

## IV. DFS Requirements and Radar Waveform Description & Calibration

## A. DFS Requirements

<b>Requirement</b>	<b>Operational Mode</b>		
	<b>Master</b>	<b>Client Without Radar Detection</b>	<b>Client With Radar Detection</b>
<i>Non-Occupancy Period</i>	Yes	Not required	Yes
<i>DFS Detection Threshold</i>	Yes	Not required	Yes
<i>Channel Availability Check Time</i>	Yes	Not required	Not required
<i>Uniform Spreading</i>	Yes	Not required	Not required
<i>U-NII Detection Bandwidth</i>	Yes	Not required	Yes

Table 16. Applicability of DFS Requirements Prior to Use of a Channel

<b>Requirement</b>	<b>Operational Mode</b>		
	<b>Master</b>	<b>Client Without Radar Detection</b>	<b>Client With Radar Detection</b>
<i>DFS Detection Threshold</i>	Yes	Not required	Yes
<i>Channel Closing Transmission Time</i>	Yes	Yes	Yes
<i>Channel Move Time</i>	Yes	Yes	Yes
<i>U-NII Detection Bandwidth</i>	Yes	Not required	Yes

Table 17. Applicability of DFS Requirements During Normal Operation

<b>Maximum Transmit Power</b>	<b>Value</b>
$\geq 200$ milliwatt	-64 dBm
< 200 milliwatt	-62 dBm

**Note 1:** This is the level at the input of the receiver assuming a 0 dBi receive antenna  
**Note 2:** Throughout these test procedures an additional 1 dB has been added to the amplitude of the test transmission waveforms to account for variations in measurement equipment. This will ensure that the test signal is at or above the detection threshold level to trigger a DFS response.

Table 18. DFS Detection Thresholds for Master or Client Devices Incorporating DFS

Parameter	Value
<i>Non-occupancy period</i>	Minimum 30 minutes
<i>Channel Availability Check Time</i>	60 seconds
<i>Channel Move Time</i>	10 seconds See Note 1
<i>Channel Closing Transmission Time</i>	200 milliseconds + an aggregate of 60 milliseconds over remaining 10 second period. See Notes 1 and 2
<i>U-NII Detection Bandwidth</i>	Minimum 80% of the 99% power bandwidth. See Note 3.

**Note 1:** The instant that the *Channel Move Time* and the *Channel Closing Transmission Time* begins is as follows:

- For the Short pulse radar Test Signals this instant is the end of the *Burst*.
- For the Frequency Hopping radar Test Signal, this instant is the end of the last radar *Burst* generated.
- For the Long Pulse radar Test Signal this instant is the end of the 12 second period defining the radar transmission.

**Note 2:** The *Channel Closing Transmission Time* is comprised of 200 milliseconds starting at the beginning of the *Channel Move Time* plus any additional intermittent control signals required facilitating *Channel* changes (an aggregate of 60 milliseconds) during the remainder of the 10 second period. The aggregate duration of control signals will not count quiet periods in between transmissions.

**Note 3:** During the *U-NII Detection Bandwidth* detection test, radar type 1 is used and for each frequency step the minimum percentage of detection is 90%. Measurements are performed with no data traffic.

**Table 19. DFS Response Requirement Values**

## B. Radar Test Waveforms

This section provides the parameters for required test waveforms, minimum percentage of successful detections, and the minimum number of trials that must be used for determining DFS conformance. Step intervals of 0.1 microsecond for Pulse Width, 1 microsecond for PRI, 1 MHz for chirp width and 1 for the number of pulses will be utilized for the random determination of specific test waveforms.

### Short Pulse Radar Test Waveforms

Radar Type	Pulse Width (μsec)	PRI (μsec)	Number of Pulses	Minimum Percentage of Successful Detection	Minimum Trials
1	1	1428	18	60%	30
2	1-5	150-230	23-29	60%	30
3	6-10	200-500	16-18	60%	30
4	11-20	200-500	12-16	60%	30
Aggregate (Radar Types 1-4)				80%	120

A minimum of 30 unique waveforms are required for each of the short pulse radar types 2 through 4. For short pulse radar type 1, the same waveform is used a minimum of 30 times. If more than 30 waveforms are used for short pulse radar types 2 through 4, then each additional waveform must also be unique and not repeated from the previous waveforms. The aggregate is the average of the percentage of successful detections of short pulse radar types 1-4.

### Long Pulse Radar Test Waveform

Radar Type	Pulse Width (μsec)	Chirp Width (MHz)	PRI (μsec)	Number of Pulses per Bursts	Number of Bursts	Minimum Percentage of Successful Detection	Minimum Trials
5	50-100	5-20	1000-2000	1-3	8-20	80%	30

The parameters for this waveform are randomly chosen. Thirty unique waveforms are required for the Long Pulse radar test signal. If more than 30 waveforms are used for the Long Pulse radar test signal, then each additional waveform must also be unique and not repeated from the previous waveforms.

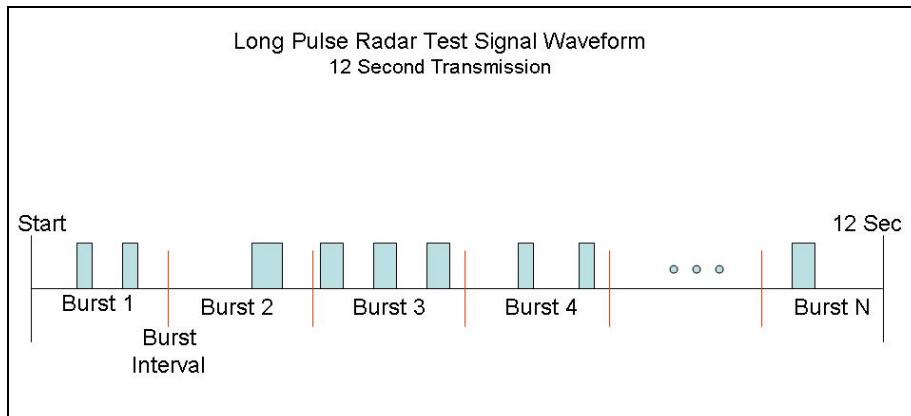
Each waveform is defined as follows:

- 1) The transmission period for the Long Pulse Radar test signal is 12 seconds.
- 2) There are a total of 8 to 20 Bursts in the 12 second period, with the number of Bursts being randomly chosen. This number is Burst\_Count.
- 3) Each Burst consists of 1 to 3 pulses, with the number of pulses being randomly chosen. Each Burst within the 12 second sequence may have a different number of pulses.
- 4) The pulse width is between 50 and 100 microseconds, with the pulse width being randomly chosen. Each pulse within a Burst will have the same pulse width. Pulses in different Bursts may have different pulse widths.
- 5) Each pulse has a linear FM chirp between 5 and 20 MHz, with the chirp width being randomly chosen. Each pulse within a Burst will have the same chirp width. Pulses in different Bursts may have different chirp widths. The chirp is centered on the pulse. For example, with radar frequency of 5300 MHz and a 20 MHz chirped signal, the chirp starts at 5290 MHz and ends at 5310 MHz.
- 6) If more than one pulse is present in a Burst, the time between the pulses will be between 1000 and 2000 microseconds, with the time being randomly chosen. If three pulses are present in a Burst, the time between the first and second pulses is chosen independently of the time between the second and third pulses.
- 7) The 12 second transmission period is divided into even intervals. The number of intervals is equal to Burst\_Count. Each interval is of length  $(12,000,000 / \text{Burst\_Count})$  microseconds. Each interval contains one Burst. The start time for the Burst, relative to the beginning of the interval, is between 1 and  $[(12,000,000 / \text{Burst\_Count}) - (\text{Total Burst Length}) + (\text{One Random PRI Interval})]$  microseconds, with the start time being randomly chosen. The step interval for the start time is 1 microsecond. The start time for each Burst is chosen independently.

**A representative example of a Long Pulse radar test waveform:**

- 1) The total test signal length is 12 seconds.
- 2) 8 Bursts are randomly generated for the Burst\_Count.
- 3) Burst 1 has 2 randomly generated pulses.
- 4) The pulse width (for both pulses) is randomly selected to be 75 microseconds.
- 5) The PRI is randomly selected to be at 1213 microseconds.
- 6) Bursts 2 through 8 are generated using steps 3 – 5.
- 7) Each Burst is contained in even intervals of 1,500,000 microseconds. The starting location for Pulse 1, Burst 1 is randomly generated (1 to 1,500,000 minus the total Burst 1 length + 1 random PRI interval) at the 325,001 microsecond step. Bursts 2 through 8 randomly fall in successive 1,500,000 microsecond intervals (i.e. Burst 2 falls in the 1,500,001 – 3,000,000 microsecond range).

## Graphical Representation of a Long Pulse radar Test Waveform



**Figure 6. Long Pulse Radar Test Signal Waveform**

## Frequency Hopping Radar Test Waveform

Radar Type	Pulse Width (μsec)	PRI (μsec)	Pulses per Hop	Hopping Rate (kHz)	Hopping Sequence Length (msec)	Minimum Percentage of Successful Detection	Minimum Trials
6	1	333	9	.333	300	70%	30

For the Frequency Hopping Radar Type, the same *Burst* parameters are used for each waveform. The hopping sequence is different for each waveform and a 100-length segment is selected<sup>1</sup> from the hopping sequence defined by the following algorithm:

The first frequency in a hopping sequence is selected randomly from the group of 475 integer frequencies from 5250 – 5724 MHz. Next, the frequency that was just chosen is removed from the group and a frequency is randomly selected from the remaining 474 frequencies in the group. This process continues until all 475 frequencies are chosen for the set. For selection of a random frequency, the frequencies remaining within the group are always treated as equally likely.

## C. Radar Waveform Calibration

The following equipment setup was used to calibrate the radiated Radar Waveform. A spectrum analyzer was used to establish the test signal level for each radar type. During this process there were no transmissions by either the Master or Client Device. The spectrum analyzer was switched to the zero span (Time Domain) mode at the frequency of the Radar Waveform generator. Peak detection was utilized. The spectrum analyzer's resolution bandwidth (RBW) was set to 3 MHz and the video bandwidth (VBW) was set to 3 MHz. The calibration setup is diagrammed in Figure 7, and the radar test signal generator is shown in Photograph 12.

