



FCC PART 15, SUBPART C

TEST AND MEASUREMENT REPORT

For

SmartThings, Inc.

1000 Potomac Street NW, Suite 120,

Washington DC 20007, USA

FCC ID: R3Y-STH-ETH200

Report Type: CIIPC	Product Type: Home Automation Gateway
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Report Number: <u>R1504213-247 ZigBee</u>	
Report Date: <u>2015-06-04</u>	
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* This report may contain data that are not covered by the A2LA accreditation and are marked with an asterisk “*” (Rev.2)

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DOCUMENT REVISION HISTORY

Revision Number	Report Number	Description of Revision	Date of Revision
0	R1504213-247 ZigBee	Initial	2015-06-04

1 General Description

1.1 Product Description for Equipment Under Test (EUT)

This test and measurement report was prepared on behalf of *SmartThings Inc.* and their product, *FCC ID: R3Y-STH-ETH200, model: STH-ETH-200* or the “EUT” (Equipment under Testing) as referred to in this report. The EUT is a home automation gateway with BLE 2402-2480 MHz and Zigbee 2405-2470 MHz.

1.2 Mechanical Description of EUT

The EUT measures approximately 10.7 cm (L) x 12.3 cm (W) x 3.4 cm (H) and weighs 300 g.

The test data gathered are from typical production sample, serial number: R1412053-01 assigned by BACL.

1.3 Objective

This report is prepared on behalf of *SmartThings Inc.* in accordance with Part 2, Subpart J, and Part 15, Subparts B and C of the Federal Communication Commission’s rules,

The objective is to determine CIIPC compliance with FCC Part 15.247 rules. AC line Conducted and Radiated Spurious Emissions were measured.

1.4 Related Submittal(s)/Grant(s)

R1412053, FCC ID: R3Y-STH-ETH200.

1.5 Test Methodology

All measurements contained in this report were conducted in accordance with ANSI C63.10-2009, American National Standard of Procedures for Compliance Testing of Unlicensed Wireless Devices and FCC KDB 558074 D01 DTS Meas Guidance v03r02: Guidance for Performing Compliance Measurements on Digital Transmission Systems (DTS) Operating Under §15.247

1.6 Measurement Uncertainty

All measurements involve certain levels of uncertainties, especially in the field of EMC. The factors contributing to uncertainties are spectrum analyzer, cable loss, antenna factor calibration, antenna directivity, antenna factor variation with height, antenna phase center variation, antenna factor frequency interpolation, measurement distance variation, site imperfections, mismatch (average), and system repeatability.

The following calculation follows the procedures as set forth in clause 7.2.3, ETSI TR 100 028-1 V1.4.1 (2001-12), the expression of Uncertainty in Radiated RF Testing is in accordance to ISO/IEC 17025 and TR 100 028-1 V1.4.1 (2001-12).

The expanded Measurement Uncertainty value having a confidence factor of 95%, is within a range of 5.48 dB. This means that the value of conducted RF carrier power test will be within +/- 2.74 dB of the measuring radiated emissions power versus the expected value.

The expected value is defined as the power at the antenna of the Transmitter under Test.

1.7 Test Facility

Bay area compliance Laboratories Corp. (BACL) is:

1- An independent Commercial Test Laboratory accredited to **ISO 17025: 2005** by **A2LA**, in the fields of: Electromagnetic Compatibility & Telecommunications covering Emissions, Immunity, Radio, RF Exposure, Safety and Telecom. This includes NEBS (Network Equipment Building System), Wireless RF, Telecommunications Terminal Equipment (TTE); Network Equipment; Information Technology Equipment (ITE); Medical Electrical Equipment; Industrial, Commercial, and Medical Test Equipment; Professional Audio and Video Equipment; Electronic (Digital) Products; Industrial and Scientific Instruments; Cabled Distribution Systems and Energy Efficiency Lighting.

2- An ENERGY STAR Recognized Laboratory, for the LM80 Testing, a wide variety of Luminaires and Computers.

