

## ANNEX D: PROBE CERTIFICATE

**Calibration Laboratory of  
Schmid & Partner  
Engineering AG**  
Zeughausstrasse 43, 8004 Zurich, Switzerland



**S** Schweizerischer Kalibrierdienst  
**C** Service suisse d'étalonnage  
**S** Servizio svizzero di taratura  
**S** Swiss Calibration Service

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Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: **SCS 108**

Client **ETC (Auden)**

Certificate No: **EX3-3555\_Sep10**

### CALIBRATION CERTIFICATE

Object **EX3DV4 - SN:3555**

Calibration procedure(s) **QA CAL-01.v6, QA CAL-14.v3, QA CAL-23.v3 and QA CAL-25.v2  
Calibration procedure for dosimetric E-field probes**

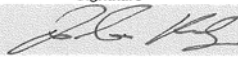

Calibration date: **September 22, 2010**

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).  
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature  $(22 \pm 3)^{\circ}\text{C}$  and humidity  $< 70\%$ .

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date (Certificate No.)	Scheduled Calibration
Power meter E4419B	GB41293874	1-Apr-10 (No. 217-01136)	Apr-11
Power sensor E4412A	MY41495277	1-Apr-10 (No. 217-01136)	Apr-11
Power sensor E4412A	MY41498087	1-Apr-10 (No. 217-01136)	Apr-11
Reference 3 dB Attenuator	SN: S5054 (3c)	30-Mar-10 (No. 217-01159)	Mar-11
Reference 20 dB Attenuator	SN: S5086 (20b)	30-Mar-10 (No. 217-01161)	Mar-11
Reference 30 dB Attenuator	SN: S5129 (30b)	30-Mar-10 (No. 217-01160)	Mar-11
Reference Probe ES3DV2	SN: 3013	30-Dec-09 (No. ES3-3013_Dec09)	Dec-10
DAE4	SN: 660	20-Apr-10 (No. DAE4-660_Apr10)	Apr-11
Secondary Standards	ID #	Check Date (in house)	Scheduled Check
RF generator HP 8648C	US3642U01700	4-Aug-99 (in house check Oct-09)	In house check: Oct-11
Network Analyzer HP 8753E	US37390585	18-Oct-01 (in house check Oct-09)	In house check: Oct10

Calibrated by:	Name <b>Katja Pokovic</b>	Function <b>Technical Manager</b>	Signature 
Approved by:	Name <b>Fin Bornholt</b>	Function <b>R&amp;D Director</b>	Signature 

Issued: September 22, 2010

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Certificate No: EX3-3555\_Sep10

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#### Glossary:

TSL	tissue simulating liquid
NORM <sub>x,y,z</sub>	sensitivity in free space
ConvF	sensitivity in TSL / NORM <sub>x,y,z</sub>
DCP	diode compression point
CF	crest factor (1/duty_cycle) of the RF signal
A, B, C	modulation dependent linearization parameters
Polarization $\varphi$	$\varphi$ rotation around probe axis
Polarization $\vartheta$	$\vartheta$ rotation around an axis that is in the plane normal to probe axis (at measurement center), i.e., $\vartheta = 0$ is normal to probe axis

#### Calibration is Performed According to the Following Standards:

- IEEE Std 1528-2003, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", December 2003
- IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

#### Methods Applied and Interpretation of Parameters:

- NORM<sub>x,y,z</sub>**: Assessed for E-field polarization  $\vartheta = 0$  ( $f \leq 900$  MHz in TEM-cell;  $f > 1800$  MHz: R22 waveguide). NORM<sub>x,y,z</sub> are only intermediate values, i.e., the uncertainties of NORM<sub>x,y,z</sub> does not effect the  $E^2$ -field uncertainty inside TSL (see below ConvF).
- NORM(f)<sub>x,y,z</sub>** = NORM<sub>x,y,z</sub> \* frequency\_response (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- DCP<sub>x,y,z</sub>**: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- A<sub>x,y,z</sub>; B<sub>x,y,z</sub>; C<sub>x,y,z</sub>; VR<sub>x,y,z</sub>**: A, B, C are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters**: Assessed in flat phantom using E-field (or Temperature Transfer Standard for  $f \leq 800$  MHz) and inside waveguide using analytical field distributions based on power measurements for  $f > 800$  MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORM<sub>x,y,z</sub> \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from  $\pm 50$  MHz to  $\pm 100$  MHz.
- Spherical isotropy (3D deviation from isotropy)**: in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset**: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.

