

**Report No. : FA373004** 

# **FCC SAR Test Report**

APPLICANT : ZTE CORPORATION

EQUIPMENT : DC-HSPA+ USB Modem

BRAND NAME : ZTE

MODEL NAME : MF730M

FCC ID : SRQMF730M

STANDARD : FCC 47 CFR Part 2 (2.1093)

**ANSI/IEEE C95.1-1992** 

IEEE 1528-2003

The product was completely tested on Aug. 05, 2013. We, SPORTON INTERNATIONAL (KUNSHAN) INC., would like to declare that the tested sample has been evaluated in accordance with the procedures and shown the compliance with the applicable technical standards.

The test results in this report apply exclusively to the tested model / sample. Without written approval of SPORTON INTERNATIONAL (KUNSHAN) INC., the test report shall not be reproduced except in full.

Reviewed by: Eric Huang / Deputy Manager

Approved by: Jones Tsai / Manager





SPORTON INTERNATIONAL (KUNSHAN) INC. No. 3-2, PingXiang Road, Kunshan, Jiangsu Province, P.R.C.

SPORTON INTERNATIONAL (KUNSHAN) INC.

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# **Revision History**

REPORT NO.	VERSION	DESCRIPTION	ISSUED DATE
FA373004	Rev. 01	Initial issue of report	Aug. 13, 2013

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# 1. Statement of Compliance

The maximum results of Specific Absorption Rate (SAR) found during testing for **ZTE CORPORATION DUT: DC-HSPA+ USB Modem, Brand Name: ZTE, Model Name: MF730M** are as follows.

<Highest SAR Summary>

Exposure	Frequency Band	Highest Reported	Equipment	Highest Reported
Position		1g-SAR (W/kg)	Class	1g-SAR (W/kg)
Body (0.5cm Gap)	WCDMA Band II	1.18	РСВ	1.18

This device is in compliance with Specific Absorption Rate (SAR) for general population/uncontrolled exposure limits (1.6 W/kg) specified in FCC 47 CFR part 2 (2.1093) and ANSI/IEEE C95.1-1992, and had been tested in accordance with the measurement methods and procedures specified in IEEE 1528-2003.

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# 2. Administration Data

## 2.1 Testing Laboratory

Test Site	SPORTON INTERNATIONAL (KUNSHAN) INC.	
Test Site Location	No. 3-2, PingXiang Road, Kunshan, Jiangsu Province, P.R.C. TEL: +86-0512-5790-0158 FAX: +86-0512-5790-0958	

# 2.2 Applicant

Company Name	ZTE CORPORATION
	ZTE Plaza, Keji Road South, Hi-Tech Industrial Park, Nanshan District, Shenzhen, Guangdong, 518057, P.R.China

## 2.3 Manufacturer

Company Name	ZTE CORPORATION
	ZTE Plaza, Keji Road South, Hi-Tech Industrial Park, Nanshan District, Shenzhen, Guangdong, 518057, P.R.China

## 2.4 Application Details

Date of Start during the Test	Aug. 05, 2013
Date of End during the Test	Aug. 05, 2013

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3. General Information

## 3.1 Description of Equipment Under Test (EUT)

Product Feature & Specification		
EUT	DC-HSPA+ USB Modem	
Brand Name	ZTE	
Model Name	MF730M	
FCC ID	SRQMF730M	
IMEI Code	358532030002174	
Wireless Technology and Frequency Range	WCDMA Band II: 1852.4 MHz ~ 1907.6 MHz	
	•WCDMA Rel 99	
	•HSDPA Rel 6, Cat8	
Mode	•HSUPA Rel 6, Cat6	
	•HSPA+ Rel 7, Cat 14	
	•DC-HSDPA Rel 8 Cat24	
Antenna Type	PCB Antenna	
HW Version	dcnA	
SW Version	WEB_ENTELCHLMF730MV1.0.0B01	
EUT Stage	Identical Prototype	
Remark:		

The above EUT's information was declared by manufacturer. Please refer to the specifications or user's manual for more detailed description.

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Voice call is not supported.



# 3.2 Maximum RF output power among production units

Maximum Target power for Production Unit (dBm)		
Mode / Band	WCDMA Band II	
RMC 12.2Kbps	22	
HSDPA Subtest-1	21	
HSDPA Subtest-2	21	
HSDPA Subtest-3	20.5	
HSDPA Subtest-4	20.5	
DC-HSDPA Subtest-1	21	
DC-HSDPA Subtest-2	21	
DC-HSDPA Subtest-3	20.5	
DC-HSDPA Subtest-4	20.5	
HSUPA Subtest-1	20	
HSUPA Subtest-2	19	
HSUPA Subtest-3	20	
HSUPA Subtest-4	19	
HSUPA Subtest-5	20.5	
HSPA+ (16QAM) Subtest-1	20.5	

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## 3.3 Applied Standard

The Specific Absorption Rate (SAR) testing specification, method, and procedure for this device is in accordance with the following standards:

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- FCC 47 CFR Part 2 (2.1093)
- ANSI/IEEE C95.1-1992
- IEEE 1528-2003
- FCC KDB 447498 D01 v05r01
- FCC KDB 447498 D02 v02
- FCC KDB 941225 D01 v02
- FCC KDB 941225 D02 v02r02
- FCC KDB 865664 D01 v01r01

#### 3.4 Device Category and SAR Limits

This device belongs to portable device category because its radiating structure is allowed to be used within 20 centimeters of the body of the user. Limit for General Population/Uncontrolled exposure should be applied for this device, it is 1.6 W/kg as averaged over any 1 gram of tissue.

#### 3.5 Test Conditions

#### 3.5.1 Ambient Condition

Ambient Temperature	20 to 24 ℃
Humidity	< 60 %

#### 3.5.2 Test Configuration

For WWAN SAR testing, the device was controlled by using a base station emulator. Communication between the device and the emulator was established by air link. The distance between the EUT and the antenna of the emulator is larger than 50 cm and the output power radiated from the emulator antenna is at least 30 dB smaller than the output power of EUT.

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## 4. Specific Absorption Rate (SAR)

#### 4.1 Introduction

SAR is related to the rate at which energy is absorbed per unit mass in an object exposed to a radio field. The SAR distribution in a biological body is complicated and is usually carried out by experimental techniques or numerical modeling. The standard recommends limits for two tiers of groups, occupational/controlled and general population/uncontrolled, based on a person's awareness and ability to exercise control over his or her exposure. In general, occupational/controlled exposure limits are higher than the limits for general population/uncontrolled.

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## 4.2 SAR Definition

The SAR definition is the time derivative (rate) of the incremental energy (dW) absorbed by (dissipated in) an incremental mass (dm) contained in a volume element (dv) of a given density ( $\rho$ ). The equation description is as below:

$$SAR = \frac{d}{dt} \left( \frac{dW}{dm} \right) = \frac{d}{dt} \left( \frac{dW}{\rho dv} \right)$$

SAR is expressed in units of Watts per kilogram (W/kg)

SAR measurement can be either related to the temperature elevation in tissue by

$$SAR = C \left( \frac{\delta T}{\delta t} \right)$$

Where: C is the specific heat capacity,  $\delta T$  is the temperature rise and  $\delta t$  is the exposure duration, or related to the electrical field in the tissue by

$$SAR = \frac{\sigma |E|^2}{\rho}$$

Where:  $\sigma$  is the conductivity of the tissue,  $\rho$  is the mass density of the tissue and E is the RMS electrical field strength.

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However for evaluating SAR of low power transmitter, electrical field measurement is typically applied.

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## 5. SAR Measurement System

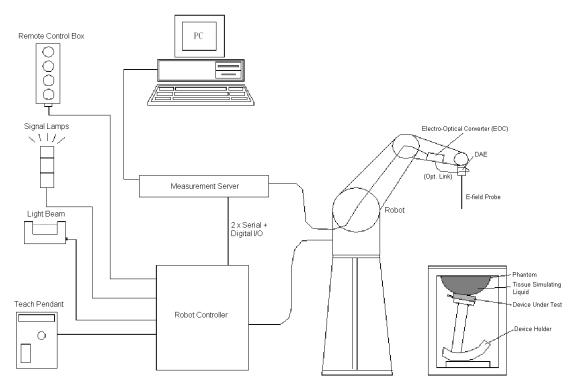


Fig 5.1 SPEAG DASY System Configurations

The DASY system for performance compliance tests is illustrated above graphically. This system consists of the following items:

- A standard high precision 6-axis robot with controller, a teach pendant and software
- A data acquisition electronic (DAE) attached to the robot arm extension
- A dosimetric probe equipped with an optical surface detector system
- > The electro-optical converter (EOC) performs the conversion between optical and electrical signals
- A measurement server performs the time critical tasks such as signal filtering, control of the robot operation and fast movement interrupts.
- A probe alignment unit which improves the accuracy of the probe positioning
- A computer operating Windows XP
- DASY software
- > Remove control with teach pendant and additional circuitry for robot safety such as warming lamps, etc.
- > The SAM twin phantom
- A device holder
- > Tissue simulating liquid
- Dipole for evaluating the proper functioning of the system

Component details are described in in the following sub-sections.

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#### 5.1 E-Field Probe

The SAR measurement is conducted with the dosimetric probe (manufactured by SPEAG). The probe is specially designed and calibrated for use in liquid with high permittivity. The dosimetric probe has special calibration in liquid at different frequency. This probe has a built in optical surface detection system to prevent from collision with phantom.

#### 5.1.1 E-Field Probe Specification

#### <EX3DV4 Probe>

	Built-in shielding against static charges PEEK enclosure material (resistant to organic solvents, e.g., DGBE)		
Frequency	10 MHz to 6 GHz; Linearity: ± 0.2 dB		
Directivity	± 0.3 dB in HSL (rotation around probe axis) ± 0.5 dB in tissue material (rotation normal to probe axis)		Ī
Dynamic Range	10 μW/g to 100 mW/g; Linearity: ± 0.2 dB (noise: typically < 1 μW/g)		1
Dimensions	Overall length: 330 mm (Tip: 20 mm) Tip diameter: 2.5 mm (Body: 12 mm) Typical distance from probe tip to dipole centers: 1 mm	Fig 5.2	Photo of EX3DV4

#### 5.1.2 E-Field Probe Calibration

Each probe needs to be calibrated according to a dosimetric assessment procedure with accuracy better than  $\pm$  10%. The spherical isotropy shall be evaluated and within  $\pm$  0.25dB. The sensitivity parameters (NormX, NormY, and NormZ), the diode compression parameter (DCP) and the conversion factor (ConvF) of the probe are tested. The calibration data can be referred to appendix C of this report.

#### 5.2 Data Acquisition Electronics (DAE)

The data acquisition electronics (DAE) consists of a highly sensitive electrometer-grade preamplifier with auto-zeroing, a channel and gain-switching multiplexer, a fast 16 bit AD-converter and a command decoder and control logic unit. Transmission to the measurement server is accomplished through an optical downlink for data and status information as well as an optical uplink for commands and the clock. The input impedance of the DAE is 200 MOhm; the inputs are symmetrical and floating. Common mode rejection is above 80 dB.



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Fig 5.3 Photo of DAE

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# 5.3 <u>Robot</u>

The SPEAG DASY system uses the high precision robots (DASY5: TX90XL) type from Stäubli SA (France). For the 6-axis controller system, the robot controller version (DASY5: CS8c) from Stäubli is used. The Stäubli robot series have many features that are important for our application:

- ➤ High precision (repeatability ±0.035 mm)
- High reliability (industrial design)
- > Jerk-free straight movements
- > Low ELF interference (the closed metallic construction shields against motor control fields)



Fig 5.4 Photo of DASY5

### 5.4 Measurement Server

The measurement server is based on a PC/104 CPU board with CPU (DASY5: 400 MHz, Intel Celeron), chipdisk (DASY5: 128 MB), RAM (DASY5: 128 MB). The necessary circuits for communication with the DAE electronic box, as well as the 16 bit AD converter system for optical detection and digital I/O interface are contained on the DASY I/O board, which is directly connected to the PC/104 bus of the CPU board.

The measurement server performs all the real-time data evaluation for field measurements and surface detection, controls robot movements and handles safety operations.



Fig 5.5 Photo of Server for DASY5

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## 5.5 Phantom

#### <SAM Twin Phantom>

Shell Thickness	2 ± 0.2 mm;	
	Center ear point: 6 ± 0.2 mm	
Filling Volume	Approx. 25 liters	THE THE
Dimensions	Length: 1000 mm; Width: 500 mm;	
	Height: adjustable feet	<u> </u>
Measurement Areas	Left Hand, Right Hand, Flat Phantom	
		1
		4
		Fig. 5.0. Divide of OAM Divide
		Fig 5.6 Photo of SAM Phantom

The bottom plate contains three pair of bolts for locking the device holder. The device holder positions are adjusted to the standard measurement positions in the three sections. A white cover is provided to tap the phantom during off-periods to prevent water evaporation and changes in the liquid parameters. On the phantom top, three reference markers are provided to identify the phantom position with respect to the robot.

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#### 5.6 Device Holder

#### <Device Holder for SAM Twin Phantom>

The SAR in the phantom is approximately inversely proportional to the square of the distance between the source and the liquid surface. For a source at 5 mm distance, a positioning uncertainty of  $\pm$  0.5 mm would produce a SAR uncertainty of  $\pm$  20 %. Accurate device positioning is therefore crucial for accurate and repeatable measurements. The positions in which the devices must be measured are defined by the standards.

The DASY device holder is designed to cope with different positions given in the standard. It has two scales for the device rotation (with respect to the body axis) and the device inclination (with respect to the line between the ear reference points). The rotation center for both scales is the ear reference point (ERP). Thus the device needs no repositioning when changing the angles.

The DASY device holder is constructed of low-loss POM material having the following dielectric parameters: relative permittivity  $\epsilon$  = 3 and loss tangent  $\delta$  = 0.02. The amount of dielectric material has been reduced in the closest vicinity of the device, since measurements have suggested that the influence of the clamp on the test results could thus be lowered.



Fig 5.7 Device Holder

#### <Laptop Extension Kit>

The extension is lightweight and made of POM, acrylic glass and foam. It fits easily on the upper part of the mounting device in place of the phone positioned. The extension is fully compatible with the SAM Twin and ELI phantoms.



Fig 5.8 Laptop Extension Kit

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#### 5.7 Data Storage and Evaluation

#### 5.7.1 Data Storage

The DASY software stores the assessed data from the data acquisition electronics as raw data (in microvolt readings from the probe sensors), together with all the necessary software parameters for the data evaluation (probe calibration data, liquid parameters and device frequency and modulation data) in measurement files. The post-processing software evaluates the desired unit and format for output each time the data is visualized or exported. This allows verification of the complete software setup even after the measurement and allows correction of erroneous parameter settings. For example, if a measurement has been performed with an incorrect crest factor parameter in the device setup, the parameter can be corrected afterwards and the data can be reevaluated.

The measured data can be visualized or exported in different units or formats, depending on the selected probe type (e.g., [V/m], [A/m], [mW/g]). Some of these units are not available in certain situations or give meaningless results, e.g., a SAR-output in a non-lose media, will always be zero. Raw data can also be exported to perform the evaluation with other software packages.