3- A NIST Designated Phase-I and Phase-II CAB including: ACMA (Australian Communication and Media Authority), BSMI (Bureau of Standards, Metrology and Inspection of Taiwan), IDA (Infocomm Development Authority of Singapore), IC(Industry Canada), Korea (Ministry of Communications Radio Research Laboratory), NCC (Formerly DGT; Directorate General of Telecommunication of Chinese Taipei) OFTA (Office of the Telecommunications Authority of Hong Kong), Vietnam, VCCI - Voluntary Control Council for Interference of Japan and a designated EU CAB (Conformity Assessment Body) (Notified Body) for the EMC and R&TTE Directives.

4- A Product Certification Body accredited to **ISO Guide 65: 1996** by **A2LA** to certify:

1- Unlicensed, Licensed radio frequency devices and Telephone Terminal Equipment for the FCC. Scope A1, A2, A3, A4, B1, B2, B3, B4 & C.

2. Radio Standards Specifications (RSS) in the Category I Equipment Standards List and All Broadcasting Technical Standards (BETS) in Category I Equipment Standards List for Industry Canada.

3. Radio Communication Equipment for Singapore.

4. Radio Equipment Specifications, GMDSS Marine Radio Equipment Specifications, and Fixed Network Equipment Specifications for Hong Kong.

5. Japan MIC Telecommunication Business Law (A1, A2) and Radio Law (B1, B2 and B3).

6. Audio/Video, Battery Charging Systems, Computers, Displays, Enterprise Servers, Imaging Equipment, Set-Top Boxes, Telephony, Televisions, Ceiling Fans, CFLs (Including GU24s),Decorative Light Strings, Integral LED Lamps, Luminaires, Residential Ventilating Fans.

The test site used by BACL Corp. to collect radiated and conducted emissions measurement data is located at its facility in Sunnyvale, California, USA.

The test site at BACL Corp. has been fully described in reports submitted to the Federal Communication Commission (FCC) and Voluntary Control Council for Interference (VCCI). The details of these reports have been found to be in compliance with the requirements of Section 2.948 of the FCC Rules on February 11 and December 10, 1997, and Article 8 of the VCCI regulations on December 25, 1997. The test site also complies with the test methods and procedures set forth in CISPR 22:2008 §10.4 for measurements below 1 GHz and §10.6 for measurements above 1 GHz as well as ANSI C63.4-2009, ANSI C63.4-2009, TIA/EIA-603 & CISPR 24:2010.

The Federal Communications Commission and Voluntary Control Council for Interference have the reports on file and they are listed under FCC registration number: 90464 and VCCI Registration No.: A-0027. The test site has been approved by the FCC and VCCI for public use and is listed in the FCC Public Access Link (PAL) database.

Additionally, BACL Corp. is an American Association for Laboratory Accreditation (A2LA) accredited laboratory (Lab Code 3297-02). The current scope of accreditations can be found at

<http://www.a2la.org/scopepdf/3297-02.pdf?CFID=1132286&CFTOKEN=e42a3240dac3f6ba-6DE17DCB-1851-9E57-477422F667031258&jsessionid=8430d44f1f47cf2996124343c704b367816b>

2 System Test Configuration

2.1 Justification

The EUT was configured for testing according to ANSI C63.10-2009 and FCC KDB 558074 D01 DTS Meas Guidance v03r02.

The EUT was tested in a testing mode to represent worst-case results during the final qualification test.

The worst-case data rates are determined to be as follows for each mode based upon investigation by measuring the average power, peak power and PPSD across all data rates bandwidths, and modulations.

2.2 EUT Exercise Software

The software is HubCore Ver 0.2.0 provided by client and was verified by Todd Moy.

2.3 Special Equipment

There were no special accessories required, included, or intended for use with EUT during these tests.

2.4 Equipment Modifications

No modifications were made to the EUT.

2.5 Local Support Equipment

Manufacturer	Description	Model	Serial Number
Dell	Laptop	Latitude E5420	CHZCMQ1

2.6 EUT Internal Configuration Details

Manufacturer	Description	Model	Serial Number
SmartThings	Main Board	PGC431-D	13

2.7 Interface Ports and Cables

Description	Length	From	To
Ethernet Cable	1m	Debug Adapter	EUT

2.8 Power Supply List and Details

Manufacturer	Description	Model	Part Number
CUI	AC Power Supply	EPSA050200UH-P5P-DB-C1	4114HB

3 Summary of Test Results

Results reported relate only to the product tested.