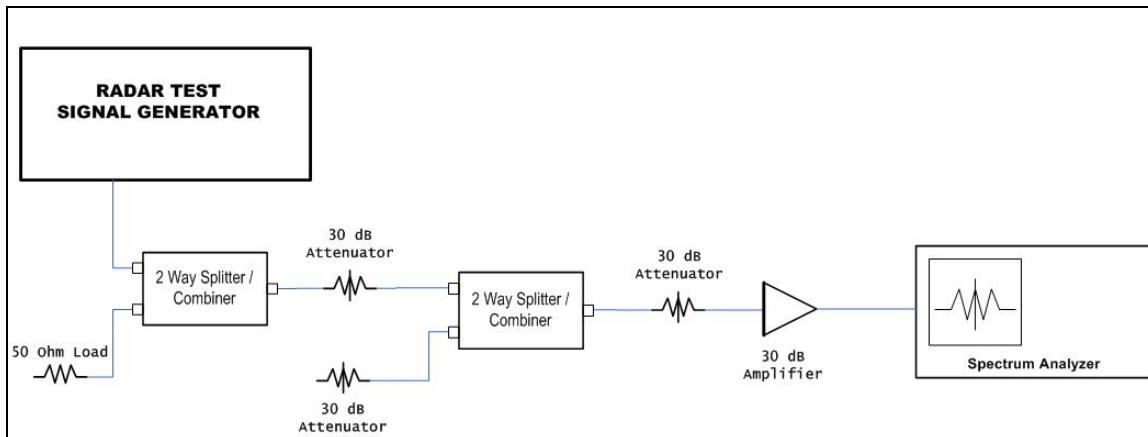
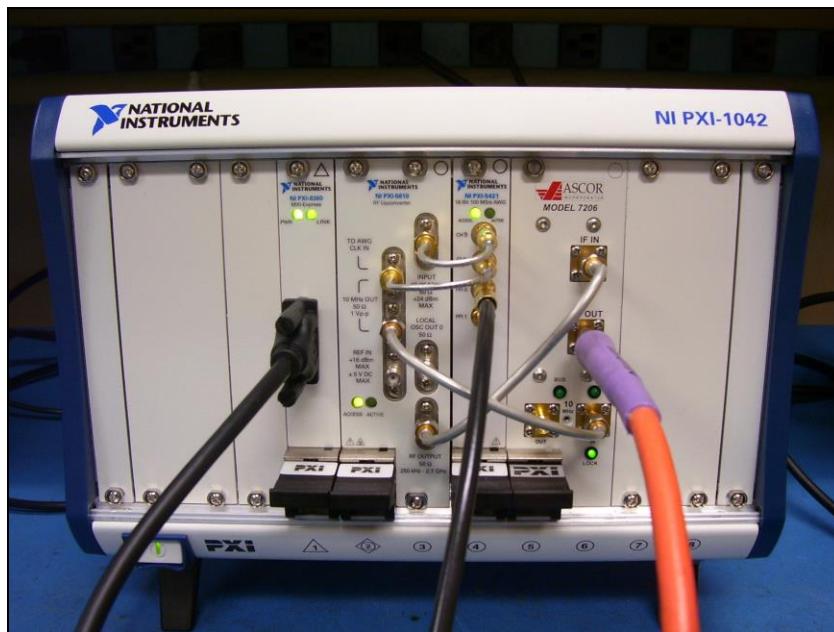
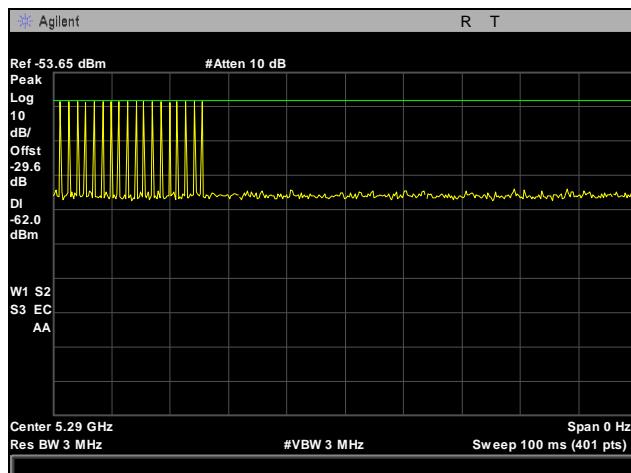


Figure 7. Calibration Test setup

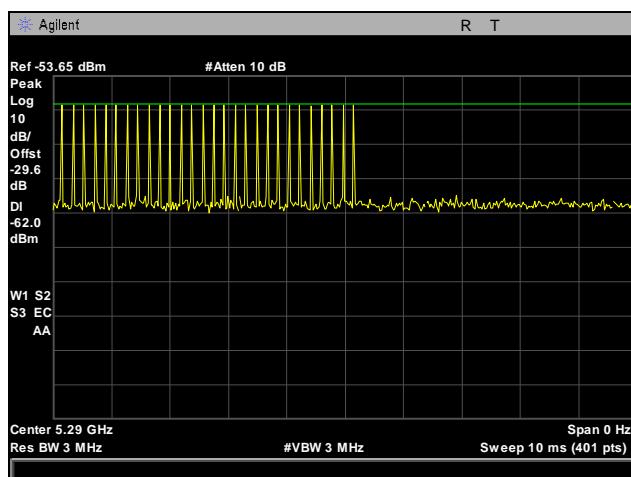


Photograph 12. DFS Radar Test Signal Generator

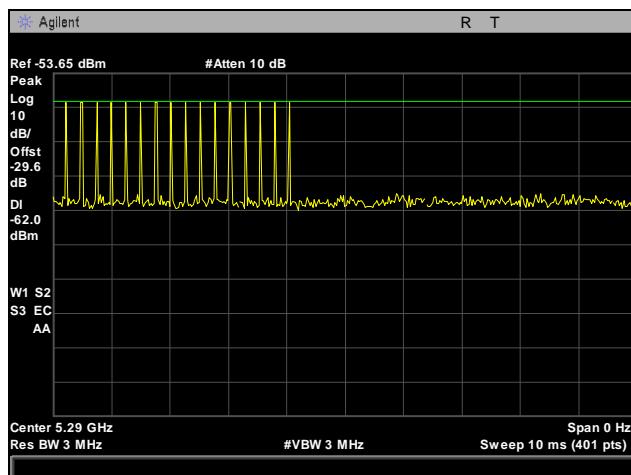
## Radar Waveform Calibration



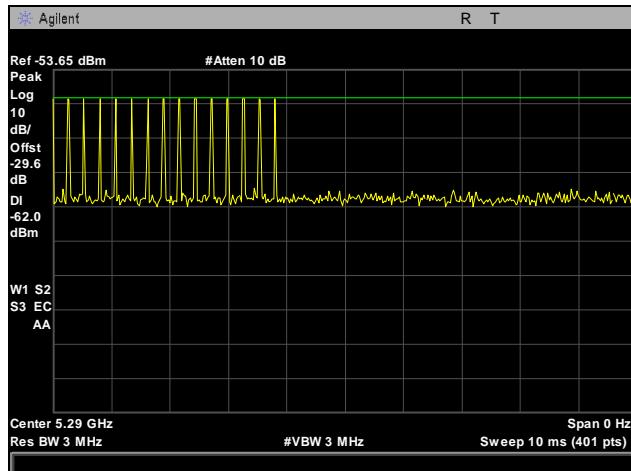
Plot 497. Radar Type 1 Calibration, 5290 MHz



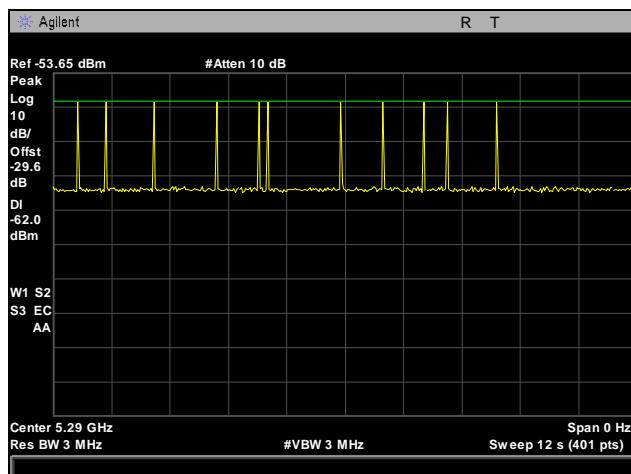
Plot 498. Radar Type 2 Calibration, 5290 MHz



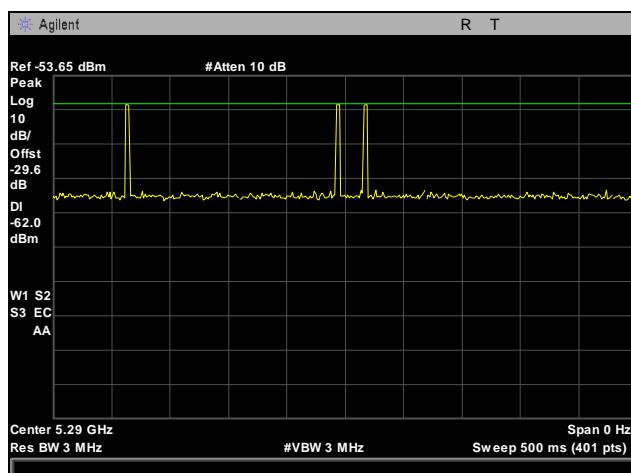
Plot 499. Radar Type 3 Calibration, 5290 MHz



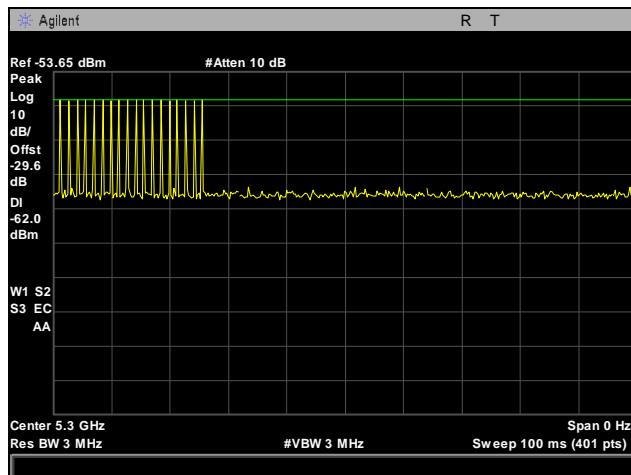
Plot 500. Radar Type 4 Calibration, 5290 MHz



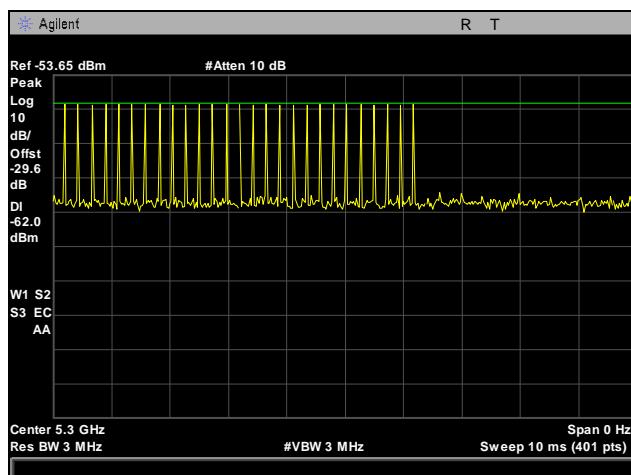
Plot 501. Radar Type 5 Calibration, 5290 MHz



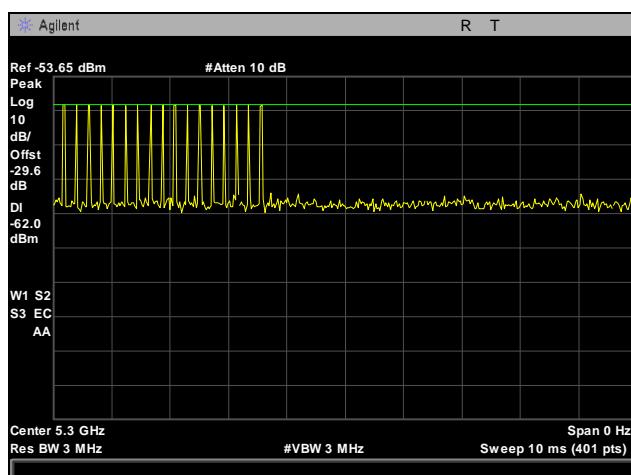
Plot 502. Radar Type 6 Calibration, 5290 MHz



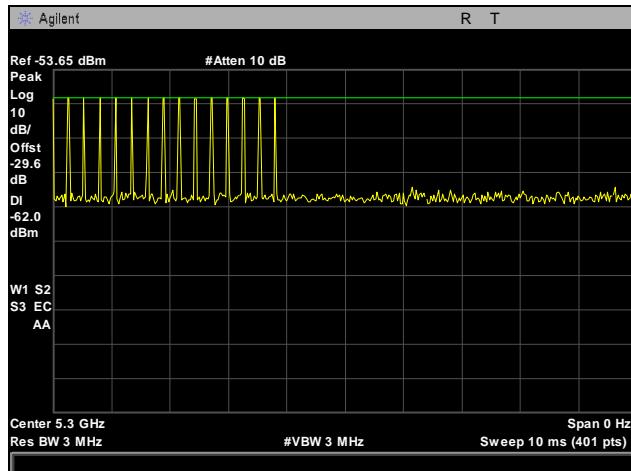
**Plot 503. Radar Type 1 Calibration, 5300 MHz**