#### 5.7.2 Data Evaluation

**Device parameters:** 

The DASY post-processing software (SEMCAD) automatically executes the following procedures to calculate the field units from the microvolt readings at the probe connector. The parameters used in the evaluation are stored in the configuration modules of the software:

**Probe parameters**: - Sensitivity Norm<sub>i</sub>, a<sub>i0</sub>, a<sub>i1</sub>, a<sub>i2</sub>

 $\begin{array}{lll} \text{- Conversion factor} & \text{ConvF}_i \\ \text{- Diode compression point} & \text{dcp}_i \\ \text{- Frequency} & \text{f} \end{array}$ 

- Density  $\rho$ 

These parameters must be set correctly in the software. They can be found in the component documents or they can be imported into the software from the configuration files issued for the DASY components. In the direct measuring mode of the multi-meter option, the parameters of the actual system setup are used. In the scan visualization and export modes, the parameters stored in the corresponding document files are used.

The first step of the evaluation is a linearization of the filtered input signal to account for the compression characteristics of the detector diode. The compensation depends on the input signal, the diode type and the DC-transmission factor from the diode to the evaluation electronics. If the exciting field is pulsed, the crest factor of the signal must be known to correctly compensate for peak power.

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The formula for each channel can be given as :

$$V_i = U_i + U_i^2 \cdot \frac{cf}{dcp_i}$$

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with  $V_i$  = compensated signal of channel i, (i = x, y, z)

 $U_i$  = input signal of channel i, (i = x, y, z)

cf = crest factor of exciting field (DASY parameter) dcp<sub>i</sub> = diode compression point (DASY parameter)

From the compensated input signals, the primary field data for each channel can be evaluated:

E-field Probes :  $E_i = \sqrt{\frac{V_i}{Norm_i \cdot ConvF}}$ 

H-field Probes :  $H_i = \sqrt{V_i} \cdot \frac{a_{i0} + a_{i1}f + a_{i2}f^2}{f}$ 

with  $V_i$  = compensated signal of channel i, (i = x, y, z)

Norm<sub>i</sub> = sensor sensitivity of channel i, (i = x, y, z),  $\mu V/(V/m)^2$  for E-field Probes

ConvF = sensitivity enhancement in solution  $a_{ij}$  = sensor sensitivity factors for H-field probes

f = carrier frequency [GHz]

 $E_i$  = electric field strength of channel i in V/m  $H_i$  = magnetic field strength of channel i in A/m

The RSS value of the field components gives the total field strength (Hermitian magnitude):

$$E_{tot} = \sqrt{E_x^2 + E_y^2 + E_z^2}$$

The primary field data are used to calculate the derived field units.

$$SAR = E_{tot}^2 \cdot \frac{\sigma}{\rho \cdot 1000}$$

with SAR = local specific absorption rate in mW/g

E<sub>tot</sub> = total field strength in V/m

 $\sigma$  = conductivity in [mho/m] or [Siemens/m]

 $\rho$  = equivalent tissue density in g/cm<sup>3</sup>

Note that the density is set to 1, to account for actual head tissue density rather than the density of the tissue simulating liquid.

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#### 5.8 Test Equipment List

Manufacturer	Name of Equipment	Type/Model	Serial Number	Calib	ration
wanulacturer	Name of Equipment	Type/Model	Seriai Number	Last Cal.	Due Date
SPEAG	1900MHz System Validation Kit	D1900V2	5d118	Nov. 21, 2011	Nov. 16, 2013
SPEAG	Data Acquisition Electronics	DAE4	1210	Jun. 19, 2013	Jun. 18, 2014
SPEAG	Dosimetric E-Field Probe	EX3DV4	3857	Jun. 20, 2013	Jun. 19, 2014
SPEAG	SAM Twin Phantom	QD 000 P40 CB	TP-1477	NCR	NCR
SPEAG	Phone Positioner	N/A	N/A	NCR	NCR
Agilent	Wireless Communication Test Set	E5515C	MY48367160	Oct. 25, 2012	Oct. 24, 2013
Agilent	ENA Series Network Analyzer	E5071C	MY46106933	Aug. 31, 2012	Aug. 30, 2013
R&S	Signal Generator	SMR40	100455	Jan. 18, 2013	Jan. 17, 2014
Agilent	Power Meter	E4416A	MY45101555	Aug. 22, 2012	Aug. 21, 2013
Agilent	Power Sensor	E9327A	MY44421198	Aug. 22, 2012	Aug. 21, 2013
Agilent	Dual Directional Coupler	778D	50422	No	te 4
Woken	Attenuator 1	WK0602-XX	N/A	No	te 4
PE	Attenuator 2	PE7005-10	N/A	No	te 4
PE	Attenuator 3	PE7005- 3	N/A	No	te 4
Agilent	Dielectric Probe Kit	85070D	US01440205	No	te 5
AR	Power Amplifier	5S1G4M2	328767	No	te 6
R&S	Spectrum Analyzer	FSP30	101399	May 23, 2013	May 22, 2014

#### **Table 5.1 Test Equipment List**

#### Note:

- 1. The calibration certificate of DASY can be referred to appendix C of this report.
- 2. Referring to KDB 865664 D01v01r01, the dipole calibration interval can be extended to 3 years with justification. The dipoles are also not physically damaged, or repaired during the interval.
- 3. The justification data of dipole D1900V2, SN: 5d118 can be found in appendix C. The return loss is < -20dB, within 20% of prior calibration, the impedance is within 5 ohm of prior calibration.
- 4. The Insertion Loss calibration of Dual Directional Coupler and Attenuator were characterized via the network analyzer and compensated during system check.
- 5. The dielectric probe kit was calibrated via the network analyzer, with the specified procedure (calibrated in pure water) and calibration kit (standard) short circuit, before the dielectric measurement. The specific procedure and calibration kit are provided by Agilent.
- 6. In system check we need to monitor the level on the power meter, and adjust the power amplifier level to have precise power level to the dipole; the measured SAR will be normalized to 1W input power according to the ratio of 1W to the input power to the dipole. For system check, the calibration of the power amplifier is deemed not critically required for correct measurement; the power meter is critical and we do have calibration for it
- 7. Attenuator 1 insertion loss is calibrated by the network Analyzer, which the calibration is valid, before system check.

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# 6. Tissue Simulating Liquids

For the measurement of the field distribution inside the SAM phantom with DASY, the phantom must be filled with around 25 liters of homogeneous body tissue simulating liquid. For body SAR testing, the liquid height from the center of the flat phantom to the liquid top surface is larger than 15 cm, which is shown in Fig. 6.2.



Fig 6.1 Photo of Liquid Height for Body SAR

The following table gives the recipes for tissue simulating liquid.

Frequency	requency Water Sugar		ugar Cellulose		Preventol	DGBE	Conductivity	Permittivity
(MHz)	(%)	(%)	(%)	(%)	(%)	(%)	(σ)	(ε <sub>r</sub> )
1800, 1900, 2000	70.2	0	0	0.4	0	29.4	1.52	53.3

Table 6.1 Recipes of Tissue Simulating Liquid

The dielectric parameters of the liquids were verified prior to the SAR evaluation using an Agilent 85070D Dielectric Probe Kit and an Agilent Network Analyzer.

The following table shows the measuring results for simulating liquid.

Frequency (MHz)	Liquid Type		Conductivity (σ)	Permittivity (ε <sub>r</sub> )	Conductivity Target (σ)	Permittivity Target (ε <sub>r</sub> )	Delta (σ) (%)	Delta (ε <sub>r</sub> ) (%)	Limit (%)	Date
1900	Body	22.7	1.552	53.317	1.52	53.3	2.11	0.03	±5	Aug. 05, 2013

Table 6.2 Measuring Results for Simulating Liquid

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## 7. System Verification Procedures

Each DASY system is equipped with one or more system validation kits. These units, together with the predefined measurement procedures within the DASY software, enable the user to conduct the system performance check and system validation. System validation kit includes a dipole, tripod holder to fix it underneath the flat phantom and a corresponding distance holder.

#### 7.1 Purpose of System Performance check

The system performance check verifies that the system operates within its specifications. System and operator errors can be detected and corrected. It is recommended that the system performance check be performed prior to any usage of the system in order to guarantee reproducible results. The system performance check uses normal SAR measurements in a simplified setup with a well characterized source. This setup was selected to give a high sensitivity to all parameters that might fail or vary over time. The system check does not intend to replace the calibration of the components, but indicates situations where the system uncertainty is exceeded due to drift or failure.

#### 7.2 System Setup

In the simplified setup for system evaluation, the EUT is replaced by a calibrated dipole and the power source is replaced by a continuous wave that comes from a signal generator. The calibrated dipole must be placed beneath the flat phantom section of the SAM twin phantom with the correct distance holder. The distance holder should touch the phantom surface with a light pressure at the reference marking and be oriented parallel to the long side of the phantom. The equipment setup is shown below:

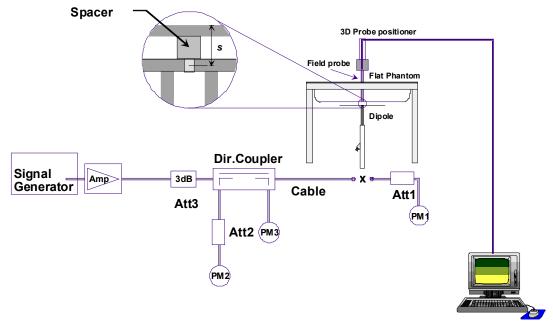


Fig 7.1 System Setup for System Evaluation

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- Signal Generator
- 2. Amplifier
- 3. Directional Coupler
- 4. Power Meter
- 5. Calibrated Dipole



Fig 7.2 Photo of Dipole Setup

#### 7.3 SAR System Verification Results

Comparing to the original SAR value provided by SPEAG, the verification data should be within its specification of 10 %. Table 7.1 shows the target SAR and measured SAR after normalized to 1W input power. The table below indicates the system performance check can meet the variation criterion and the plots can be referred to Appendix A of this report.

Date	Frequency (MHz)	Liquid Type	Power fed onto reference dipole (mW)	Targeted SAR (W/kg)	Measured SAR (W/kg)	Normalized SAR (W/kg)	Deviation (%)	
Aug. 05, 2013	1900	Body	250	41.8	10.3	41.2	-1.44	

Table 7.1 Target and Measurement SAR after Normalized

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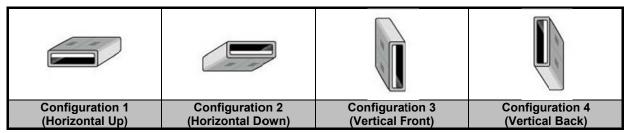
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## 8. EUT Testing Position

This EUT was tested in five different USB configurations. They are "direct laptop plug-in for configuration 1 and 3", "USB cable plug-in for configuration 2 and 4", and "USB cable plug-in for Tip Mode (the tip of the EUT)" shown as below. Both direct laptop plug-in and USB cable plug-in test configurations are tested with 5 mm separation between the particular dongle orientation and the flat phantom.



**Illustration for USB Connector Orientations** Fig 8.1

#### <DUT Setup Photos>

Please refer to Appendix D for the test setup photos.

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## 9. Measurement Procedures

The measurement procedures are as follows:

#### <Conducted power measurement>

(a) For WWAN power measurement, use base station simulator to configure EUT WWAN transmission in conducted connection with RF cable, at maximum power in each supported wireless interface and frequency band.

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(b) Read the WWAN RF power level from the base station simulator.

#### <SAR measurement>

- (a) Use base station simulator to configure EUT WWAN transmission in radiated connection, at maximum RF power, in the highest power channel.
- (b) Place the EUT in the positions as Appendix D demonstrates.
- (c) Set scan area, grid size and other setting on the DASY software.
- (d) Measure SAR results for the highest power channel on each testing position.
- (e) Find out the largest SAR result on these testing positions of each band
- (f) Measure SAR results for other channels in worst SAR testing position if the reported SAR of highest power channel is larger than 0.8 W/kg

According to the test standard, the recommended procedure for assessing the peak spatial-average SAR value consists of the following steps:

- (a) Power reference measurement
- (b) Area scan
- (c) Zoom scan
- (d) Power drift measurement

#### 9.1 Spatial Peak SAR Evaluation

The procedure for spatial peak SAR evaluation has been implemented according to the test standard. It can be conducted for 1g and 10g, as well as for user-specific masses. The DASY software includes all numerical procedures necessary to evaluate the spatial peak SAR value.

The base for the evaluation is a "cube" measurement. The measured volume must include the 1g and 10g cubes with the highest averaged SAR values. For that purpose, the center of the measured volume is aligned to the interpolated peak SAR value of a previously performed area scan.

The entire evaluation of the spatial peak values is performed within the post-processing engine (SEMCAD). The system always gives the maximum values for the 1g and 10g cubes. The algorithm to find the cube with highest averaged SAR is divided into the following stages:

- (a) Extraction of the measured data (grid and values) from the Zoom Scan
- (b) Calculation of the SAR value at every measurement point based on all stored data (A/D values and measurement parameters)
- (c) Generation of a high-resolution mesh within the measured volume
- (d) Interpolation of all measured values form the measurement grid to the high-resolution grid
- (e) Extrapolation of the entire 3-D field distribution to the phantom surface over the distance from sensor to surface
- (f) Calculation of the averaged SAR within masses of 1g and 10g

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9.2 Power Reference Measurement

The Power Reference Measurement and Power Drift Measurements are for monitoring the power drift of the device under test in the batch process. The minimum distance of probe sensors to surface determines the closest measurement point to phantom surface. This distance cannot be smaller than the distance of sensor calibration points to probe tip as defined in the probe properties.

#### 9.3 Area & Zoom Scan Procedures

First Area Scan is used to locate the approximate location(s) of the local peak SAR value(s). The measurement grid within an Area Scan is defined by the grid extent, grid step size and grid offset. Next, in order to determine the EM field distribution in a three-dimensional spatial extension, Zoom Scan is required. The Zoom Scan is performed around the highest E-field value to determine the averaged SAR-distribution over 10 g. Area scan and zoom scan resolution setting follows KDB 865664 D01v01r01 quoted below.

When the 1-g SAR of the highest peak is within 2 dB of the SAR limit, additional zoom scans are required for other peaks within 2 dB of the highest peak that have not been included in any zoom scan to ensure there is no increase in SAR.