FCC Rules	Description of Test	Results
FCC §15.247(i), §2.1091	RF Exposure	Note ¹
FCC §15.203	Antenna Requirement	Note ¹
FCC §15.207(a)	AC Line Conducted Emissions	Compliant
FCC §15.205	Restricted Bands	Note ¹
FCC §15.209, §15.247(d)	Radiated Spurious Emissions	Compliant
FCC §15.247(a)(2)	6 dB Emission Bandwidth	Note ¹
FCC §15.247(b)(3)	Maximum Peak Output Power	Note ¹
FCC §15.247(d)	100 kHz Bandwidth of Frequency Band Edge	Note ¹
FCC §15.247(e)	Power Spectral Density	Note ¹

¹ Please refer to original filing with test report of R1412053-247 BLE.

Note: The only change to the unit was using a shielded Ethernet cable to an unshielded Ethernet cable. AC line conducted and radiated emissions were investigated.

4 FCC §15.207– AC Line Conducted Emissions

4.1 Applicable Standards

As per FCC §15.207 Conducted limits:

For an intentional radiator that is designed to be connected to the public utility (AC) power line, the radio frequency voltage that is conducted back onto the AC power line on any frequency or frequencies within the band 150 kHz to 30 MHz shall not exceed the limits in the following table, as measured using a 50 μ H/50 ohms line impedance stabilization network (LISN). Compliance with the provisions of this paragraph shall be based on the measurement of the radio frequency voltage between each power line and ground at the power terminal. The lower limit applies at the boundary between the frequencies ranges.

Frequency of Emission (MHz)	Conducted Limit (dBuV)	
	Quasi-Peak	Average
0.15-0.5	66 to 56 ^{Note}	56 to 46 ^{Note}
0.5-5	56	46
5-30	60	50

Note: Decreases with the logarithm of the frequency.

4.2 Test Setup

The measurement was performed at shield room, using the setup per ANSI C63.10-2009 measurement procedure. The specification used was FCC §15.207 limits.

External I/O cables were draped along the edge of the test table and bundle when necessary.

The AC/DC power adapter of the EUT was connected with LISN-1 which provided 120 V/60 Hz AC power.

4.3 Test Procedure

During the conducted emissions test, the power cord of the EUT host system was connected to the mains outlet of the LISN-1.

Maximizing procedure was performed on the six (6) highest emissions of the EUT.

All data was recorded in the peak detection mode, quasi-peak and average. Quasi-Peak readings are distinguished with a “QP.” Average readings are distinguished with an “Ave”.

4.4 Corrected Amplitude & Margin Calculation

The Corrected Amplitude (CA) is calculated by adding the Cable Loss (CL), the Attenuator Factor (Atten) to indicated Amplitude (Ai) reading. The basic equation is as follows:

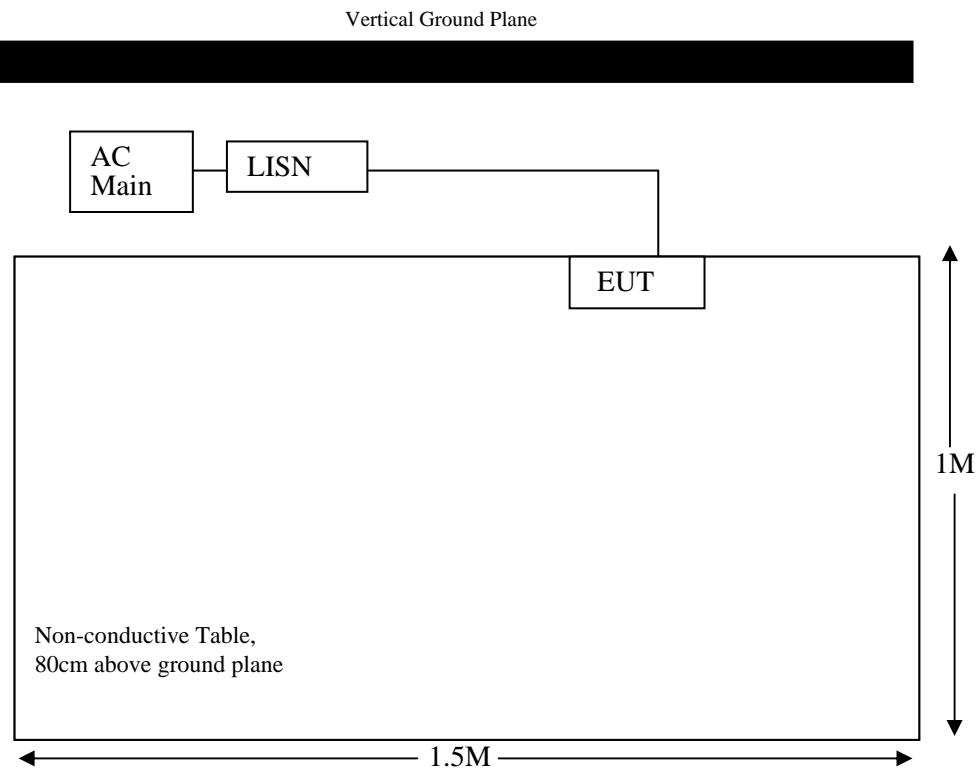
$$CA = Ai + CL + Atten$$

For example, a corrected amplitude of 46.2 dBuV = Indicated Reading (32.5 dBuV) + Cable Loss (3.7 dB) + Attenuator (10 dB)

The “Margin” column of the following data tables indicates the degree of compliance within the applicable limit. For example, a margin of -7 dB means the emission is 7 dB below the maximum limit. The equation for margin calculation is as follows:

$$\text{Margin} = \text{Corrected Amplitude} - \text{Limit}$$

4.5 Test Setup Block Diagram



4.6 Test Equipment List and Details

Manufacturer	Description	Model No.	Serial No.	Calibration Date	Calibration Interval
Rohde & Schwarz	Receiver, EMI Test	ESCI 1166.5950K03	100044	2014-07-17	1 year
FCC	LISN	FCC-LISN-50-2-10-CISPR16 1PA ANSI 14	160132	2015-04-07	1 year
Solar Electronics Company	High Pass Filter	Type 7930-100	7930150202	2015-03-06	1 year

Statement of Traceability: **BACL Corp.** attests that all calibrations have been performed per the A2LA requirements, traceable to the NIST.

4.7 Test Environmental Conditions

Temperature:	20-25° C
Relative Humidity:	50-55 %
ATM Pressure:	103.1-104.1 kPa

The testing was performed by Todd Moy on 2015-04-22 in 5m chamber 3.

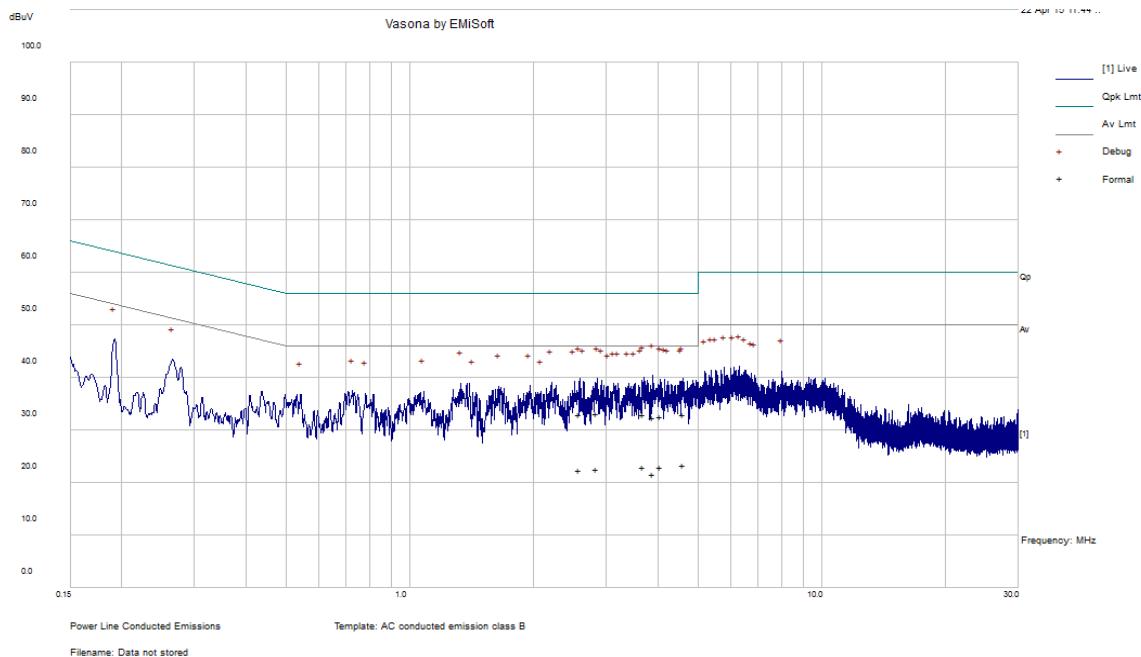
4.8 Summary of Test Results

According to the recorded data in following table, the EUT complied with the FCC 15C standard's conducted emissions limits, with the margin reading of:

Connection: AC/DC adapter connected to 120 V/60 Hz, AC			
Margin (dB)	Frequency (MHz)	Conductor Mode (Line/Neutral)	Range (MHz)
-21.42	3.513166	Neutral	0.15-30

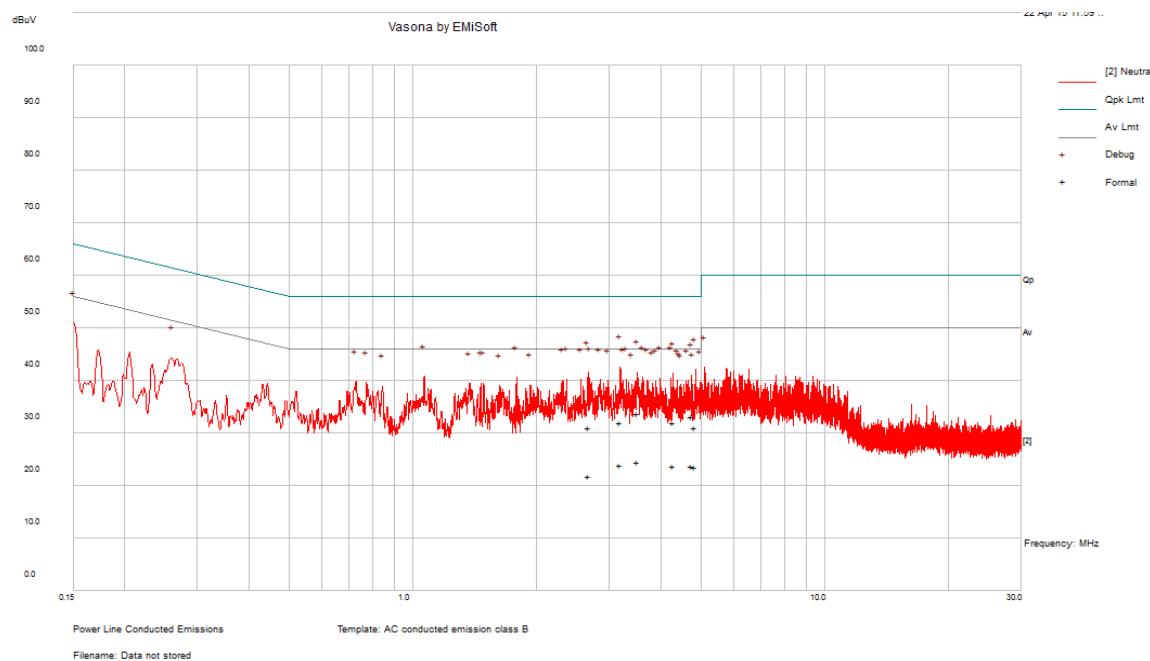
4.9 Conducted Emissions Test Plots and Data

120 V, 60 Hz – Line



Frequency (MHz)	Corrected Amplitude (dB μ V)	Conductor (Line/Neutral)	Limit (dB μ V)	Margin (dB)	Detector (QP/Ave.)
2.840119	33.2	Line	56	-22.8	QP
4.603682	33.09	Line	56	-22.91	QP
3.679409	33.08	Line	56	-22.92	QP
2.583093	32.94	Line	56	-23.06	QP
4.055753	32.6	Line	56	-23.4	QP
3.884324	32.38	Line	56	-23.62	QP

Frequency (MHz)	Corrected Amplitude (dB μ V)	Conductor (Line/Neutral)	Limit (dB μ V)	Margin (dB)	Detector (QP/Ave.)
4.603682	23.50	Line	46	-22.50	Ave.
4.055753	23.01	Line	46	-22.99	Ave.
3.679409	22.94	Line	46	-23.06	Ave.
2.840119	22.57	Line	46	-23.43	Ave.
2.583093	22.38	Line	46	-23.62	Ave.
3.884324	21.67	Line	46	-24.33	Ave.