EX3DV4 SN:3555

September 22, 2010

# Probe EX3DV4

## SN:3555

Manufactured:	July 13, 2004
Last calibrated:	September 22, 2009
Recalibrated:	September 22, 2010

Calibrated for DASY/EASY Systems

(Note: non-compatible with DASY2 system!)

EX3DV4 SN:3555

September 22, 2010

## DASY/EASY - Parameters of Probe: EX3DV4 SN:3555

### Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm ( $\mu\text{V}/(\text{V}/\text{m})^2$ ) <sup>A</sup>	0.42	0.40	0.42	± 10.1%
DCP (mV) <sup>B</sup>	90.2	93.2	90.6	

### Modulation Calibration Parameters

UID	Communication System Name	PAR		A dB	B dBuV	C	VR mV	Unc <sup>E</sup> (k=2)
10000	CW	0.00	X	0.00	0.00	1.00	300	± 1.5%
			Y	0.00	0.00	1.00	300	
			Z	0.00	0.00	1.00	300	

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of NormX,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

<sup>B</sup> Numerical linearization parameter; uncertainty not required.

<sup>E</sup> Uncertainty is determined using the maximum deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

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## DASY/EASY - Parameters of Probe: EX3DV4 SN:3555

### Calibration Parameter Determined in Head Tissue Simulating Media

f [MHz]	Validity [MHz] <sup>c</sup>	Permittivity	Conductivity	ConvF X	ConvF Y	ConvF Z	Alpha	Depth Unc (k=2)
900	± 50 / ± 100	41.5 ± 5%	0.97 ± 5%	7.90	7.90	7.90	0.59	0.71 ± 11.0%
1750	± 50 / ± 100	40.1 ± 5%	1.37 ± 5%	7.10	7.10	7.10	0.62	0.70 ± 11.0%
1950	± 50 / ± 100	40.0 ± 5%	1.40 ± 5%	6.60	6.60	6.60	0.60	0.68 ± 11.0%
2450	± 50 / ± 100	39.2 ± 5%	1.80 ± 5%	6.23	6.23	6.23	0.39	0.86 ± 11.0%

<sup>c</sup> The validity of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2). The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

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## DASY/EASY - Parameters of Probe: EX3DV4 SN:3555

### Calibration Parameter Determined in Body Tissue Simulating Media

f [MHz]	Validity [MHz] <sup>c</sup>	Permittivity	Conductivity	ConvF X	ConvF Y	ConvF Z	Alpha	Depth Unc (k=2)
900	± 50 / ± 100	55.0 ± 5%	1.05 ± 5%	8.03	8.03	8.03	0.57	0.73 ± 11.0%
1750	± 50 / ± 100	53.4 ± 5%	1.49 ± 5%	6.67	6.67	6.67	0.59	0.72 ± 11.0%
1950	± 50 / ± 100	53.3 ± 5%	1.52 ± 5%	6.66	6.66	6.66	0.62	0.70 ± 11.0%
2450	± 50 / ± 100	52.7 ± 5%	1.95 ± 5%	6.34	6.34	6.34	0.45	0.85 ± 11.0%
5200	± 50 / ± 100	49.0 ± 5%	5.30 ± 5%	3.91	3.91	3.91	0.58	1.95 ± 13.1%
5300	± 50 / ± 100	48.9 ± 5%	5.42 ± 5%	3.71	3.71	3.71	0.58	1.95 ± 13.1%
5600	± 50 / ± 100	48.5 ± 5%	5.77 ± 5%	3.17	3.17	3.17	0.65	1.95 ± 13.1%
5800	± 50 / ± 100	48.2 ± 5%	6.00 ± 5%	3.51	3.51	3.51	0.65	1.95 ± 13.1%