			≤ 3 GHz	> 3 GHz
Maximum distance fron (geometric center of pro			5 ± 1 mm	½-δ·ln(2) ± 0.5 mm
Maximum probe angle f normal at the measurem			30° ± 1°	20°±1°
			≤ 2 GHz: ≤ 15 mm 2 – 3 GHz: ≤ 12 mm	3 – 4 GHz: ≤ 12 mm 4 – 6 GHz: ≤ 10 mm
Maximum area scan spa	tial resoluti	on: ∆x <sub>Area</sub> , ∆y <sub>Ana</sub>	When the x or y dimension of a measurement plane orientation measurement resolution must be dimension of the test device was point on the test device.	, is smaller than the above, the be ≤ the corresponding x or y
Maximum zoom scan sp	oatial resolu	tion: $\Delta x_{Zoom}$ , $\Delta y_{Zoom}$	≤ 2 GHz: ≤8 mm 2 - 3 GHz: ≤5 mm*	3 – 4 GHz: ≤ 5 mm* 4 – 6 GHz: ≤ 4 mm*
	uniform g	zrid: ∆z <sub>Zoom</sub> (n)	≤ 5 mm	3 - 4 GHz: ≤ 4 mm 4 - 5 GHz: ≤ 3 mm 5 - 6 GHz: ≤ 2 mm
Maximum zoom scan spatial resolution, normal to phantom surface	graded	Δz <sub>Zoom</sub> (1): between 1 <sup>st</sup> two points closest to phantom surface	≤ 4 mm	3 - 4 GHz: ≤ 3 mm 4 - 5 GHz: ≤ 2.5 mm 5 - 6 GHz: ≤ 2 mm
nurface	grid	Δz <sub>Zoom</sub> (n>1): between subsequent points	≤ 1.5·Δa	z <sub>Zoom</sub> (n-1)
Minimum zoom scan volume x, y, z		≥ 30 mm	3 – 4 GHz: ≥ 28 mm 4 – 5 GHz: ≥ 25 mm 5 – 6 GHz: ≥ 22 mm	

Note: δ is the penetration depth of a plane-wave at normal incidence to the tissue medium; see draft standard IEEE P1528-2011 for details.

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When zoom scan is required and the <u>reported</u> SAR from the area scan based 1-g SAR estimation procedures of KDB 447498 is ≤ 1.4 W/kg, ≤ 8 mm, ≤ 7 mm and ≤ 5 mm zoom scan resolution may be applied, respectively, for 2 GHz to 3 GHz, 3 GHz to 4 GHz and 4 GHz to 6 GHz.

#### 9.4 Volume Scan Procedures

The volume scan is used for assess overlapping SAR distributions for antennas transmitting in different frequency bands. It is equivalent to an oversized zoom scan used in standalone measurements. The measurement volume will be used to enclose all the simultaneous transmitting antennas. For antennas transmitting simultaneously in different frequency bands, the volume scan is measured separately in each frequency band. In order to sum correctly to compute the 1g aggregate SAR, the EUT remain in the same test position for all measurements and all volume scan use the same spatial resolution and grid spacing. When all volume scan were completed, the software, SEMCAD postprocessor can combine and subsequently superpose these measurement data to calculating the multiband SAR.

#### 9.5 SAR Averaged Methods

In DASY, the interpolation and extrapolation are both based on the modified Quadratic Shepard's method. The interpolation scheme combines a least-square fitted function method and a weighted average method which are the two basic types of computational interpolation and approximation.

Extrapolation routines are used to obtain SAR values between the lowest measurement points and the inner phantom surface. The extrapolation distance is determined by the surface detection distance and the probe sensor offset. The uncertainty increases with the extrapolation distance. To keep the uncertainty within 1% for the 1 g and 10 g cubes, the extrapolation distance should not be larger than 5 mm.

## 9.6 Power Drift Monitoring

All SAR testing is under the EUT install full charged battery and transmit maximum output power. In DASY measurement software, the power reference measurement and power drift measurement procedures are used for monitoring the power drift of EUT during SAR test. Both these procedures measure the field at a specified reference position before and after the SAR testing. The software will calculate the field difference in dB. If the power drifts more than 5%, the SAR will be retested.

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## 10. Conducted RF Output Power (Unit: dBm)

#### <WCDMA Conducted Power>

The following tests were conducted according to the test requirements outlines in 3GPP TS 34.121 specification.

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A summary of these settings are illustrated below:

#### **HSDPA Setup Configuration:**

- The EUT was connected to Base Station Agilent E5515C referred to the Setup Configuration.
- b. The RF path losses were compensated into the measurements.
- c. A call was established between EUT and Base Station with following setting:
  - i. Set Gain Factors ( $\beta_c$  and  $\beta_d$ ) and parameters were set according to each
  - ii. Specific sub-test in the following table, C10.1.4, quoted from the TS 34.121
  - Set RMC 12.2Kbps + HSDPA mode.
  - iv. Set Cell Power = -86 dBm
  - v. Set HS-DSCH Configuration Type to FRC (H-set 1, QPSK)
  - vi. Select HSDPA Uplink Parameters
  - vii. Set Delta ACK, Delta NACK and Delta CQI = 8
  - viii. Set Ack-Nack Repetition Factor to 3
  - ix. Set CQI Feedback Cycle (k) to 4 ms
  - x. Set CQI Repetition Factor to 2
  - xi. Power Ctrl Mode = All Up bits
- d. The transmitted maximum output power was recorded.

Table C.10.1.4: β values for transmitter characteristics tests with HS-DPCCH

Sub-test	βο	βd	β <sub>d</sub> (SF)	β₀/βа	βнs (Note1, Note 2)	CM (dB) (Note 3)	MPR (dB) (Note 3)
1	2/15	15/15	64	2/15	4/15	0.0	0.0
2	12/15 (Note 4)	15/15 (Note 4)	64	12/15 (Note 4)	24/15	1.0	0.0
3	15/15	8/15	64	15/8	30/15	1.5	0.5
4	4 15/15 4/15 6		64	15/4	30/15	1.5	0.5

Note 1:  $\triangle$ ACK,  $\triangle$ NACK and  $\triangle$ CQI = 30/15 with  $\beta$ <sub>Iss</sub> = 30/15 \*  $\beta$ <sub>c</sub>.

Note 2: For the HS-DPCCH power mask requirement test in clause 5.2C, 5.7A, and the Error Vector Magnitude (EVM) with HS-DPCCH test in clause 5.13.1A, and HSDPA EVM with phase discontinuity in clause 5.13.1AA,  $\Delta_{\rm AGK}$  and  $\Delta_{\rm NACK}$  = 30/15 with  $\beta_{hs}$  = 30/15 \*  $\beta_c$ , and  $\Delta_{\rm CQI}$  = 24/15

with  $\beta_{hs} = 24/15 * \beta_c$ .

Note 3: CM = 1 for  $\beta_e/\beta_d$  =12/15,  $\beta_{hs}/\beta_e$ =24/15. For all other combinations of DPDCH, DPCCH and HSDPCCH the MPR is based on the relative CM difference. This is applicable for only UEs that support HSDPA in release 6 and later releases.

Note 4: For subtest 2 the  $\beta_c/\beta_d$  ratio of 12/15 for the TFC during the measurement period (TF1, TF0) is achieved by setting the signalled gain factors for the reference TFC (TF1, TF1) to  $\beta_c$  = 11/15 and  $\beta_d$  = 15/15.

**Setup Configuration** 

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#### **HSUPA Setup Configuration:**

- a. The EUT was connected to Base Station Agilent E5515C referred to the Setup Configuration.
- b. The RF path losses were compensated into the measurements.
- c. A call was established between EUT and Base Station with following setting \*:
  - i. Call Configs = 5.2B, 5.9B, 5.10B, and 5.13.2B with QPSK
  - ii. Set the Gain Factors ( $\beta_c$  and  $\beta_d$ ) and parameters (AG Index) were set according to each specific sub-test in the following table, C11.1.3, quoted from the TS 34.121

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- iii. Set Cell Power = -86 dBm
- iv. Set Channel Type = 12.2k + HSPA
- v. Set UE Target Power
- vi. Power Ctrl Mode= Alternating bits
- vii. Set and observe the E-TFCI
- viii. Confirm that E-TFCI is equal to the target E-TFCI of 75 for sub-test 1, and other subtest's E-TFCI
- d. The transmitted maximum output power was recorded.

Table C.11.1.3: β values for transmitter characteristics tests with HS-DPCCH and E-DCH

Sub- test	βε	βa	β <sub>d</sub> (SF)	βc/βd	βнs (Note1)	βес	β <sub>ed</sub> (Note 5) (Note 6)	β <sub>ed</sub> (SF)	β <sub>ed</sub> (Codes)	CM (dB) (Note 2)	MPR (dB) (Note 2)	AG Index (Note 6)	E- TFCI
1	11/15 (Note 3)	15/15 (Note 3)	64	11/15 (Note 3)	22/15	209/2 25	1309/225	4	1	1.0	0.0	20	75
2	6/15	15/15	64	6/15	12/15	12/15	94/75	4	1	3.0	2.0	12	67
3	15/15	9/15	64	15/9	30/15	30/15	β <sub>ed</sub> 1: 47/15 β <sub>ed</sub> 2: 47/15	4	2	2.0	1.0	15	92
4	2/15	15/15	64	2/15	4/15	2/15	56/75	4	1	3.0	2.0	17	71
5	15/15 (Note 4)	15/15 (Note 4)	64	15/15 (Note 4)	30/15	24/15	134/15	4	1	1.0	0.0	21	81

- Note 1:  $\Delta_{\rm ACK}$ ,  $\Delta_{\rm NACK}$  and  $\Delta_{\rm CQI}$  = 30/15 with  $\beta_{hs}$  = 30/15 \*  $\beta_c$  .
- Note 2: CM = 1 for  $\beta_c/\beta_d$  =12/15,  $\beta_{hs}/\beta_c$ =24/15. For all other combinations of DPDCH, DPCCH, HS- DPCCH, E-DPDCH and E-DPCCH the MPR is based on the relative CM difference.
- Note 3: For subtest 1 the  $\beta_c/\beta_d$  ratio of 11/15 for the TFC during the measurement period (TF1, TF0) is achieved by setting the signalled gain factors for the reference TFC (TF1, TF1) to  $\beta_c$  = 10/15 and  $\beta_d$  = 15/15.
- Note 4: For subtest 5 the  $\beta_c/\beta_d$  ratio of 15/15 for the TFC during the measurement period (TF1, TF0) is achieved by setting the signalled gain factors for the reference TFC (TF1, TF1) to  $\beta_c$  = 14/15 and  $\beta_d$  = 15/15.
- Note 5: In case of testing by UE using E-DPDCH Physical Layer category 1, Sub-test 3 is omitted according to TS25.306 Table 5.1g.
- Note 6: β<sub>ed</sub> can not be set directly, it is set by Absolute Grant Value.

#### **Setup Configuration**

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#### HSPA+ 3GPP release 7 (uplink category 7) 16QAM, Setup Configuration:

- The EUT was connected to Base Station Agilent E5515C referred to the Setup Configuration.
- The RF path losses were compensated into the measurements.
- A call was established between EUT and Base Station with following setting \*:
  - Call Configs = 5.2E:HSPA+:UL with 16QAM
  - Set the Gain Factors ( $\beta_c$  and  $\beta_d$ ) and parameters (AG Index) were set according to each specific sub-test in ii. the following table, C11.1.4, quoted from the TS 34.121-1 s5.2E

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- iii. Set Channel Parms
- iv. Set Cell Power = -86 dBm
- v. Set Channel Type = HSPA
- vi. Set UE Target Power =21 dBm vii. Power Ctrl Mode= All Up Bits
- viii. Set Manual Uplink DPCH Bc/Bd = Manual
- ix. Set Manual Uplink DPCH Bc and Bd=15,15(for 34.121-1 v8.10.0 table C11.1.4 sub-test 1)
- Χ. Set HSPA Conn DL Channel Levels
- xi. Set HS-SCCH Configs
- xii. Set RB Test Mode Setup
- xiii. Set Common HSUPA Parameters
- xiv. Set Serving Grant
- xv. Confirm that E-TFCI is equal to the target E-TFCI of 105 for sub-test 1, and other subtest's E-TFCI
- d. The transmitted maximum output power was recorded.

Table C.11.1.4: β values for transmitter characteristics tests with HS-DPCCH and E-DCH with 16QAM

Sub- test	β <sub>c</sub> (Note3)	βa	β <sub>HS</sub> (Note1)	βec	β <sub>ed</sub> (2xSF2) (Note 4)	β <sub>ed</sub> (2xSF4) (Note 4)	CM (dB) (Note 2)	MPR (dB) (Note 2)	AG Index (Note 4)	E-TFCI (Note 5)	E-TFCI (boost)
1	1	0	30/15	30/15	β <sub>ed</sub> 1: 30/15 β <sub>ed</sub> 2: 30/15	β <sub>ed</sub> 3: 24/15 β <sub>ed</sub> 4: 24/15	3.5	2.5	14	105	105
Note 1					with $\beta_{ls} = 30/15$ ed on the relative		, MPR = M	AX(CM-1	,0).		
Note 3	: DPD	CH is	not config	ured, the	refore the βc is s	et to 1 and βd =	0 by defau	II.			
Note 4	: Bed C	an no	t be set di	rectly; it is	set by Absolute	Grant Value.	0.020				
Note 5	: All th	e sub	-tests requ	uire the U	E to transmit 2S	F2+2SF4 16QA	M EDCH a	nd they a	pply for l	JE using	E-

configurations DPDCH is not allocated. The UE is signaled to use the extrapolation algorithm. **Setup Configuration** 

DPDCH category 7. E-DCH TTI is set to 2ms TTI and E-DCH table index = 2. To support these E-DCH

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#### **DC-HSDPA 3GPP release 8 Setup Configuration:**

- a. The EUT was connected to Base Station Agilent E5515C referred to the Setup Configuration below
- The RF path losses were compensated into the measurements.
- c. A call was established between EUT and Base Station with following setting:
  - i. Set RMC 12.2Kbps + HSDPA mode.
  - ii. Set Cell Power = -25 dBm
  - iii. Set HS-DSCH Configuration Type to FRC (H-set 12, QPSK)
  - iv. Select HSDPA Uplink Parameters
  - v. Set Gain Factors ( $\beta_c$  and  $\beta_d$ ) and parameters were set according to each Specific sub-test in the following table, C10.1.4, quoted from the TS 34.121

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- a). Subtest 1:  $\beta_c/\beta_d=2/15$
- b). Subtest 2:  $\beta_c/\beta_d=12/15$
- c). Subtest 3:  $\beta_c/\beta_d$ =15/8
- d). Subtest 4:  $\beta_c/\beta_d$ =15/4
- vi. Set Delta ACK, Delta NACK and Delta CQI = 8
- vii. Set Ack-Nack Repetition Factor to 3
- viii. Set CQI Feedback Cycle (k) to 4 ms
- ix. Set CQI Repetition Factor to 2
- c. Power Ctrl Mode = All Up bits
- d. The transmitted maximum output power was recorded.

The following tests were conducted according to the test requirements outlines in 3GPP TS 34.121 specification. A summary of these settings are illustrated below:

#### C.8.1.12 Fixed Reference Channel Definition H-Set 12

Table C.8.1.12: Fixed Reference Channel H-Set 12

	Parameter	Unit	Value				
Nominal	Avg. Inf. Bit Rate	kbps	60				
Inter-TTI	Distance	TTI's	1				
Number	of HARQ Processes	Proces	6				
		ses	0				
Informati	on Bit Payload ( $N_{\it INF}$ )	Bits	120				
Number	Code Blocks	Blocks	1				
Binary C	hannel Bits Per TTI	Bits	960				
Total Ava	ailable SML's in UE	SML's	19200				
Number	Number of SML's per HARQ Proc. SML's 3200						
Coding F	Rate		0.15				
Number	of Physical Channel Codes	Codes	1				
Modulation	on		QPSK				
Note 1:	The RMC is intended to be used for	or DC-HSD	PA				
	mode and both cells shall transmit	with identi	ical				
	parameters as listed in the table.						
Note 2:							
	retransmission is not allowed. The		cy and				
	constellation version 0 shall be use	ed.					

