they share the same antenna), therefore, low-/high-pass filter are used to separate LTE and Sub6 NR signals for power meter measurement via directional couplers, as shown in below Figure 5-1 c (Appendix F – Test Setup Photo).

All the path losses from RF port of DUT to the callbox RF COM port and to the power meter are calibrated and automatically entered as offsets in the callbox and the power meter via test scripts on the PC used to control callbox and power meter.

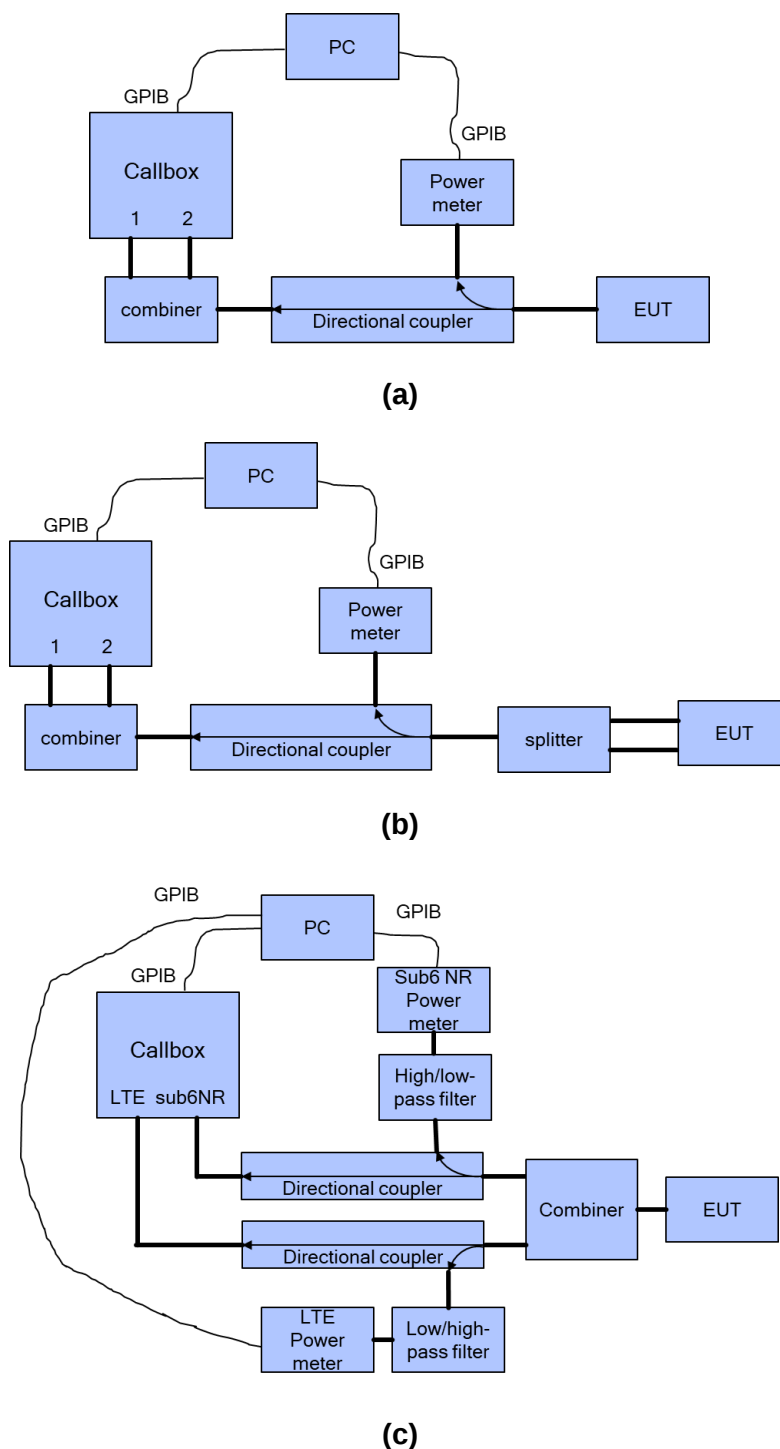


Figure 5-1 Conducted power measurement setup

Both the callbox and power meter are connected to the PC using GPIB cables. Two test scripts are custom made for automation, and the test duration set in the test scripts is 500 seconds.

For time-varying Tx power measurement, the PC runs the 1st test script to send GPIB commands to control the callbox's requested power versus time, while at the same time to record the conducted power measured at EUT RF port using the power meter. The commands sent to the callbox to request power are:

- 0dBm for 100 seconds
- test sequence 1 or test sequence 2 (defined in Section 3.1 and generated in Section 3.2.1), for 360 seconds
- stay at the last power level of test sequence 1 or test sequence 2 for the remaining time.

Power meter readings are periodically recorded every 100ms. A running average of this measured Tx power over 100 seconds is performed in the post-data processing to determine the 100s-time averaged power.

For call drop, technology/band/antenna switch, and DSI switch tests, after the call is established, the callbox is set to request the EUT's Tx power at 0dBm for 100 seconds while simultaneously starting the 2nd test script runs at the same time to start recording the Tx power measured at EUT RF port using the power meter. After the initial 100 seconds since starting the Tx power recording, the callbox is set to request maximum power from the EUT for the rest of the test. Note that the call drop/re-establish, or technology/band/antenna switch or DSI switch is manually performed when the Tx power of EUT is at $P_{reserve}$ level. See Section 3.3 for detailed test procedure of call drop test, technology/band/antenna switch test and DSI switch test.

5.2 P_{limit} and P_{max} measurement results

The measured P_{limit} for all the selected radio configurations given in Table 4-2 are listed in below Table 5-1. P_{max} was also measured for radio configurations selected for testing time-varying Tx power transmission scenarios in order to generate test sequences following the test procedures in Section 3.1.

Table 5-1: Measured P_{limit} and P_{max} of selected radio configurations

Test case#	Test scenario	Tech	Band	Ant	DSI	Channel	Freq (MHz)	RB/offset	mode	position	Position details	Plimit EFS setting(dBm)	target pmax power (dBm)(avg power)	measured plimit (dBm)	measured pmax (dBm)
1	time-varying Tx power transmission	CDMA	BC1	2	5	1175	1908.75		EVDO	Bottom	Hotspot	21.5	24.5	21.53	24.02
2		WCDMA	2	2	5	9538	1907.6		RMC	Bottom	Hotspot	21.5	24.5	21.21	24.02
3		LTE	66	2	5	132572	1770	1/0	QPSK	Bottom	Hotspot	21.5	24.5	21.53	24.5
4		LTE	7	2	5	21350	2560	50/25	QPSK	Bottom	Hotspot	22.5	23.5	22.34	23.25
5		Sub6 NR	n25	3	5	372000	1860	1/104	QPSK	Right Side	Hotspot	23.5	24.5	23.6	23.8
6		Sub6 NR	n41	9	5	518598	2592.99	135/138	DFT-S-OFDM QPSK	Back	Hotspot	16.7	17.7	16.5	17.5
7	change in call	LTE	66	2	5	132572	1770	1/0	QPSK	Bottom	Hotspot	21.5	24.5	21.53	24.5
8	Tech/band switch	LTE	66	2	5	132572	1770	1/0	QPSK	Bottom	Hotspot	21.5	24.5	21.53	24.5
		WCDMA	2	2	5	9538	1907.6		RMC	Bottom	Hotspot	21.5	24.5	21.21	24.02
9	DSI switch	LTE	66	2	5	132572	1770	1/0	QPSK	Bottom	Hotspot	21.5	24.5	21.53	24.5
		LTE	66	2	1	132572	1770	1/0	QPSK	Back	Body worn	24.6	24.5	24.5	24.5
10	Antenna switch	LTE	66	2	5	132572	1770	1/0	QPSK	Bottom	Hotspot	21.5	24.5	21.53	24.5
		WCDMA	5	1	5	4183	836.6		RMC	Back	Hotspot	27.2	24.5	24.21	24.21
11	SAR1 vs SAR2	LTE	25(2)	2	5	26590	1905	50/50	QPSK	Bottom	Hotspot	21.5	24.5	21.3	24.42
		Sub6 NR	n41	9	5	518598	2592.99	135/138	DFT-S-OFDM QPSK	Back	Hotspot	16.7	17.7	16.5	17.5
		LTE UL CA-PCC	66	2	5	132572	1770	1/0	QPSK	Bottom	Hotspot	21.5	22.5	21.5	22.4
		LTE UL CA-SCC	2	3	5	18700	1860	50/25	QPSK	Right Side	Hotspot	23.5	17	17	17

Note: the device uncertainty of P_{max} is +1.0dB/-1.0dB as provided by manufacturer.

. When B2/B66 is operated by ULCA, the max power is 22.5dBm.(2 dB lower than standalone power) Also, PLimit is 21.5dBm (same as standalone PLimit Power)

5.3 Time-varying Tx power measurement results

The measurement setup is shown in Figures 6-1(a) and 6-1(c). The purpose of the time-varying Tx power measurement is to demonstrate the effectiveness of power limiting enforcement and that the time-averaged Tx power when represented in time-averaged 1gSAR or 10gSAR values does not exceed FCC limit as shown in Eq. (1a) and (1b), rewritten below:

$$1g_or_10gSAR(t) = \frac{conducted_Tx_power(t)}{conducted_Tx_power_P_{limit}} * 1g_or_10gSAR_P_{limit} \quad (1a)$$

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^t 1g_or_10gSAR(t) dt}{FCC\ SAR\ limit} \leq 1 \quad (1b)$$

where, $conducted_Tx_power(t)$, $conducted_Tx_power_P_{limit}$, and $1g_or_10gSAR_P_{limit}$ correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at P_{limit} , and measured 1gSAR and 10gSAR values at P_{limit} reported in Part 1 test (listed in Table 5-2 of this report as well).

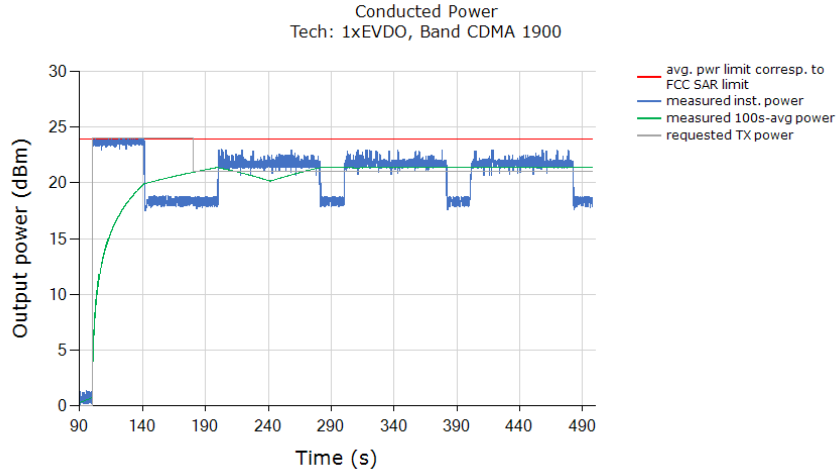
Following the test procedure in Section 3.3, the conducted Tx power measurement for all selected configurations are reported in this section. In all the conducted Tx power plots, the dotted line represents the requested power by callbox (test sequence 1 or test sequence 2), the blue curve represents the instantaneous conducted Tx power measured using power meter, the green curve represents time-averaged power and red line represents the conducted power limit that corresponds to FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

Similarly, in all the 1g or 10gSAR plots (when converted using Eq. (1a)), the green curve represents the 100s/60s-time averaged 1gSAR or 10gSAR value calculated based on instantaneous 1gSAR or 10gSAR; and the red line limit represents the FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

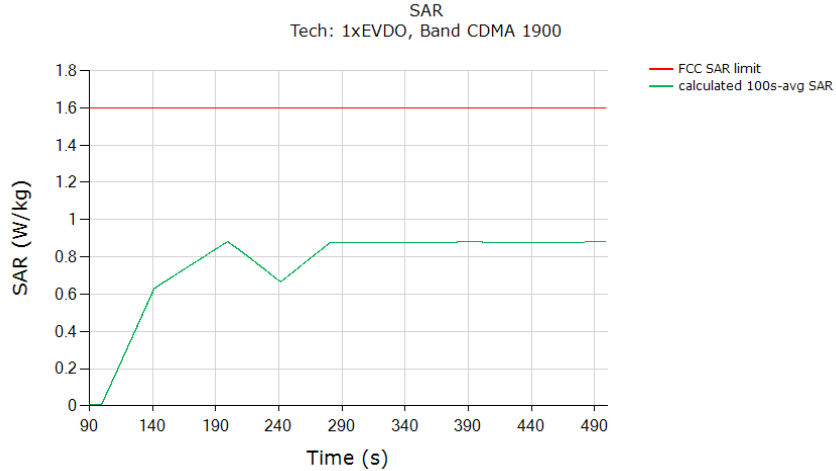


5.3.1 CDMA Band BC1

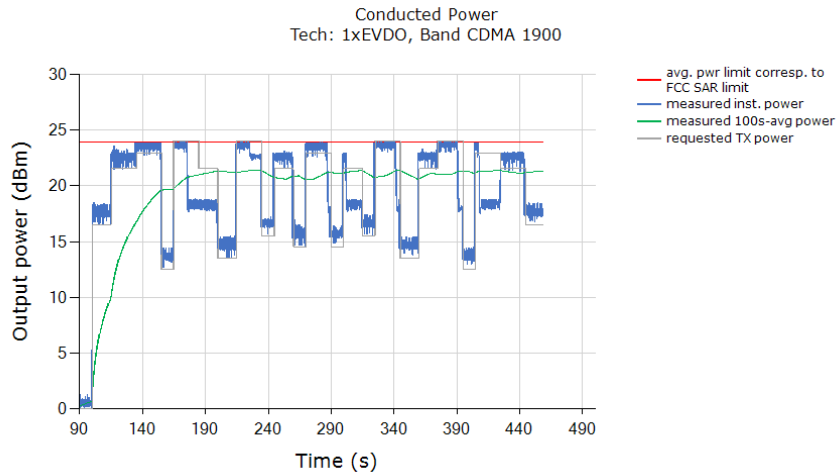
Test result for test sequence 1:



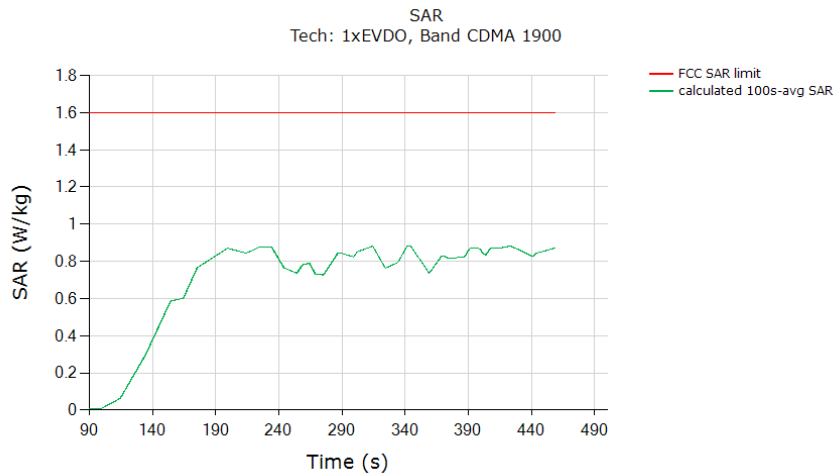
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.882
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1.0dB device uncertainty	