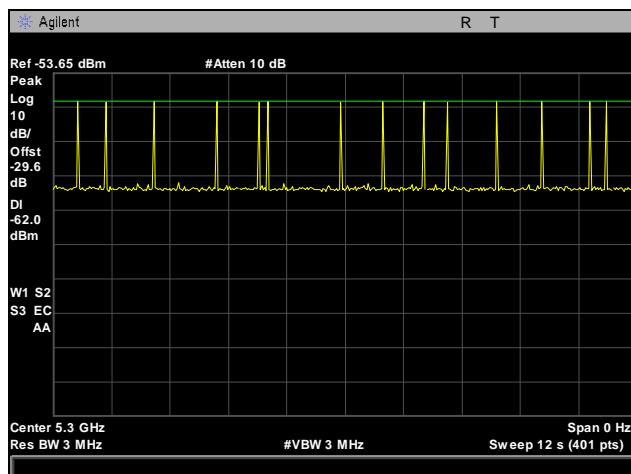
**Plot 504. Radar Type 2 Calibration, 5300 MHz**



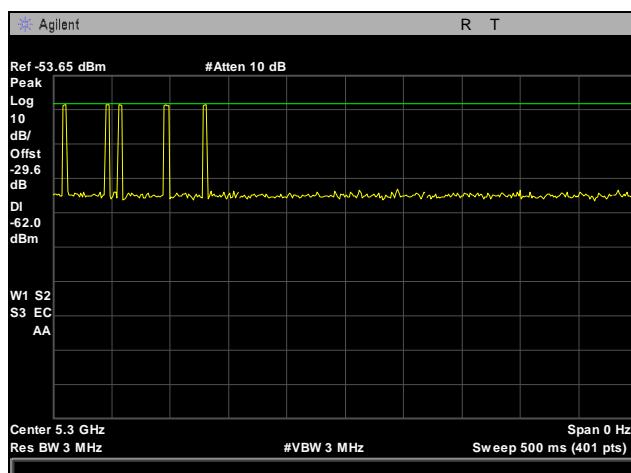
**Plot 505. Radar Type 3 Calibration, 5300 MHz**



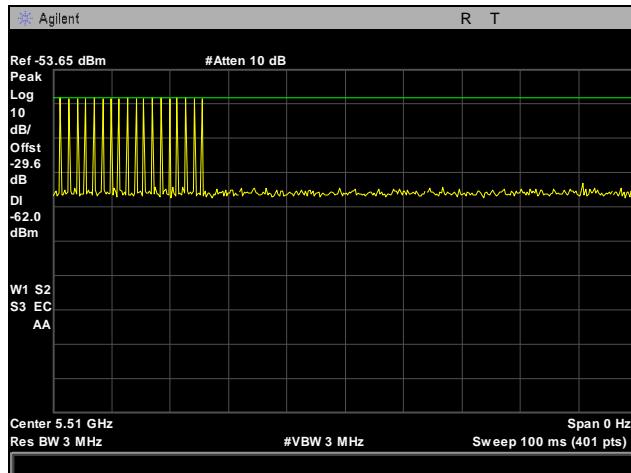
**Plot 506. Radar Type 4 Calibration, 5300 MHz**



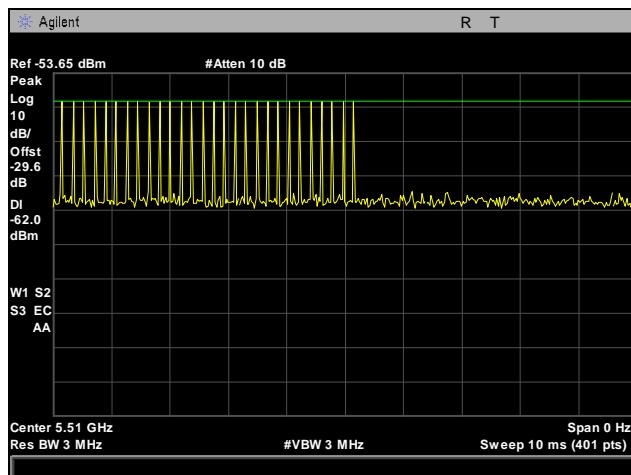
**Plot 507. Radar Type 5 Calibration, 5300 MHz**



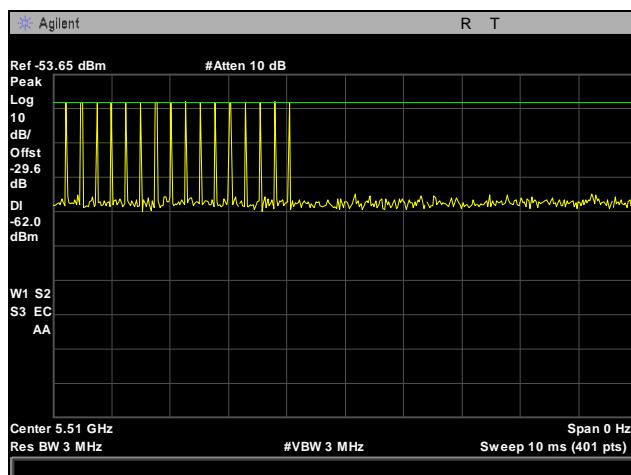
**Plot 508. Radar Type 6 Calibration, 5300 MHz**



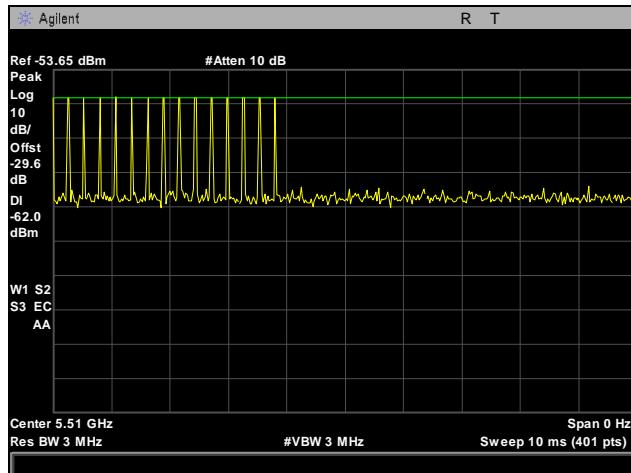
**Plot 509. Radar Type 1 Calibration, 5510 MHz**



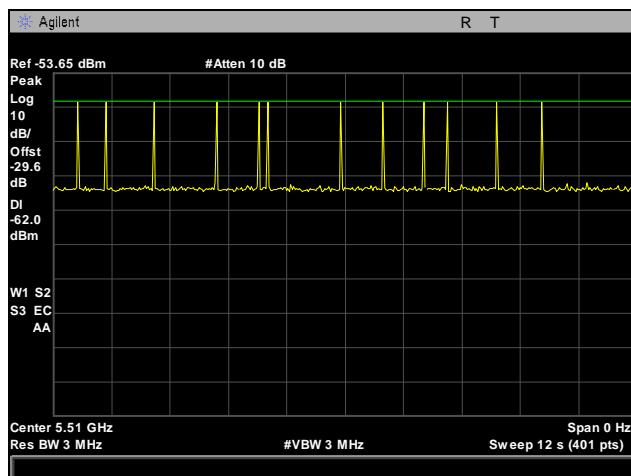
**Plot 510. Radar Type 2 Calibration, 5510 MHz**



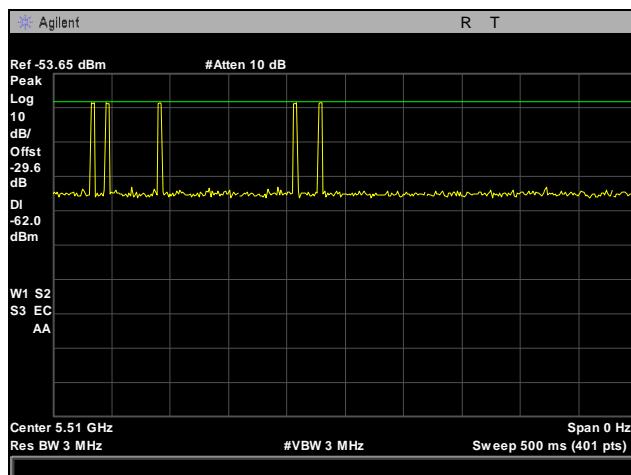
**Plot 511. Radar Type 3 Calibration, 5510 MHz**



**Plot 512. Radar Type 4 Calibration, 5510 MHz**



**Plot 513. Radar Type 5 Calibration, 5510 MHz**



**Plot 514. Radar Type 6 Calibration, 5510 MHz**

## V. DFS Test Procedure and Test Results

## A. DFS Test Setup

1. A spectrum analyzer is used as a monitor to verify that the Unit Under Test (UUT) has vacated the Channel within the Channel Closing Transmission Time and Channel Move Time, and does not transmit on a Channel during the Non-Occupancy Period after the detection and subsequent Channel move. It is also used to monitor UUT transmissions during the Channel Availability Check Time.
2. The test setup, which consists of test equipment and equipment under test (EUT), is diagrammed in Figure 8 and pictured in Photograph 13.

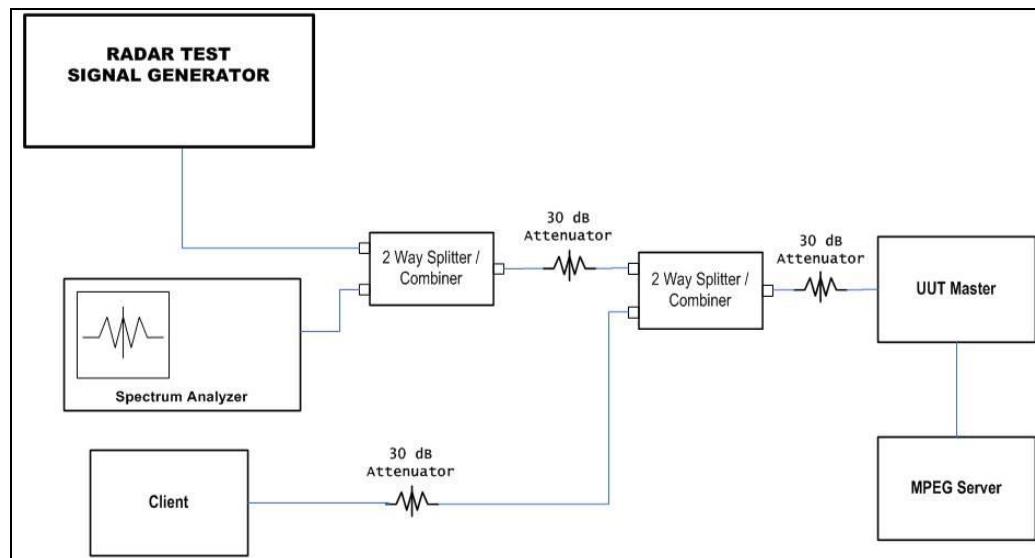
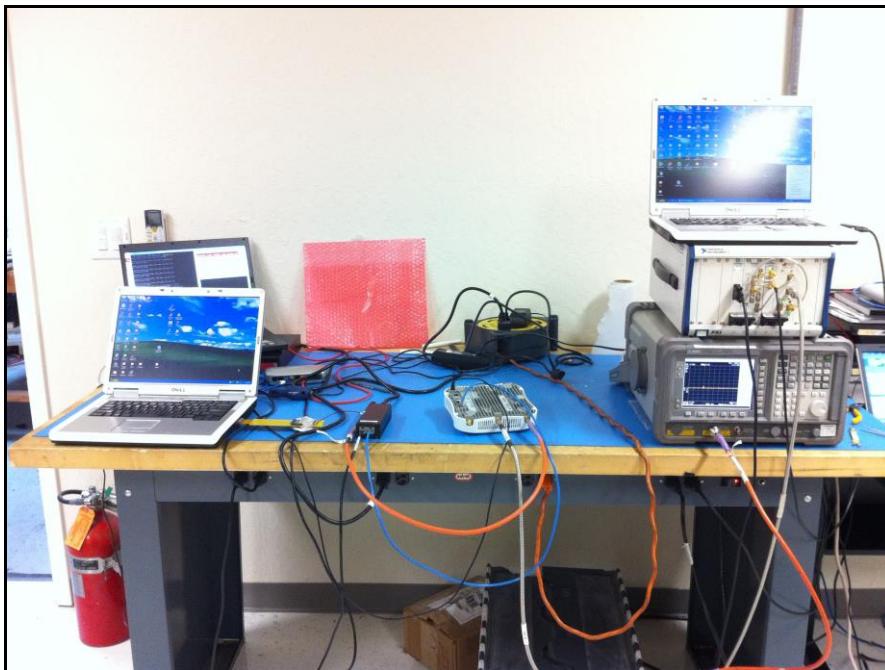


Figure 8. Test Setup Diagram



Photograph 13. Test Setup Photo

## B. Description of Master Device

1. Operating Frequency Range – 5150-5250 MHz, 5250-5350 MHz, 5470-5725 MHz, 5725-5825 MHz
2. Modes of Operation – Master device
3. Highest and Lowest EIRP – Highest EIRP=29.59 dBm; Lowest EIRP=21.02 dBm
4. List all antennas and associated gains – see antenna section in report
5. List antenna impedance – 50 Ohms
6. Antenna gain verification - Use antenna data sheet
7. State test file that is transmitted – 6.5 magical hours
8. TCP description: The device does not support TCP.
9. Time for master to complete its power-on-cycle: 2 minutes
10. Describe EUT's uniform channel spreading: refer to information below

The AP332e DFS operational behavior as described below.