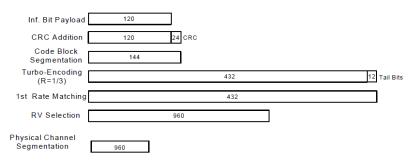


Figure C.8.19: Coding rate for Fixed reference Channel H-Set 12 (QPSK)

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#### < WCDMA Conducted Power>

#### Note:

- 1. Applying the subtest setup in Table C.11.1.3 of 3GPP TS 34.121-1 V9.1.0 to Rel. 6 HSPA, and the subtest setup in Table C.11.1.4 to Rel. 7 HSPA+ and Table, C10.1.4 to Rel 8 DC-HSDPA.
- 2. By design, HSDPA/HSUPA, HSPA+ (16QAM in uplink) and DC-HSDPA RF power will not be larger than RMC 12.2kbps, detailed information is included in Tune-up Procure exhibit.
- 3. It is expected by the manufacturer that MPR for some HSDPA/HSUPA, HSPA+ (16QAM in uplink) and DC-HSDPA subtests may differ from the specification of 3GPP, according to the chipset implementation in this model. The implementation and expected deviation are detailed in tune-up procedure exhibit.

	Band		WCDMA II					
	TX Channel	9262	9400	9538				
	Rx Channel	9662	9800	9938				
Fi	requency (MHz)	1852.4	1880	1907.6				
3GPP Rel 99	RMC 12.2Kbps	<mark>21.92</mark>	21.89	21.76				
3GPP Rel 6	HSDPA Subtest-1	20.88	20.81	20.78				
3GPP Rel 6	HSDPA Subtest-2	20.86	20.84	20.75				
3GPP Rel 6	HSDPA Subtest-3	20.40	20.37	20.19				
3GPP Rel 6	HSDPA Subtest-4	20.38	20.34	20.18				
3GPP Rel 8	DC-HSDPA Subtest-1	20.87	20.84	20.75				
3GPP Rel 8	DC-HSDPA Subtest-2	20.86	20.80	20.70				
3GPP Rel 8	DC-HSDPA Subtest-3	20.39	20.34	20.10				
3GPP Rel 8	DC-HSDPA Subtest-4	20.38	20.34	20.14				
3GPP Rel 6	HSUPA Subtest-1	19.74	19.67	19.58				
3GPP Rel 6	HSUPA Subtest-2	18.75	18.69	18.54				
3GPP Rel 6	HSUPA Subtest-3	19.79	19.69	19.52				
3GPP Rel 6	HSUPA Subtest-4	18.31	18.18	17.97				
3GPP Rel 6	HSUPA Subtest-5	20.26	20.13	19.99				
3GPP Rel 7	HSPA+ (16QAM) Subtest-1	20.22	20.06	19.95				
3GPP MPR	MPR result	WCDMA II						
specification								
0	HSDPA Subtest-1	0.00	0.00	0.00				
0	HSDPA Subtest-2	0.02	-0.03	0.03				
≦0.5	HSDPA Subtest-3	0.48	0.44	0.59				
≦0.5	HSDPA Subtest-4	0.50	0.47	0.60				
0	DC-HSDPA Subtest-1	0.00	0.00	0.00				
0	DC-HSDPA Subtest-2	0.01	0.04	0.05				
≦0.5	DC-HSDPA Subtest-3	0.48	0.50	0.65				
≦0.5	DC-HSDPA Subtest-4	0.49	0.50	0.61				
≦0	HSUPA Subtest-1	0.52	0.46	0.41				
≦2	HSUPA Subtest-2	1.51	1.44	1.45				
≦1	HSUPA Subtest-3	0.47	0.44	0.47				
<b>≦2</b>	HSUPA Subtest-4	1.95	1.95	2.02				
≦0	HSUPA Subtest-5	0.00	0.00	0.00				
≦2.5	HSPA+ (16QAM) Subtest-1	0.04	0.07	0.04				

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## 11. SAR Test Results

#### Note:

- 1. Per KDB 447498 D01v05r01, the reported SAR is the measured SAR value adjusted for maximum tune-up tolerance. Scaling Factor = tune-up limit power (mW) / EUT RF power (mW), where tune-up limit is the maximum rated power among all production units.
  - Reported SAR(W/kg)= Measured SAR(W/kg)\* Scaling Factor
- Per KDB 447498 D01v05r01, for each exposure position, if the highest output channel reported SAR ≤0.8W/kg, other
  channels SAR testing is not necessary.
- 3. Per KDB 941225 D02v02r02, RMC 12.2kbps setting is used to evaluate SAR. If HSDPA/HSUPA, HSPA+ (16QAM in uplink) output power is < 0.25dB higher than RMC, or reported SAR with RMC 12.2kbps setting is ≤ 1.2W/kg, HSDPA/HSUPA and HSPA+ (16QAM in uplink) SAR evaluation can be excluded. DC-HSDPA 4 subtests SAR test exclusion follows HSPA procedure in KDB 941225 D01v02 according to 2012-Oct TCB workshop RF exposure update.

### 11.1 Test Records for Body SAR Test

#### <WCDMA SAR>

Plot No.	Rand	Mode	Test Position	Gap (cm)	Ch.	Freq. (MHz)	Average Power (dBm)	Tune-Up Limit (dBm)	Scaling Factor	Power Drift (dB)	Measured SAR <sub>1g</sub> (W/kg)	Reported SAR <sub>1g</sub> (W/kg)
#01	WCDMA Band II	RMC12.2K	Horizontal Up	0.5	9262	1852.4	21.92	22	1.019	0.06	0.742	0.756
#02	WCDMA Band II	RMC12.2K	Horizontal Down	0.5	9262	1852.4	21.92	22	1.019	0.1	0.870	0.886
#03	WCDMA Band II	RMC12.2K	Vertical Front	0.5	9262	1852.4	21.92	22	1.019	-0.07	0.535	0.545
#04	WCDMA Band II	RMC12.2K	Vertical Back	0.5	9262	1852.4	21.92	22	1.019	-0.19	0.555	0.565
#05	WCDMA Band II	RMC12.2K	Tip Mode	0.5	9262	1852.4	21.92	22	1.019	-0.02	0.040	0.041
#08	WCDMA Band II	RMC12.2K	Horizontal Down	0.5	9400	1880	21.89	22	1.026	0.08	1.070	1.097
#09	WCDMA Band II	RMC12.2K	Horizontal Down	0.5	9538	1907.6	21.76	22	1.057	0.15	1.120	<mark>1.184</mark>

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## 11.2 Repeated SAR Measurement

Plo No	Rand	Mode	Test Position	Gap (cm)	Cn.	Freq. (MHz)	Average Power (dBm)	Tune-Up Limit (dBm)	Scaling Factor	Power Drift (dB)	Measured SAR <sub>1g</sub> (W/kg)	Ratio	Reported SAR <sub>1g</sub> (W/kg)
#09	WCDMA Band II	RMC12.2K	Horizontal Down	0.5	9538	1907.6	21.76	22	1.057	0.15	1.120	1	1.184
#10	WCDMA Band II	RMC12.2K	Horizontal Down	0.5	9538	1907.6	21.76	22	1.057	0.07	1.090	1.028	1.152

#### Note:

- 1. Per KDB 865664 D01v01r01, for each frequency band, repeated SAR measurement is required only when the measured SAR is ≥0.8W/kg
- 2. Per KDB 865664 D01v01r01, if the ratio among the repeated measurement is ≤ 1.2 and the measured SAR <1.45W/kg, only one repeated measurement is required.
- 3. The ratio is the difference in percentage between original and repeated *measured SAR*.
- 4. All measurement SAR result is scaled-up to account for tune-up tolerance and is compliant.

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## 11.3 Highest SAR Plot

Test Laboratory: Sporton International Inc. SAR/HAC Testing Lab

Date: 2013-8-5

#### #09 WCDMA Band II RMC12.2K Horizontal Down 0.5cm Ch9538

#### **DUT: 373004**

Communication System: UMTS; Frequency: 1907.6 MHz; Duty Cycle: 1:1

Medium: MSL 1900 130805 Medium parameters used: f = 1908 MHz;  $\sigma = 1.56$  mho/m;  $\epsilon_c =$ 

53.294;  $\rho = 1000 \text{ kg/m}^3$ 

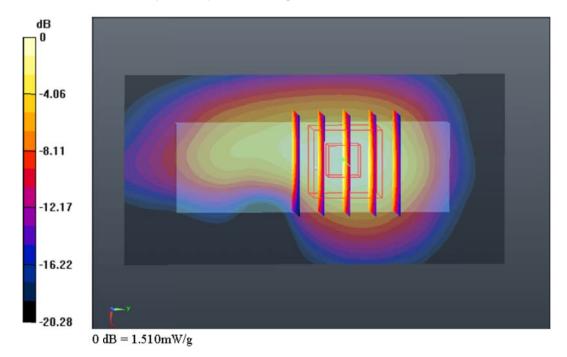
Ambient Temperature: 23.3 °C; Liquid Temperature: 22.7 °C

#### DASY5 Configuration:

- Probe: EX3DV4 SN3857; ConvF(7.52, 7.52, 7.52); Calibrated: 2013-6-20
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1210; Calibrated: 2013-6-19
- Phantom: SAM2; Type: SAM; Serial: TP-1477
- Measurement SW: DASY52, Version 52.8 (5); SEMCAD X Version 14.4.5 (3634)

#### Ch9538/Area Scan (41x81x1): Measurement grid: dx=15mm, dy=15mm Maximum value of SAR (interpolated) = 1651 mW/g

Ch9538/Zoom Scan (5x5x7)/Cube 0: Measurement grid: dx=8mm, dy=8mm, dz=5mm Reference Value = 25.576 V/m; Power Drift = 0.15 dBPeak SAR (extrapolated) = 1.820 W/kg SAR(1 g) = 1.120 mW/g; SAR(10 g) = 0.617 mW/gMaximum value of SAR (measured) = 1.515 mW/g



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# 12. <u>Simultaneous Transmission Analysis</u>

NO.	Simultaneous Transmission Configurations
1.	None

Test Engineer: Kat Yin

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## 13. <u>Uncertainty Assessment</u>

The component of uncertainly may generally be categorized according to the methods used to evaluate them. The evaluation of uncertainly by the statistical analysis of a series of observations is termed a Type An evaluation of uncertainty. The evaluation of uncertainty by means other than the statistical analysis of a series of observation is termed a Type B evaluation of uncertainty. Each component of uncertainty, however evaluated, is represented by an estimated standard deviation, termed standard uncertainty, which is determined by the positive square root of the estimated variance.

A Type A evaluation of standard uncertainty may be based on any valid statistical method for treating data. This includes calculating the standard deviation of the mean of a series of independent observations; using the method of least squares to fit a curve to the data in order to estimate the parameter of the curve and their standard deviations; or carrying out an analysis of variance in order to identify and quantify random effects in certain kinds of measurement.

A type B evaluation of standard uncertainty is typically based on scientific judgment using all of the relevant information available. These may include previous measurement data, experience, and knowledge of the behavior and properties of relevant materials and instruments, manufacture's specification, data provided in calibration reports and uncertainties assigned to reference data taken from handbooks. Broadly speaking, the uncertainty is either obtained from an outdoor source or obtained from an assumed distribution, such as the normal distribution, rectangular or triangular distributions indicated in Table 12.1

Uncertainty Distributions Normal		Rectangular	Triangular	U-Shape	
Multi-plying Factor <sup>(a)</sup>	1/k <sup>(b)</sup>	1/√3	1/√6	1/√2	

- (a) standard uncertainty is determined as the product of the multiplying factor and the estimated range of variations in the measured quantity
- (b)  $\kappa$  is the coverage factor

#### **Table 13.1 Standard Uncertainty for Assumed Distribution**

The combined standard uncertainty of the measurement result represents the estimated standard deviation of the result. It is obtained by combining the individual standard uncertainties of both Type A and Type B evaluation using the usual "root-sum-squares" (RSS) methods of combining standard deviations by taking the positive square root of the estimated variances.

Expanded uncertainty is a measure of uncertainty that defines an interval about the measurement result within which the measured value is confidently believed to lie. It is obtained by multiplying the combined standard uncertainty by a coverage factor. Typically, the coverage factor ranges from 2 to 3. Using a coverage factor allows the true value of a measured quantity to be specified with a defined probability within the specified uncertainty range. For purpose of this document, a coverage factor two is used, which corresponds to confidence interval of about 95 %. The DASY uncertainty Budget is shown in the following tables.

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	Uncertainty	Probability		Ci	Ci	Standard	Standard			
Error Description	Value	Distribution	Divisor	(1g)	(10g)	Uncertainty	Uncertainty			
	(±%)					(1g)	(10g)			
Measurement System										
Probe Calibration	6.0	Normal	1	1	1	± 6.0 %	± 6.0 %			
Axial Isotropy	4.7	Rectangular	√3	0.7	0.7	± 1.9 %	± 1.9 %			
Hemispherical Isotropy	9.6	Rectangular	√3	0.7	0.7	± 3.9 %	± 3.9 %			
Boundary Effects	1.0	Rectangular	√3	1	1	± 0.6 %	± 0.6 %			
Linearity	4.7	Rectangular	√3	1	1	± 2.7 %	± 2.7 %			
System Detection Limits	1.0	Rectangular	√3	1	1	± 0.6 %	± 0.6 %			
Readout Electronics	0.3	Normal	1	1	1	± 0.3 %	± 0.3 %			
Response Time	0.8	Rectangular	√3	1	1	± 0.5 %	± 0.5 %			
Integration Time	2.6	Rectangular	√3	1	1	± 1.5 %	± 1.5 %			
RF Ambient Noise	3.0	Rectangular	√3	1	1	± 1.7 %	± 1.7 %			
RF Ambient Reflections	3.0	Rectangular	√3	1	1	± 1.7 %	± 1.7 %			
Probe Positioner	0.4	Rectangular	√3	1	1	± 0.2 %	± 0.2 %			
Probe Positioning	2.9	Rectangular	√3	1	1	± 1.7 %	± 1.7 %			
Max. SAR Eval.	1.0	Rectangular	√3	1	1	± 0.6 %	± 0.6 %			
Test Sample Related										
Device Positioning	2.9	Normal	1	1	1	± 2.9 %	± 2.9 %			
Device Holder	3.6	Normal	1	1	1	± 3.6 %	± 3.6 %			
Power Drift	5.0	Rectangular	√3	1	1	± 2.9 %	± 2.9 %			
Phantom and Setup										
Phantom Uncertainty	4.0	Rectangular	√3	1	1	± 2.3 %	± 2.3 %			
Liquid Conductivity (Target)	5.0	Rectangular	√3	0.64	0.43	± 1.8 %	± 1.2 %			
Liquid Conductivity (Meas.)	2.5	Normal	1	0.64	0.43	± 1.6 %	± 1.1 %			
Liquid Permittivity (Target)	5.0	Rectangular	√3	0.6	0.49	± 1.7 %	± 1.4 %			
Liquid Permittivity (Meas.)	2.5	Normal	1	0.6	0.49	± 1.5 %	± 1.2 %			
Combined Standard Uncertainty							± 10.8 %			
Coverage Factor for 95 %						K=2				
Expanded Uncertainty							± 21.5 %			

Table 13.2 Uncertainty Budget for frequency range 300 MHz to 3 GHz

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## 14. References

- [1] FCC 47 CFR Part 2 "Frequency Allocations and Radio Treaty Matters; General Rules and Regulations"
- [2] ANSI/IEEE Std. C95.1-1992, "IEEE Standard for Safety Levels with Respect to Human Exposure to Radio Frequency Electromagnetic Fields, 3 kHz to 300 GHz", September 1992
- [3] IEEE Std. 1528-2003, "Recommended Practice for Determining the Peak Spatial-Average Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", December 2003
- [4] SPEAG DASY System Handbook
- [5] FCC KDB 447498 D01 v05r01, "Mobile and Portable Device RF Exposure Procedures and Equipment Authorization Policies", May 2013
- [6] FCC KDB 447498 D02 v02, "SAR Measurement Procedures for USB Dongle Transmitters", November 2009
- [7] FCC KDB 941225 D01 v02, "SAR Measurement Procedures for 3G Devices CDMA 2000 / Ev-Do / WCDMA / HSDPA / HSPA", October 2007
- [8] FCC KDB 941225 D02 v02r02, "SAR Guidance for HSPA, HSPA+, DC-HSDPA and 1x-Advanced", May 2013.