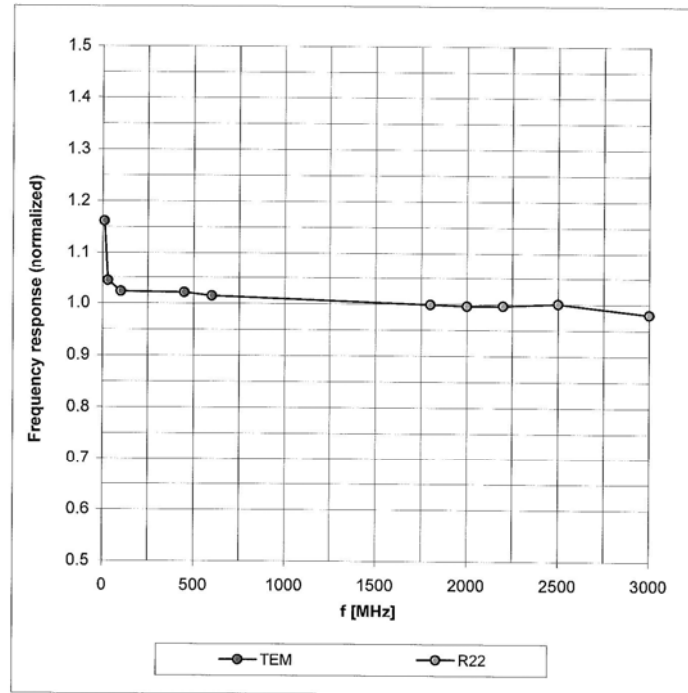
<sup>c</sup> The validity of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2). The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

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## Frequency Response of E-Field

(TEM-Cell:ifi110 EXX, Waveguide: R22)