120 V, 60 Hz – Neutral

Frequency (MHz)	Corrected Amplitude (dB μ V)	Conductor (Line/Neutral)	Limit (dB μ V)	Margin (dB)	Detector (QP/Ave.)
3.513166	33.78	Neutral	56	-22.22	QP
4.746323	33.24	Neutral	56	-22.76	QP
3.180917	32.12	Neutral	56	-23.88	QP
4.290407	31.97	Neutral	56	-24.03	QP
4.834511	31.09	Neutral	56	-24.91	QP
2.666795	31.08	Neutral	56	-24.92	QP

Frequency (MHz)	Corrected Amplitude (dB μ V)	Conductor (Line/Neutral)	Limit (dB μ V)	Margin (dB)	Detector (QP/Ave.)
3.513166	24.58	Neutral	46	-21.42	Ave.
3.180917	24.02	Neutral	46	-21.98	Ave.
4.746323	23.81	Neutral	46	-22.19	Ave.
4.290407	23.74	Neutral	46	-22.26	Ave.
4.834511	23.60	Neutral	46	-22.40	Ave.
2.666795	21.94	Neutral	46	-24.06	Ave.

5 FCC §15.209 & §15.247(d) – Spurious Radiated Emissions

5.1 Applicable Standard

As per FCC §15.35(d): Unless otherwise specified, on any frequency or frequencies above 1000 MHz, the radiated emission limits are based on the use of measurement instrumentation employing an average detector function. Unless otherwise specified, measurements above 1000 MHz shall be performed using a minimum resolution bandwidth of 1 MHz.

As per FCC §15.209(a) and RSS-210: Except as provided elsewhere in this Subpart, the emissions from an intentional radiator shall not exceed the field strength levels specified in the following table

Frequency (MHz)	Field Strength (micro volts/meter)	Measurement Distance (meters)
0.009 - 0.490	2400/F(kHz)	300
0.490 - 1.705	24000/F(kHz)	30
1.705 - 30.0	30	30
30 - 88	100**	3
88 - 216	150**	3
216 - 960	200**	3
Above 960	500	3

** Except as provided in paragraph (g), fundamental emissions from intentional radiators operating under this Section shall not be located in the frequency bands 54-72 MHz, 76-88 MHz, 174-216 MHz or 470-806 MHz. However, operation within these frequency bands is permitted under other sections of this Part, e.g., Sections 15.231 and 15.241.

As Per FCC §15.205(a) except as show in paragraph (d) of this section, only spurious emissions are permitted in any of the frequency bands listed below:

MHz	MHz	MHz	GHz
0.090 – 0.110	16.42 – 16.423	960 – 1240	4.5 – 5.15
0.495 – 0.505	16.69475 – 16.69525	1300 – 1427	5.35 – 5.46
2.1735 – 2.1905	25.5 – 25.67	1435 – 1626.5	7.25 – 7.75
4.125 – 4.128	37.5 – 38.25	1645.5 – 1646.5	8.025 – 8.5
4.17725 – 4.17775	73 – 74.6	1660 – 1710	9.0 – 9.2
4.20725 – 4.20775	74.8 – 75.2	1718.8 – 1722.2	9.3 – 9.5
6.215 – 6.218	108 – 121.94	2200 – 2300	10.6 – 12.7
6.26775 – 6.26825	123 – 138	2310 – 2390	13.25 – 13.4
6.31175 – 6.31225	149.9 – 150.05	2483.5 – 2500	14.47 – 14.5
8.291 – 8.294	156.52475 – 156.52525	2690 – 2900	15.35 – 16.2
8.362 – 8.366	156.7 – 156.9	3260 – 3267	17.7 – 21.4
8.37625 – 8.38675	162.0125 – 167.17	3.332 – 3.339	22.01 – 23.12
8.41425 – 8.41475	167.72 – 173.2	3.3458 – 3.358	23.6 – 24.0
12.29 – 12.293	240 – 285	3.600 – 4.400	31.2 – 31.8
12.51975 – 12.52025	322 – 335.4		36.43 – 36.5
12.57675 – 12.57725	399.9 – 410		Above 38.6
13.36 – 13.41	608 – 614		