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## FCC SAR Test Report

#### Appendix A. Plots of System Performance Check

The plots are shown as follows.

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#### System Check\_Body\_1900MHz\_130805

#### DUT: D1900V2 - SN:5d118

Communication System: CW; Frequency: 1900 MHz; Duty Cycle: 1:1

Medium: MSL 1900 130805 Medium parameters used: f = 1900 MHz;  $\sigma = 1.552$  mho/m;  $\varepsilon_r =$ 

Date: 2013-8-5

53.317;  $\rho = 1000 \text{ kg/m}^3$ Ambient Temperature : 23.3 °C; Liquid Temperature : 22.7 °C

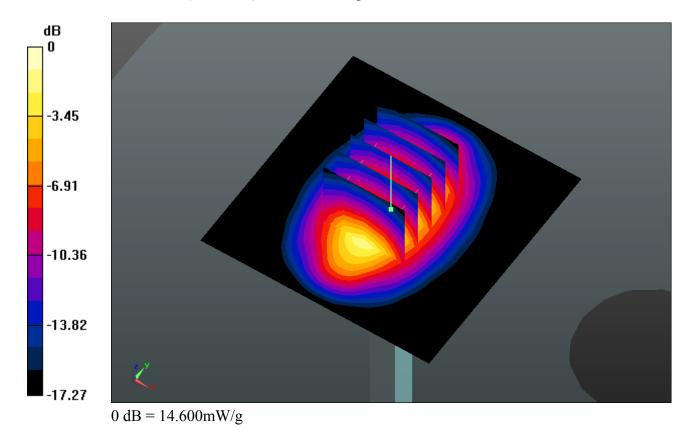
#### DASY5 Configuration:

- Probe: EX3DV4 SN3857; ConvF(7.52, 7.52, 7.52); Calibrated: 2013-6-20
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1210; Calibrated: 2013-6-19
- Phantom: SAM2; Type: SAM; Serial: TP-1477
- Measurement SW: DASY52, Version 52.8 (5); SEMCAD X Version 14.4.5 (3634)

Pin=250mW/Area Scan (61x61x1): Measurement grid: dx=15mm, dy=15mm Maximum value of SAR (interpolated) = 14.680 mW/g

Pin=250mW/Zoom Scan (5x5x7)/Cube 0: Measurement grid: dx=8mm, dy=8mm, dz=5mm Reference Value = 87.676 V/m; Power Drift = -0.03 dB Peak SAR (extrapolated) = 17.950 W/kg

SAR(1 g) = 10.3 mW/g; SAR(10 g) = 5.42 mW/gMaximum value of SAR (measured) = 14.603 mW/g





# Appendix B. Plots of SAR Measurement

The plots are shown as follows.

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## #01 WCDMA Band II RMC12.2K Horizontal Up 0.5cm Ch9262

#### **DUT: 373004**

Communication System: UMTS; Frequency: 1852.4 MHz; Duty Cycle: 1:1

Medium: MSL 1900 130805 Medium parameters used: f = 1852.4 MHz;  $\sigma = 1.494$  mho/m;  $\varepsilon_r =$ 

Date: 2013-8-5

53.427;  $\rho = 1000 \text{ kg/m}^3$ 

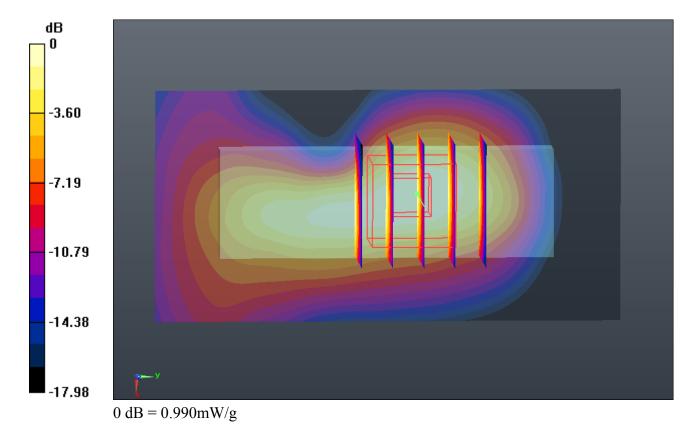
Ambient Temperature: 23.3 °C; Liquid Temperature: 22.7 °C

#### DASY5 Configuration:

- Probe: EX3DV4 SN3857; ConvF(7.52, 7.52, 7.52); Calibrated: 2013-6-20
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1210; Calibrated: 2013-6-19
- Phantom: SAM2; Type: SAM; Serial: TP-1477
- Measurement SW: DASY52, Version 52.8 (5); SEMCAD X Version 14.4.5 (3634)

**Ch9262/Area Scan (41x81x1):** Measurement grid: dx=15mm, dy=15mm Maximum value of SAR (interpolated) = 1.082 mW/g

Ch9262/Zoom Scan (5x5x7)/Cube 0: Measurement grid: dx=8mm, dy=8mm, dz=5mm Reference Value = 23.382 V/m; Power Drift = 0.06 dB Peak SAR (extrapolated) = 1.177 W/kg SAR(1 g) = 0.742 mW/g; SAR(10 g) = 0.418 mW/g Maximum value of SAR (measured) = 0.990 mW/g



## #02 WCDMA Band II RMC12.2K Horizontal Down 0.5cm Ch9262

#### **DUT: 373004**

Communication System: UMTS; Frequency: 1852.4 MHz; Duty Cycle: 1:1

Medium: MSL\_1900\_130805 Medium parameters used: f = 1852.4 MHz;  $\sigma = 1.494$  mho/m;  $\varepsilon_r =$ 

Date: 2013-8-5

53.427;  $\rho = 1000 \text{ kg/m}^3$ 

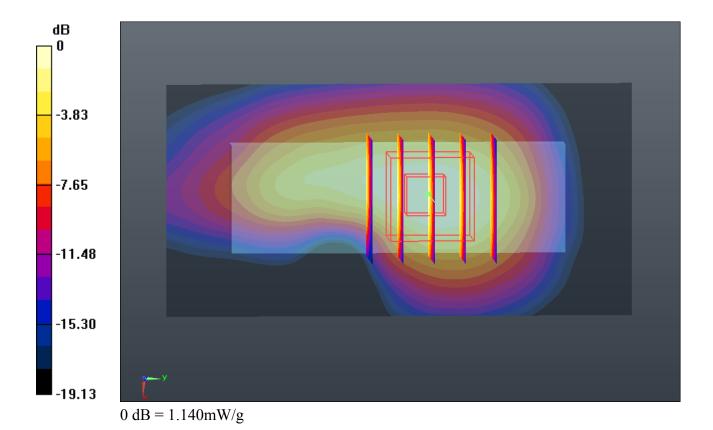
Ambient Temperature: 23.3 °C; Liquid Temperature: 22.7 °C

#### DASY5 Configuration:

- Probe: EX3DV4 SN3857; ConvF(7.52, 7.52, 7.52); Calibrated: 2013-6-20
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1210; Calibrated: 2013-6-19
- Phantom: SAM2; Type: SAM; Serial: TP-1477
- Measurement SW: DASY52, Version 52.8 (5); SEMCAD X Version 14.4.5 (3634)

**Ch9262/Area Scan (41x81x1):** Measurement grid: dx=15mm, dy=15mm Maximum value of SAR (interpolated) = 1.272 mW/g

Ch9262/Zoom Scan (5x5x7)/Cube 0: Measurement grid: dx=8mm, dy=8mm, dz=5mm Reference Value = 24.549 V/m; Power Drift = 0.10 dB Peak SAR (extrapolated) = 1.346 W/kg SAR(1 g) = 0.870 mW/g; SAR(10 g) = 0.498 mW/g Maximum value of SAR (measured) = 1.138 mW/g



## #03 WCDMA Band II RMC12.2K Vertical Front 0.5cm Ch9262

#### **DUT: 373004**

Communication System: UMTS; Frequency: 1852.4 MHz; Duty Cycle: 1:1

Medium: MSL 1900 130805 Medium parameters used: f = 1852.4 MHz;  $\sigma = 1.494$  mho/m;  $\varepsilon_r =$ 

Date: 2013-8-5

53.427;  $\rho = 1000 \text{ kg/m}^3$ 

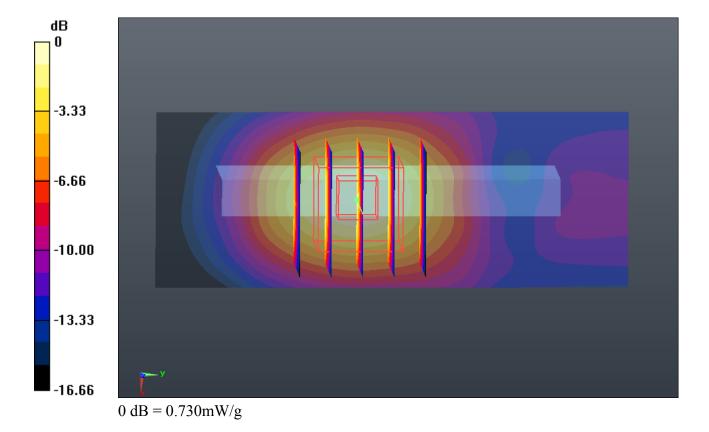
Ambient Temperature: 23.3 °C; Liquid Temperature: 22.7 °C

#### DASY5 Configuration:

- Probe: EX3DV4 SN3857; ConvF(7.52, 7.52, 7.52); Calibrated: 2013-6-20
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1210; Calibrated: 2013-6-19
- Phantom: SAM2; Type: SAM; Serial: TP-1477
- Measurement SW: DASY52, Version 52.8 (5); SEMCAD X Version 14.4.5 (3634)

**Ch9262/Area Scan (31x81x1):** Measurement grid: dx=15mm, dy=15mm Maximum value of SAR (interpolated) = 0.811 mW/g

Ch9262/Zoom Scan (5x5x7)/Cube 0: Measurement grid: dx=8mm, dy=8mm, dz=5mm Reference Value = 18.522 V/m; Power Drift = -0.07 dB Peak SAR (extrapolated) = 0.885 W/kg SAR(1 g) = 0.535 mW/g; SAR(10 g) = 0.291 mW/g Maximum value of SAR (measured) = 0.730 mW/g



## #04 WCDMA Band II\_RMC12.2K\_Vertical Back 0.5cm\_Ch9262

#### **DUT: 373004**

Communication System: UMTS; Frequency: 1852.4 MHz; Duty Cycle: 1:1

Medium: MSL\_1900\_130805 Medium parameters used: f = 1852.4 MHz;  $\sigma = 1.494$  mho/m;  $\varepsilon_r =$ 

Date: 2013-8-5

53.427;  $\rho = 1000 \text{ kg/m}^3$ 

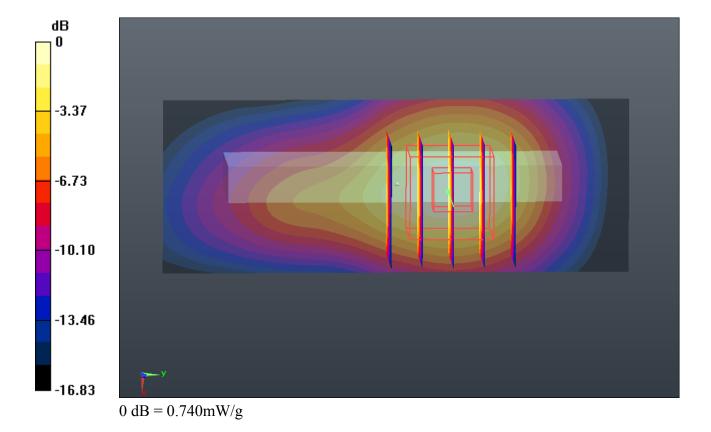
Ambient Temperature: 23.3 °C; Liquid Temperature: 22.7 °C

#### DASY5 Configuration:

- Probe: EX3DV4 SN3857; ConvF(7.52, 7.52, 7.52); Calibrated: 2013-6-20
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1210; Calibrated: 2013-6-19
- Phantom: SAM2; Type: SAM; Serial: TP-1477
- Measurement SW: DASY52, Version 52.8 (5); SEMCAD X Version 14.4.5 (3634)

**Ch9262/Area Scan (31x81x1):** Measurement grid: dx=15mm, dy=15mm Maximum value of SAR (interpolated) = 0.771 mW/g

Ch9262/Zoom Scan (5x5x7)/Cube 0: Measurement grid: dx=8mm, dy=8mm, dz=5mm Reference Value = 17.290 V/m; Power Drift = -0.19 dB Peak SAR (extrapolated) = 0.883 W/kg SAR(1 g) = 0.555 mW/g; SAR(10 g) = 0.315 mW/g Maximum value of SAR (measured) = 0.741 mW/g



## #05 WCDMA Band II\_RMC12.2K\_Tip Mode 0.5cm\_Ch9262

#### **DUT: 373004**

Communication System: UMTS; Frequency: 1852.4 MHz; Duty Cycle: 1:1

Medium: MSL 1900\_130805 Medium parameters used: f = 1852.4 MHz;  $\sigma = 1.494$  mho/m;  $\varepsilon_r =$ 

Date: 2013-8-5

53.427;  $\rho = 1000 \text{ kg/m}^3$ 

Ambient Temperature: 23.3 °C; Liquid Temperature: 22.7 °C

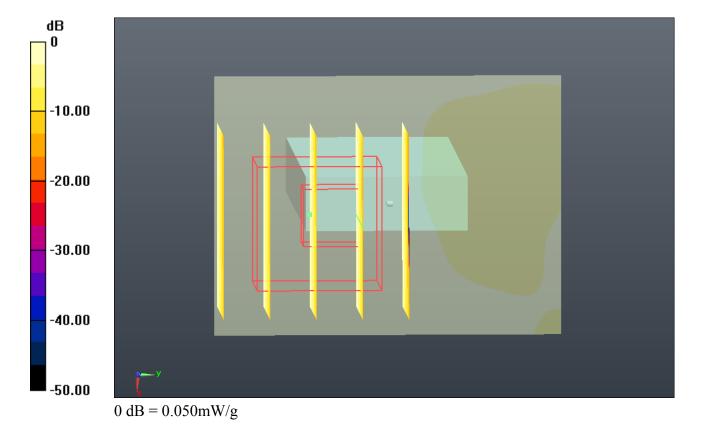
#### DASY5 Configuration:

- Probe: EX3DV4 SN3857; ConvF(7.52, 7.52, 7.52); Calibrated: 2013-6-20
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1210; Calibrated: 2013-6-19
- Phantom: SAM2; Type: SAM; Serial: TP-1477
- Measurement SW: DASY52, Version 52.8 (5); SEMCAD X Version 14.4.5 (3634)

Ch9262/Area Scan (31x41x1): Measurement grid: dx=15mm, dy=15mm Maximum value of SAR (interpolated) = 0.053 mW/g

Ch9262/Zoom Scan (5x5x7)/Cube 0: Measurement grid: dx=8mm, dy=8mm, dz=5mm Reference Value = 4.859 V/m; Power Drift = -0.02 dB Peak SAR (extrapolated) = 0.060 W/kg SAR(1 g) = 0.040 mW/g; SAR(10 g) = 0.026 mW/g

Maximum value of SAR (measured) = 0.050 mW/g



## #08 WCDMA Band II RMC12.2K Horizontal Down 0.5cm Ch9400

#### **DUT: 373004**

Communication System: UMTS; Frequency: 1880 MHz; Duty Cycle: 1:1

Medium: MSL 1900\_130805 Medium parameters used: f = 1880 MHz;  $\sigma = 1.528$  mho/m;  $\varepsilon_r =$ 

Date: 2013-8-5

53.371;  $\rho = 1000 \text{ kg/m}^3$ 

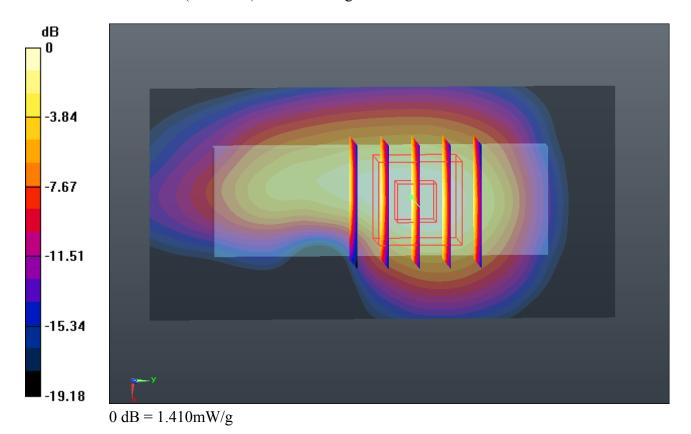
Ambient Temperature: 23.3 °C; Liquid Temperature: 22.7 °C

#### DASY5 Configuration:

- Probe: EX3DV4 SN3857; ConvF(7.52, 7.52, 7.52); Calibrated: 2013-6-20
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1210; Calibrated: 2013-6-19
- Phantom: SAM2; Type: SAM; Serial: TP-1477
- Measurement SW: DASY52, Version 52.8 (5); SEMCAD X Version 14.4.5 (3634)

**Ch9400/Area Scan (41x81x1):** Measurement grid: dx=15mm, dy=15mm Maximum value of SAR (interpolated) = 1.585 mW/g

Ch9400/Zoom Scan (5x5x7)/Cube 0: Measurement grid: dx=8mm, dy=8mm, dz=5mm Reference Value = 26.622 V/m; Power Drift = 0.08 dB Peak SAR (extrapolated) = 1.667 W/kg SAR(1 g) = 1.070 mW/g; SAR(10 g) = 0.606 mW/g Maximum value of SAR (measured) = 1.411 mW/g



## #09 WCDMA Band II RMC12.2K Horizontal Down 0.5cm Ch9538

#### **DUT: 373004**

Communication System: UMTS; Frequency: 1907.6 MHz; Duty Cycle: 1:1

Medium: MSL 1900\_130805 Medium parameters used: f = 1908 MHz;  $\sigma = 1.56$  mho/m;  $\varepsilon_r =$ 

Date: 2013-8-5

53.294;  $\rho = 1000 \text{ kg/m}^3$ 

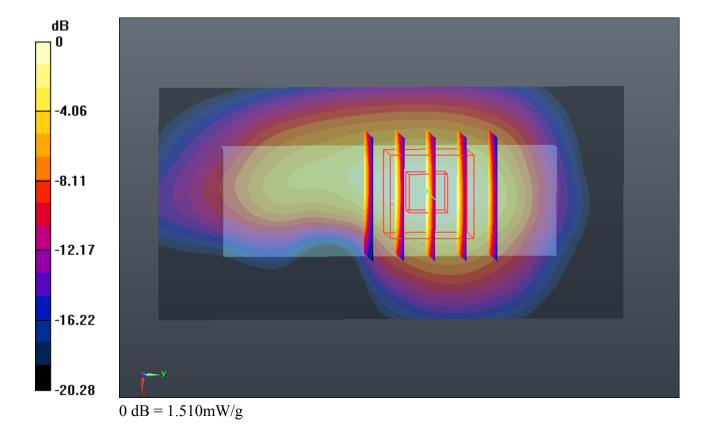
Ambient Temperature: 23.3 °C; Liquid Temperature: 22.7 °C

#### DASY5 Configuration:

- Probe: EX3DV4 SN3857; ConvF(7.52, 7.52, 7.52); Calibrated: 2013-6-20
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1210; Calibrated: 2013-6-19
- Phantom: SAM2; Type: SAM; Serial: TP-1477
- Measurement SW: DASY52, Version 52.8 (5); SEMCAD X Version 14.4.5 (3634)

**Ch9538/Area Scan (41x81x1):** Measurement grid: dx=15mm, dy=15mm Maximum value of SAR (interpolated) = 1.651 mW/g

Ch9538/Zoom Scan (5x5x7)/Cube 0: Measurement grid: dx=8mm, dy=8mm, dz=5mm Reference Value = 25.576 V/m; Power Drift = 0.15 dB Peak SAR (extrapolated) = 1.820 W/kg SAR(1 g) = 1.120 mW/g; SAR(10 g) = 0.617 mW/g Maximum value of SAR (measured) = 1.515 mW/g



## #10 WCDMA Band II RMC12.2K Horizontal Down 0.5cm Ch9538 Repeat SAR

#### **DUT: 373004**

Communication System: UMTS; Frequency: 1907.6 MHz; Duty Cycle: 1:1

Medium: MSL 1900\_130805 Medium parameters used: f = 1908 MHz;  $\sigma = 1.56$  mho/m;  $\varepsilon_r =$ 

Date: 2013-8-5

53.294;  $\rho = 1000 \text{ kg/m}^3$ 

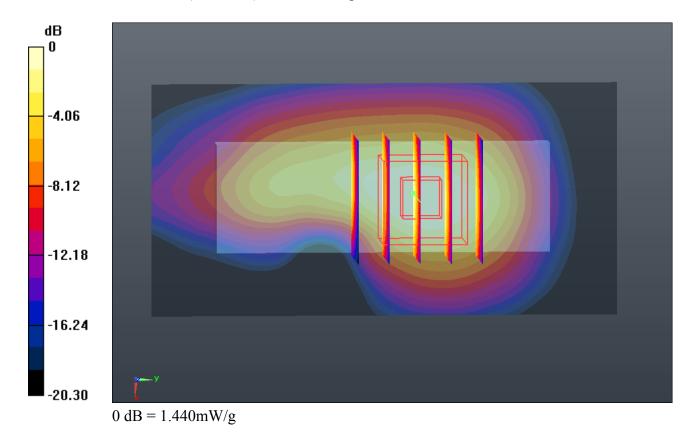
Ambient Temperature: 23.3 °C; Liquid Temperature: 22.7 °C

#### DASY5 Configuration:

- Probe: EX3DV4 SN3857; ConvF(7.52, 7.52, 7.52); Calibrated: 2013-6-20
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1210; Calibrated: 2013-6-19
- Phantom: SAM2; Type: SAM; Serial: TP-1477
- Measurement SW: DASY52, Version 52.8 (5); SEMCAD X Version 14.4.5 (3634)

**Ch9538/Area Scan (41x81x1):** Measurement grid: dx=15mm, dy=15mm Maximum value of SAR (interpolated) = 1.578 mW/g

Ch9538/Zoom Scan (5x5x7)/Cube 0: Measurement grid: dx=8mm, dy=8mm, dz=5mm Reference Value = 26.161 V/m; Power Drift = 0.07 dB Peak SAR (extrapolated) = 1.724 W/kg SAR(1 g) = 1.090 mW/g; SAR(10 g) = 0.610 mW/g Maximum value of SAR (measured) = 1.441 mW/g





#### Appendix C. **DASY Calibration Certificate**

The DASY calibration certificates are shown as follows.

SPORTON INTERNATIONAL (KUNSHAN) INC.

TEL: 86-0512-5790-0158 FAX: 86-0512-5790-0958 FCC ID: SRQMF730M

: C1 of C1 Page Number Report Issued Date: Aug. 13, 2013

Report No.: FA373004

Report Version : Rev. 01

#### Calibration Laboratory of Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland





Schweizerischer Kalibrierdienst Service suisse d'étalonnage Servizio svizzero di taratura Swiss Calibration Service

Accredited by the Swiss Accreditation Service (SAS)

The Swiss Accreditation Service is one of the signatories to the EA

Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: SCS 108

C

Client

Sporton-CN (Auden)

Certificate No: D1900V2-5d118\_Nov11

## CALIBRATION CERTIFICATE

Object

D1900V2 - SN: 5d118

Calibration procedure(s)

QA CAL-05.v8

Calibration procedure for dipole validation kits above 700 MHz

Calibration date:

November 21, 2011

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).

The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID#	Cal Date (Certificate No.)	Scheduled Calibration
Power meter EPM-442A	GB37480704	05-Oct-11 (No. 217-01451)	Oct-12
Power sensor HP 8481A	U\$37292783	05-Oct-11 (No. 217-01451)	Oct-12
Reference 20 dB Attenuator	SN: 5086 (20g)	29-Mar-11 (No. 217-01368)	Apr-12
Type-N mismatch combination	SN: 5047.2 / 06327	29-Mar-11 (No. 217-01371)	Apr-12
Reference Probe ES3DV3	SN: 3205	29-Apr-11 (No. ES3-3205_Apr11)	Apr-12
DAE4	SN: 601	04-Jul-11 (No. DAE4-601_Jul11)	Jul-12
Secondary Standards	ID#	Check Date (in house)	Scheduled Check
Power sensor HP 8481A	MY41092317	18-Oct-02 (in house check Oct-11)	In house check: Oct-13
RF generator R&S SMT-06	100005	04-Aug-99 (in house check Oct-11)	In house check: Oct-13
Network Analyzer HP 8753E	US37390585 S4206	18-Oct-01 (in house check Oct-11)	In house check: Oct-12
	Name	Function	Signature
Calibrated by:	Dimos Illev	Laboratory Technician	D. Rier

Issued: November 21, 2011

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

Katja Pokovic

Approved by:

Technical Manager

## Calibration Laboratory of Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland





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Service suisse d'étalonnage
Servizio svizzero di taratura
S Swiss Calibration Service

Accreditation No.: SCS 108

Accredited by the Swiss Accreditation Service (SAS)

The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates

#### Glossary:

TSL

tissue simulating liquid

ConvF N/A sensitivity in TSL / NORM x,y,z not applicable or not measured

Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2003, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", December 2003
- b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005
- c) Federal Communications Commission Office of Engineering & Technology (FCC OET), "Evaluating Compliance with FCC Guidelines for Human Exposure to Radiofrequency Electromagnetic Fields; Additional Information for Evaluating Compliance of Mobile and Portable Devices with FCC Limits for Human Exposure to Radiofrequency Emissions", Supplement C (Edition 01-01) to Bulletin 65

#### **Additional Documentation:**

d) DASY4/5 System Handbook

#### Methods Applied and Interpretation of Parameters:

- Measurement Conditions: Further details are available from the Validation Report at the end
  of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- Antenna Parameters with TSL: The dipole is mounted with the spacer to position its feed
  point exactly below the center marking of the flat phantom section, with the arms oriented
  parallel to the body axis.
- Feed Point Impedance and Return Loss: These parameters are measured with the dipole positioned under the liquid filled phantom. The impedance stated is transformed from the measurement at the SMA connector to the feed point. The Return Loss ensures low reflected power. No uncertainty required.
- Electrical Delay: One-way delay between the SMA connector and the antenna feed point.
   No uncertainty required.
- SAR measured: SAR measured at the stated antenna input power.
- SAR normalized: SAR as measured, normalized to an input power of 1 W at the antenna connector.
- SAR for nominal TSL parameters: The measured TSL parameters are used to calculate the nominal SAR result.

## **Measurement Conditions**

DASY system configuration, as far as not given on page 1.

DASY Version	DASY5	V52.6.2
Extrapolation	Advanced Extrapolation	
Phantom	Modular Flat Phantom	
Distance Dipole Center - TSL	10 mm	with Spacer
Zoom Scan Resolution	dx. dy, dz = 5 mm	
Frequency	1900 MHz ± 1 MHz	6

Head TSL parameters
The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Head TSL parameters	22.0 °C	40.0	1.40 mho/m
Measured Head TSL parameters	(22.0 ± 0.2) °C	39.5 ± 6 %	1.42 mho/m ± 6 %
Head TSL temperature change during test	< 0.5 °C		

## SAR result with Head TSL

SAR averaged over 1 cm <sup>3</sup> (1 g) of Head TSL	Condition	
SAR measured	250 mW input power	10.2 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	40.3 mW /g ± 17.0 % (k=2)

SAR averaged over 10 cm3 (10 g) of Head TSL	condition	
SAR measured	250 mW input power	5.29 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	21.0 mW /g ± 16.5 % (k=2)

## **Body TSL parameters**

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Body TSL parameters	22.0 °C	53.3	1.52 mho/m
Measured Body TSL parameters	(22.0 ± 0.2) °C	54.2 ± 6 %	1.59 mho/m ± 6 %
Body TSL temperature change during test	< 0.5 °C		

## SAR result with Body TSL

SAR averaged over 1 cm3 (1 g) of Body TSL	Condition	
SAR measured	250 mW input power	10.7 mW / g
SAR for nominal Body TSL parameters	normalized to 1W	41.8 mW / g ± 17.0 % (k=2)

SAR averaged over 10 cm <sup>3</sup> (10 g) of Body TSL	condition	
SAR measured	250 mW input power	5.59 mW / g
SAR for nominal Body TSL parameters	normalized to 1W	22.0 mW / g ± 16.5 % (k=2)

## Appendix

## Antenna Parameters with Head TSL

Impedance, transformed to feed point	$53.4 \Omega + 6.9 j\Omega$	
Return Loss	- 22.5 dB	

## Antenna Parameters with Body TSL

Impedance, transformed to feed point	$47.8 \Omega + 7.1 j\Omega$	
Return Loss	- 22.4 dB	

## General Antenna Parameters and Design

Electrical Delay (one direction)	1.200 ns

After long term use with 100W radiated power, only a slight warming of the dipole near the feedpoint can be measured.