The AP332e shall support DFS for following country: USA, Canada, Europe and Japan.

1. When AP switches a radio to fallback channel after detecting radar in current operating channel, if the fallback channel is a DFS channel, AP shall perform DFS procedure on that channel
2. When AP switches a radio to another channel (other than fallback channel) after detecting radar, it shall ensure that the selected channel has a minimum separation of 140Mhz i.e., 28 channel numbers from any other operational radio on that AP. If such a channel cannot be found, then the radio shall be disabled.
3. When user specifies fallback channel for a wireless interface of AP433, NMS shall verify that it has a separation of at least 140Mhz from the configured channel of any other radios of that AP which are operating in same band.

List of 5GHz channels in various regulatory domains with information about DFS required/not required, indoor / outdoor.

Channel	US	Europe	Japan
36	Indoor/Outdoor	Indoor	Indoor/Outdoor
40	Indoor/Outdoor	Indoor	Indoor/Outdoor
44	Indoor/Outdoor	Indoor	Indoor/Outdoor
48	Indoor/Outdoor	Indoor	Indoor/Outdoor
52	Indoor/Outdoor/DFS	Indoor/DFS	Indoor/Outdoor/DFS
56	Indoor/Outdoor/DFS	Indoor/DFS	
60	Indoor/Outdoor/DFS	Indoor/DFS	
64	Indoor/Outdoor/DFS	Indoor/DFS	
100	Indoor/Outdoor/DFS	Indoor/Outdoor/DFS	
104	Indoor/Outdoor/DFS	Indoor/Outdoor/DFS	
108	Indoor/Outdoor/DFS	Indoor/Outdoor/DFS	
112	Indoor/Outdoor/DFS	Indoor/Outdoor/DFS	
116	Indoor/Outdoor/DFS	Indoor/Outdoor/DFS	
120	Not allowed	Indoor/Outdoor/DFS	
124	Not allowed	Indoor/Outdoor/DFS	
128	Not allowed	Indoor/Outdoor/DFS	
132	Indoor/Outdoor/DFS	Indoor/Outdoor/DFS	
136	Indoor/Outdoor/DFS	Indoor/Outdoor/DFS	
140	Indoor/Outdoor/DFS	Indoor/Outdoor/DFS	

Channel	US	Europe	Japan
144	Indoor/Outdoor/DFS	Indoor/Outdoor/DFS	
149		Not allowed	
153		Not allowed	
157		Not allowed	
161		Not allowed	

## C. UNII Detection Bandwidth

**Test Requirement(s):** § 15.407 A minimum 80% detection rate is required across an EUT's 99% bandwidth.

**Test Procedure:** All UNII channels for this device have identical channel bandwidths.

A single burst of the short pulse radar type 1 is produced at 5300 MHz, at the -63dBm test level. The UUT is set up as a standalone device (no associated client, and no data traffic).

A single radar burst is generated for a minimum of 10 trials, and the response of the UUT is recorded. The UUT must detect the radar waveform 90% or more of the time.

The radar frequency is increased in 1 MHz steps, repeating the above test sequence, until the detection rate falls below 90%. The highest frequency at which detection is greater than or equal to 90% is denoted  $F_H$ .

The radar frequency is decreased in 1 MHz steps, repeating the above test sequence, until the detection rate falls below 90%. The lowest frequency at which detection is greater than or equal to 90% is denoted  $F_L$ .

The U-NII Detection Bandwidth is calculated as follows:

$$\text{U-NII Detection Bandwidth} = F_H - F_L$$

**Test Engineer:** Aaron Chang

**Test Date:** 07/22/13

## UNII Detection Bandwidth – Test Results

EUT Frequency- 5300MHz											
Radar Frequency (MHz)	DFS Detection Trials (1=Detection, 0= No Detection)										
	1	2	3	4	5	6	7	8	9	10	Detection Rate (%)
5291	0	0	0	0	0	0	0	0	0	0	0
5292 (FL)	1	1	1	1	1	1	1	1	1	1	100
5293	1	1	1	1	1	1	1	1	1	1	100
5294	1	1	1	1	1	1	1	1	1	1	100
5295	1	1	1	1	1	1	1	1	1	1	100
5296	1	1	1	1	1	1	1	1	1	1	100
5297	1	1	1	1	1	1	1	1	1	1	100
5298	1	1	1	1	1	1	1	1	1	1	100
5299	1	1	1	1	1	1	1	1	1	1	100
5300	1	1	1	1	1	1	1	1	1	1	100
5301	1	1	1	1	1	1	1	1	1	1	100
5302	1	1	1	1	1	1	1	1	1	1	100
5303	1	1	1	1	1	1	1	1	1	1	100
5304	1	1	1	1	1	1	1	1	1	1	100
5305	1	1	1	1	1	1	1	1	1	1	100
5306	1	1	1	1	1	1	1	1	1	1	100
5307	1	1	1	1	1	1	1	1	1	1	100
5308 (FH)	1	1	1	1	1	1	1	1	1	1	100
5309	0	0	0	0	0	0	0	0	0	0	0
Overall Detection Percentage											100%
Detection Bandwidth = $f_h - f_l = 5308\text{MHz} - 5292\text{MHz} = 16\text{MHz}$											
EUT 99% Bandwidth = 17.8378MHz											
OBW* 80% = 14.27											

Table 20. UNII Detection Bandwidth, Test Results, 5300 MHz

EUT Frequency- 5510MHz											
Radar Frequency (MHz)	DFS Detection Trials (1=Detection, 0= No Detection)										
	1	2	3	4	5	6	7	8	9	10	Detection Rate (%)
5490	0	0	0	0	0	0	0	0	0	0	0
5491 (FL)	1	1	1	1	1	1	1	1	1	1	100
5492	1	1	1	1	1	1	1	1	1	1	100
5493	1	1	1	1	1	1	1	1	1	1	100
5494	1	1	1	1	1	1	1	1	1	1	100
5495	1	1	1	1	1	1	1	1	1	1	100
5496	1	1	1	1	1	1	1	1	1	1	100
5497	1	1	1	1	1	1	1	1	1	1	100
5498	1	1	1	1	1	1	1	1	1	1	100
5499	1	1	1	1	1	1	1	1	1	1	100
5500	1	1	1	1	1	1	1	1	1	1	100
5501	1	1	1	1	1	1	1	1	1	1	100
5502	1	1	1	1	1	1	1	1	1	1	100
5503	1	1	1	1	1	1	1	1	1	1	100
5504	1	1	1	1	1	1	1	1	1	1	100
5505	1	1	1	1	1	1	1	1	1	1	100
5506	1	1	1	1	1	1	1	1	1	1	100
5507	1	1	1	1	1	1	1	1	1	1	100
5508	1	1	1	1	1	1	1	1	1	1	100
5509	1	1	1	1	1	1	1	1	1	1	100
5510	1	1	1	1	1	1	1	1	1	1	100
5511	1	1	1	1	1	1	1	1	1	1	100
5512	1	1	1	1	1	1	1	1	1	1	100
5513	1	1	1	1	1	1	1	1	1	1	100
5514	1	1	1	1	1	1	1	1	1	1	100
5515	1	1	1	1	1	1	1	1	1	1	100
5516	1	1	1	1	1	1	1	1	1	1	100
5517	1	1	1	1	1	1	1	1	1	1	100
5518	1	1	1	1	1	1	1	1	1	1	100
5519	1	1	1	1	1	1	1	1	1	1	100
5520	1	1	1	1	1	1	1	1	1	1	100
5521	1	1	1	1	1	1	1	1	1	1	100
5522	1	1	1	1	1	1	1	1	1	1	100
5523	1	1	1	1	1	1	1	1	1	1	100
5524	1	1	1	1	1	1	1	1	1	1	100
5525	1	1	1	1	1	1	1	1	1	1	100
5526	1	1	1	1	1	1	1	1	1	1	100
5527	1	1	1	1	1	1	1	1	1	1	100
5528	1	1	1	1	1	1	1	1	1	1	100
5529 (FH)	1	1	1	1	1	1	1	1	1	1	100
5530	0	0	0	0	0	0	0	0	0	0	0
Overall Detection Percentage											100%
Detection Bandwidth = $f_h - f_l = 5529\text{MHz} - 5491\text{MHz} = 38\text{MHz}$											
EUT 99% Bandwidth = 36.3073											
OBW* 80% = 29.04584											

**Table 21. UNII Detection Bandwidth, Test Results, 5510 MHz**

## Initial Channel Availability Check Time

**Test Requirements:** **§ 15.407** The Initial Channel Availability Check Time tests that the UUT does not emit beacon, control, or data signals on the test channel until the power-up sequence has been completed and the U-NII device has checked for radar waveforms, for one minute, on the test channel. This test does not use any of the radar waveforms and only needs to be performed once.

The UUT should not make any transmissions over the test channel, for at least 1 minute after completion of its power-on cycle.

**Test Procedure:** The U-NII device is powered on and instructed to operate at 5300 MHz. At the same time the UUT is powered on, the spectrum analyzer is set to 5300MHz with a zero span and a 2.5 minute sweep time. The analyzer is triggered at the same time power is applied to the U-NII device.

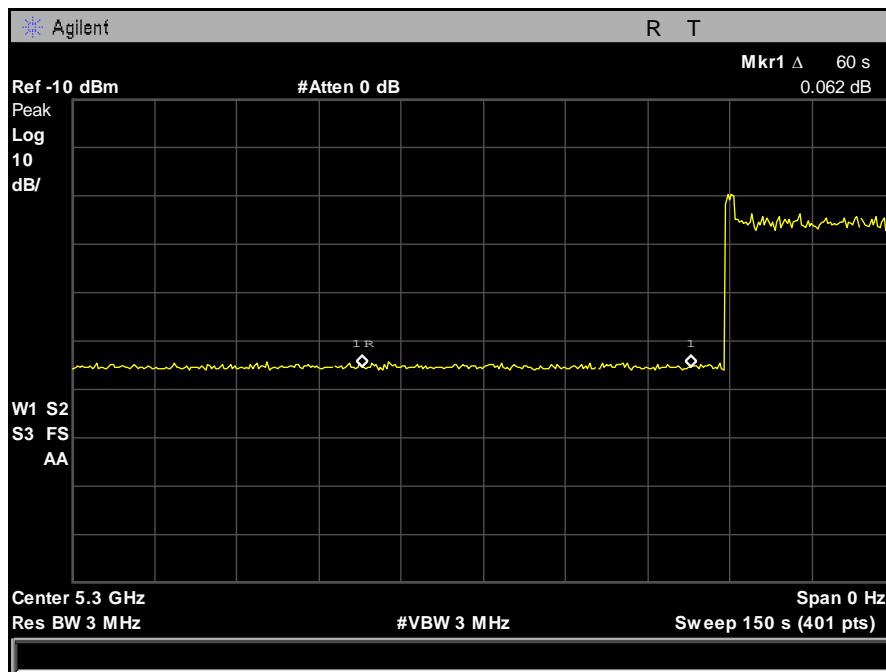
**Test Results:** Marker 1 on plots 55 and 56 indicate the start of the channel availability check time. Initial beacon/data transmission is indicated by marker 1R.