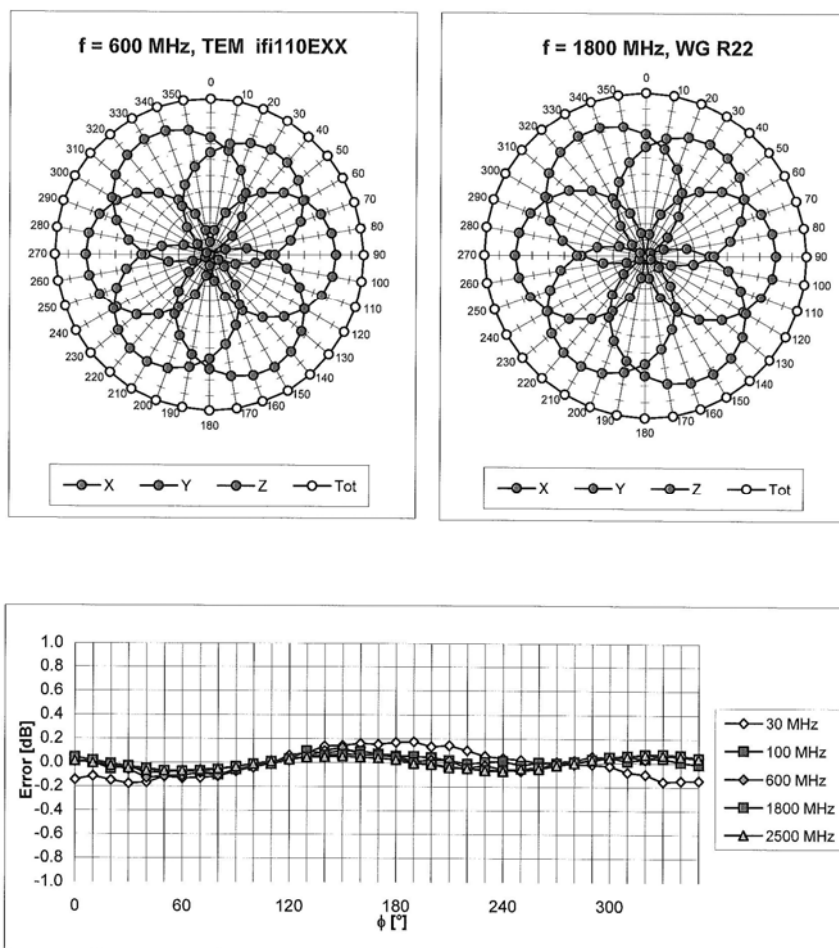


Uncertainty of Frequency Response of E-field:  $\pm 6.3\%$  ( $k=2$ )

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### Receiving Pattern ( $\phi$ ), $\vartheta = 0^\circ$



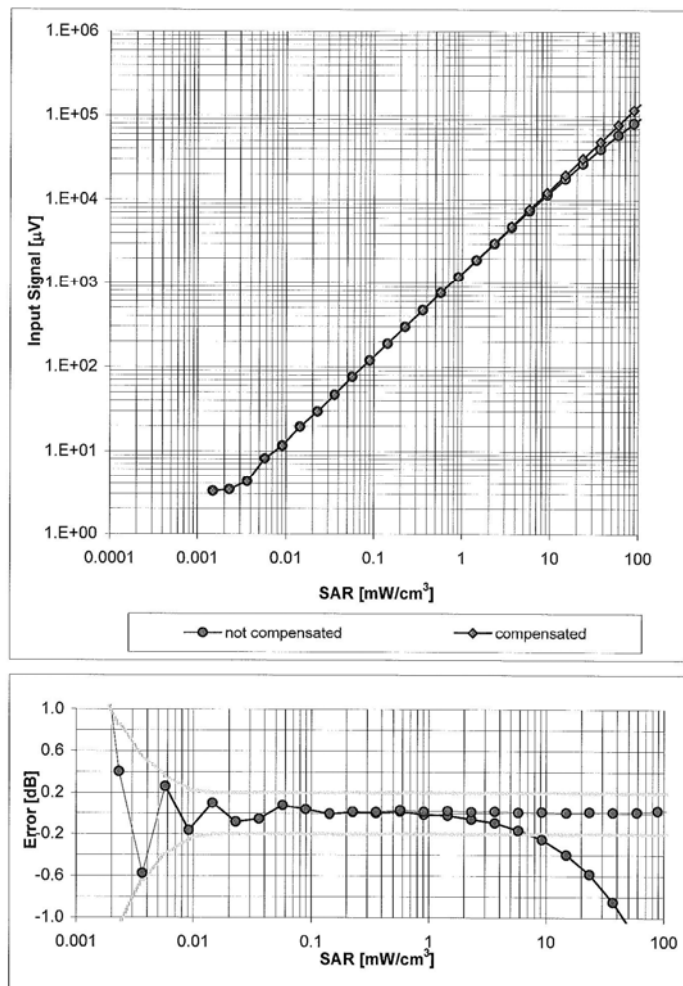
Uncertainty of Axial Isotropy Assessment:  $\pm 0.5\%$  ( $k=2$ )



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**Dynamic Range  $f(\text{SAR}_{\text{head}})$**   
(Waveguide R22,  $f = 1800$  MHz)