The dipole is made of standard semirigid coaxial cable. The center conductor of the feeding line is directly connected to the second arm of the dipole. The antenna is therefore short-circuited for DC-signals.

No excessive force must be applied to the dipole arms, because they might bend or the soldered connections near the feedpoint may be damaged.

#### Additional EUT Data

Manufactured by	SPEAG	
Manufactured on	August 21, 2009	

## **DASY5 Validation Report for Head TSL**

Date: 21.11.2011

Test Laboratory: SPEAG, Zurich, Switzerland

## DUT: Dipole 1900 MHz; Type: D1900V2; Serial: D1900V2 - SN: 5d118

Communication System: CW; Frequency: 1900 MHz

Medium parameters used: f = 1900 MHz;  $\sigma = 1.42 \text{ mho/m}$ ;  $\varepsilon_r = 39.5$ ;  $\rho = 1000 \text{ kg/m}^3$ 

Phantom section: Flat Section

Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2007)

#### DASY52 Configuration:

Probe: ES3DV3 - SN3205; ConvF(5.01, 5.01, 5.01); Calibrated: 29.04.2011

Sensor-Surface: 3mm (Mechanical Surface Detection)

Electronics: DAE4 Sn601; Calibrated: 04.07.2011

Phantom: Flat Phantom 5.0 (front); Type: QD000P50AA; Serial: 1001

DASY52 52.6.2(482); SEMCAD X 14.4.5(3634)

## Dipole Calibration for Head Tissue/Pin=250 mW, d=10mm/Zoom Scan (7x7x7)/Cube 0:

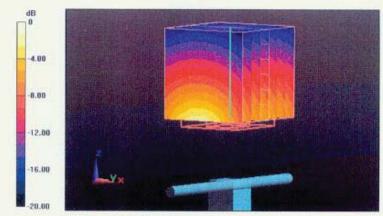
Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 98.061 V/m; Power Drift = 0.04 dB

Peak SAR (extrapolated) = 18.620 W/kg

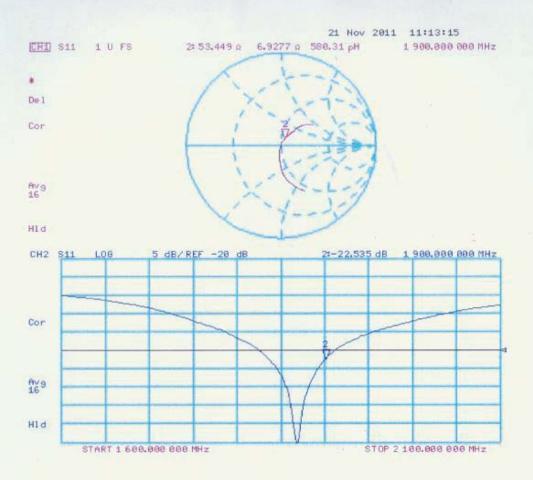
SAR(1 g) = 10.2 mW/g; SAR(10 g) = 5.29 mW/g

Maximum value of SAR (measured) = 12.702 mW/g



0 dB = 12.700 mW/g

## Impedance Measurement Plot for Head TSL



## **DASY5 Validation Report for Body TSL**

Date: 21.11.2011

Test Laboratory: SPEAG, Zurich, Switzerland

## DUT: Dipole 1900 MHz; Type: D1900V2; Serial: D1900V2 - SN: 5d118

Communication System: CW; Frequency: 1900 MHz

Medium parameters used: f = 1900 MHz;  $\sigma = 1.59$  mho/m;  $\varepsilon_r = 54.2$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Flat Section

Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2007)

#### DASY52 Configuration:

Probe: ES3DV3 - SN3205; ConvF(4.62, 4.62, 4.62); Calibrated: 29.04.2011

Sensor-Surface: 3mm (Mechanical Surface Detection)

Electronics: DAE4 Sn601; Calibrated: 04.07.2011

Phantom: Flat Phantom 5.0 (back); Type: QD000P50AA; Serial: 1002

DASY52 52.6.2(482); SEMCAD X 14.4.5(3634)

## Dipole Calibration for Body Tissue/Pin=250 mW, d=10mm/Zoom Scan (7x7x7)/Cube 0:

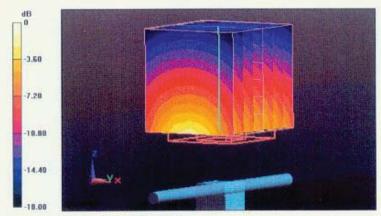
Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 96.110 V/m; Power Drift = -0.01 dB

Peak SAR (extrapolated) = 18.910 W/kg

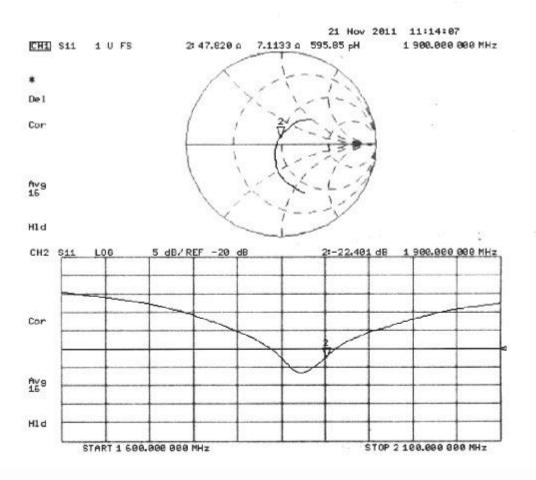
SAR(1 g) = 10.7 mW/g; SAR(10 g) = 5.59 mW/g

Maximum value of SAR (measured) = 13.549 mW/g



0 dB = 13.550 mW/g

## Impedance Measurement Plot for Body TSL





# D1900V2, serial no. 5d118 Extended Dipole Calibrations

Referring to KDB 865664D01V01r01, if dipoles are verified in return loss (<-20dB, within 20% of prior calibration), and in impedance (within 5 ohm of prior calibration), the annual calibration is not necessary and the calibration interval can be extended.

#### <Justification of the extended calibration>

				[	D1900V2 – s	erial no.	5d118					
			1900 He	ad					1900 B	ody		
Date of Measurement	Return-Loss (dB)	Delta (%)	Real Impedance (ohm)	Delta (ohm)	Imaginary Impedance (ohm)	Delta (ohm)	Return-Los s (dB)	Delta (%)	Real Impedance (ohm)	Delta (ohm)	Imaginary Impedance (ohm)	Delta (ohm)
11.21.2011 -2	22.535		53.449		6.9277		-22.401		47.82	7	.1 133	
11.17.2012 -	22.603	0.30	53.491	-0.04	7.1009	0.17	-22.45	0.22	46.14	-1.68	6.7234	-0.39

The return loss is < -20dB, within 20% of prior calibration; the impedance is within 5 ohm of prior calibration. Therefore the verification result should support extended calibration.

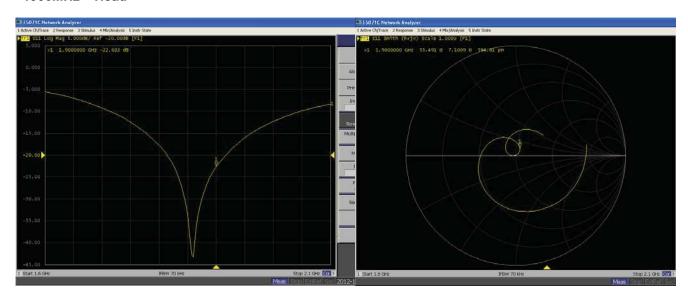
TEL: 86-755-8637-9589 FAX: 86-755-8637-9595



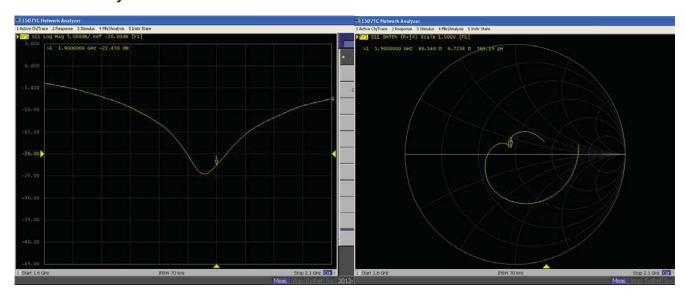
## FCC Test Report

## <Dipole Verification Data>- D1900V2, serial no. 5d118

#### 1900MHz - Head



#### 1900MHz - Body



TEL: 86-755-8637-9589 FAX: 86-755-8637-9595 Zeughausstrasse 43, 8004 Zurich, Switzerland Phone +41 44 245 9700, Fax +41 44 245 9779 info@speag.com, http://www.speag.com

## **IMPORTANT NOTICE**

#### **USAGE OF THE DAE 4**

The DAE unit is a delicate, high precision instrument and requires careful treatment by the user. There are no serviceable parts inside the DAE. Special attention shall be given to the following points:

Battery Exchange: The battery cover of the DAE4 unit is closed using a screw, over tightening the screw may cause the threads inside the DAE to wear out.

**Shipping of the DAE**: Before shipping the DAE to SPEAG for calibration, remove the batteries and pack the DAE in an antistatic bag. This antistatic bag shall then be packed into a larger box or container which protects the DAE from impacts during transportation. The package shall be marked to indicate that a fragile instrument is inside.

**E-Stop Failures**: Touch detection may be malfunctioning due to broken magnets in the E-stop. Rough handling of the E-stop may lead to damage of these magnets. Touch and collision errors are often caused by dust and dirt accumulated in the E-stop. To prevent E-stop failure, the customer shall always mount the probe to the DAE carefully and keep the DAE unit in a non-dusty environment if not used for measurements.

Repair: Minor repairs are performed at no extra cost during the annual calibration. However, SPEAG reserves the right to charge for any repair especially if rough unprofessional handling caused the defect.

**DASY Configuration Files:** Since the exact values of the DAE input resistances, as measured during the calibration procedure of a DAE unit, are not used by the DASY software, a nominal value of 200 MOhm is given in the corresponding configuration file.

#### **Important Note:**

Warranty and calibration is void if the DAE unit is disassembled partly or fully by the Customer.

#### Important Note:

Never attempt to grease or oil the E-stop assembly. Cleaning and readjusting of the E-stop assembly is allowed by certified SPEAG personnel only and is part of the annual calibration procedure.

#### **Important Note:**

To prevent damage of the DAE probe connector pins, use great care when installing the probe to the DAE. Carefully connect the probe with the connector notch oriented in the mating position. Avoid any rotational movement of the probe body versus the DAE while turning the locking nut of the connector. The same care shall be used when disconnecting the probe from the DAE.

Schmid & Partner Engineering

## Calibration Laboratory of Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland





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Client

Sporton KS (Auden)

Accreditation No.: SCS 108

Certificate No: DAE4-1210 Jun13

## CALIBRATION CERTIFICATE

Object

DAE4 - SD 000 D04 BJ - SN: 1210

Calibration procedure(s)

QA CAL-06.v26

Calibration procedure for the data acquisition electronics (DAE)

Calibration date:

June 19, 2013

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

ID#	Cal Date (Certificate No.)	Scheduled Calibration
SN: 0810278	02-Oct-12 (No:12728)	Oct-13
ID#	Check Date (in house)	Scheduled Check
SE UWS 053 AA 1001	07-Jan-13 (in house check)	In house check: Jan-14
SE UMS 006 AA 1002	07-Jan-13 (in house check)	In house check: Jan-14
	SN: 0810278  ID #  SE UWS 053 AA 1001	SN: 0810278 02-Oct-12 (No:12728)

Calibrated by:

Name

Function

Signature

Eric Hainfeld

Technician

Approved by:

Fin Bomholt

Deputy Technical Manager

All Marie San Contract of the Contract of the

Issued: June 19, 2013

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

Certificate No: DAE4-1210\_Jun13

Page 1 of 5

## **Calibration Laboratory of**

Schmid & Partner
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Accreditation No.: SCS 108

#### Glossary

DAE

data acquisition electronics

Connector angle

information used in DASY system to align probe sensor X to the robot

coordinate system.

## Methods Applied and Interpretation of Parameters

- DC Voltage Measurement: Calibration Factor assessed for use in DASY system by comparison with a calibrated instrument traceable to national standards. The figure given corresponds to the full scale range of the voltmeter in the respective range.
- Connector angle: The angle of the connector is assessed measuring the angle mechanically by a tool inserted. Uncertainty is not required.
- The following parameters as documented in the Appendix contain technical information as a result from the performance test and require no uncertainty.
  - DC Voltage Measurement Linearity: Verification of the Linearity at +10% and -10% of the nominal calibration voltage. Influence of offset voltage is included in this measurement.
  - Common mode sensitivity: Influence of a positive or negative common mode voltage on the differential measurement.
  - Channel separation: Influence of a voltage on the neighbor channels not subject to an input voltage.
  - AD Converter Values with inputs shorted: Values on the internal AD converter corresponding to zero input voltage
  - Input Offset Measurement: Output voltage and statistical results over a large number of zero voltage measurements.
  - Input Offset Current: Typical value for information; Maximum channel input offset current, not considering the input resistance.
  - Input resistance: Typical value for information: DAE input resistance at the connector, during internal auto-zeroing and during measurement.
  - Low Battery Alarm Voltage: Typical value for information. Below this voltage, a battery alarm signal is generated.
  - Power consumption: Typical value for information. Supply currents in various operating modes.