The Equipment was compliant with § 15.407 Initial Channel Availability Check Time.

**Test Engineer:** Aaron Chang

**Test Date:** 07/22/13

## Initial Channel Availability Check Time – Plot



**Plot 515. Initial Channel Availability Check Time, Boot-Up**

## D. Radar Burst at the Beginning of Channel Availability Check Time

**Test Requirements:** **§ 15.407** A Radar Burst at the Beginning of the Channel Availability Check Time tests that the UUT does not emit beacon, control, or data signals on the test Channel if it has detected a radar burst during that time period until the power-up sequence has been completed and the U-NII device checks for Radar Waveforms for one minute on the test Channel. The steps below define the procedure to verify successful radar detection on the selected Channel during a period equal to the Channel Availability Check Time and avoidance of operation on that Channel when a radar Burst with a level equal to the DFS Detection Threshold + 1 dB (-63dBm) occurs at the beginning of the Channel Availability Check Time.

**Test Procedure:** The UUT is powered on at T0. T1 denotes the instant when the UUT has completed its power-up sequence. The Channel Availability Check Time commences at instant T1 and will end no sooner than T1 + 60 seconds.

A single Burst of short pulse radar type 1, at -63 dBm, will commence within a 6 second window starting at T1.

Visual indication of the UUT of successful detection of the radar Burst will be recorded and reported. Observation of transmission at 5300MHz will continue for 2.5 minutes after the radar Burst has been generated.

Verify that during the 2.5 minute measurement window, no UUT transmissions occur at 5300MHz.

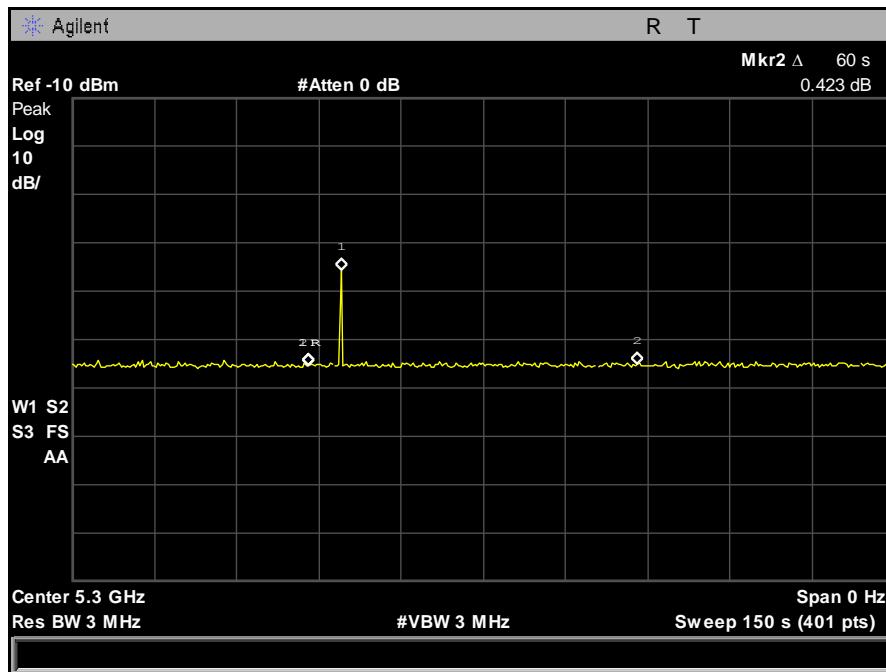
**Test Results** Plots 57 and 58 below indicates that there were no UUT transmissions during the 2.5 minute measurement window when a radar burst was injected 6 seconds into the CACT. Therefore, the UUT detected the presence of a radar during the CACT and moved away from that channel.

The equipment was compliant with § 15.407 Radar Burst at the Beginning of the Channel Availability Check Time.

**Test Engineer:** Aaron Chang

**Test Date:** 07/22/13

## Radar Burst at the Beginning of Channel Availability Check Time – Plot



**Plot 516. Radar Burst at the Beginning of CACT**

## E. Radar Burst at the End of Channel Availability Check Time

**Test Requirements:** § 15.407 A Radar Burst at the End of the Channel Availability Check Time tests that the UUT does not emit beacon, control, or data signals on the test Channel if it has detected a radar burst during that time period until the power-up sequence has been completed and the U-NII device checks for Radar Waveforms for one minute on the test Channel. The steps below define the procedure to verify successful radar detection on the selected Channel during a period equal to the Channel Availability Check Time and avoidance of operation on that Channel when a radar Burst with a level equal to the DFS Detection Threshold + 1 dB (-63dBm) occurs at the end of the Channel Availability Check Time.

**Test Procedure:** The steps below define the procedure to verify successful radar detection on the selected Channel during a period equal to the Channel Availability Check Time and avoidance of operation on that Channel when a radar Burst with a level equal to the DFS Detection Threshold + 1 dB (-63dBm) occurs at the end of the Channel Availability Check Time.

The UUT is powered on at T0. T1 denotes the instant when the UUT has completed its power-up sequence. The Channel Availability Check Time commences at instant T1 and will end no sooner than T1 + 60 seconds.

A single Burst of short pulse of radar type 1 at -63 dBm will commence within a 6 second window starting at T1+ 54 seconds.

Visual indication on the UUT of successful detection of the radar Burst will be recorded and reported. Observation of emissions at 5300MHz will continue for 2.5 minutes after the radar Burst has been generated.

Verify that during the 2.5 minute measurement window no UUT transmissions occurred at 5300MHz.

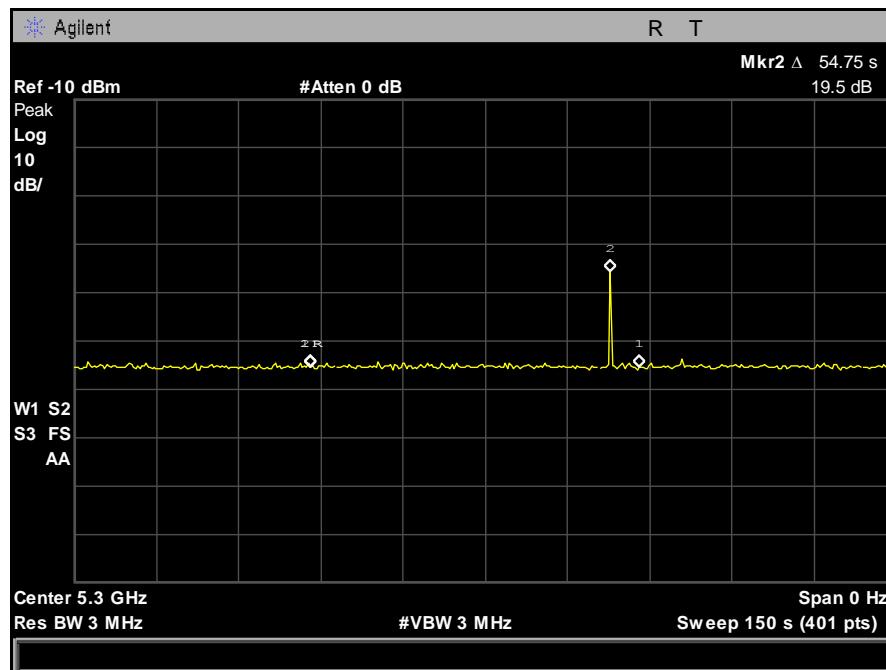
**Test Results:** Pots 59 and 60 indicates that no UUT transmissions occurred during the 2.5 minute measurement window when a radar burst was injected 6 seconds before the end of the CACT. Therefore, the UUT detected the presence of a radar and moved away from that channel.

The equipment was compliant with § 15.407 Radar Burst at the End of the Channel Availability Check Time.

**Test Engineer:** Aaron Chang

**Test Date:** 07/22/13

## Radar Burst at the End of Channel Availability Check Time – Plot



Plot 517. Radar Burst at the End of CACT

## F. In-Service Monitoring for Channel Move Time, Channel Closing Transmission Time, and Non-Occupancy Period

**Test Requirements:** § 15.407 (Refer to DFS Response Requirement Values table in section III-A of this report.) The UUT shall continuously monitor for radar transmissions in the operating test channel. When a radar burst occurs in the test channel, it has 10 seconds to move to another channel. This 10 second window is termed Channel Move Time (CMT).

When a radar burst occurs, the UUT has 200 milliseconds, plus an aggregate of 60 milliseconds, to cease transmission in the operating test channel. This 200 ms + 60 ms requirement is termed Channel Closing Transmission Time (CCT).

After radar burst and subsequent move to another channel, the UUT shall not resume transmission, on the channel it moved from, for a period of 30 minutes. This requirement is termed Non-Occupancy Period (NOP).

**Test Procedure:** These tests define how the following DFS parameters are verified during In-Service Monitoring: Channel Closing Transmission Time, Channel Move Time, and Non-Occupancy Period.

The steps below define the procedure to determine the above mentioned parameters when a radar Burst with a level equal to the DFS Detection Threshold + 1dB (-63dBm) is generated on the Operating Channel of the U-NII device.

A U-NII device operating as a Client Device will associate with the UUT (Master) at 5300 MHz. Stream the MPEG test file from the Master Device to the Client Device on the selected Channel for the entire period of the test.

At time T0 the Radar Waveform generator sends a Burst of pulses for each of the radar types at -63dBm.

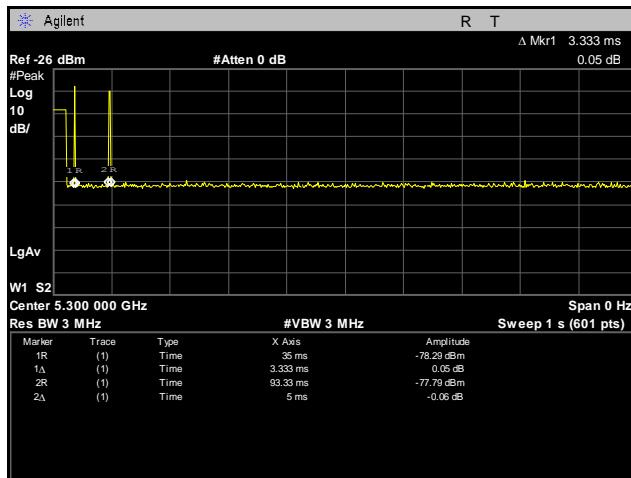
Observe the transmissions of the UUT at the end of the radar Burst on the Operating Channel for duration greater than 10 seconds. Measure and record the transmissions from the UUT during the observation time (Channel Move Time). Compare the Channel Move Time and Channel Closing Transmission Time results to the limits defined in the *DFS Response Requirement Values table*.

**Test Results:** The EUT was compliant with § 15.407 In-Service Monitoring for Channel Move Time, Channel Closing Transmission Time, and Non-Occupancy Period.