Test result for test sequence 2:

Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6W/kg for 1gSAR:

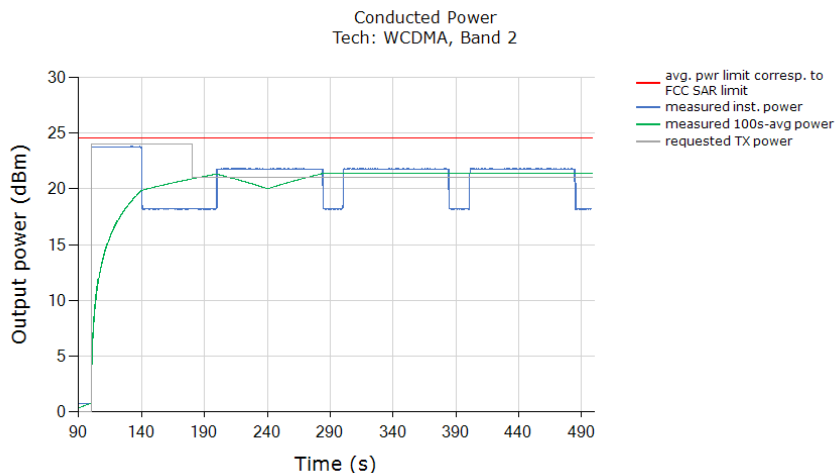


	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.883
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1.0dB device uncertainty	

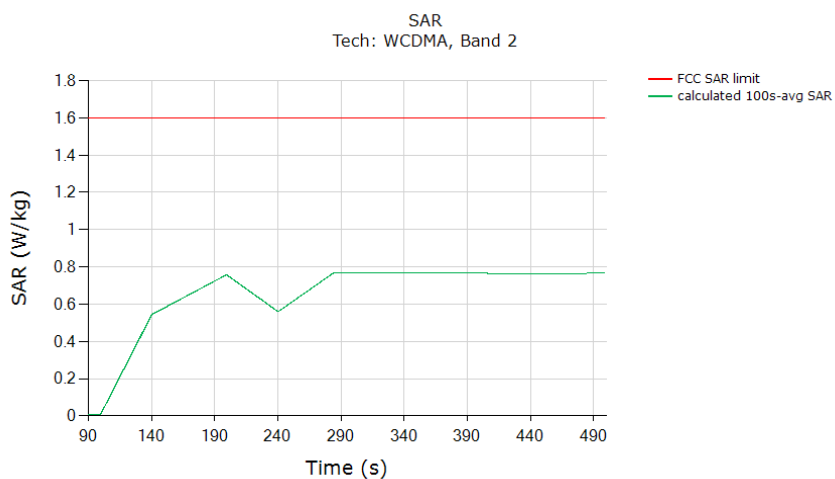


5.3.2 WCDMA Band 2

Test result for test sequence 1:



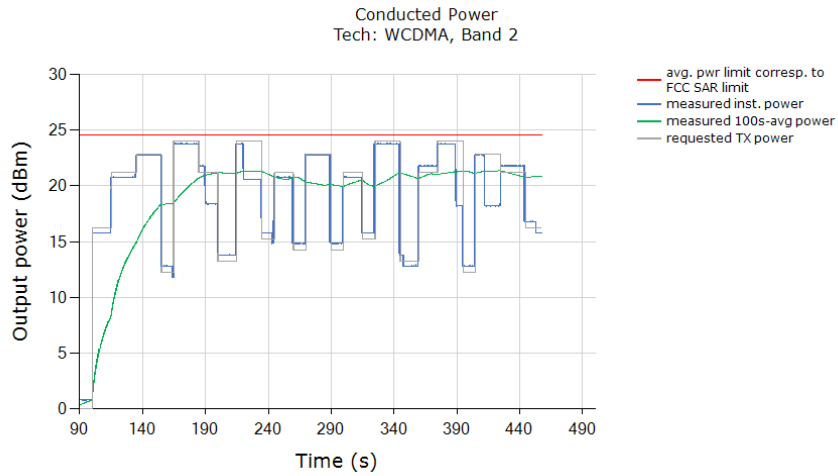
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



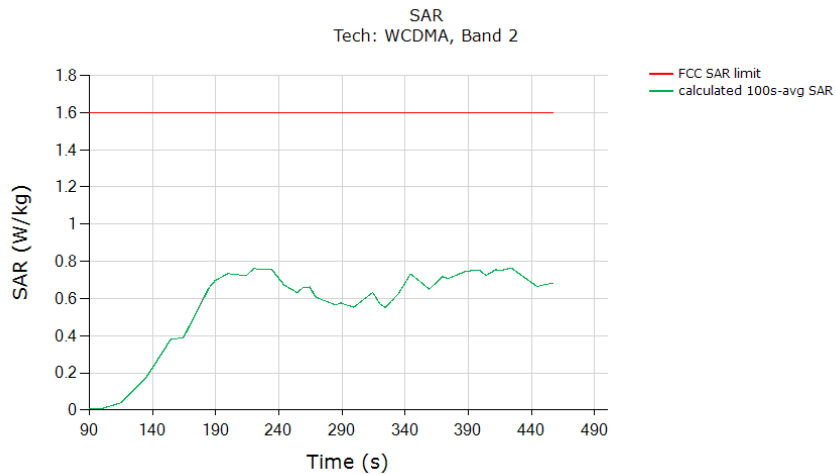
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.767
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1.0dB device uncertainty	



Test result for test sequence 2:



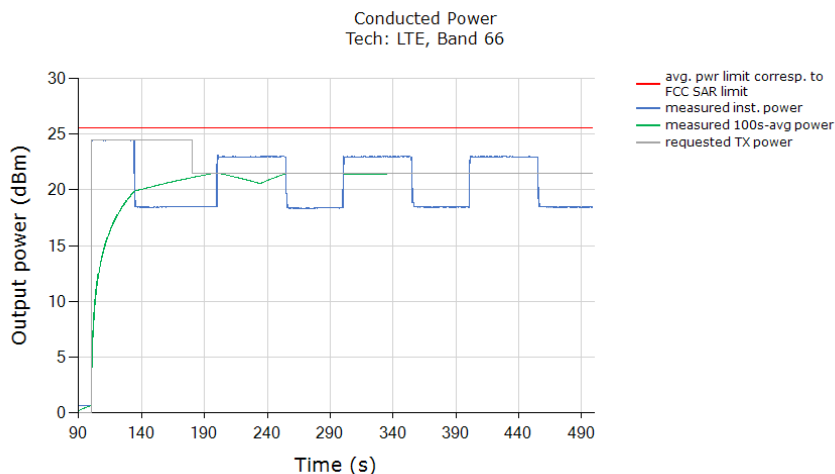
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



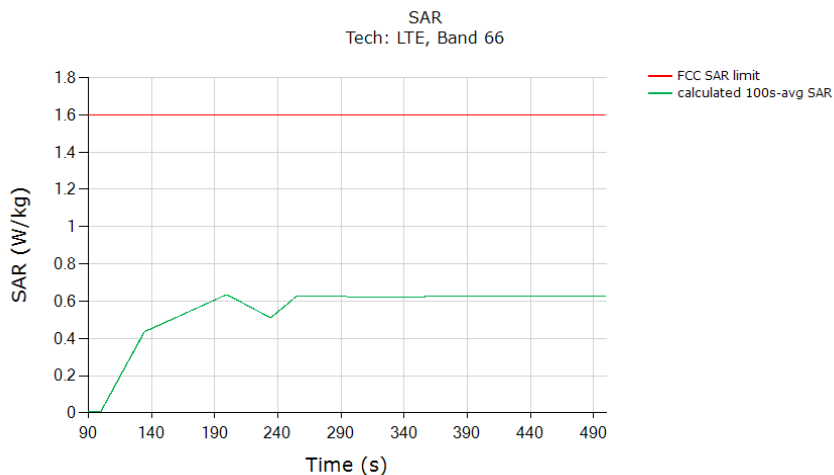
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.764
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1.0dB device uncertainty	

5.3.3 LTE Band 66

Test result for test sequence 1:



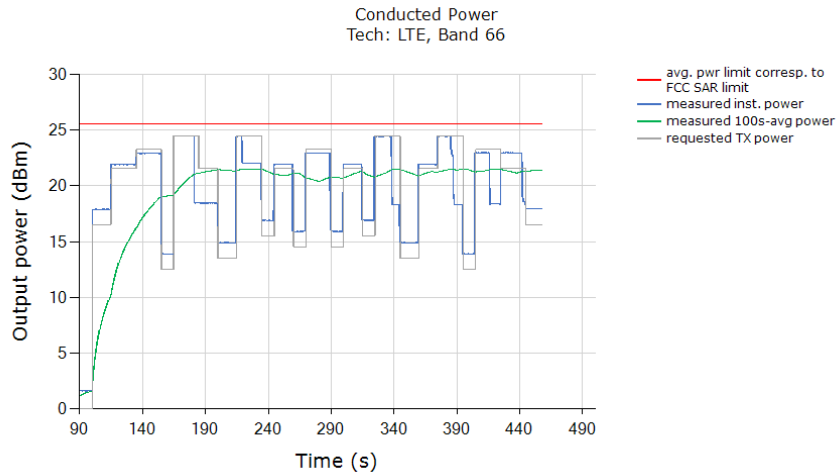
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



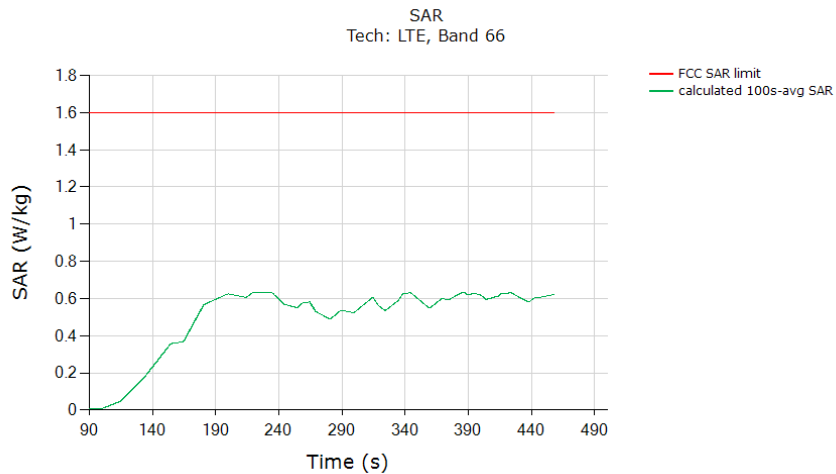
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 10gSAR (green curve)	0.636
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1.0dB device uncertainty	



Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

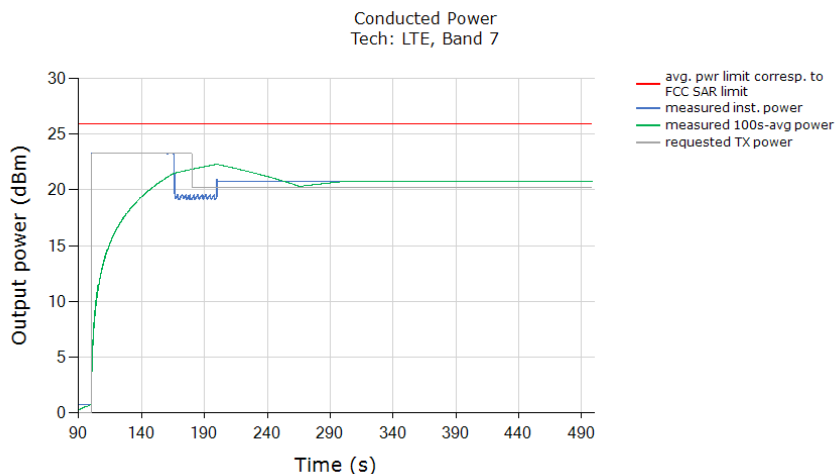


	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.632
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1.0dB device uncertainty	

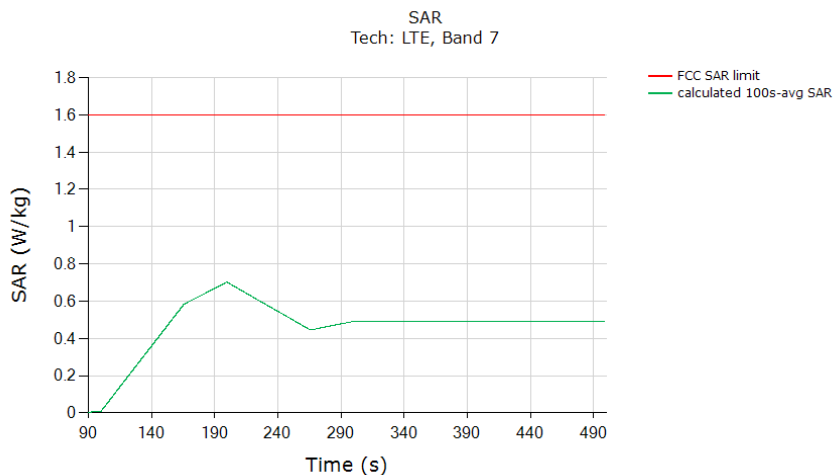


5.3.4 LTE Band 7

Test result for test sequence 1:



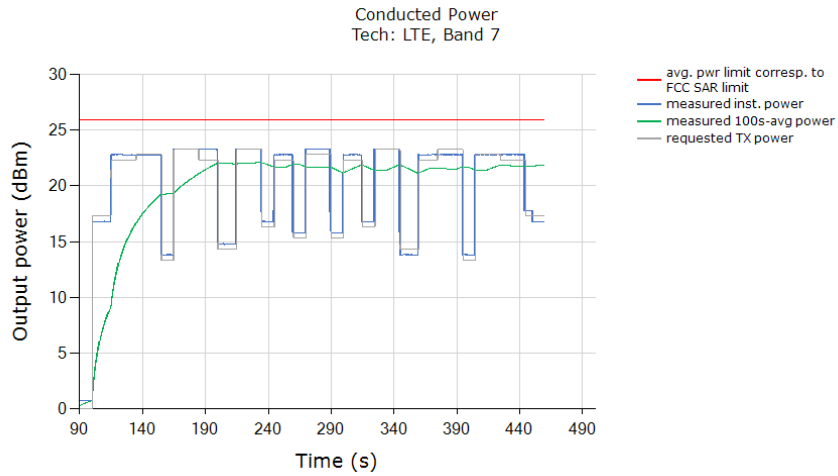
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