As per FCC §15.247 (d) In any 100 kHz bandwidth outside the frequency band in which the spread spectrum or digitally modulated intentional radiator is operating, the radio frequency power that is produced by the intentional radiator shall be at least 20 dB below that in the 100 kHz bandwidth within the band that contains the highest level of the desired power, based on either an RF conducted or a radiated measurement, provided the transmitter demonstrates compliance with the peak conducted power limits. If the transmitter complies with the conducted power limits based on the use of RMS averaging over a time interval, as permitted under paragraph (b)(3) of this section, the attenuation required under this paragraph shall be 30 dB instead of 20 dB. Attenuation below the general limits specified in §15.209(a) is not required. In addition, radiated emissions which fall in the restricted bands, as defined in §15.205(a), must also comply with the radiated emission limits specified in §15.209(a) (see §15.205(c)).

5.2 Test Setup

The radiated emissions tests were performed in the 5-meter Chamber, using the setup in accordance with ANSI C63.10-2009. The specification used was the FCC 15 Subpart C limits.

The spacing between the peripherals was 10 centimeters.

External I/O cables were draped along the edge of the test table and bundle when necessary.

5.3 Test Procedure

The measurements are based on FCC KDB 558074 D01 DTS Meas Guidance v03r02: Guidance for Performing Compliance Measurements on Digital Transmission Systems (DTS) Operating Under §15.247 section 11: Emissions in non-restricted frequency bands and section 12: Emissions in restricted frequency bands. As well as ANSI C63.10: 2009 as described below:

For the radiated emissions test, the EUT host, and all support equipment power cords was connected to the AC floor outlet.

Maximizing procedure was performed on the highest emissions to ensure that the EUT complied with all installation combinations.

The EUT is set 3 meter away from the testing antenna, which is varied from 1-4 meter, and the EUT is placed on a turntable, which is 0.8 meter above ground plane, the table shall be rotated for 360 degrees to find out the highest emission. The receiving antenna should be changed the polarization both of horizontal and vertical.

The spectrum analyzer or receiver is set as:

Below 1000 MHz:

$$\text{RBW} = 100 \text{ kHz} / \text{VBW} = 300 \text{ kHz} / \text{Sweep} = \text{Auto}$$

Above 1000 MHz:

- (1) Peak: $\text{RBW} = 1\text{MHz} / \text{VBW} = 3\text{MHz} / \text{Sweep} = \text{Auto}$
- (2) Average: $\text{RBW} = 1\text{MHz} / \text{VBW} = 10\text{Hz} / \text{Sweep} = \text{Auto}$

5.4 Corrected Amplitude & Margin Calculation

The Corrected Amplitude (CA) is calculated by adding the Antenna Factor (AF), the Cable Loss (CL), the Attenuator Factor (Atten) and subtracting the Amplifier Gain (Ga) to indicated Amplitude (Ai) reading. The basic equation is as follows:

$$CA = Ai + AF + CL - Atten - Ga$$

For example, a corrected amplitude of 40.3 dBuV/m = Indicated Reading (32.5 dBuV) + Antenna Factor (+23.5dB) + Cable Loss (3.7 dB) + Attenuator (10 dB) - Amplifier Gain (29.4 dB)

The “Margin” column of the following data tables indicates the degree of compliance within the applicable limit. For example, a margin of -7 dB means the emission is 7 dB below the maximum limit. The equation for margin calculation is as follows:

$$\text{Margin} = \text{Corrected Amplitude} - \text{Limit}$$

5.5 Test Equipment List and Details

Manufacturer	Description	Model No.	Serial No.	Calibration Date	Calibration Interval
Sunol Sciences	System Controller	SC99V	122303-1	N/R	N/R
Sunol Sciences	Antenna, Biconi-Log	JB3	A020106-2	2014-09-17	1 year
Hewlett Packard	Amplifier, Pre	8447D	2944A07030	2014-04-26	1 year
Agilent	Pre-Amplifier	8449B	3008A01978	2015-03-11	1 year
Agilent	Analyzer, Spectrum	E4440A	MY44303352	2014-11-13	1 year
Eaton	Antenna, Horn	96001	2617	2014-11-18	1 year
Rohde & Schwarz	Receiver, EMI Test	ESCI 1166.5950K03	100044	2014-07-17	1 year

Statement of Traceability: *BACL* attests that all calibrations have been performed per the A2LA requirements, traceable to NIST.