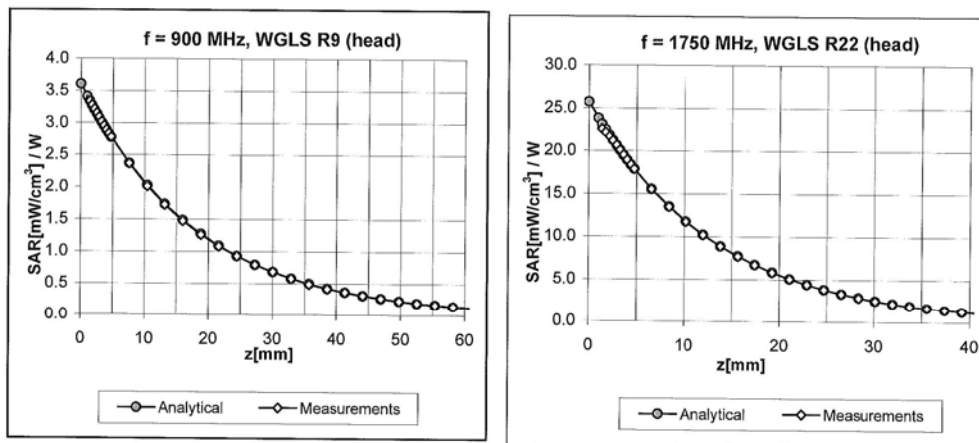


Uncertainty of Linearity Assessment:  $\pm 0.6\%$  ( $k=2$ )

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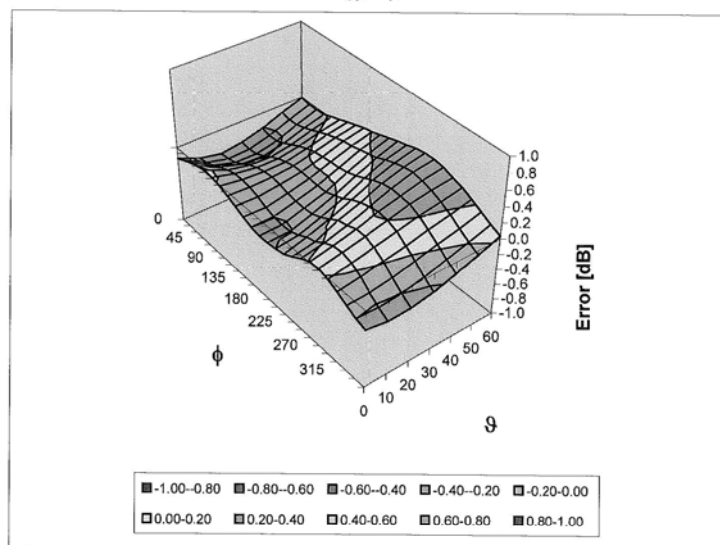
September 22, 2010

## Conversion Factor Assessment



## Deviation from Isotropy in HSL

Error ( $\phi$ ,  $\theta$ ), f = 900 MHz



Uncertainty of Spherical Isotropy Assessment:  $\pm 2.6\%$  (k=2)

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## Other Probe Parameters

Sensor Arrangement	Triangular
Connector Angle (°)	Not applicable
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disabled
Probe Overall Length	337 mm
Probe Body Diameter	10 mm
Tip Length	9 mm
Tip Diameter	2.5 mm
Probe Tip to Sensor X Calibration Point	1 mm
Probe Tip to Sensor Y Calibration Point	1 mm
Probe Tip to Sensor Z Calibration Point	1 mm
Recommended Measurement Distance from Surface	2 mm

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## IMPORTANT NOTICE

### USAGE OF THE DAE 4

The DAE unit is a delicate, high precision instrument and requires careful treatment by the user. There are no serviceable parts inside the DAE. Special attention shall be given to the following points:

**Battery Exchange:** The battery cover of the DAE4 unit is closed using a screw, over tightening the screw may cause the threads inside the DAE to wear out.

**Shipping of the DAE:** Before shipping the DAE to SPEAG for calibration, remove the batteries and pack the DAE in an antistatic bag. This antistatic bag shall then be packed into a larger box or container which protects the DAE from impacts during transportation. The package shall be marked to indicate that a fragile instrument is inside.

**E-Stop Failures:** Touch detection may be malfunctioning due to broken magnets in the E-stop. Rough handling of the E-stop may lead to damage of these magnets. Touch and collision errors are often caused by dust and dirt accumulated in the E-stop. To prevent E-stop failure, the customer shall always mount the probe to the DAE carefully and keep the DAE unit in a non-dusty environment if not used for measurements.