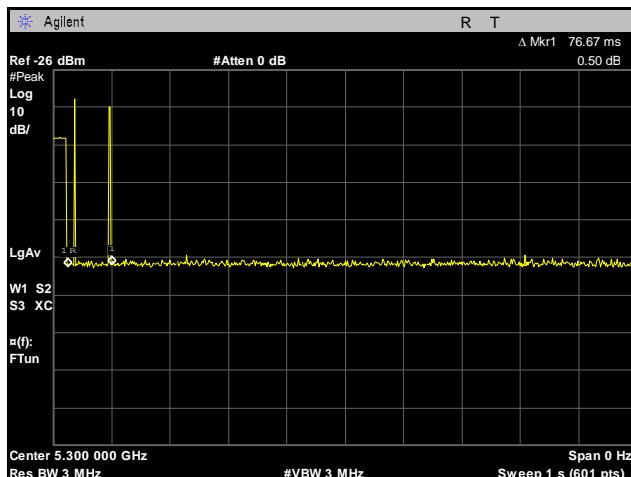
**Test Engineer:** Aaron Chang

**Test Date:** 07/22/13

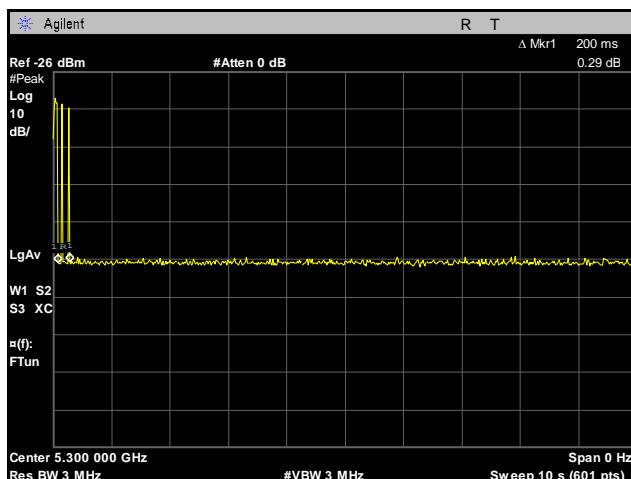
## Channel Move Time – Plots



**Plot 518. Channel Closing Transmission Time, Aggregate**

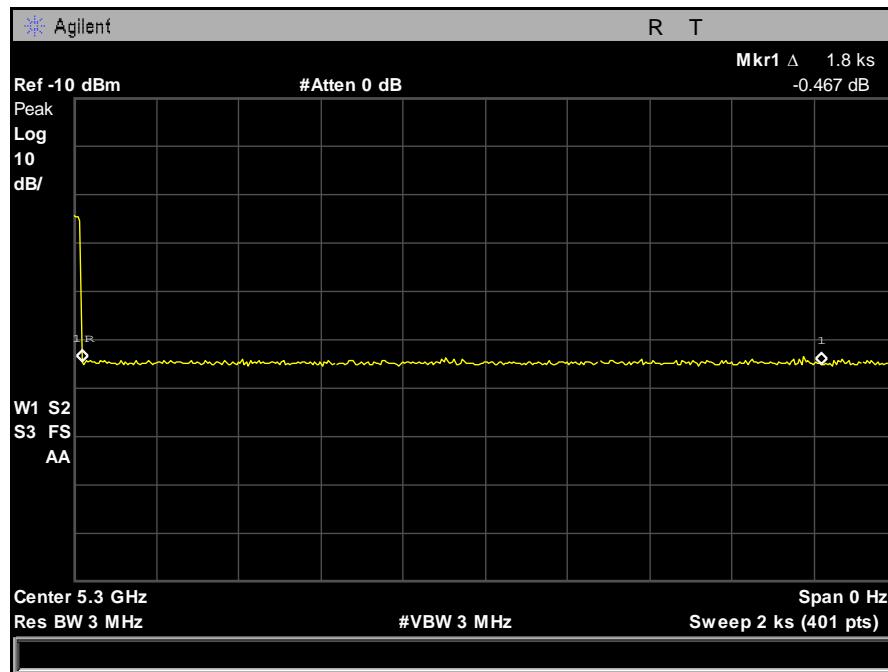


**Plot 519. Channel Move Time, 1 second**



**Plot 520. Channel Move Time**

## Non-Occupancy Period – Plot



Plot 521. Non-Occupancy Period

## G. Statistical Performance Check

**Test Requirements:** § 15.407 During In-Service Monitoring, the EUT requires a minimum percentage of successful radar detections from all required radar waveforms at a level equal to the DFS Detection Threshold + 1dB.

**Test Procedure:** Stream the MPEG test file from the Master Device to the Client Device on the selected Channel for the entire period of the test. The Radar Waveform generator sends the individual waveform for each of the radar types 1-6 at -63dbm. Statistical data is gathered to determine the ability of the device to detect the radar test waveforms. The device can utilize a test mode to demonstrate when detection occurs to prevent the need to reset the device between trial runs. The percentage of successful detection is calculated by:

$$\frac{\text{TotalWaveformDetections}}{\text{TotalWaveformTrials}} \times 100$$

The Minimum number of trials, minimum percentage of successful detection and the average minimum percentage of successful detection are found in the Radar Test Waveforms section.

**Test Results:** The equipment was compliant with § 15.407 Statistical Performance Check.

**Test Engineer:** Aaron Chang

**Test Date:** 07/22/13

## Statistical Performance Check – Radar Type 1

Radar Type	Trial #	Pulses per Burst	Pulse Width ( $\mu$ sec)	PRI ( $\mu$ sec)	Detection	
					1 = Yes, 0 = No	
1	1	18	1	1428	1	
	2	18	1	1428	1	
	3	18	1	1428	1	
	4	18	1	1428	1	
	5	18	1	1428	1	
	6	18	1	1428	1	
	7	18	1	1428	1	
	8	18	1	1428	1	
	9	18	1	1428	1	
	10	18	1	1428	1	
	11	18	1	1428	1	
	12	18	1	1428	1	
	13	18	1	1428	1	
	14	18	1	1428	1	
	15	18	1	1428	1	
	16	18	1	1428	1	
	17	18	1	1428	1	
	18	18	1	1428	1	
	19	18	1	1428	1	
	20	18	1	1428	1	
	21	18	1	1428	1	
	22	18	1	1428	1	
	23	18	1	1428	1	
	24	18	1	1428	1	
	25	18	1	1428	1	
	26	18	1	1428	1	
	27	18	1	1428	1	
	28	18	1	1428	1	
	29	18	1	1428	1	
	30	18	1	1428	1	
		Detection Percentage				100% (> 60%)

Table 22. Statistical Performance Check – Radar Type 1, 20 MHz

## Statistical Performance Check – Radar Type 2

Radar Type	Trial #	Pulse Width 1 to 5 $\mu$ sec	PRI 150 to 230 $\mu$ sec	Pulses per Burst 23 to 29	Detection	
					1 = Yes, 0 = No	
2	1	1.9	155	28	1	
	2	4.8	185	29	1	
	3	3.3	163	29	1	
	4	4.8	158	28	1	
	5	1.7	204	26	1	
	6	1.5	230	28	1	
	7	1.2	181	26	1	
	8	1.4	194	28	1	
	9	1.2	194	28	1	
	10	3.6	197	23	1	
	11	2.5	213	29	1	
	12	1.7	190	29	1	
	13	1.2	206	27	1	
	14	3.5	193	24	1	
	15	1.4	169	24	1	
	16	1.4	182	23	1	
	17	4.7	221	25	1	
	18	4	197	29	1	
	19	1.5	230	23	1	
	20	3.2	178	23	1	
	21	3.7	158	25	1	
	22	3.1	150	27	1	
	23	2.3	217	27	1	
	24	3.3	164	29	1	
	25	5	195	25	1	
	26	4.9	162	26	1	
	27	3.5	164	24	1	
	28	3.8	201	29	1	
	29	4.8	162	25	1	
	30	1.2	151	23	1	
			Detection Percentage			100% (> 60%)

Table 23. Statistical Performance Check – Radar Type 2, 20 MHz

## Statistical Performance Check – Radar Type 3

Radar Type	Trial #	Pulse Width 6 to 10 $\mu$ sec	PRI 200 to 500 $\mu$ sec	Pulses per Burst 16 to 18	Detection	
					1 = Yes, 0 = No	
3	1	9.3	255	17	1	
	2	6.7	423	18	1	
	3	6.9	494	16	1	
	4	7	341	18	1	
	5	9.9	211	18	1	
	6	8.6	337	17	1	
	7	9.2	322	18	1	
	8	7.8	228	16	1	
	9	8.4	203	17	1	
	10	8.3	284	16	1	
	11	7.7	362	18	1	
	12	6.5	233	17	1	
	13	8	432	16	1	
	14	9.9	238	17	1	
	15	8.4	304	17	1	
	16	9.2	488	16	1	
	17	7	415	17	1	
	18	8.5	273	17	1	
	19	8	269	18	1	
	20	6.7	422	18	1	
	21	6.2	401	18	1	
	22	7.9	378	16	1	
	23	9.1	387	16	1	
	24	8	322	18	1	
	25	7.5	401	18	1	
	26	6	355	16	1	
	27	6.4	497	18	1	
	28	8.5	237	18	1	
	29	7.8	223	16	1	
	30	8.8	289	16	1	
	Detection Percentage					100% (> 60%)

Table 24. Statistical Performance Check – Radar Type 3, 20 MHz

### Statistical Performance Check – Radar Type 4

Radar Type	Trial #	Pulse Width 11 to 20 $\mu$ sec	PRI 200 to 500 $\mu$ sec	Pulses per Burst 12 to 16	Detection	
					1 = Yes, 0 = No	
4	1	17.6	273	15	1	
	2	15.9	310	16	1	
	3	18.4	494	15	1	
	4	16	333	15	1	
	5	15.9	302	14	1	
	6	16.9	354	15	1	
	7	12.3	331	14	1	
	8	13	307	14	1	
	9	15.8	436	12	1	
	10	18	277	16	1	
	11	16.4	272	15	1	
	12	15.3	420	16	1	
	13	13.4	440	14	1	
	14	17.3	224	16	1	
	15	11.3	426	13	1	
	16	13	250	14	1	
	17	11.1	271	16	1	
	18	13	238	16	1	
	19	13.4	270	13	1	
	20	17.1	205	13	1	
	21	19.6	297	14	1	
	22	15.8	355	12	1	
	23	14.2	222	15	1	
	24	19.1	296	12	1	
	25	13.3	310	14	1	
	26	16.2	293	13	1	
	27	12.1	402	12	1	
	28	13.9	266	16	1	
	29	16.8	278	13	1	
	30	15.4	461	13	1	
			Detection Percentage			100% (> 60%)

Table 25. Statistical Performance Check – Radar Type 4, 20 MHz

## Statistical Performance Check – Radar Type 5

Radar Type	Trial #	Filename*	Detection	
			1 = Yes, 0 = No	
5	1	bin5-trial 1	1	
	2	bin5-trial 2	1	
	3	bin5-trial 3	1	
	4	bin5-trial 4	1	
	5	bin5-trial 5	1	
	6	bin5-trial 6	1	
	7	bin5-trial 7	1	
	8	bin5-trial 8	1	
	9	bin5-trial 9	1	
	10	bin5-trial 10	1	
	11	bin5-trial 11	1	
	12	bin5-trial 12	1	
	13	bin5-trial 13	1	
	14	bin5-trial 14	1	
	15	bin5-trial 15	1	
	16	bin5-trial 16	1	
	17	bin5-trial 17	1	
	18	bin5-trial 18	1	
	19	bin5-trial 19	1	
	20	bin5-trial 20	1	
	21	bin5-trial 21	1	
	22	bin5-trial 22	1	
	23	bin5-trial 23	1	
	24	bin5-trial 24	1	
	25	bin5-trial 25	1	
	26	bin5-trial 26	1	
	27	bin5-trial 27	1	
	28	bin5-trial 28	1	
	29	bin5-trial 29	1	
	30	bin5-trial 30	1	
	Detection Percentage		100% (> 80%)	

**Table 26. Statistical Performance Check – Radar Type 5, 20 MHz**

Note: See Appendix for Bin 5 test data.