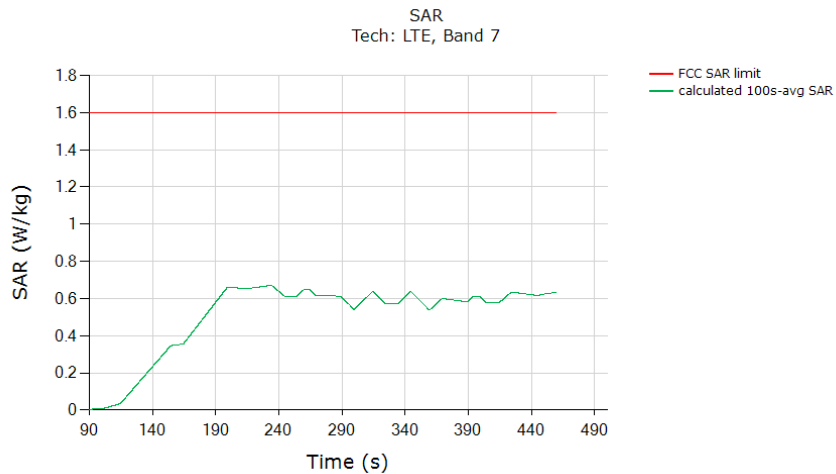
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 10gSAR (green curve)	0.702
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1.0dB device uncertainty	



Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

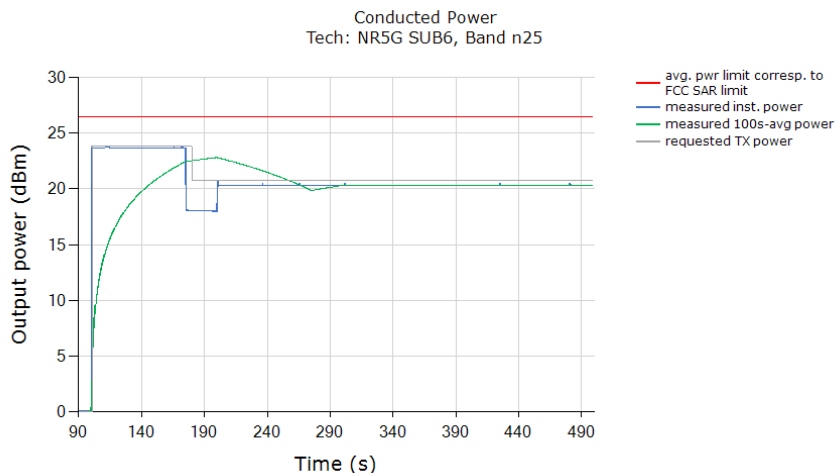


	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.671
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1.0dB device uncertainty	

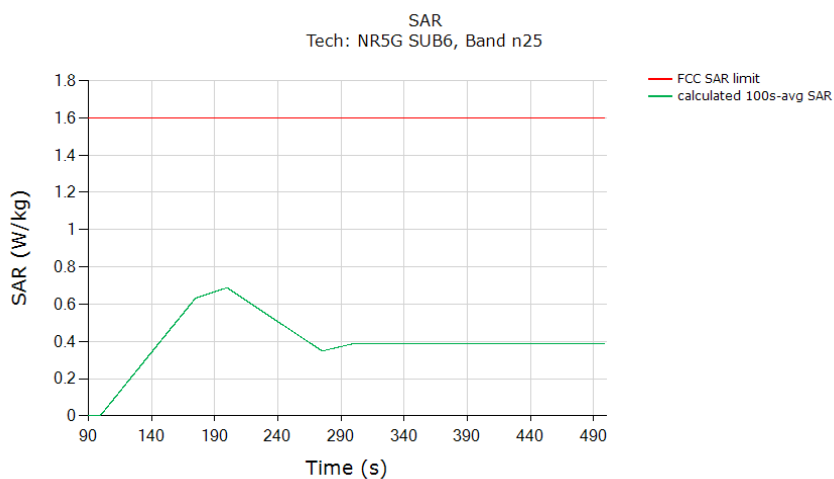


5.3.5 Sub6 NR Band 25

Test result for test sequence 1:



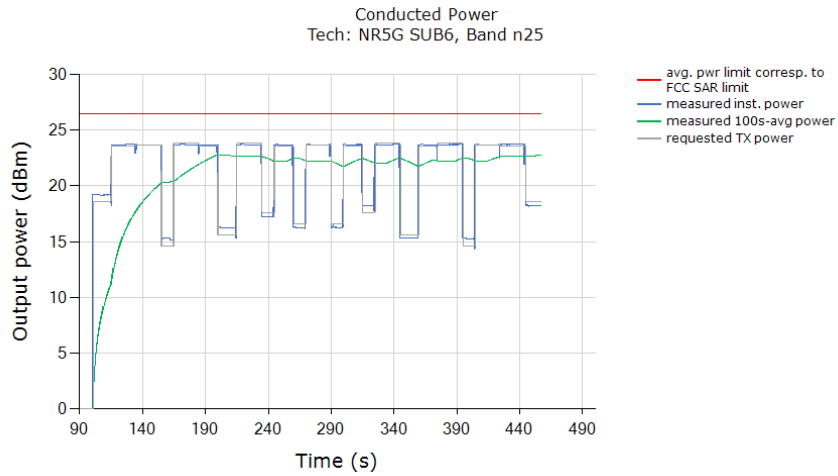
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



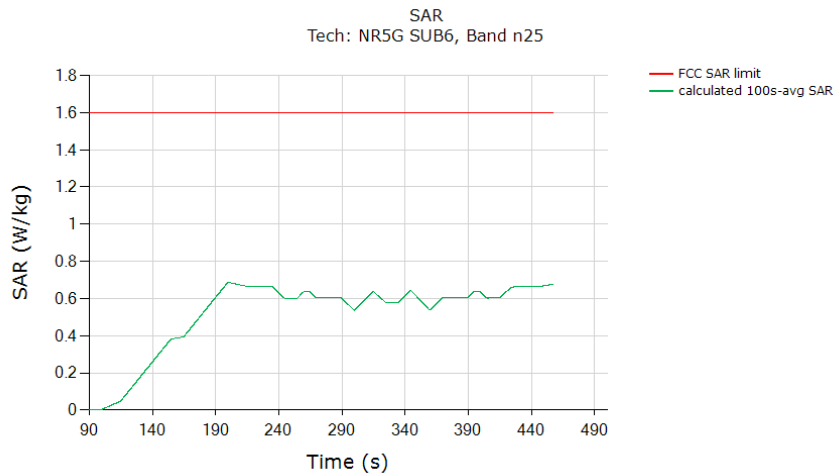
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.688
Validated: Max time averaged SAR (green curve) does not exceed "75% (with 3dB Reserve Power Margin) of measured SAR at P _{limit} , +1.0dB device uncertainty"	



Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

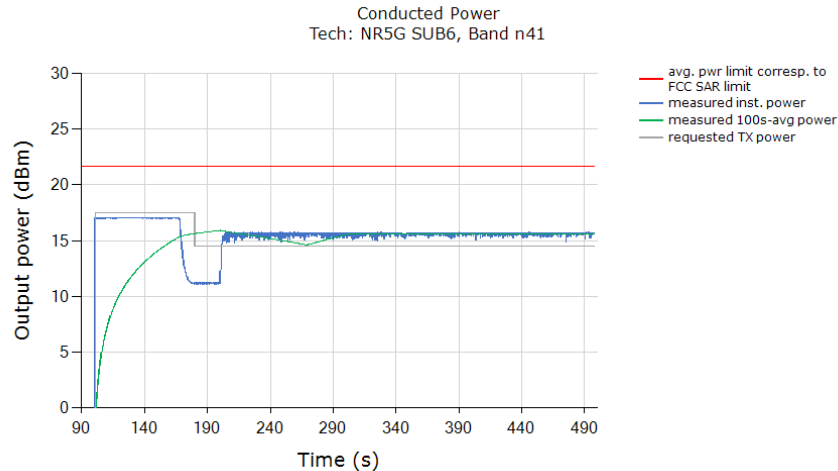


	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.686
Validated: Max time averaged SAR (green curve) does not exceed "75% (with 3dB Reserve Power Margin) of measured SAR at Plimit, +1.0dB device uncertainty"	

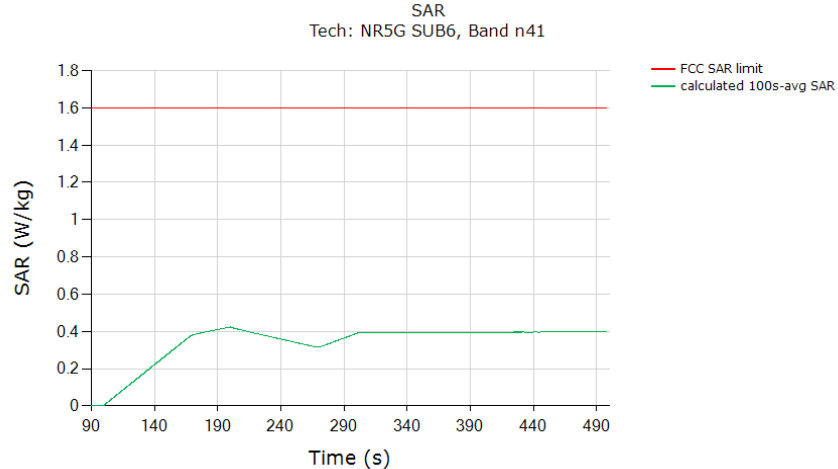


5.3.6 Sub6 NR Band 41

Test result for test sequence 1:



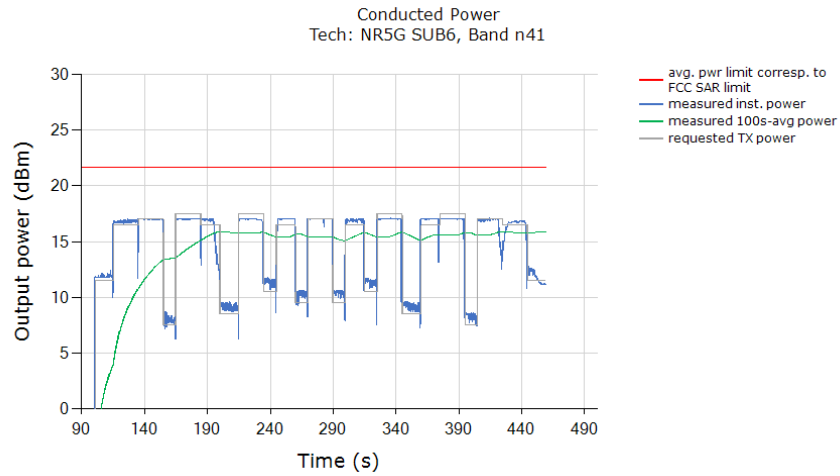
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



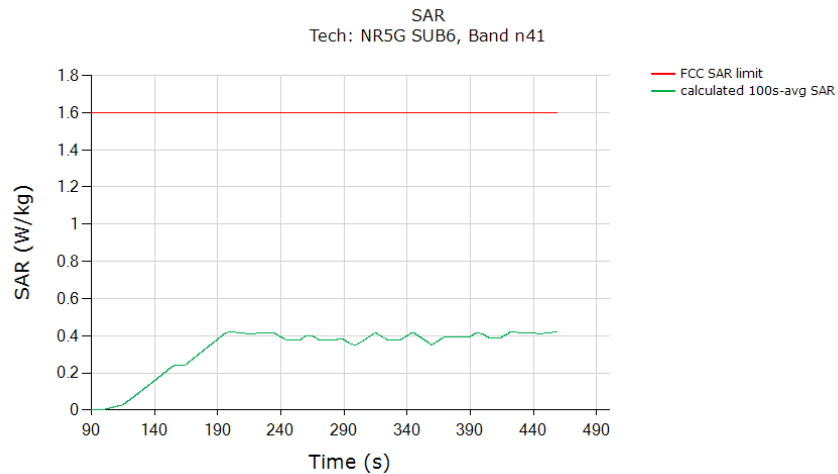
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.424
Validated: Max time averaged SAR (green curve) does not exceed "75% (with 3dB Reserve Power Margin) of measured SAR at P _{limit} , +1.0dB device uncertainty"	



Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.423
Validated: Max time averaged SAR (green curve) does not exceed "75% (with 3dB Reserve Power Margin) of measured SAR at Plimit, +1.0dB device uncertainty"	

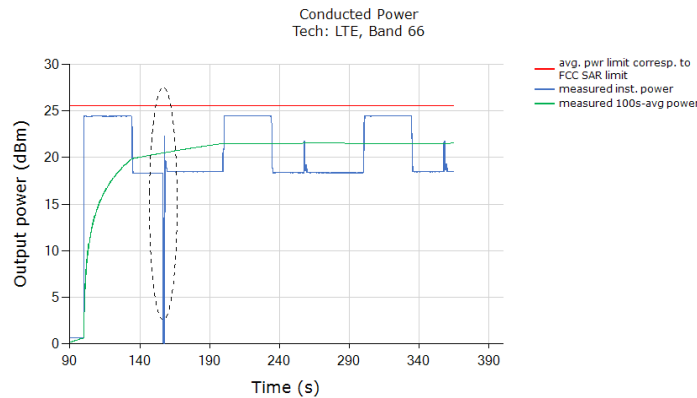


5.4 Change in Call Test Results

This test was measured with LTE B66, DSI=5, and with callbox requesting maximum power. The call drop was manually performed when the EUT is transmitting at $P_{reserve}$ level as shown in the plot below (dotted black region). The measurement setup is shown in Figure 6-1. The detailed test procedure is described in Section 3.3.2.

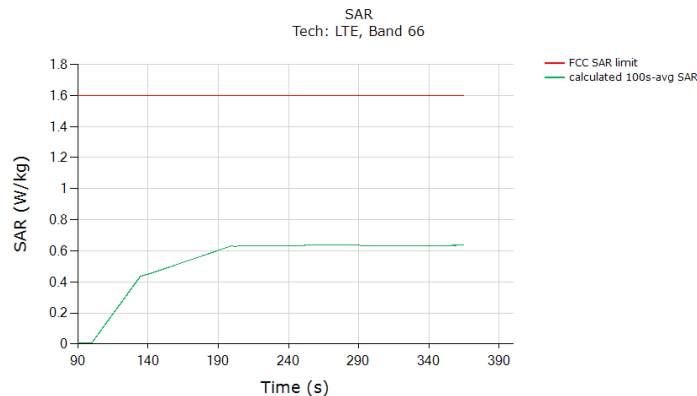
Call drop test result:

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power kept the same $P_{reserve}$ level of LTE Band 66 after the call was re-established:



Plot Notes: The conducted power plot shows expected Tx transition.