**Repair:** Minor repairs are performed at no extra cost during the annual calibration. However, SPEAG reserves the right to charge for any repair especially if rough unprofessional handling caused the defect.

**DASY Configuration Files:** Since the exact values of the DAE input resistances, as measured during the calibration procedure of a DAE unit, are not used by the DASY software, a nominal value of 200 MOhm is given in the corresponding configuration file.

**Important Note:**

Warranty and calibration is void if the DAE unit is disassembled partly or fully by the Customer.

**Important Note:**

Never attempt to grease or oil the E-stop assembly. Cleaning and readjusting of the E-stop assembly is allowed by certified SPEAG personnel only and is part of the annual calibration procedure.

**Important Note:**

To prevent damage of the DAE probe connector pins, use great care when installing the probe to the DAE. Carefully connect the probe with the connector notch oriented in the mating position. Avoid any rotational movement of the probe body versus the DAE while turning the locking nut of the connector. The same care shall be used when disconnecting the probe from the DAE.

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11.12.2009

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Accreditation No.: **SCS 108**

Client **ETC (Auden)**

Certificate No: **DAE4-629\_Sep10**

## CALIBRATION CERTIFICATE

Object **DAE4 - SD 000 D04 BJ - SN: 629**

Calibration procedure(s) **QA CAL-06.v22  
Calibration procedure for the data acquisition electronics (DAE)**

Calibration date: **September 17, 2010**

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).  
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature ( $22 \pm 3$ )°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date (Certificate No.)	Scheduled Calibration
Keithley Multimeter Type 2001	SN: 0810278	1-Oct-09 (No: 9055)	Oct-10
Secondary Standards	ID #	Check Date (in house)	Scheduled Check
Calibrator Box V1.1	SE UMS 006 AB 1004	07-Jun-10 (in house check)	In house check: Jun-11

	Name	Function	Signature
Calibrated by:	Dominique Steffen	Technician	
Approved by:	Fin Bomholt	R&D Director	

Issued: September 17, 2010

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## Glossary

DAE data acquisition electronics  
Connector angle information used in DASY system to align probe sensor X to the robot coordinate system.

## Methods Applied and Interpretation of Parameters

- *DC Voltage Measurement:* Calibration Factor assessed for use in DASY system by comparison with a calibrated instrument traceable to national standards. The figure given corresponds to the full scale range of the voltmeter in the respective range.
- *Connector angle:* The angle of the connector is assessed measuring the angle mechanically by a tool inserted. Uncertainty is not required.
- The following parameters as documented in the Appendix contain technical information as a result from the performance test and require no uncertainty.
  - *DC Voltage Measurement Linearity:* Verification of the Linearity at +10% and -10% of the nominal calibration voltage. Influence of offset voltage is included in this measurement.
  - *Common mode sensitivity:* Influence of a positive or negative common mode voltage on the differential measurement.
  - *Channel separation:* Influence of a voltage on the neighbor channels not subject to an input voltage.
  - *AD Converter Values with inputs shorted:* Values on the internal AD converter corresponding to zero input voltage
  - *Input Offset Measurement:* Output voltage and statistical results over a large number of zero voltage measurements.
  - *Input Offset Current:* Typical value for information; Maximum channel input offset current, not considering the input resistance.
  - *Input resistance:* Typical value for information: DAE input resistance at the connector, during internal auto-zeroing and during measurement.
  - *Low Battery Alarm Voltage:* Typical value for information. Below this voltage, a battery alarm signal is generated.
  - *Power consumption:* Typical value for information. Supply currents in various operating modes.