## Statistical Performance Check – Radar Type 6

Radar Type	Trial #	Frequency (MHz)	Pulses/Hop	Pulse Width (μsec)	PRI (μsec)	Detection	
						1 = Yes, 0 = No	
6	1	5580	9	1	333	1	
	2	5580	9	1	333	1	
	3	5580	9	1	333	1	
	4	5580	9	1	333	1	
	5	5580	9	1	333	1	
	6	5580	9	1	333	1	
	7	5580	9	1	333	1	
	8	5580	9	1	333	1	
	9	5580	9	1	333	1	
	10	5580	9	1	333	1	
	11	5580	9	1	333	1	
	12	5580	9	1	333	1	
	13	5580	9	1	333	1	
	14	5580	9	1	333	1	
	15	5580	9	1	333	1	
	16	5580	9	1	333	1	
	17	5580	9	1	333	1	
	18	5580	9	1	333	1	
	19	5580	9	1	333	1	
	20	5580	9	1	333	0	
	21	5580	9	1	333	0	
	22	5580	9	1	333	0	
	23	5580	9	1	333	1	
	24	5580	9	1	333	1	
	25	5580	9	1	333	1	
	26	5580	9	1	333	1	
	27	5580	9	1	333	1	
	28	5580	9	1	333	1	
	29	5580	9	1	333	1	
	30	5580	9	1	333	1	
		Detection Percentage				90% (> 60%)	

Table 27. Statistical Performance Check – Radar Type 6, 20 MHz

**Statistical Performance Check – Radar Type 1**

Radar Type	Trial #	Pulses per Burst	Pulse Width ( $\mu$ sec)	PRI ( $\mu$ sec)	Detection	
					1 = Yes, 0 = No	
1	1	18	1	1428	1	
	2	18	1	1428	1	
	3	18	1	1428	1	
	4	18	1	1428	1	
	5	18	1	1428	1	
	6	18	1	1428	1	
	7	18	1	1428	1	
	8	18	1	1428	1	
	9	18	1	1428	1	
	10	18	1	1428	1	
	11	18	1	1428	1	
	12	18	1	1428	1	
	13	18	1	1428	1	
	14	18	1	1428	1	
	15	18	1	1428	1	
	16	18	1	1428	1	
	17	18	1	1428	1	
	18	18	1	1428	1	
	19	18	1	1428	1	
	20	18	1	1428	1	
	21	18	1	1428	1	
	22	18	1	1428	1	
	23	18	1	1428	1	
	24	18	1	1428	1	
	25	18	1	1428	1	
	26	18	1	1428	1	
	27	18	1	1428	1	
	28	18	1	1428	1	
	29	18	1	1428	1	
	30	18	1	1428	1	
		<b>Detection Percentage</b>				<b>100% (&gt; 60%)</b>

**Table 28. Statistical Performance Check – Radar Type 1, 40 MHz**

### Statistical Performance Check – Radar Type 2

Radar Type	Trial #	Pulse Width 1 to 5 $\mu$ sec	PRI 150 to 230 $\mu$ sec	Pulses per Burst 23 to 29	Detection	
					1 = Yes, 0 = No	
2	1	1.9	155	28	1	
	2	4.8	185	29	1	
	3	3.3	163	29	1	
	4	4.8	158	28	1	
	5	1.7	204	26	1	
	6	1.5	230	28	1	
	7	1.2	181	26	1	
	8	1.4	194	28	1	
	9	1.2	194	28	1	
	10	3.6	197	23	1	
	11	2.5	213	29	1	
	12	1.7	190	29	1	
	13	1.2	206	27	1	
	14	3.5	193	24	1	
	15	1.4	169	24	1	
	16	1.4	182	23	1	
	17	4.7	221	25	1	
	18	4	197	29	1	
	19	1.5	230	23	1	
	20	3.2	178	23	1	
	21	3.7	158	25	1	
	22	3.1	150	27	1	
	23	2.3	217	27	1	
	24	3.3	164	29	1	
	25	5	195	25	1	
	26	4.9	162	26	1	
	27	3.5	164	24	1	
	28	3.8	201	29	1	
	29	4.8	162	25	1	
	30	1.2	151	23	1	
			Detection Percentage			100% (> 60%)

Table 29. Statistical Performance Check – Radar Type 2, 40 MHz

## Statistical Performance Check – Radar Type 3

Radar Type	Trial #	Pulse Width 6 to 10 $\mu$ sec	PRI 200 to 500 $\mu$ sec	Pulses per Burst 16 to 18	Detection	
					1 = Yes, 0 = No	
3	1	9.3	255	17	1	
	2	6.7	423	18	1	
	3	6.9	494	16	1	
	4	7	341	18	1	
	5	9.9	211	18	1	
	6	8.6	337	17	1	
	7	9.2	322	18	1	
	8	7.8	228	16	1	
	9	8.4	203	17	1	
	10	8.3	284	16	1	
	11	7.7	362	18	1	
	12	6.5	233	17	1	
	13	8	432	16	1	
	14	9.9	238	17	1	
	15	8.4	304	17	1	
	16	9.2	488	16	1	
	17	7	415	17	1	
	18	8.5	273	17	1	
	19	8	269	18	1	
	20	6.7	422	18	1	
	21	6.2	401	18	1	
	22	7.9	378	16	1	
	23	9.1	387	16	1	
	24	8	322	18	1	
	25	7.5	401	18	1	
	26	6	355	16	1	
	27	6.4	497	18	1	
	28	8.5	237	18	1	
	29	7.8	223	16	1	
	30	8.8	289	16	1	
	Detection Percentage					100% (> 60%)

Table 30. Statistical Performance Check – Radar Type 3, 40 MHz

### Statistical Performance Check – Radar Type 4

Radar Type	Trial #	Pulse Width 11 to 20 $\mu$ sec	PRI 200 to 500 $\mu$ sec	Pulses per Burst 12 to 16	Detection	
					1 = Yes, 0 = No	
4	1	17.6	273	15	1	
	2	15.9	310	16	1	
	3	18.4	494	15	1	
	4	16	333	15	1	
	5	15.9	302	14	1	
	6	16.9	354	15	1	
	7	12.3	331	14	1	
	8	13	307	14	1	
	9	15.8	436	12	1	
	10	18	277	16	1	
	11	16.4	272	15	1	
	12	15.3	420	16	1	
	13	13.4	440	14	1	
	14	17.3	224	16	1	
	15	11.3	426	13	1	
	16	13	250	14	1	
	17	11.1	271	16	1	
	18	13	238	16	1	
	19	13.4	270	13	1	
	20	17.1	205	13	1	
	21	19.6	297	14	1	
	22	15.8	355	12	1	
	23	14.2	222	15	1	
	24	19.1	296	12	1	
	25	13.3	310	14	1	
	26	16.2	293	13	1	
	27	12.1	402	12	1	
	28	13.9	266	16	1	
	29	16.8	278	13	1	
	30	15.4	461	13	1	
			Detection Percentage			100% (> 60%)

Table 31. Statistical Performance Check – Radar Type 4, 40 MHz

## Statistical Performance Check – Radar Type 5

Radar Type	Trial #	Filename*	Detection	
			1 = Yes, 0 = No	
5	1	bin5-trial 1	1	
	2	bin5-trial 2	1	
	3	bin5-trial 3	1	
	4	bin5-trial 4	1	
	5	bin5-trial 5	1	
	6	bin5-trial 6	1	
	7	bin5-trial 7	1	
	8	bin5-trial 8	1	
	9	bin5-trial 9	1	
	10	bin5-trial 10	1	
	11	bin5-trial 11	1	
	12	bin5-trial 12	1	
	13	bin5-trial 13	0	
	14	bin5-trial 14	1	
	15	bin5-trial 15	1	
	16	bin5-trial 16	1	
	17	bin5-trial 17	1	
	18	bin5-trial 18	1	
	19	bin5-trial 19	1	
	20	bin5-trial 20	0	
	21	bin5-trial 21	1	
	22	bin5-trial 22	1	
	23	bin5-trial 23	1	
	24	bin5-trial 24	1	
	25	bin5-trial 25	1	
	26	bin5-trial 26	0	
	27	bin5-trial 27	1	
	28	bin5-trial 28	0	
	29	bin5-trial 29	1	
	30	bin5-trial 30	1	
Detection Percentage			86.7% (> 80%)	

Table 32. Statistical Performance Check – Radar Type 5, 40 MHz

Note: See Appendix for Bin 5 test data.

### Statistical Performance Check – Radar Type 6

Radar Type	Trial #	Frequency (MHz)	Pulses/Hop	Pulse Width (μsec)	PRI (μsec)	Detection	
						1 = Yes, 0 = No	
6	1	5580	9	1	333	1	
	2	5580	9	1	333	1	
	3	5580	9	1	333	1	
	4	5580	9	1	333	1	
	5	5580	9	1	333	1	
	6	5580	9	1	333	1	
	7	5580	9	1	333	1	
	8	5580	9	1	333	1	
	9	5580	9	1	333	1	
	10	5580	9	1	333	1	
	11	5580	9	1	333	1	
	12	5580	9	1	333	1	
	13	5580	9	1	333	1	
	14	5580	9	1	333	1	
	15	5580	9	1	333	1	
	16	5580	9	1	333	1	
	17	5580	9	1	333	1	
	18	5580	9	1	333	1	
	19	5580	9	1	333	1	
	20	5580	9	1	333	1	
	21	5580	9	1	333	1	
	22	5580	9	1	333	1	
	23	5580	9	1	333	1	
	24	5580	9	1	333	1	
	25	5580	9	1	333	1	
	26	5580	9	1	333	1	
	27	5580	9	1	333	1	
	28	5580	9	1	333	1	
	29	5580	9	1	333	1	
	30	5580	9	1	333	1	
		Detection Percentage				100% (> 60%)	

Table 33. Statistical Performance Check – Radar Type 6, 40 MHz

## VII. Test Equipment

## Test Equipment

Calibrated test equipment utilized during testing was maintained in a current state of calibration per the requirements of ISO/IEC 17025:2005.

MET Asset #	Description	Manufacturer	Model	Cal Date	Cal Due Date
1T4771	SPECTRUM ANALYZER	AGILENT TECHNOLOGIES	E4446A	6/25/2011	6/25/2012
1T4409	EMI RECEIVER	ROHDE & SCHWARZ	ESIB7	6/14/2011	6/14/2012
1T4505	TEMPERATURE CHAMBER	TEST EQUITY	115	11/30/2011	11/30/2012
1T4751	ANTENNA - BILOG	SUNOL SCIENCES	JB6	12/7/2011	12/7/2012
1T4757	ANTENNA; HORN	ETS-LINDGREN	3117	2/18/2012	8/18/2013
1T4745	ANTENNA; HORN	ETS-LINDGREN	3116	10/4/2011	10/4/2012
1T4442	PRE-AMPLIFIER; MICROWAVE	MITEQ	AFS42-01001800-30-10P	SEE NOTE	
1T4752	PRE-AMPLIFIER	MITEQ	JS44-18004000-35-8P	SEE NOTE	
1T4300A	SEMI-ANECHOIC CHAMBER #1	EMC TEST SYSTEMS	NONE	1/31/2010	1/31/2013
1T4149	HIGH-FREQUENCY ANECHOIC CHAMBER	RAY-PROOF	81	SEE NOTE	
1T4503	SHIELDED ROOM	UNIVERSAL SHIELDING CORP	N/A	SEE NOTE	
1T4563	LISN (10 AMP)	SOLAR ELECTRONICS	9322-50-R-10-BNC	11/30/2011	11/30/2012
1T4564	LISN (24 AMP)	SOLAR ELECTRONICS	9252-50-R-24-BNC	11/4/2011	11/4/2012
1T4568	RADIATING NOISE SOURCE	MET LABORATORIES	N/A	SEE NOTE	
1T4502	COMB GENERATOR	COM-POWER	CGC-255	11/3/2011	11/3/2012

**Table 34. Test Equipment List**

Note: Functionally tested equipment is verified using calibrated instrumentation at the time of testing.