Plot 2: Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



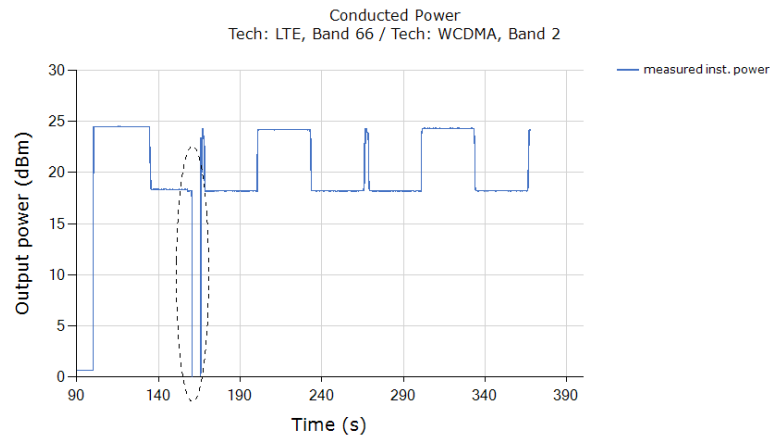
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.637
Validated	

The test result validated the continuity of power limiting in DSI switch scenario.

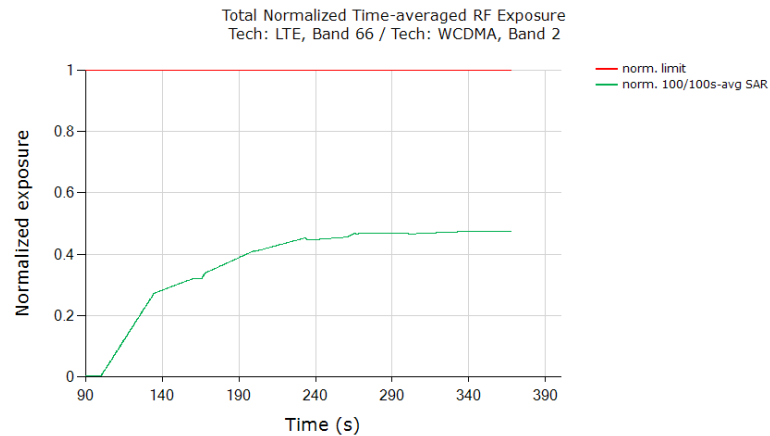
5.5 Change in technology/band test results

This test was conducted with callbox requesting maximum power, and with antenna & technology switch from LTE 66, DSI = 5 to WCDMA B2, DSI = 5. Following procedure detailed in Section 3.3.3, and using the measurement setup shown in Figure 6-1(a) and (c), the technology/band switch was performed when the EUT is transmitting at $P_{reserve}$ level as shown in the plot below (dotted black region).

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power changed from LTE 66, DSI =5 $P_{reserve}$ level to WCDMA 2, DSI = 5



Plot 2: All the time-averaged conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (6a), (6b) and (6c), and plotted below to demonstrate that the time-averaged normalized exposure versus time does not exceed the normalized FCC limit of 1.0:



Exposure Ratio	
FCC normalized Exposure Ratio limit	1.0
Max 100s-time averaged normalized Exposure Ratio (green curve)	0.476
Validated	

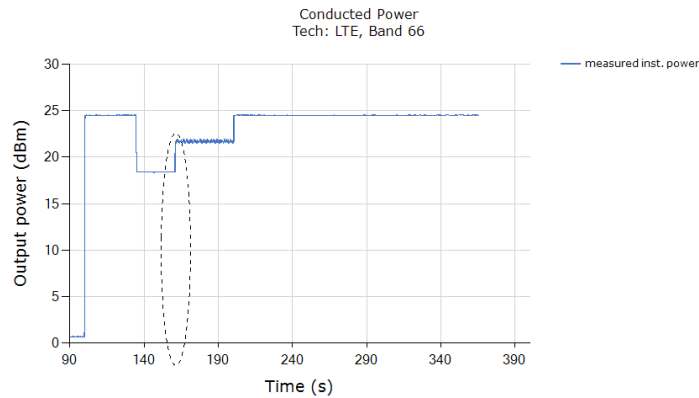
The test result validated the continuity of power limiting in technology/band switch scenario.

5.6 Change in DSI test results

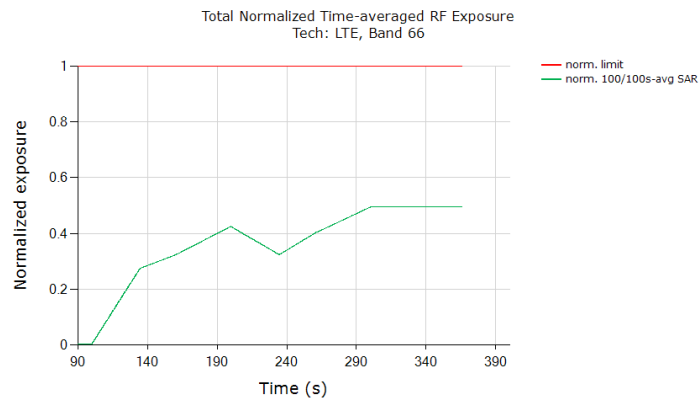
This test was conducted with callbox requesting maximum power, and with DSI switch from LTE B66 DSI = 5 to DSI = 1. Following procedure detailed in Section 3.3.5 using the measurement setup shown in Figure 6-1(a) and (c), the DSI switch was performed when the EUT is transmitting at $P_{reserve}$ level as shown in the plot below (dotted black circle).

Test result for change in DSI:

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power changed when DSI = 5 switches to DSI = 1.



Plot 2: All the time-averaged conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (6a), (6b) and (6c), and plotted below to demonstrate that the time-averaged normalized Exposure versus time does not exceed the FCC limit of 1 unit.



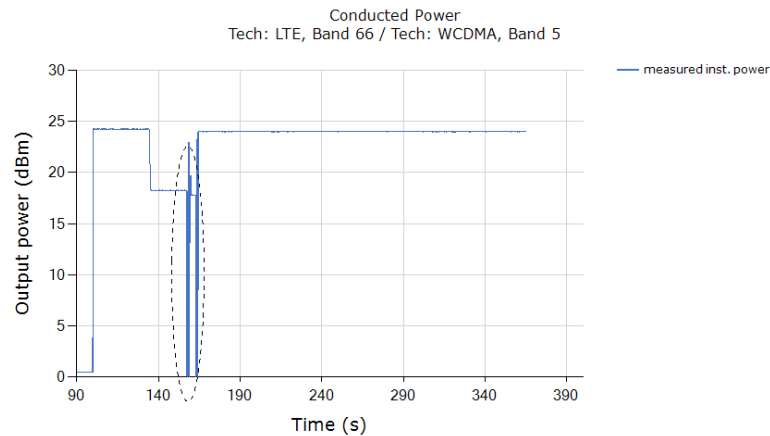
	Exposure Ratio
FCC normalized Exposure Ratio limit	1.0
Max 100s-time averaged normalized Exposure Ratio (green curve)	0.496
Validated	

The test result validated the continuity of power limiting in DSI switch scenario.

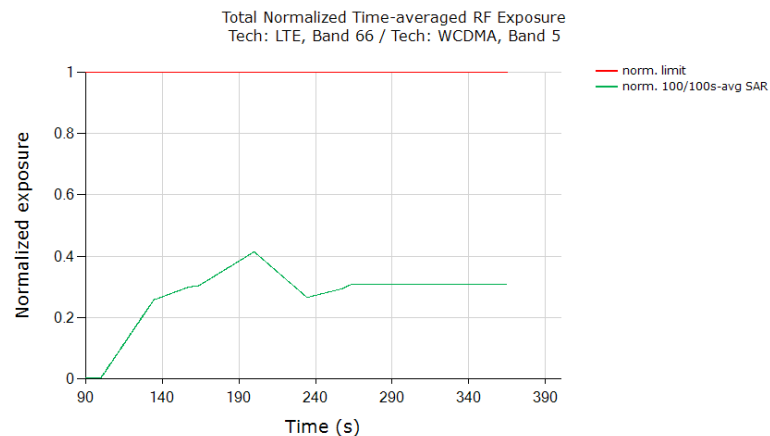
5.7 Change in antenna test results

This test was conducted with callbox requesting maximum power, and with antenna switch from LTE B66, DSI = 5 to WCDMA B5, DSI = 5. Following procedure detailed in Section 3.3.4, and using the measurement setup shown in Figure 6-1(a) and (c), the technology/band switch was performed when the EUT is transmitting at $P_{reserve}$ level as shown in the plot below (dotted black region).

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power changed from LTE B66, DSI =5 $P_{reserve}$ level to WCDMA B5, DSI = 5



Plot 2: All the time-averaged conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (6a), (6b) and (6c), and plotted below to demonstrate that the time-averaged normalized exposure versus time does not exceed the normalized FCC limit of 1.0:

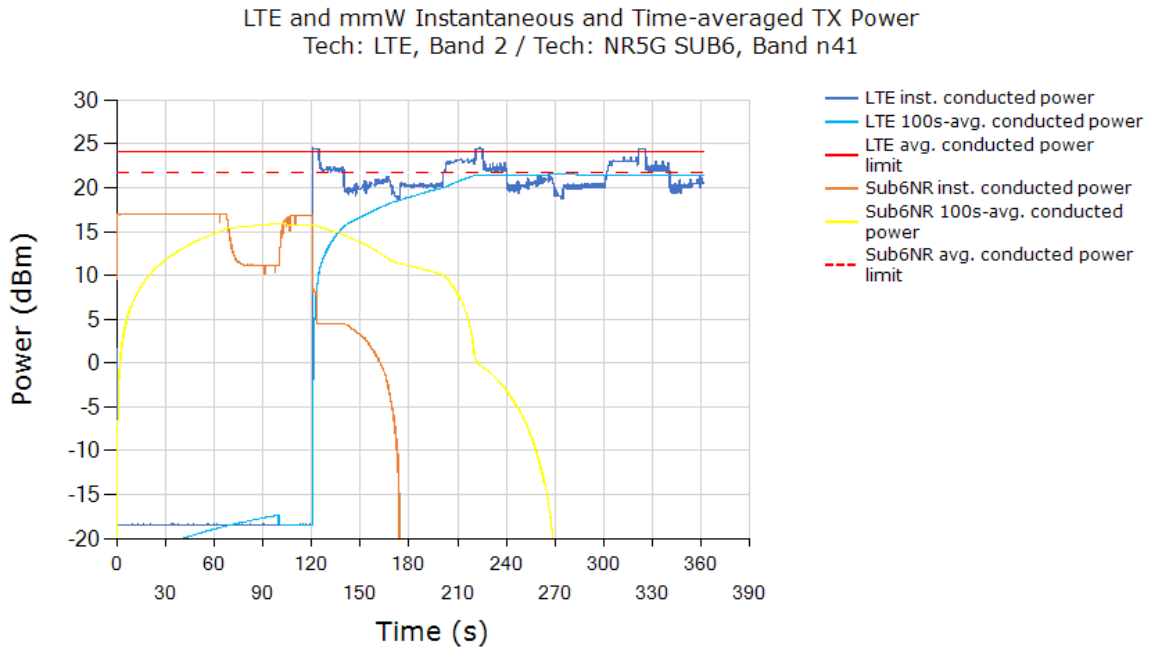


	Exposure Ratio
FCC normalized Exposure Ratio limit	1.0
Max 100s-time averaged normalized Exposure Ratio (green curve)	0.415
Validated	

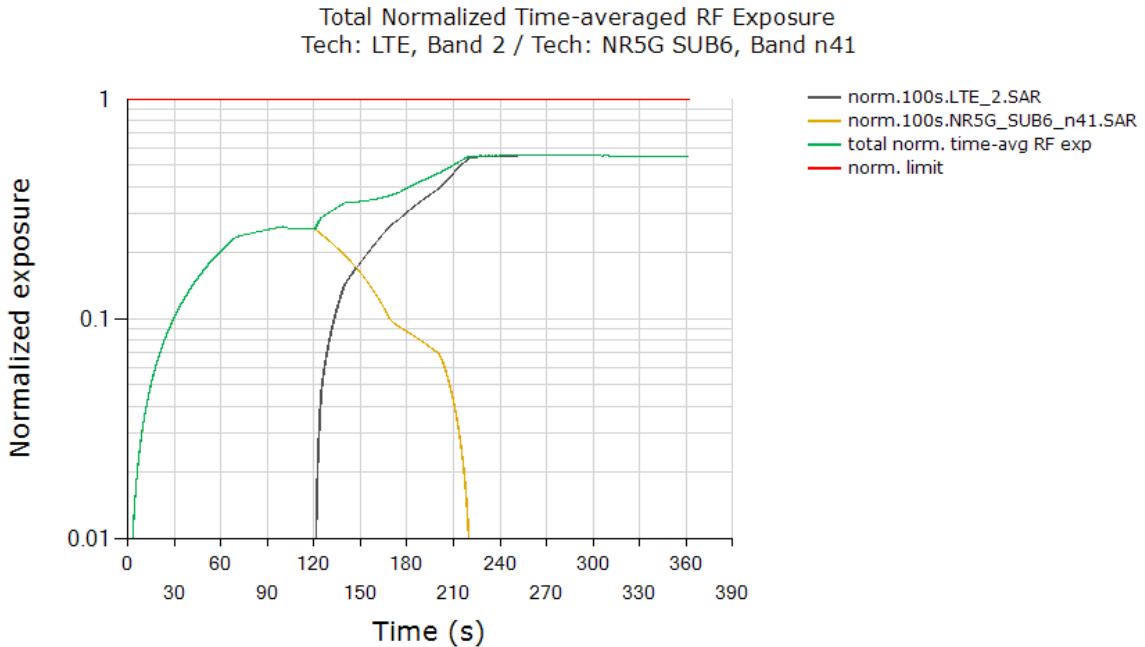
The test result validated the continuity of power limiting in technology/band switch scenario.

5.8 Switch in SAR exposure test results (EN-DC Combination)

This test was conducted with callbox requesting maximum power, and with the EUT in LTE 2 + Sub6 NR Band 41 call. Following procedure detailed in Section 3.3.6 and Appendix B.2, and using the measurement setup shown in Figure 5-1, the SAR exposure switch measurement is performed with the EUT in various SAR exposure scenarios.



Plot 2: All the conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (6a), (6b) and (6c), and plotted below to demonstrate that the time-averaged normalized SAR versus time does not exceed the FCC limit of 1 unit. Equation (6a) is used to convert the LTE Tx power of device to obtain 100s-averaged normalized SAR in LTE B2 as shown in black curve. Similarly, equation (6b) is used to obtain 100s-averaged normalized SAR in Sub6 NR n41 as shown in orange curve. Equation (6c) is used to obtain total time-averaged normalized SAR as shown in green curve (i.e., sum of black and orange curves).