### DC Voltage Measurement

A/D - Converter Resolution nominal

High Range: 1LSB = 6.1 $\mu$ V, full range = -100...+300 mV  
Low Range: 1LSB = 61nV, full range = -1.....+3mV

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

Calibration Factors	X	Y	Z
High Range	404.336 $\pm$ 0.1% (k=2)	404.208 $\pm$ 0.1% (k=2)	404.081 $\pm$ 0.1% (k=2)
Low Range	3.98391 $\pm$ 0.7% (k=2)	3.96777 $\pm$ 0.7% (k=2)	3.97695 $\pm$ 0.7% (k=2)

### Connector Angle

Connector Angle to be used in DASY system	153.0 ° $\pm$ 1 °
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## Appendix

### 1. DC Voltage Linearity

High Range		Reading ( $\mu\text{V}$ )	Difference ( $\mu\text{V}$ )	Error (%)
Channel X	+ Input	199995.7	-5.34	-0.00
Channel X	+ Input	20000.71	0.51	0.00
Channel X	- Input	-19997.58	1.72	-0.01
Channel Y	+ Input	199994.6	-1.46	-0.00
Channel Y	+ Input	19999.09	-1.01	-0.01
Channel Y	- Input	-19997.51	2.79	-0.01
Channel Z	+ Input	199994.2	-1.40	-0.00
Channel Z	+ Input	20000.77	0.67	0.00
Channel Z	- Input	-19999.11	1.29	-0.01

Low Range		Reading ( $\mu\text{V}$ )	Difference ( $\mu\text{V}$ )	Error (%)
Channel X	+ Input	1999.5	-0.55	-0.03
Channel X	+ Input	199.96	0.06	0.03
Channel X	- Input	-199.89	0.11	-0.05
Channel Y	+ Input	1997.0	-3.01	-0.15
Channel Y	+ Input	199.74	-0.06	-0.03
Channel Y	- Input	-200.51	-0.51	0.25
Channel Z	+ Input	2000.2	0.13	0.01
Channel Z	+ Input	199.28	-0.62	-0.31
Channel Z	- Input	-200.79	-0.79	0.40

### 2. Common mode sensitivity

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

	Common mode Input Voltage (mV)	High Range Average Reading ( $\mu\text{V}$ )	Low Range Average Reading ( $\mu\text{V}$ )
Channel X	200	-0.69	-1.66
	- 200	3.67	1.89
Channel Y	200	2.70	2.36
	- 200	-2.99	-3.31
Channel Z	200	0.31	1.02
	- 200	-1.97	-2.05

### 3. Channel separation

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

	Input Voltage (mV)	Channel X ( $\mu\text{V}$ )	Channel Y ( $\mu\text{V}$ )	Channel Z ( $\mu\text{V}$ )
Channel X	200	-	2.72	0.39
Channel Y	200	1.27	-	3.35
Channel Z	200	0.73	0.15	-



#### 4. AD-Converter Values with inputs shorted

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

	High Range (LSB)	Low Range (LSB)
Channel X	16029	16581
Channel Y	15984	17313
Channel Z	16305	16385

#### 5. Input Offset Measurement

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

Input 10M $\Omega$

	Average ( $\mu$ V)	min. Offset ( $\mu$ V)	max. Offset ( $\mu$ V)	Std. Deviation ( $\mu$ V)
Channel X	0.63	-0.21	3.79	0.49
Channel Y	-0.71	-2.49	1.23	0.48
Channel Z	-0.65	-1.48	1.24	0.36

#### 6. Input Offset Current

Nominal Input circuitry offset current on all channels: <25fA

#### 7. Input Resistance (Typical values for information)

	Zeroing (kOhm)	Measuring (MOhm)
Channel X	200	200
Channel Y	200	200
Channel Z	200	200

#### 8. Low Battery Alarm Voltage (Typical values for information)

Typical values	Alarm Level (VDC)
Supply (+ Vcc)	+7.9
Supply (- Vcc)	-7.6

#### 9. Power Consumption (Typical values for information)

Typical values	Switched off (mA)	Stand by (mA)	Transmitting (mA)
Supply (+ Vcc)	+0.01	+6	+14
Supply (- Vcc)	-0.01	-8	-9