MET ASSET #	EQUIPMENT	MANUFACTURER	LAST CAL DATE	CAL DUE DATE
1S2243	NI PXI-1042 8-SLOT 3U CHASSIS	NATIONAL INSTRUMENTS	SEE NOTE	
1S2460	NI PXI-5421 16-BIT 100MS/S ARBITRARY WAVEFORM GENERATOR	NATIONAL INSTRUMENTS	SEE NOTE	
1S2278	NI PXI-5610 2.7GHZ RF UPCONVERTER	NATIONAL INSTRUMENTS	SEE NOTE	
1S2069	UPCONVERTER, 7206 PXI 4.9 TO 6GHZ	ASCOR	SEE NOTE	
N/A	SPLITTER/COMBINER, ZFSC-2-9G (QTY 2)	MINI-CIRCUITS	SEE NOTE	
N/A	30DB ATTENUATOR, BW-S30W2 (QTY 2)	PASTERNAK	SEE NOTE	
N/A	10DB ATTENUATOR, BW-S10W2 (QTY 2)	PASTERNAK	SEE NOTE	
1T4414	MICROWAVE PRE-AMPLIFIER	AH SYSTEMS	SEE NOTE	
1T4612	SPECTRUM ANALYZER, E4407B	AGILENT	05/23/2013	11/23/2013

**Table 35. DFS Test Equipment List**

Note: Functionally tested equipment is verified using calibrated instrumentation at the time of testing.



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Electromagnetic Compatibility  
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## **VIII. Certification & User's Manual Information**



## Certification & User's Manual Information

### A. Certification Information

The following is extracted from Title 47 of the Code of Federal Regulations, Part 2, Subpart I — Marketing of Radio frequency devices:

#### § 2.801 Radio-frequency device defined.

As used in this part, a radio-frequency device is any device which in its operation is capable of emitting radio-frequency energy by radiation, conduction, or other means. Radio-frequency devices include, but are not limited to:

- (a) The various types of radio communication transmitting devices described throughout this chapter.
- (b) *The incidental, unintentional and intentional radiators defined in Part 15 of this chapter.*
- (c) The industrial, scientific, and medical equipment described in Part 18 of this chapter.
- (d) Any part or component thereof which in use emits radio-frequency energy by radiation, conduction, or other means.

#### § 2.803 Marketing of radio frequency devices prior to equipment authorization.

- (a) Except as provided elsewhere in this chapter, no person shall sell or lease, or offer for sale or lease (including advertising for sale or lease), or import, ship or distribute for the purpose of selling or leasing or offering for sale or lease, any radio frequency device unless:
  - (1) In the case of a device subject to certification, such device has been authorized by the Commission in accordance with the rules in this chapter and is properly identified and labeled as required by §2.925 and other relevant sections in this chapter; or
  - (2) In the case of a device that is not required to have a grant of equipment authorization issued by the Commission, but which must comply with the specified technical standards prior to use, such device also complies with all applicable administrative (including verification of the equipment or authorization under a Declaration of Conformity, where required), technical, labeling and identification requirements specified in this chapter.
- (d) Notwithstanding the provisions of paragraph (a) of this section, the offer for sale solely to business, commercial, industrial, scientific or medical users (but not an offer for sale to other parties or to end users located in a residential environment) of a radio frequency device that is in the conceptual, developmental, design or pre-production stage is permitted prior to equipment authorization or, for devices not subject to the equipment authorization requirements, prior to a determination of compliance with the applicable technical requirements *provided* that the prospective buyer is advised in writing at the time of the offer for sale that the equipment is subject to the FCC rules and that the equipment will comply with the appropriate rules before delivery to the buyer or to centers of distribution.



- (e)(1) Notwithstanding the provisions of paragraph (a) of this section, prior to equipment authorization or determination of compliance with the applicable technical requirements any radio frequency device may be operated, but not marketed, for the following purposes and under the following conditions:
- (i) *Compliance testing;*
  - (ii) Demonstrations at a trade show provided the notice contained in paragraph (c) of this section is displayed in a conspicuous location on, or immediately adjacent to, the device;
  - (iii) Demonstrations at an exhibition conducted at a business, commercial, industrial, scientific or medical location, but excluding locations in a residential environment, provided the notice contained in paragraphs (c) or (d) of this section, as appropriate, is displayed in a conspicuous location on, or immediately adjacent to, the device;
  - (iv) Evaluation of product performance and determination of customer acceptability, provided such operation takes place at the manufacturer's facilities during developmental, design or pre-production states; or
  - (v) Evaluation of product performance and determination of customer acceptability where customer acceptability of a radio frequency device cannot be determined at the manufacturer's facilities because of size or unique capability of the device, provided the device is operated at a business, commercial, industrial, scientific or medical user's site, but not at a residential site, during the development, design or pre-production stages.
- (e)(2) For the purpose of paragraphs (e)(1)(iv) and (e)(1)(v) of this section, the term *manufacturer's facilities* includes the facilities of the party responsible for compliance with the regulations and the manufacturer's premises, as well as the facilities of other entities working under the authorization of the responsible party in connection with the development and manufacture, but not the marketing, of the equipment.
- (f) For radio frequency devices subject to verification and sold solely to business, commercial, industrial, scientific and medical users (excluding products sold to other parties or for operation in a residential environment), parties responsible for verification of the devices shall have the option of ensuring compliance with the applicable technical specifications of this chapter at each end user's location after installation, provided that the purchase or lease agreement includes a proviso that such a determination of compliance be made and is the responsibility of the party responsible for verification of the equipment.



## Certification & User's Manual Information

The following is extracted from Title 47 of the Code of Federal Regulations, Part 2, Subpart J — Equipment Authorization Procedures:

### § 2.901 Basis and Purpose

- (a) In order to carry out its responsibilities under the Communications Act and the various treaties and international regulations, and in order to promote efficient use of the radio spectrum, the Commission has developed technical standards for radio frequency equipment and parts or components thereof. The technical standards applicable to individual types of equipment are found in that part of the rules governing the service wherein the equipment is to be operated.<sup>1</sup> *In addition to the technical standards provided, the rules governing the service may require that such equipment be verified by the manufacturer or importer,* be authorized under a Declaration of Conformity, or receive an equipment authorization from the Commission by one of the following procedures: certification or registration.
- (b) The following sections describe the verification procedure, the procedure for a Declaration of Conformity, and the procedures to be followed in obtaining certification from the Commission and the conditions attendant to such a grant.

### § 2.907 Certification.

- (a) Certification is an equipment authorization issued by the Commission, based on representation and test data submitted by the applicant.
- (b) Certification attaches to all units subsequently marketed by the grantee which are identical (see Section 2.908) to the sample tested except for permissive changes or other variations authorized by the Commission pursuant to Section 2.1043.

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<sup>1</sup> In this case, the equipment is subject to the rules of Part 15. More specifically, the equipment falls under Subpart B (of Part 15), which deals with unintentional radiators.



## Certification & User's Manual Information

### § 2.948 Description of measurement facilities.

- (a) Each party making measurements of equipment that is subject to an equipment authorization under Part 15 or Part 18 of this chapter, regardless of whether the measurements are filed with the Commission or kept on file by the party responsible for compliance of equipment marketed within the U.S. or its possessions, shall compile a description of the measurement facilities employed.
- (1) If the measured equipment is subject to the verification procedure, the description of the measurement facilities shall be retained by the party responsible for verification of the equipment.
- (i) *If the equipment is verified through measurements performed by an independent laboratory, it is acceptable for the party responsible for verification of the equipment to rely upon the description of the measurement facilities retained by or placed on file with the Commission by that laboratory. In this situation, the party responsible for the verification of the equipment is not required to retain a duplicate copy of the description of the measurement facilities.*
- (ii) If the equipment is verified based on measurements performed at the installation site of the equipment, no specific site calibration data is required. It is acceptable to retain the description of the measurement facilities at the site at which the measurements were performed.
- (2) If the equipment is to be authorized by the Commission under the certification procedure, the description of the measurement facilities shall be filed with the Commission's Laboratory in Columbia, Maryland. The data describing the measurement facilities need only be filed once but must be updated as changes are made to the measurement facilities or as otherwise described in this section. At least every three years, the organization responsible for filing the data with the Commission shall certify that the data on file is current.



## Certification & User's Manual Information

### Label and User's Manual Information

The following is extracted from Title 47 of the Code of Federal Regulations, Part 15, Subpart A — General:

#### § 15.19 Labeling requirements.

(a) *In addition to the requirements in Part 2 of this chapter, a device subject to certification or verification shall be labeled as follows:*

- (1) Receivers associated with the operation of a licensed radio service, e.g., FM broadcast under Part 73 of this chapter, land mobile operation under Part 90, etc., shall bear the following statement in a conspicuous location on the device:

This device complies with Part 15 of the FCC Rules. Operation is subject to the condition that this device does not cause harmful interference.

- (2) A stand-alone cable input selector switch, shall bear the following statement in a conspicuous location on the device:

This device is verified to comply with Part 15 of the FCC Rules for use with cable television service.

- (3) All other devices shall bear the following statement in a conspicuous location on the device:

*This device complies with Part 15 of the FCC Rules. Operation is subject to the following two conditions: (1) This device may not cause harmful interference, and (2) this device must accept any interference received, including interference that may cause undesired operation.*

- (4) Where a device is constructed in two or more sections connected by wires and marketed together, the statement specified under paragraph (a) of this section is required to be affixed only to the main control unit.

- (5) When the device is so small or for such use that it is not practicable to place the statement specified under paragraph (a) of this section on it, the information required by this paragraph shall be placed in a prominent location in the instruction manual or pamphlet supplied to the user or, alternatively, shall be placed on the container in which the device is marketed. However, the FCC identifier or the unique identifier, as appropriate, must be displayed on the device.

#### § 15.21 Information to user.

The users manual or instruction manual for an intentional or unintentional radiator shall caution the user that changes or modifications not expressly approved by the party responsible for compliance could void the user's authority to operate the equipment.



## Verification & User's Manual Information

The following is extracted from Title 47 of the Code of Federal Regulations, Part 15, Subpart B — Unintentional Radiators:

### § 15.105 Information to the user.

- (a) For a Class A digital device or peripheral, the instructions furnished the user shall include the following or similar statement, placed in a prominent location in the text of the manual:

Note: This equipment has been tested and found to comply with the limits for a Class A digital device, pursuant to Part 15 of the FCC Rules. These limits are designed to provide reasonable protection against harmful interference when the equipment is operated in a commercial environment. This equipment generates, uses, and can radiate radio frequency energy and, if not installed and used in accordance with the instruction manual, may cause harmful interference to radio communications. Operation of this equipment in a residential area is likely to cause harmful interference in which case the user will be required to correct the interference at own expense.

- (b) For a Class B digital device or peripheral, the instructions furnished the user shall include the following or similar statement, placed in a prominent location in the text of the manual:

Note: This equipment has been tested and found to comply with the limits for a Class B digital device, pursuant to Part 15 of the FCC Rules. These limits are designed to provide reasonable protection against harmful interference when the equipment is operated in a residential environment. This equipment generates, uses, and can radiate radio frequency energy and, if not installed and used in accordance with the instruction manual, may cause harmful interference to radio communications. However, there is no guarantee that interference will not occur in a particular installation. If this equipment does cause harmful interference to radio or television reception, which can be determined by turning the equipment off and on, the user is encouraged to try to correct the interference by one or more of the following measures:

- Reorient or relocate the receiving antenna.
- Increase the separation between the equipment and receiver.
- Connect the equipment into an outlet on a circuit different from that to which the receiver is connected.
- Consult the dealer or an experienced radio/TV technician for help.



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