## **DC Voltage Measurement**

A/D - Converter Resolution nominal

High Range: 1LSE

1LSB = 6

 $6.1\mu V$ ,

full range = -100...+300 mV

Low Range:

1LSB =

61nV,

full range = -1.....+3mV

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

Calibration Factors	х	Y	Z
High Range	404.110 ± 0.02% (k=2)	404.929 ± 0.02% (k=2)	405.051 ± 0.02% (k=2)
Low Range	3.99922 ± 1.50% (k=2)	3.98301 ± 1.50% (k=2)	3.99990 ± 1.50% (k=2)

## **Connector Angle**

Connector Angle to be used in DASY system	122.0 ° ± 1 °
---	---------------

Certificate No: DAE4-1210\_Jun13

Page 3 of 5

## **Appendix**

1. DC Voltage Linearity

High Range		Reading (μV)	Difference (μV)	Error (%)
Channel X	+ Input	199981.50	-10.85	-0.01
Channel X	+ Input	20000.36	0.71	0.00
Channel X	- Input	-19998.08	2.64	-0.01
Channel Y	+ Input	199982.98	-10.01	-0.01
Channel Y	+ Input	19998.62	-1.21	-0.01
Channel Y	- Input	-19999.35	1.31	-0.01
Channel Z	+ Input	199986.40	-6.09	-0.00
Channel Z	+ Input	19999.19	-0.45	-0.00
Channel Z	- Input	-20001.38	-0.57	0.00

Low Range		Reading (μV)	Difference (μV)	Error (%)
Channel X	+ Input	2000.13	-0.02	-0.00
Channel X	+ Input	200.66	0.05	0.02
Channel X	- Input	-199.01	0.32	-0.16
Channel Y	+ Input	2000.20	-0.07	-0.00
Channel Y	+ Input	199.90	-0.77	-0.38
Channel Y	- Input	-199.73	-0.44	0.22
Channel Z	+ Input	2000.62	0.28	0.01
Channel Z	+ Input	199.87	-0.78	-0.39
Channel Z	- Input	-200.68	-1.38	0.69

## 2. Common mode sensitivity

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

	Common mode Input Voltage (mV)	High Range Average Reading (μV)	Low Range Average Reading (μV)
Channel X	200	-6.62	-8.15
	- 200	8.73	7.20
Channel Y	200	-9.98	-9.87
	- 200	9.43	9.06
Channel Z	200	11.61	11.85
	- 200	-14.51	-14.40

## 3. Channel separation

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

	Input Voltage (mV)	Channel X (μV)	Channel Y (μV)	Channel Z (μV)
Channel X	200	-	2.01	-3.79
Channel Y	200	7.86	-	3.14
Channel Z	200	9.91	6.50	=

## 4. AD-Converter Values with inputs shorted

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

	High Range (LSB)	Low Range (LSB)
Channel X	15958	15819
Channel Y	15962	16069
Channel Z	15876	16859

## 5. Input Offset Measurement

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

Input  $10M\Omega$ 

	Average (μV)	min. Offset (μV)	max. Offset (μV)	Std. Deviation (μV)
Channel X	1.11	0.26	1.93	0.35
Channel Y	-1.50	-4.01	-0.48	0.44
Channel Z	-1.34	-2.45	-0.04	0.44

## 6. Input Offset Current

Nominal Input circuitry offset current on all channels: <25fA

7. Input Resistance (Typical values for information)

	Zeroing (kOhm)	Measuring (MOhm)
Channel X	200	200
Channel Y	200	200
Channel Z	200	200

8. Low Battery Alarm Voltage (Typical values for information)

Typical values	Alarm Level (VDC)	
Supply (+ Vcc)	+7.9	
Supply (- Vcc)	-7.6	

9. Power Consumption (Typical values for information)

Typical values	Switched off (mA)	Stand by (mA)	Transmitting (mA)		
Supply (+ Vcc)	+0.01	+6	+14		
Supply (- Vcc)	-0.01	-8	-9		

## Calibration Laboratory of Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland





Schweizerischer Kalibrierdienst S Service suisse d'étalonnage C Servizio svizzero di taratura **Swiss Calibration Service** 

Accredited by the Swiss Accreditation Service (SAS)

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Client

Sporton-TW (Auden)

Certificate No: EX3-3857 Jun13

S

Accreditation No.: SCS 108

## **CALIBRATION CERTIFICATE**

Object

EX3DV4 - SN:3857

Calibration procedure(s)

QA CAL-01.v8, QA CAL-14.v3, QA CAL-23.v4, QA CAL-25.v4

Calibration procedure for dosimetric E-field probes

Calibration date:

June 20, 2013

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID	Cal Date (Certificate No.)	Scheduled Calibration
Power meter E4419B	GB41293874	04-Apr-13 (No. 217-01733)	Apr-14
Power sensor E4412A	MY41498087	04-Apr-13 (No. 217-01733)	Apr-14
Reference 3 dB Attenuator	SN: S5054 (3c)	04-Apr-13 (No. 217-01737)	Apr-14
Reference 20 dB Attenuator	SN: S5277 (20x)	04-Apr-13 (No. 217-01735)	Apr-14
Reference 30 dB Attenuator	SN: S5129 (30b)	04-Apr-13 (No. 217-01738)	Apr-14
Reference Probe ES3DV2	SN: 3013	28-Dec-12 (No. ES3-3013_Dec12)	Dec-13
DAE4	SN: 660	31-Jan-13 (No. DAE4-660_Jan13)	Jan-14
Secondary Standards	ID	Check Date (in house)	Scheduled Check
RF generator HP 8648C	US3642U01700	4-Aug-99 (in house check Apr-13)	In house check: Apr-15
Network Analyzer HP 8753E	US37390585	18-Oct-01 (in house check Oct-12)	In house check: Oct-13

Name Function Signature Calibrated by: Israe El-Naouq Laboratory Technician Approved by: Katja Pokovic Technical Manager

Issued: June 20, 2013

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

## Calibration Laboratory of

Schmid & Partner
Engineering AG
Zeughausstrasse 43, 8004 Zurich, Switzerland





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Glossary:

TSL NORMx,y,z tissue simulating liquid sensitivity in free space sensitivity in TSL / NORMx,y,z

ConvF DCP CF

diode compression point crest factor (1/duty\_cycle) of the RF signal modulation dependent linearization parameters

A, B, C, D Polarization φ

φ rotation around probe axis

Polarization 9

9 rotation around an axis that is in the plane normal to probe axis (at measurement center),

i.e., 9 = 0 is normal to probe axis

## Calibration is Performed According to the Following Standards:

 a) IEEE Std 1528-2003, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", December 2003

b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

#### Methods Applied and Interpretation of Parameters:

- NORMx,y,z: Assessed for E-field polarization θ = 0 (f ≤ 900 MHz in TEM-cell; f > 1800 MHz: R22 waveguide). NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not affect the E²-field uncertainty inside TSL (see below ConvF).
- NORM(f)x,y,z = NORMx,y,z \* frequency\_response (see Frequency Response Chart). This linearization is
  implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included
  in the stated uncertainty of ConvF.
- DCPx,y,z: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- Ax,y,z; Bx,y,z; Cx,y,z; Dx,y,z; VRx,y,z: A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f ≤ 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.

# Probe EX3DV4

SN:3857

Manufactured: Calibrated:

January 23, 2012 June 20, 2013

Calibrated for DASY/EASY Systems

(Note: non-compatible with DASY2 system!)

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3857

#### **Basic Calibration Parameters**

177-187-	Sensor X Sensor Y		Sensor Z	Unc (k=2)	
Norm $(\mu V/(V/m)^2)^A$	0.18	0.44	0.46	± 10.1 %	
DCP (mV) <sup>B</sup>	92.9	98.9	100.1		

#### **Modulation Calibration Parameters**

UID	Communication System Name		A dB	B dB√μV	С	D dB	VR mV	Unc <sup>E</sup> (k=2)
0	CW X 0.0	0.0	0.0	1.0	0.00	154.4	±2.7 %	
		Y	0.0	0.0	1.0		146.9	
		Z	0.0	0.0	1.0		149.8	

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

A The uncertainties of NormX,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

Numerical linearization parameter: uncertainty not required.

Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

# DASY/EASY - Parameters of Probe: EX3DV4 - SN:3857

## Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha	Depth (mm)	Unct. (k=2)
750	41.9	0.89	9.70	9.70	9.70	0.19	1.32	± 12.0 %
835	41.5	0.90	9.35	9.35	9.35	0.46	0.76	± 12.0 %
900	41.5	0.97	9.20	9.20	9.20	0.39	0.74	± 12.0 %
1750	40.1	1.37	8.41	8.41	8.41	0.22	1.08	± 12.0 %
1900	40.0	1.40	8.04	8.04	8.04	0.54	0.68	± 12.0 %
2000	40.0	1.40	7.93	7.93	7.93	0.26	1.07	± 12.0 %
2450	39.2	1.80	7.05	7.05	7.05	0.30	1.10	± 12.0 %
2600	39.0	1.96	7.04	7.04	7.04	0.20	1.37	± 12.0 %
5200	36.0	4.66	5.26	5.26	5.26	0.30	1.80	± 13.1 %
5300	35.9	4.76	5.11	5.11	5.11	0.30	1.80	± 13.1 %
5500	35.6	4.96	4.95	4.95	4.95	0.30	1.80	± 13.1 %
5600	35.5	5.07	4.66	4.66	4.66	0.35	1.80	± 13.1 %
5800	35.3	5.27	4.89	4.89	4.89	0.35	1.80	± 13.1 %

<sup>&</sup>lt;sup>C</sup> Frequency validity of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

F At frequencies below 3 GHz, the validity of tiesus parameters (v and v) can be releved to ± 10% if liquid companyation formula is caption to

F At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

# DASY/EASY - Parameters of Probe: EX3DV4 - SN:3857

## Calibration Parameter Determined in Body Tissue Simulating Media

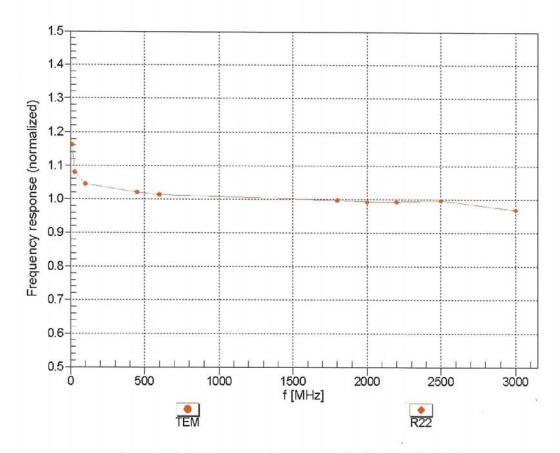
f (MHz) <sup>C</sup>	Relative Permittivity F	Conductivity (S/m) F	ConvF X	ConvF Y	ConvF Z	Alpha	Depth (mm)	Unct. (k=2)
750	55.5	0.96	9.59	9.59	9.59	0.31	1.01	± 12.0 %
835	55.2	0.97	9.48	9.48	9.48	0.21	1.14	± 12.0 %
900	55.0	1.05	9.20	9.20	9.20	0.15	1.60	± 12.0 %
1750	53.4	1.49	7.86	7.86	7.86	0.10	3.00	± 12.0 %
1900	53.3	1.52	7.52	7.52	7.52	0.12	2.62	± 12.0 %
2000	53.3	1.52	7.61	7.61	7.61	0.40	0.81	± 12.0 %
2450	52.7	1.95	7.00	7.00	7.00	0.80	0.51	± 12.0 %
2600	52.5	2.16	6.78	6.78	6.78	0.80	0.55	± 12.0 %
5200	49.0	5.30	4.62	4.62	4.62	0.35	1.90	± 13.1 %
5300	48.9	5.42	4.35	4.35	4.35	0.40	1.90	± 13.1 %
5500	48.6	5.65	4.11	4.11	4.11	0.40	1.90	± 13.1 %
5600	48.5	5.77	4.02	4.02	4.02	0.35	1.90	± 13.1 %
5800	48.2	6.00	4.48	4.48	4.48	0.35	1.90	± 13.1 %

<sup>&</sup>lt;sup>C</sup> Frequency validity of  $\pm$  100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm$  50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

F At frequencies below 3 GHz, the validity of tissue parameters (ε and σ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to

<sup>&</sup>lt;sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

# Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)

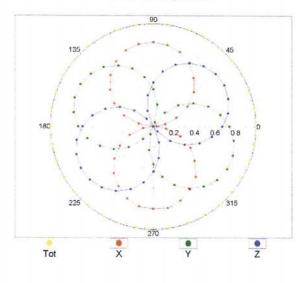


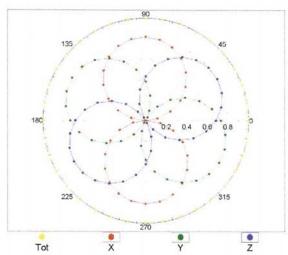
Uncertainty of Frequency Response of E-field: ± 6.3% (k=2)

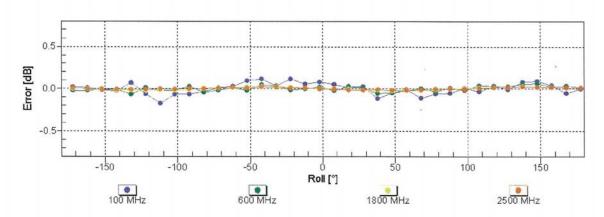
# Receiving Pattern ( $\phi$ ), $\vartheta = 0^{\circ}$

f=600 MHz,TEM

f=1800 MHz,R22

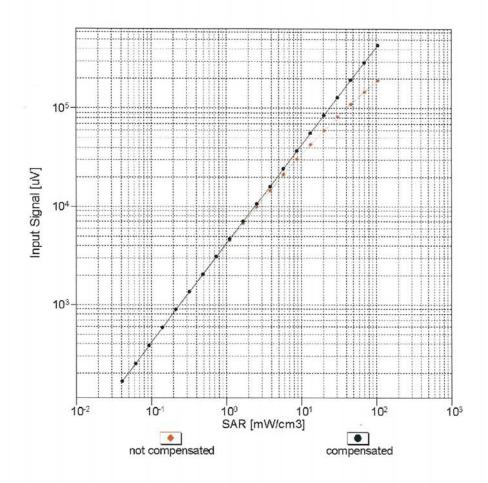


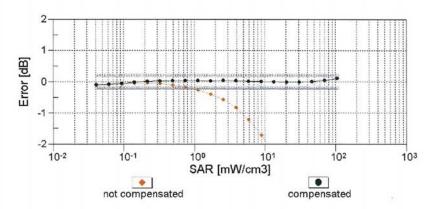




Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)

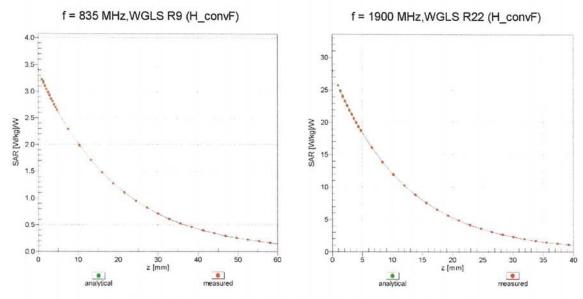
# Dynamic Range f(SAR<sub>head</sub>) (TEM cell , f = 900 MHz)



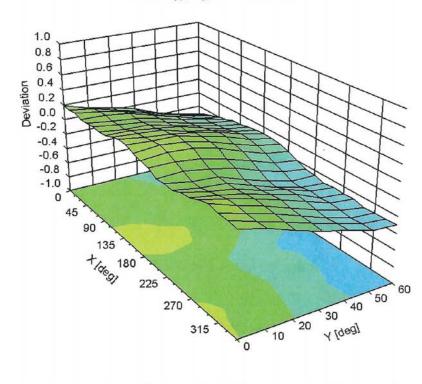


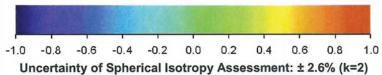
Uncertainty of Linearity Assessment: ± 0.6% (k=2)

## **Conversion Factor Assessment**



# Deviation from Isotropy in Liquid Error (φ, θ), f = 900 MHz





EX3DV4-SN:3857

# DASY/EASY - Parameters of Probe: EX3DV4 - SN:3857

## **Other Probe Parameters**

Sensor Arrangement	Triangular			
Connector Angle (°)	-42.1			
Mechanical Surface Detection Mode	enabled			
Optical Surface Detection Mode	disabled			
Probe Overall Length	337 mm			
Probe Body Diameter	10 mm			
Tip Length	9 mm			
Tip Diameter	2.5 mm			
Probe Tip to Sensor X Calibration Point	1 mm			
Probe Tip to Sensor Y Calibration Point	1 mm			
Probe Tip to Sensor Z Calibration Point	1 mm			
Recommended Measurement Distance from Surface	2 mm			