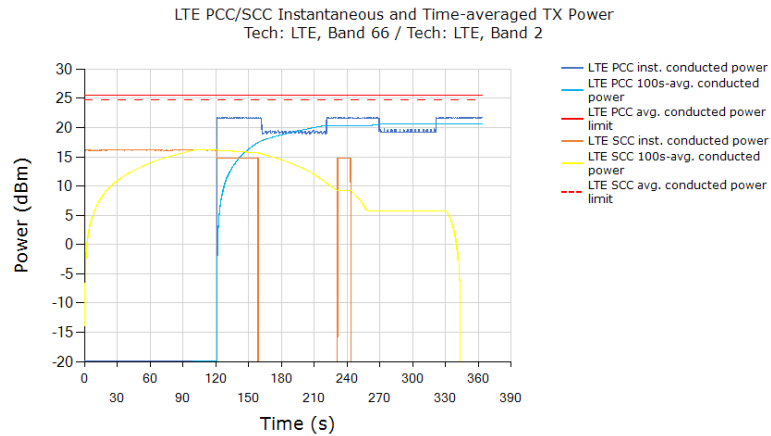
	Exposure Ratio
FCC normalized Exposure Ratio limit	1.0
Max time averaged normalized Exposure Ratio (green curve)	0.557
Validated	

Plot Notes:

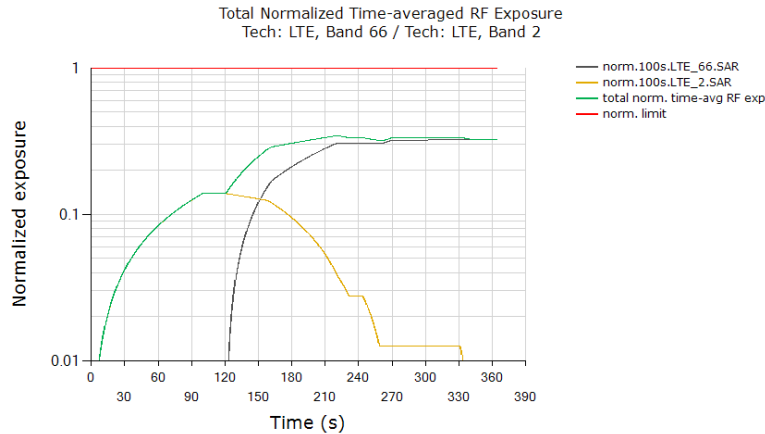
Device starts predominantly in Sub6 NR SAR exposure scenario between 5s and 120s, and in LTE SAR + Sub6 NR SAR exposure scenario between 120s and 175s, and in predominantly in LTE SAR exposure scenario after t=175s. Here, Smart Transmit allocates a maximum of 75% of exposure margin (based on 3dB reserve margin setting) for Sub6 NR. This corresponds to a normalized 1gSAR exposure value = $75\% \times 0.484\text{W/kg}$ measured SAR at Sub6 NR Plimit / 1.6W/kg limit = $0.227 + "+1.0\text{dB} \sim -1.0\text{dB}"$ device related uncertainty (see orange curve between 5s~120s). For predominantly LTE SAR exposure scenario, maximum normalized 1gSAR exposure should correspond to 100% exposure margin = 0.846W/kg measured SAR at LTE Plimit / 1.6W/kg limit = $0.529 + "+1.0\text{dB} \sim -1.0\text{dB}"$ device related uncertainty (see black curve after t = 175s). Additionally, in SAR exposure switch test, at all times the total time-averaged normalized RF exposure (green curve) should not exceed normalized SAR_design_target + 1.0dB device uncertainty. In this test, with a maximum normalized SAR of 0.557 being $\leq 0.79 (= 1.01/1.6 + 1.0\text{dB}$ device uncertainty), the above test result validated the continuity of power limiting in SAR exposure switch scenario.

5.9 Switch in SAR exposure test results (LTE Inter-Band Uplink CA)

This test was conducted with callbox requesting maximum power, and with the EUT in LTE Inter-band uplink CA LTE 66 PCC + LTE Band 2 SCC. Following procedure detailed in Section 3.3.6 and Appendix C, and using the measurement setup shown in Figure 5-1, the SAR exposure switch measurement is performed with the EUT in various SAR exposure scenarios.



Plot 2: All the conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (6a), (6b) and (6c), and plotted below to demonstrate that the time-averaged normalized SAR versus time does not exceed the FCC limit of 1 unit. Equation (6a) is used to convert the LTE Tx power of device to obtain 100s-averaged normalized SAR in LTE B66 PCC as shown in black curve. Similarly, equation (6b) is used to obtain 100s-averaged normalized SAR in LTE Band 2 SCC as shown in orange curve. Equation (6c) is used to obtain total time-averaged normalized SAR as shown in green curve (i.e., sum of black and orange curves).



	Exposure Ratio
FCC normalized Exposure Ratio limit	1.0
Max time averaged normalized Exposure Ratio (green curve)	0.345
Validated	

Plot Notes:

Device starts predominantly in SCC SAR exposure scenario between 0s and 120s, and in PCC SAR + SCC SAR exposure scenario between 120s and 240s, and in predominantly in PCC SAR exposure scenario after t=240s. Between 0s and 120s, PCC is at low power, however, SCC Tx power leakage (~30dB lower) due to filter/directional coupler characteristics in the measurement setup is shown as PCC power in the plot. Similarly, PCC leakage is shown as SCC power after t=240s. For predominantly PCC SAR exposure scenario, maximum normalized 1gSAR exposure should correspond to 100% exposure margin = 0.635W/kg measured SAR at $\text{LTE } P_{\text{limit}} / 1.6\text{W/kg limit} = 0.397 \pm 1\text{dB}$ device related uncertainty (see black curve after t = 240s).

Additionally, in SAR exposure switch test, at all times the total time-averaged normalized RF exposure (green curve) should not exceed normalized $\text{SAR}_{\text{design_target}} + 1\text{dB}$ device uncertainty. In this test, with a maximum normalized SAR of **0.345** being ≤ 0.79 ($= 1.01/1.6 + 1\text{Db}$ device uncertainty), the above test result validated the continuity of power limiting in SAR exposure switch scenario.



6 SAR Test Results for Sub-6 Smart Transmit Feature Validation

6.1 Measurement setup

The measurement setup in Figure 6-1 is similar to normal SAR measurements (see Appendix F). The difference in SAR measurement setup for time averaging feature validation is that the callbox is signaling in close loop power control mode (instead of requesting maximum power in open loop control mode) and callbox is connected to the PC using GPIB so that the test script executed on PC can send GPIB commands to control the callbox's requested power over time (test sequence). The same test script used in conducted setup for time-varying Tx power measurements is also used in this section for running the test sequences during SAR measurements, and the recorded values from the disconnected power meter by the test script were discarded.

As mentioned in Section 3.4, for EUT to follow TPC command sent from the callbox wirelessly, the "path loss" between callbox antenna and the EUT needs to be very well calibrated. Since the SAR chamber is in uncontrolled environment, precautions must be taken to minimize the environmental influences on "path loss". Similarly, in the case of time-varying SAR measurements in Sub6 NR (with LTE as anchor), "path loss" between callbox antenna and the EUT needs to be carefully calibrated for both LTE link as well as for Sub6 NR link.

The EUT is placed in worst-case position according to Table 4-2.

6.2 SAR measurement results for time-varying Tx power transmission scenario

Following Section 3.4 procedure, time-averaged SAR measurements are conducted using EX3DV4 probe at peak location of area scan over 500 seconds. cDASY6 system verification for SAR measurement is provided in Appendix D, and the associated SPEAG certificates are attached in Appendix E.

SAR probe integration times depend on the communication signal being tested. Integration times used by SPEAG for their probe calibrations can be downloaded from here (integration time is listed on the bottom of the first page for each tech):

<https://www.speag.com/assets/downloads/services/cs/UIDSummary171205.pdf>

Since the sampling rate used by cDASY6 for pointSAR measurements is not in user control, the number of points in 100s or 60s interval is determined from the scan duration setting in cDASY6 time-average pointSAR measurement by (100s or 60s / cDASY6_scan_duration * total number of pointSAR values recorded). Running average is performed over these number of points in excel spreadsheet to obtain 100s-/60s-averaged pointSAR.

Following Section 3.4, for each of selected technology/band (listed in Table 4-2):

7. With *Reserve_power_margin* set to 0 dB, area scan is performed at P_{limit} , and time-averaged pointSAR measurements are conducted to determine the pointSAR at P_{limit} at peak location, denoted as $pointSAR_{P_{limit}}$.
8. With *Reserve_power_margin* set to actual (intended) value, two more time-averaged pointSAR measurements are performed at the same peak location for test sequences 1 and 2.

To demonstrate compliance, all the pointSAR measurement results were converted into 1gSAR or 10gSAR values by using Equation (3a), rewritten below:

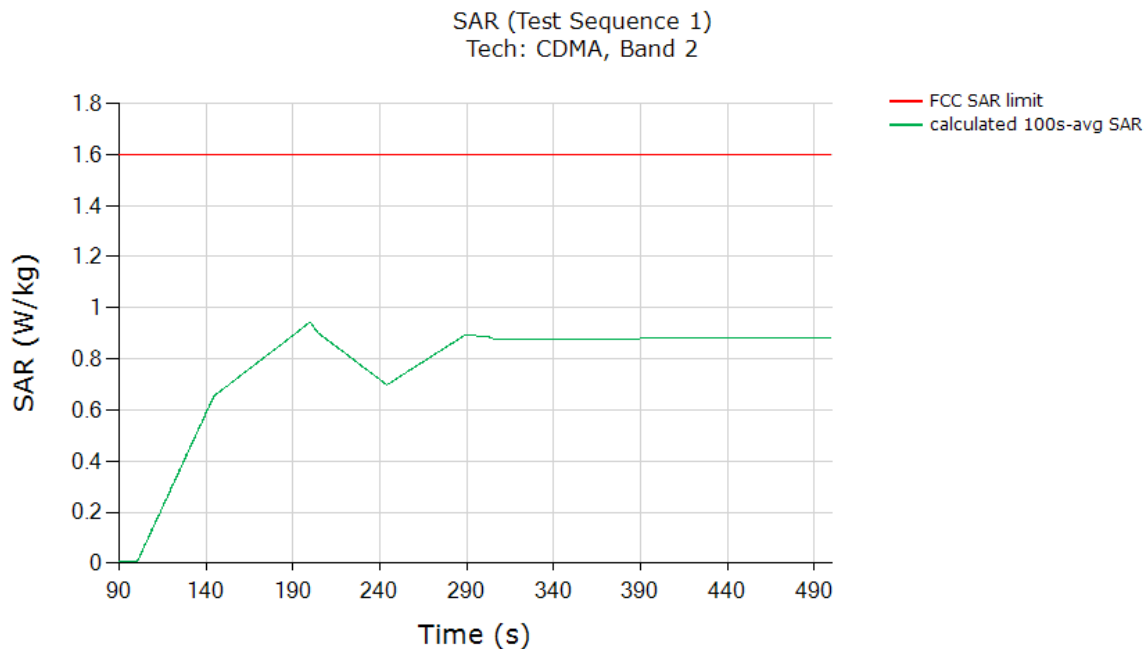
$$1g_or_10gSAR(t) = \frac{pointSAR(t)}{pointSAR_{P_{limit}}} * 1g_or_10gSAR_{P_{limit}} \quad (3a)$$

where, $pointSAR(t)$, $pointSAR_{P_{limit}}$, and $1g_or_10gSAR_{P_{limit}}$ correspond to the measured instantaneous point SAR, measured point SAR at P_{limit} from above step 1 and 2, and measured 1gSAR or 10gSAR values at P_{limit} obtained from Part 1 report and listed in Table 4-2 in Section 4.1 of this report.

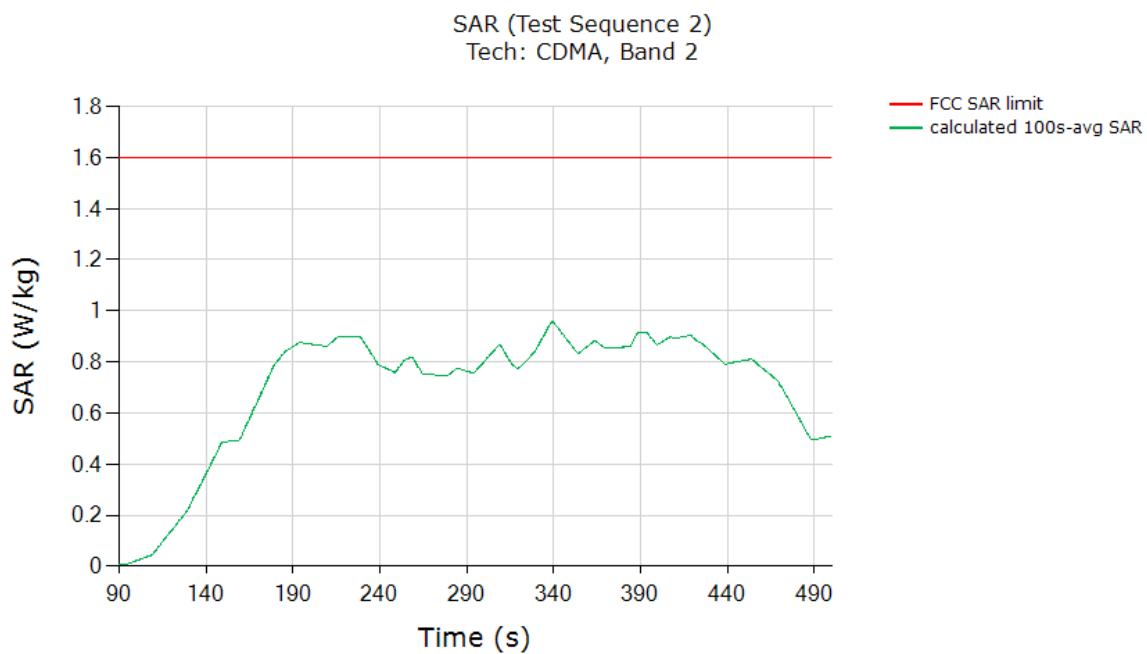


6.2.1 CDMA Band BC1 SAR test results

SAR test results for test sequence 1:



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.943
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1.0dB device uncertainty	

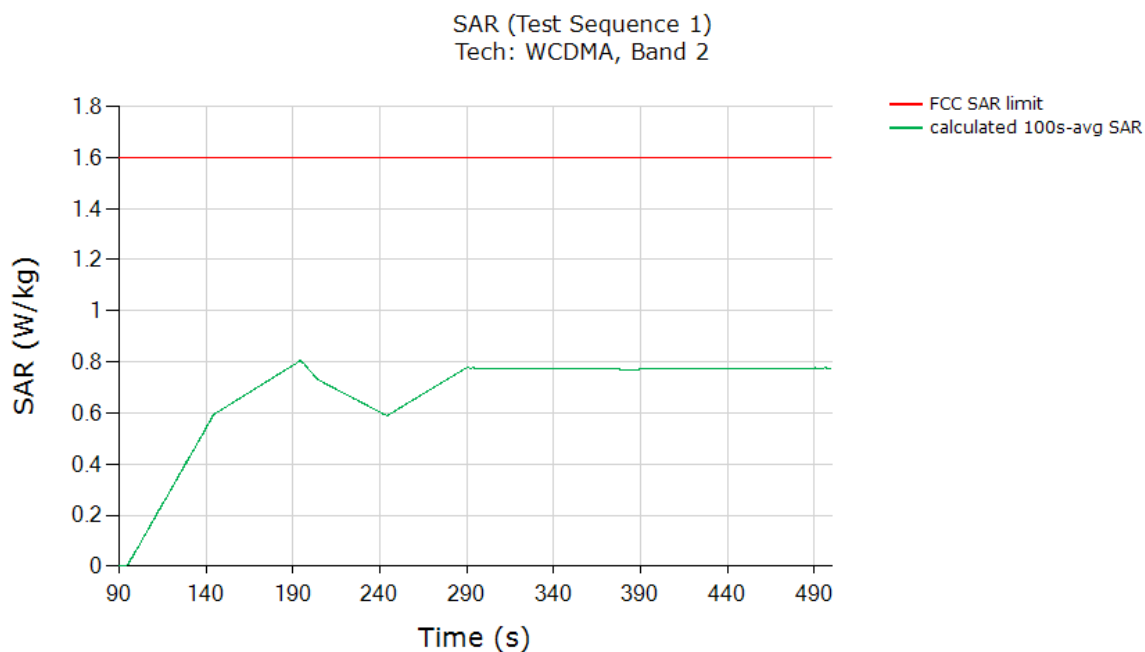
SAR test results for test sequence 2:

	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.958
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1.0dB device uncertainty	



6.2.2 WCDMA Band 2 SAR test results

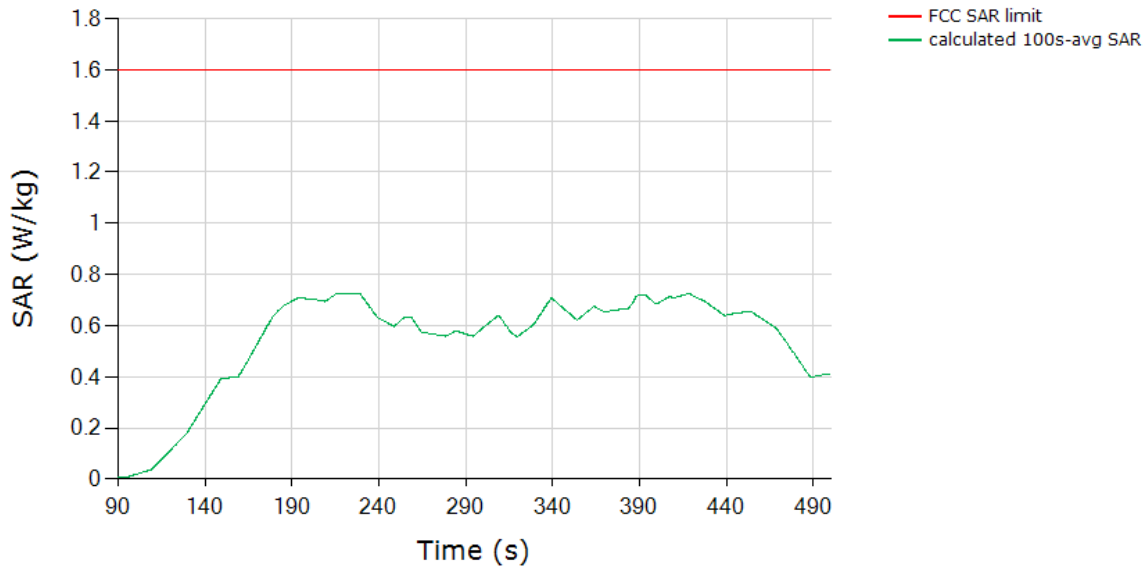
SAR test results for test sequence 1:



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.805
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1.0dB device uncertainty	

SAR test results for test sequence 2:

SAR (Test Sequence 2)
Tech: WCDMA, Band 2

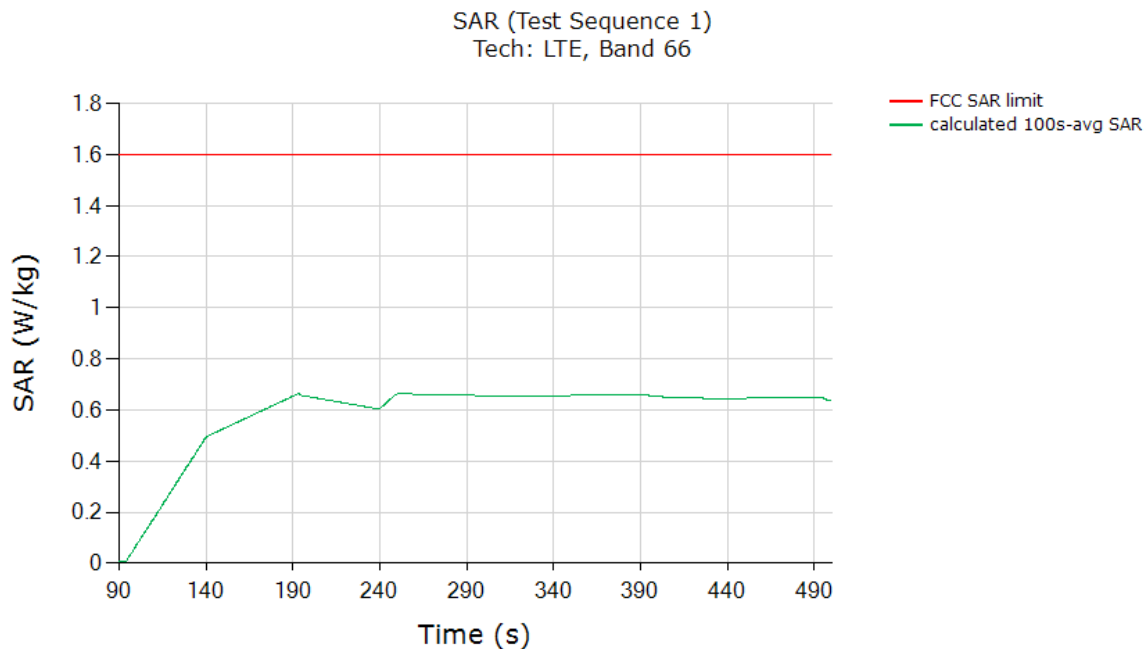


	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.727
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1.0dB device uncertainty	

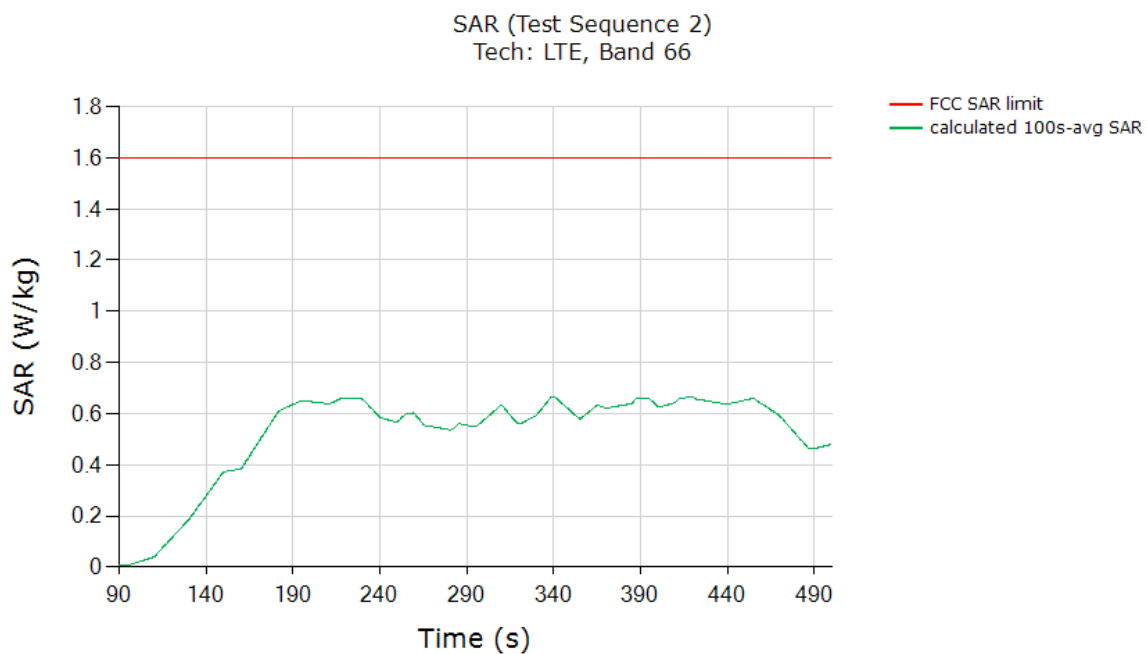


6.2.3 LTE Band 66 SAR test results

SAR test results for test sequence 1:



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.663
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1.0dB device uncertainty	

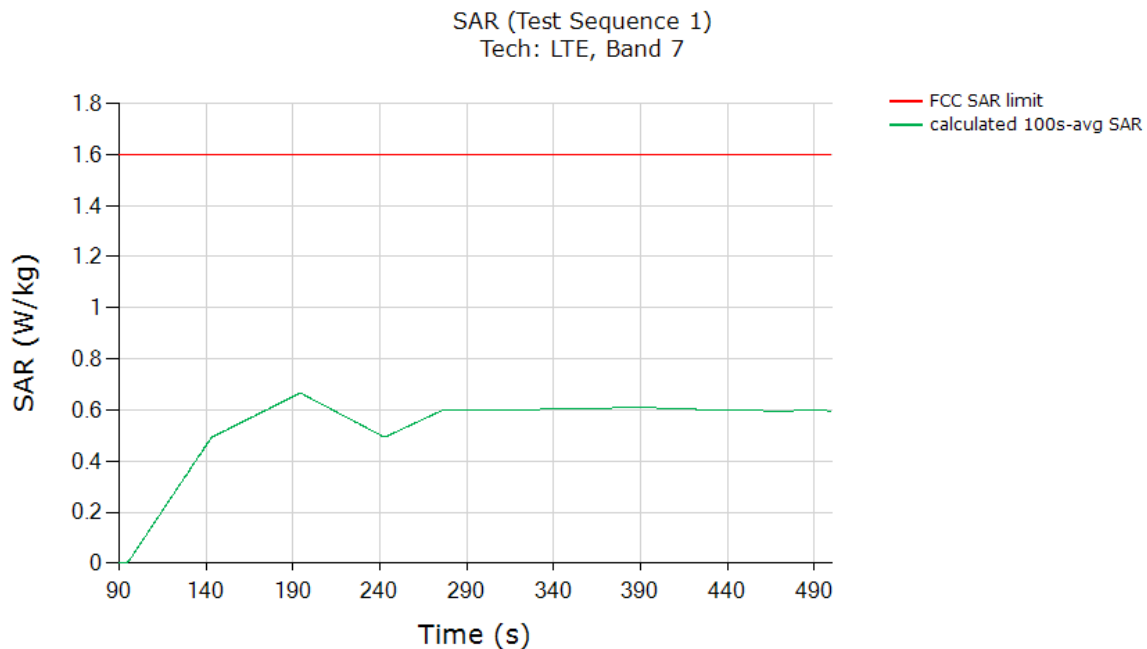
SAR test results for test sequence 2:

	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.667
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1.0dB device uncertainty	

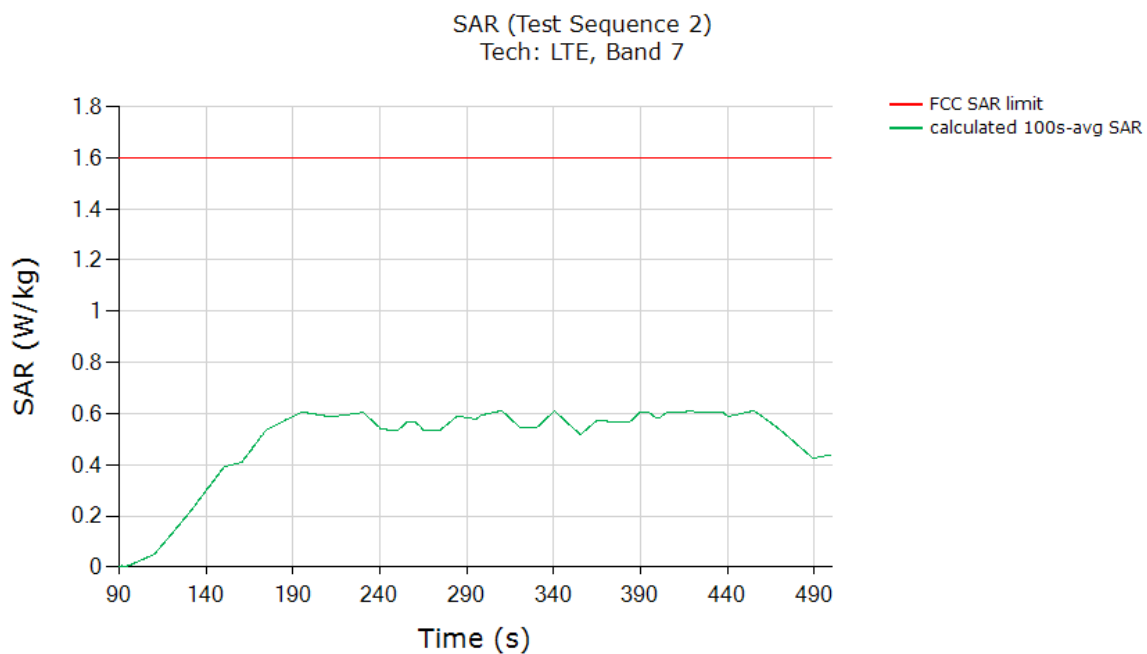


6.2.4 LTE Band 7 SAR test results

SAR test results for test sequence 1:



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.667
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1.0dB device uncertainty	

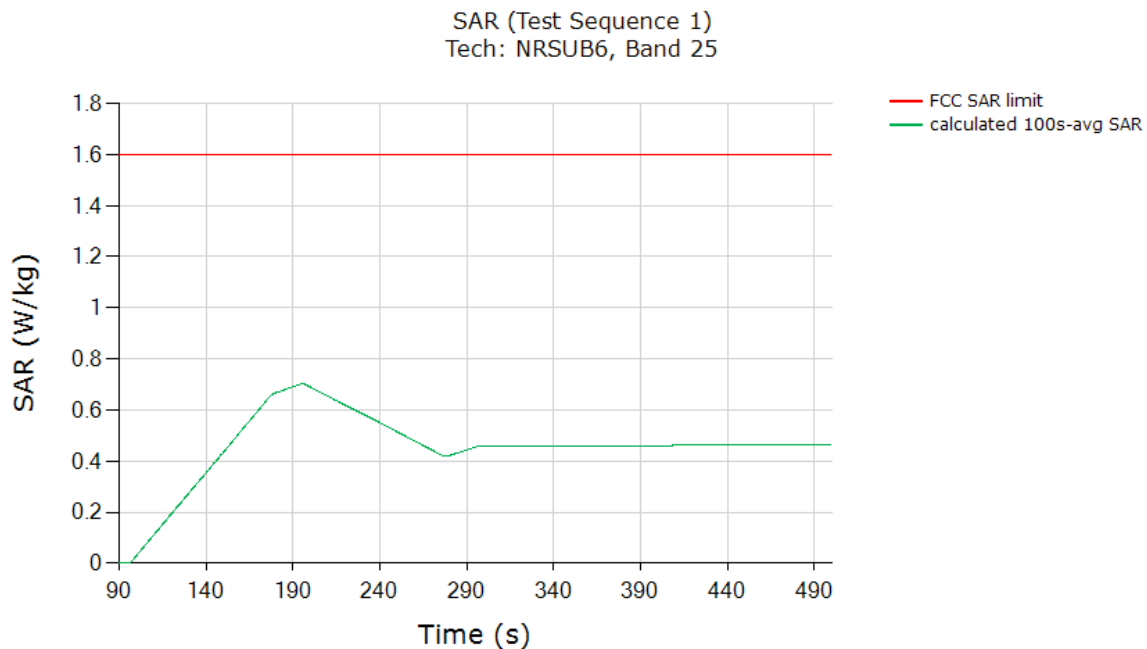
SAR test results for test sequence 2:

	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.611
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1.0dB device uncertainty	

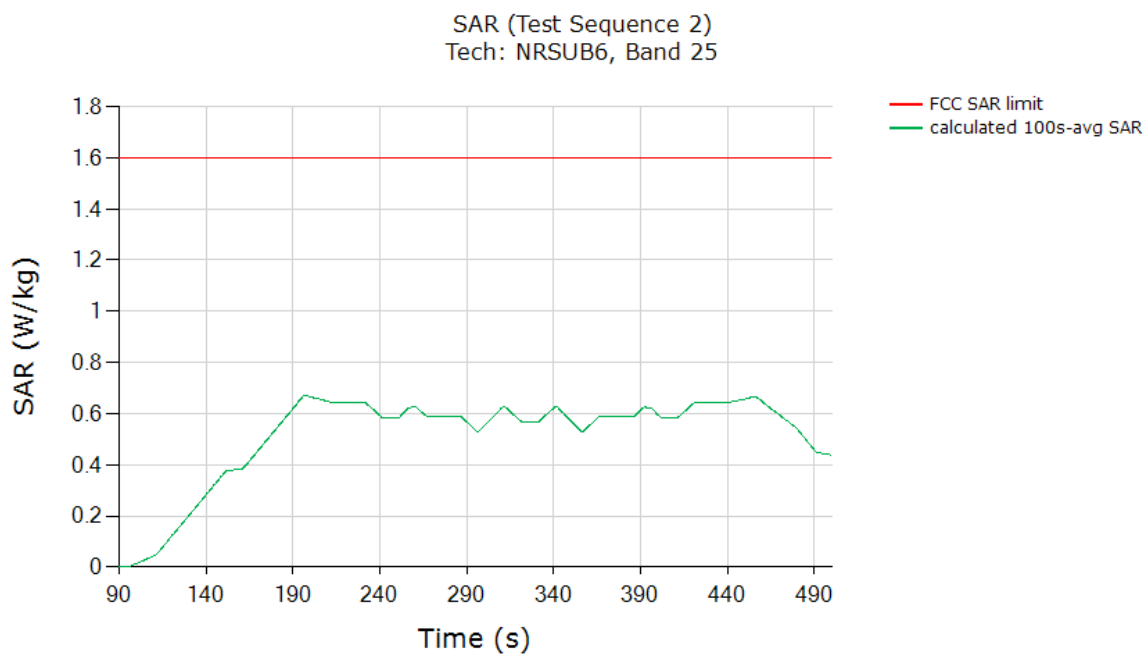


6.2.5 Sub6 NR Band 25 SAR test results

SAR test results for test sequence 1:



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.704
Validated: Max time averaged SAR (green curve) does not exceed "75% (with 3dB Reserve_Power_Margin) of measured SAR at Plimit, +1.0dB device uncertainty"	

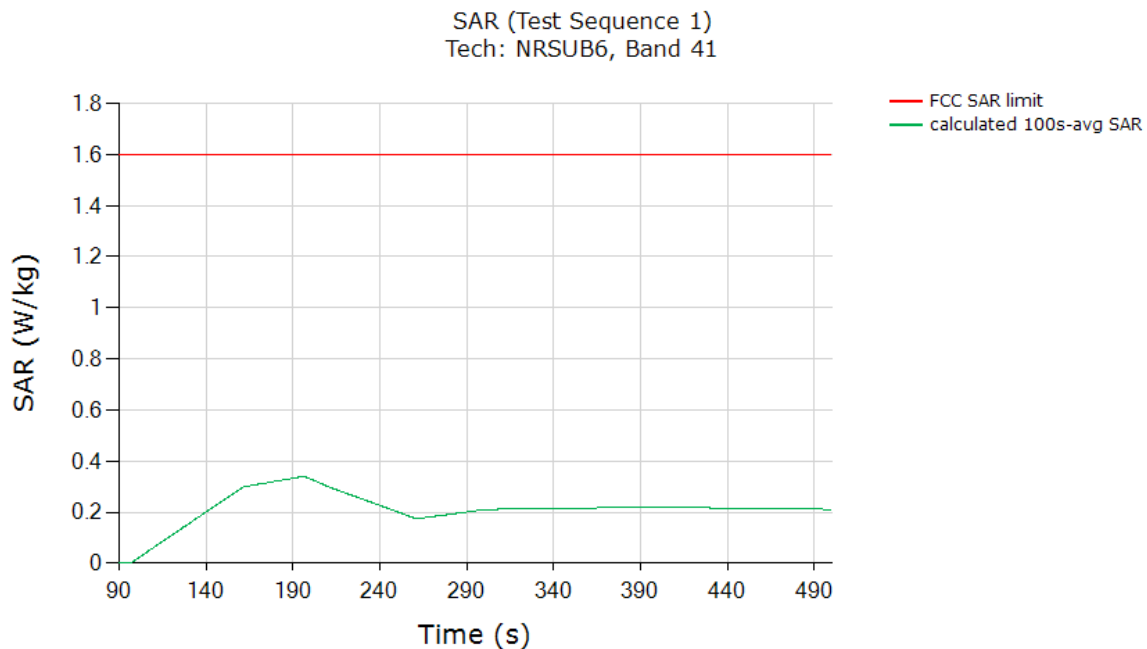
SAR test results for test sequence 2:

	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.671
Validated: Max time averaged SAR (green curve) is within +1.0 ~ -1.0dB device uncertainty of 75% (with 3dB Reserve_Power_Margin) of measured SAR at P_{limit}	

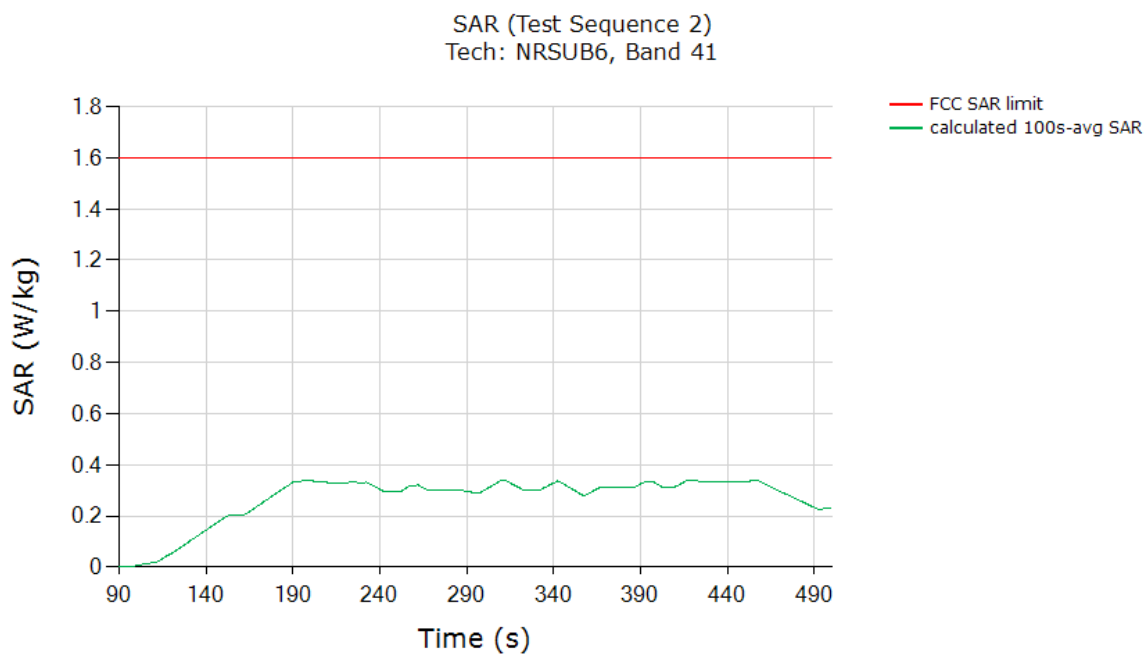


6.2.6 Sub6 NR Band 41 SAR test results

SAR test results for test sequence 1:



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.340
Validated: Max time averaged SAR (green curve) does not exceed "75% (with 3dB Reserve_Power_Margin) of measured SAR at Plimit, +1.0dB device uncertainty"	

SAR test results for test sequence 2:

	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.338
Validated: Max time averaged SAR (green curve) is within +1.0 ~ -1.0dB device uncertainty of 75% (with 3dB Reserve_Power_Margin) of measured SAR at P_{limit}	



7 Conclusions

Qualcomm Smart Transmit feature employed in ZNFG900UM has been validated through the conducted/radiated power measurement (as demonstrated in Chapters 5 and 7).

As demonstrated in this report, the power limiting enforcement is effective and the total normalized time-averaged RF exposure does not exceed 1.0 for all the transmission scenarios described in Section 2. Therefore, the EUT complies with FCC RF exposure requirement

Appendix A. Test Sequences

1. Test sequence is generated based on below parameters of the EUT:
 - a. Measured maximum power (P_{max})
 - b. Measured Tx_power_at_SAR_design_target (P_{limit})
 - c. Reserve_power_margin (dB)
 - $P_{reserve} \text{ (dBm)} = \text{measured } P_{limit} \text{ (dBm)} - \text{Reserve_power_margin (dB)}$
 - d. SAR_time_window (100s for FCC)
2. Test Sequence 1 Waveform:

Based on the parameters above, the Test Sequence 1 is generated with one transition between high and low Tx powers. Here, high power = P_{max} ; low power = $P_{max}/2$, and the transition occurs after 80 seconds at high power P_{max} . As long as the power enforcement is taking into effective during one 100s/60s time window, the validation test with this defined test sequence 1 is valid, otherwise, select other radio configuration (band/DSI within the same technology group) having lower P_{limit} for this test. The Test sequence 1 waveform is shown below:

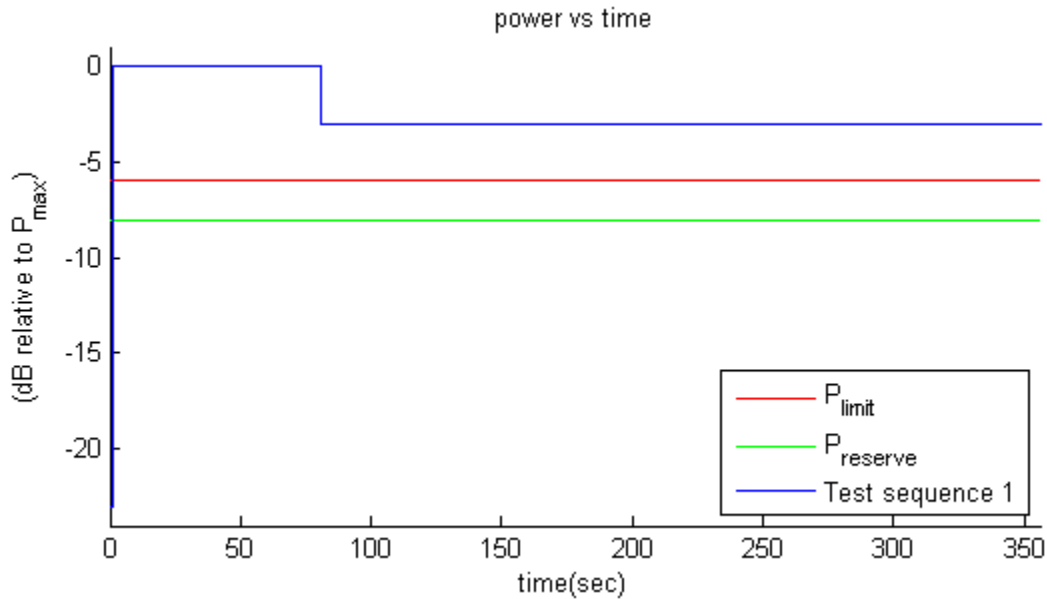


Figure 0-1 Test sequence 1 waveform



3. Test Sequence 2 Waveform:

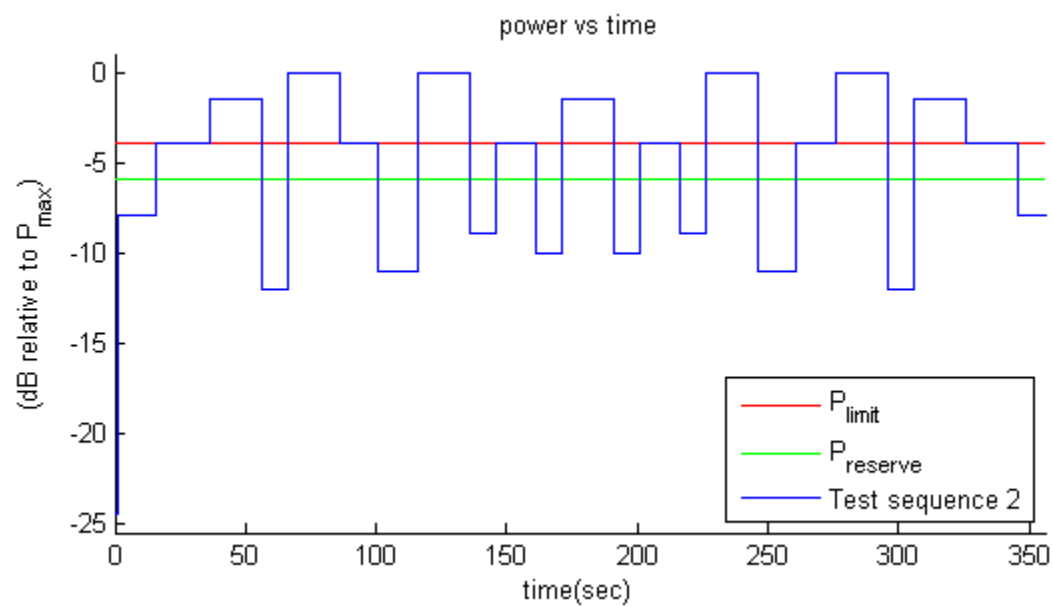
Based on the parameters in A-1, the Test Sequence 2 is generated as described in Table 10-1, which contains two 170 second-long sequences (yellow and green highlighted rows) that are mirrored around the center row of 20s, resulting in a total duration of 360 seconds:

Table 0-1 Test Sequence 2

Time duration (seconds)	dB relative to P_{limit} or $P_{reserve}$
15	$P_{reserve} - 2$
20	P_{limit}
20	$(P_{limit} + P_{max})/2$ averaged in mW and rounded to nearest 0.1 dB step
10	$P_{reserve} - 6$
20	P_{max}
15	P_{limit}
15	$P_{reserve} - 5$
20	P_{max}
10	$P_{reserve} - 3$
15	P_{limit}
10	$P_{reserve} - 4$
20	$(P_{limit} + P_{max})/2$ averaged in mW and rounded to nearest 0.1 dB step
10	$P_{reserve} - 4$
15	P_{limit}
10	$P_{reserve} - 3$
20	P_{max}
15	$P_{reserve} - 5$
15	P_{limit}
20	P_{max}
10	$P_{reserve} - 6$
20	$(P_{limit} + P_{max})/2$ averaged in mW and rounded to nearest 0.1 dB step
20	P_{limit}
15	$P_{reserve} - 2$



The Test Sequence 2 waveform is shown in Figure A-2.