5.6 Test Environmental Conditions

Temperature:	20-25° C
Relative Humidity:	50-55 %
ATM Pressure:	103.1-104.1 kPa

The testing was performed by Todd Moy on 2015-04-22 in 5m chamber 3.

5.7 Summary of Test Results

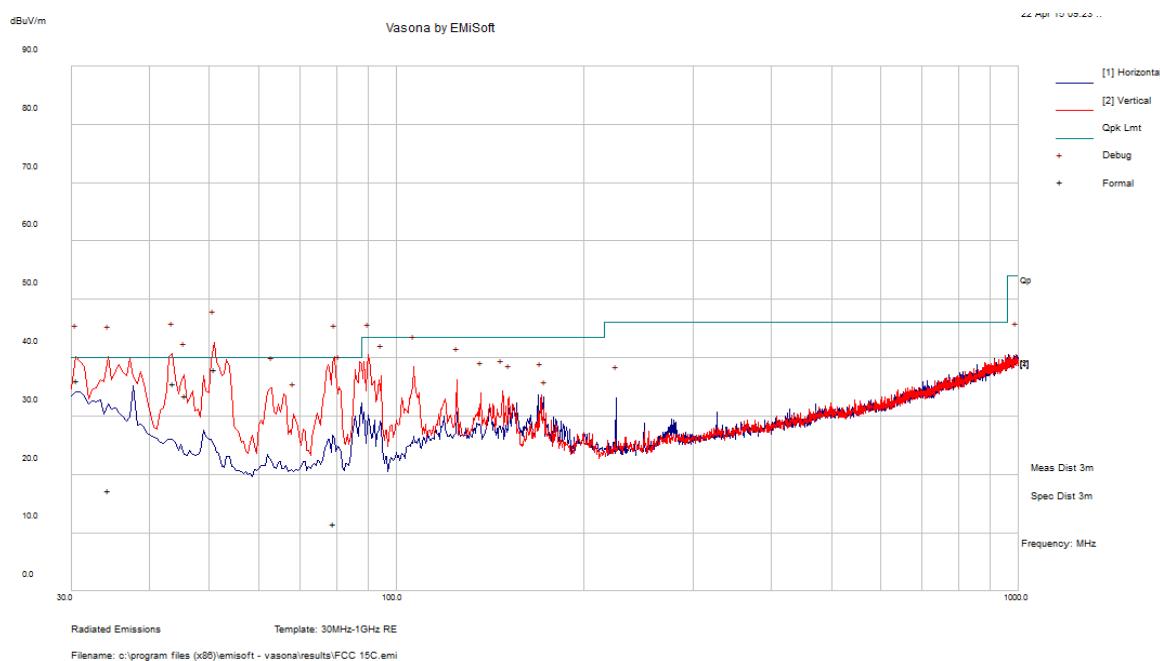
According to the data hereinafter, the EUT complied with the FCC Title 47, Part 15C standards' radiated emissions limits, and had the worst margin of:

Mode: Transmitting			
Margin (dB)	Frequency (MHz)	Polarization (Horizontal/Vertical)	Range (MHz)
-1.94	51.0655	Vertical	30-1000

Please refer to the following table and plots for specific test result details

5.8 Radiated Emissions Test Results

1) 30 MHz – 1 GHz, Measured at 3 meters



Frequency (MHz)	Corrected Amplitude (dB μ V/m)	Antenna Height (cm)	Antenna Polarity (H/V)	Turtable Azimuth (degrees)	Limit (dB μ V/m)	Margin (dB)	Comments (PK/QP/Ave)
51.0655	38.06	100	V	291	40	-1.94	QP
30.63875	36.08	100	V	231	40	-3.92	QP
43.80425	35.58	145	V	318	40	-4.42	QP
45.736	33.49	100	V	335	40	-6.51	QP
34.413	17.25	147	V	327	40	-22.75	QP
79.257	11.48	193	V	280	40	-28.52	QP

1) Above 1 GHz, Measured at 3 meters

The spurious emissions are consistent with the original and no additional worse emission was found.