Appendix B. Test Procedures for sub6 NR + LTE Radio

Appendix B provides the test procedures for validating Qualcomm Smart Transmit feature for LTE + Sub6 NR non-standalone (NSA) mode transmission scenario, where sub-6GHz LTE link acts as an anchor.

1 Time-varying Tx power test for sub6 NR in NSA mode

Follows Section 3.2.1 to select test configurations for time-varying test. This test is performed with two pre-defined test sequences (described in Section 3.1) applied to Sub6 NR (with LTE on all-down bits or low power for the entire test after establishing the LTE+Sub6 NR call with the callbox). Follow the test procedures described in Section 3.3.1 to demonstrate the effectiveness of power limiting enforcement and that the time averaged Tx power of Sub6 NR when converted into 1gSAR values does not exceed the regulatory limit at all times (see Eq. (1a) and (1b)). Sub6 NR response to test sequence1 and test sequence2 will be similar to other technologies (say, LTE), and are shown in Sections 6.3.7 and 6.3.8.

2 Switch in SAR exposure between LTE vs. Sub6 NR during transmission

This test is to demonstrate that Smart Transmit feature accurately accounts for switching in exposures among SAR for LTE radio only, SAR from both LTE radio and sub6 NR, and SAR from sub6 NR only scenarios, and ensures total time-averaged RF exposure compliance with FCC limit.

Test procedure:

1. Measure conducted Tx power corresponding to P_{limit} for LTE and sub6 NR in selected band. Test condition to measure conducted P_{limit} is:
 - Establish device in call with the callbox for LTE in desired band. Measure conducted Tx power corresponding to LTE P_{limit} with Smart Transmit enabled and Reserve_power_margin set to 0 dB, callbox set to request maximum power.
 - Repeat above step to measure conducted Tx power corresponding to Sub6 NR P_{limit} . If testing LTE+Sub6 NR in non-standalone mode, then establish LTE+Sub6 NR call with callbox and request all down bits for radio1 LTE. In this scenario, with callbox requesting maximum power from Sub6 NR, measured conducted Tx power corresponds to radio2 P_{limit} (as radio1 LTE is at all-down bits)
2. Set Reserve_power_margin to actual (intended) value with EUT setup for LTE + Sub6 NR call. First, establish LTE connection in all-up bits with the callbox, and then Sub6 NR connection is added with callbox requesting UE to transmit at maximum power in Sub6 NR. As soon as the Sub6 NR connection is established, request all-down bits on LTE link (otherwise, Sub6 NR will not have sufficient RF exposure margin to sustain the call with LTE in all-up bits). Continue LTE (all-down bits)+Sub6



- NR transmission for more than one time-window duration to test predominantly Sub6 NR SAR exposure scenario (as SAR exposure is negligible from all-down bits in LTE). After at least one time-window, request LTE to go all-up bits to test LTE SAR and Sub6 NR SAR exposure scenario. After at least one more time-window, drop (or request all-down bits) Sub6 NR transmission to test predominantly LTE SAR exposure scenario. Continue the test for at least one more time-window. Record the conducted Tx powers for both LTE and Sub6 NR for the entire duration of this test.
3. Once the measurement is done, extract instantaneous Tx power versus time for both LTE and Sub6 NR links. Similar to technology/band switch test in Section 3.3.3, convert the conducted Tx power for both these radios into 1gSAR value (see Eq. (6a) and (6b)) using corresponding technology/band P_{limit} measured in Step 1, and then perform 100s running average to determine time-averaged 1gSAR versus time as illustrated in Figure 3-1.
 4. Make one plot containing: (a) instantaneous Tx power versus time measured in Step 2.
 5. Make another plot containing: (a) instantaneous 1gSAR versus time determined in Step 3, (b) computed time-averaged 1gSAR versus time determined in Step 3, and (c) corresponding regulatory $1gSAR_{limit}$ of 1.6W/kg.

The validation criteria is, at all times, the time-averaged 1gSAR versus time shall not exceed the regulatory $1gSAR_{limit}$ of 1.6W/kg.



Appendix C. Test Procedures for inter-band UL CA

Appendix C provides the test procedures for validating Qualcomm Smart Transmit feature for Switch in SAR exposure between PCC vs. SCC during inter-band ULCA transmission mode transmission scenario.

1 Switch in SAR exposure between PCC vs. SCC during inter-band ULCA transmission

This test is to demonstrate that Smart Transmit feature accurately accounts for switching in exposures among SAR for PCC radio only, SAR from both PCC & SCC radios, and SAR from SCC radio only scenarios, and ensures total time-averaged RF exposure compliance with FCC limit.

Test procedure:

1. Measure *Plimit* for PCC and SCC in selected band. Test condition to measure conducted *Plimit* is:
 - Establish a LTE call with single active Tx in desired PCC band. Measure conducted Tx power corresponding to LTE *Plimit* with Smart Transmit enabled and *Reserve_power_margin* set to 0 dB, callbox set to request maximum power.
 - Repeat above step to measure *Plimit* corresponding to LTE SCC band under single active Tx scenario.
2. Set *Reserve_power_margin* to actual (intended) value, with EUT setup for interband ULCA call. First, establish interband ULCA connection with the callbox, and as soon as the connection is established, request all-down bits (or low power) on PCC link and then request UE to transmit at maximum power in SCC link. Continue PCC (all-down bits)+SCC transmission for more than one time-window duration to test predominantly SCC SAR exposure scenario (as SAR exposure from PCC is negligible from all-down bits). After at least one time-window, request PCC to go all-up bits to test PCC SAR and SCC SAR exposure scenario. After at least one more time-window, drop (or request all-down bits) SCC transmission to test predominantly PCC SAR exposure scenario. Continue the test for at least one more time-window. Record the conducted Tx powers for both PCC and SCC for the entire duration of this test.
3. Once the measurement is done, extract instantaneous Tx power versus time for both PCC and SCC links. Similar to technology/band switch test in Section 3.3.3, convert the conducted Tx power for both these radios into *1g_or_10gSAR* value (see Eq. (6a) and (6b)) using corresponding technology/band *Plimit* measured in Step 1, and then perform 100s running average to determine time-averaged *1g_or_10gSAR* versus time as illustrated in Figure 5-1. Note that here it is assumed both radios have Tx frequencies < 3GHz, otherwise,



60s running average should be performed for radios having Tx frequency between 3GHz and 6GHz.

4. Make one plot containing: (a) instantaneous Tx power versus time measured in Step 2.
5. Make another plot containing: (a) instantaneous 1gSAR versus time determined in Step 3, (b) computed time-averaged 1gSAR versus time determined in Step 3, and (c) corresponding regulatory *1g_or_10gSARlimit* limit.

The validation criteria is, at all times, the time-averaged 1g_or_10gSAR versus time shall not exceed the regulatory *1g_or_10gSARlimit* limit.

Appendix D. cDASY6 System Verification

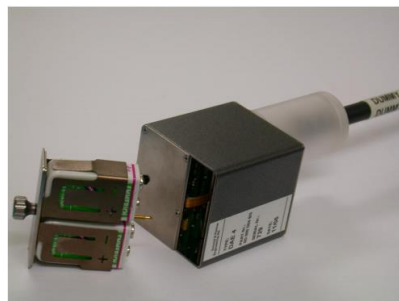
1. SAR E-Field Probe

Construction	Symmetric design with triangular core Built-in shielding against static charges PEEK enclosure material (resistant to organic solvents, e.g., DGBE)	
Frequency	10 MHz – >6 GHz Linearity: ± 0.2 dB (30 MHz – 6 GHz)	
Directivity	± 0.3 dB in TSL (rotation around probe axis) ± 0.5 dB in TSL (rotation normal to probe axis)	
Dynamic Range	10 μ W/g – >100 mW/g Linearity: ± 0.2 dB (noise: typically <1 μ W/g)	
Dimensions	Overall length: 337 mm (tip: 20 mm) Tip diameter: 2.5 mm (body: 12 mm) Typical distance from probe tip to dipole centers: 1 mm	

2. Data Acquisition Electronics (DAE)

The data acquisition electronics (DAE) consists of a highly sensitive electrometer-grade preamplifier with auto-zeroing, a channel and gain-switching multiplexer, a fast 16 bit AD-converter and a command decoder and control logic unit. Transmission to the measurement server is accomplished through an optical downlink for data and status information as well as an optical uplink for commands and the clock.

The input impedance of the DAE is 200 MOhm; the inputs are symmetrical and floating. Common mode rejection is above 80 dB.





3. Test Equipment List

Manufacturer	Name of Equipment	Type/Model	Serial Number	Calibration	
				Last Cal.	Due Date
SPEAG	1750MHz System Validation Kit	D1750V2	1112	Mar. 07, 2019	Mar. 05, 2021
SPEAG	1900MHz System Validation Kit	D1900V2	5d041	Sep. 11, 2018	Sep. 09, 2020
SPEAG	2600MHz System Validation Kit	D2600V2	1008	Aug. 31, 2018	Aug. 29, 2020
SPEAG	Data Acquisition Electronics	DAE4	1399	Feb. 18, 2020	Feb. 17, 2021
SPEAG	Dosimetric E-Field Probe	EX3DV4	3931	Sep. 26, 2019	Sep. 25, 2020
Keysight	Wireless Communication Test Set	UXM	MY58300712	Jun. 27, 2019	Jun. 26, 2020
R&S	Base Station	CMW500	106366	Jun. 19, 2019	Jun. 18, 2020
R&S	Power Sensor	NRP50S	100983	Aug. 09, 2019	Aug. 08, 2020
R&S	Power Sensor	NRP8S	103999	Jan. 13, 2020	Jan. 12, 2021
RCPTWN	Thermometer	HTC-1	TM685-1	Nov. 12, 2019	Nov. 11, 2020
Testo	Hygro meter	608-H1	34893240	Nov. 07, 2019	Nov. 06, 2020
Anritsu	Power Meter	ML2495A	1036004	Aug. 08, 2019	Aug. 07, 2020
Anritsu	Power Sensor	MA2411B	1027253	Aug. 08, 2019	Aug. 07, 2020
Mini-Circuits	Power Amplifier	ZVE-8G+	6382	Aug. 12, 2019	Aug. 11, 2020
Mini-Circuits	Power Amplifier	ZHL-42W+	321501827	Aug. 12, 2019	Aug. 11, 2020
Agilent	Spectrum Analyzer	N9010A	MY54200486	Oct. 28, 2019	Oct. 27, 2020
Rohde & Schwarz	Signal Generator	SMB100A	101107	Aug. 27, 2019	Aug. 26, 2020
ATM	500M-18GHz Dual Directional Coupler	C122H-10	P610410z-02	Note 1	
Woken	Attenuator 1	WK0602-XX	N/A	Note 1	
PE	Attenuator 2	PE7005-10	N/A	Note 1	
PE	Attenuator 3	PE7005- 3	N/A	Note 1	

General Note:

1. Prior to system verification and validation, the path loss from the signal generator to the system check source and the power meter, which includes the amplifier, cable, attenuator and directional coupler, was measured by the network analyzer. The reading of the power meter was offset by the path loss difference between the path to the power meter and the path to the system check source to monitor the actual power level fed to the system check source.
2. Referring to KDB 865664 D01v01r04, the dipole calibration interval can be extended to 3 years with justification. The dipoles are also not physically damaged, or repaired during the interval.
3. The justification data of dipole D1750V2, SN: 1112, D1900V2, SN: 5d041, D2600V2, SN: 1008 can be found in appendix E. The return loss is < -20dB, within 20% of prior calibration, the impedance is within 5 ohm of prior calibration.

4. SAR system verification and validation

4.1 Tissue Verification

The following tissue formulations are provided for reference only as some of the parameters have not been thoroughly verified. The composition of ingredients may be modified accordingly to achieve the desired target tissue parameters required for routine SAR evaluation.

Frequency (MHz)	Water (%)	Sugar (%)	Cellulose (%)	Salt (%)	Preventol (%)	DGBE (%)	Conductivity (σ)	Permittivity (ϵ_r)
750	41.1	57.0	0.2	1.4	0.2	0	0.89	41.9
835	40.3	57.9	0.2	1.4	0.2	0	0.90	41.5
900	40.3	57.9	0.2	1.4	0.2	0	0.97	41.5
1800, 1900, 2000	55.2	0	0	0.3	0	44.5	1.40	40.0
2450	55.0	0	0	0	0	45.0	1.80	39.2
2600	54.8	0	0	0.1	0	45.1	1.96	39.0

Simulating Liquid for 5GHz, Manufactured by SPEAG

Ingredients	(% by weight)
Water	64~78%
Mineral oil	11~18%
Emulsifiers	9~15%
Additives and Salt	2~3%

<Tissue Check Results>

Frequency (MHz)	Liquid Temp. ($^{\circ}\text{C}$)	Conductivity (σ)	Permittivity (ϵ_r)	Conductivity Target (σ)	Permittivity Target (ϵ_r)	Delta (σ) (%)	Delta (ϵ_r) (%)	Limit (%)	Date
1750	22.8	1.368	40.612	1.37	40.10	-0.15	1.28	± 5	2020/6/1
1900	22.8	1.414	39.197	1.40	40.00	1.00	-2.01	± 5	2020/6/1
2600	22.8	1.972	39.428	1.96	39.00	0.61	1.10	± 5	2020/6/1

4.2 System Verification

Comparing to the original SAR value provided by SPEAG, the verification data should be within its specification of 10 %. Below table shows the target SAR and measured SAR after normalized to 1W input power. The table below indicates the system performance check can meet the variation criterion and the plots can be referred to Appendix D.

<System Verification Results>

Frequency (MHz)	Input Power (mW)	Dipole S/N	Probe S/N	DAE S/N	Measured 1g SAR (W/kg)	Targeted 1g SAR (W/kg)	Normalized 1g SAR (W/kg)	Deviation (%)	Date
1750	250	D1750V2-1112	EX3DV4 - SN3931	DAE4 Sn1399	9.36	36.70	37.44	2.02	2020/6/1
1900	250	D1900V2-5d041	EX3DV4 - SN3931	DAE4 Sn1399	10.40	40.20	41.6	3.48	2020/6/1
2600	250	D2600V2-1008	EX3DV4 - SN3931	DAE4 Sn1399	14.40	56.40	57.6	2.13	2020/6/1