Annex 4 Calibration parameters

Annex 4.1 Calibration report "Probe ES3DV3"

工业和信息化部通信计量中心
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CNAS L0442

Client **Huawei** **Certificate No: ES3-3168_Dec10**

CALIBRATION CERTIFICATE

Object	ES3DV3 - SN: 3168
Calibration Procedure(s)	TMC-XZ-01-028 Calibration procedure for dosimetric E-field probes
Calibration date:	December 23, 2010
Condition of the calibrated item	In Tolerance

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3)℃ and humidity<70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	SN.	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
Power Meter NRVD	102083	11-Sep-10 (TMC, No.JZ10-443)	Sep-11
Power sensor NRV-Z5	100542	11-Sep-10 (TMC, No. JZ10-443)	Sep-11
Reference Probe EX3DV4	SN 3631	13-Dec-10 (TMC, No.JZ10-657)	Dec-11
DAE4	SN 771	21-Nov-10 (TMC, No.JZ10-653)	Nov-11
RF generator E4438C	MY49070393	13-Nov-10 (TMC, No.JZ10-394)	Nov-11
Network Analyzer 8753E	US38433212	04-Aug-10 (TMC, No.JZ10-056)	Aug-11

	Name	Function	Signature
Calibrated by:	Lin Hao	SAR Test Engineer	
Reviewed by:	Qi Dianyuan	SAR Project Leader	
Approved by:	Xiao Li	Deputy Director of the laboratory	

Issued: December 23, 2010

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

Certificate No: ES3-3168_Dec10 Page 1 of 9

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Glossary:

TSL	tissue simulating liquid
NORMx,y,z	sensitivity in free space
ConF	sensitivity in TSL / NORMx,y,z
DCP	diode compression point
Polarization ϕ	ϕ rotation around probe axis
Polarization θ	θ rotation around an axis that is in the plane normal to probe axis(at measurement center), i.e., θ =0 is normal to probe axis

Calibration is Performed According to the Following Standards:

a) IEEE Std 1528-2003, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", December 2003

b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) For hand-held devices used in close proximity to the ear (frequency range of 300MHz to 3GHz)", February 2005

Methods Applied and Interpretation of Parameters:

- NORMx,y,z: Assessed for E-field polarization θ =0 (f≤900MHz in TEM-cell; f>1800MHz: waveguide). NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not effect the E^2-field uncertainty inside TSL (see below ConvF).
- NORM(f)x,y,z = NORMx,y,z* frequency_response (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- DCPx,y,z: DCP are numerical linearization parameters assessed based on the data of power sweep (no uncertainty required). DCP does not depend on frequency nor media.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f≤800MHz) and inside waveguide using analytical field distributions based on power measurements for f >800MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha,depth) of which typical uncertainty valued are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ±50MHz to ±100MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.

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DASY – Parameters of Probe: ES3DV3 SN:3168

Sensitivity in Free Space[A] Diode Compression[B]

NormX	1.04±10.1%	μ V/(V/m)^2	DCP X	85mV
NormY	1.19±10.1%	μ V/(V/m)^2	DCP Y	88mV
NormZ	1.02±10.1%	μ V/(V/m)^2	DCP Z	83mV

Sensitivity in Tissue Simulating Liquid (Conversion Factors)
Please see Page 8

Boundary Effect

TSL 900MHz Typical SAR gradient: 5% per mm

Sensor Center to Phantom Surface Distance		3.0 mm	4.0 mm
SARbe[%]	Without Correction Algorithm	3.8	1.4
SARbe[%]	With Correction Algorithm	0.8	0.6

TSL 1750MHz Typical SAR gradient: 10% per mm

Sensor Center to Phantom Surface Distance		3.0 mm	4.0 mm
SARbe[%]	Without Correction Algorithm	6.7	3.6
SARbe[%]	With Correction Algorithm	0.3	0.2

Sensor Offset

Probe Tip to Sensor Center	2.0 mm

The reported uncertainty of measurement is stated as the standard uncertainty of Measurement multiplied by the coverage factor k=2,which for a normal distribution Corresponds to a coverage probability of approximately 95%.

[A] The uncertainties of NormX,Y,Z do not affect the E^2-field uncertainty inside TSL (see Page 8).
[B] Numerical linearization parameter: uncertainty not required.

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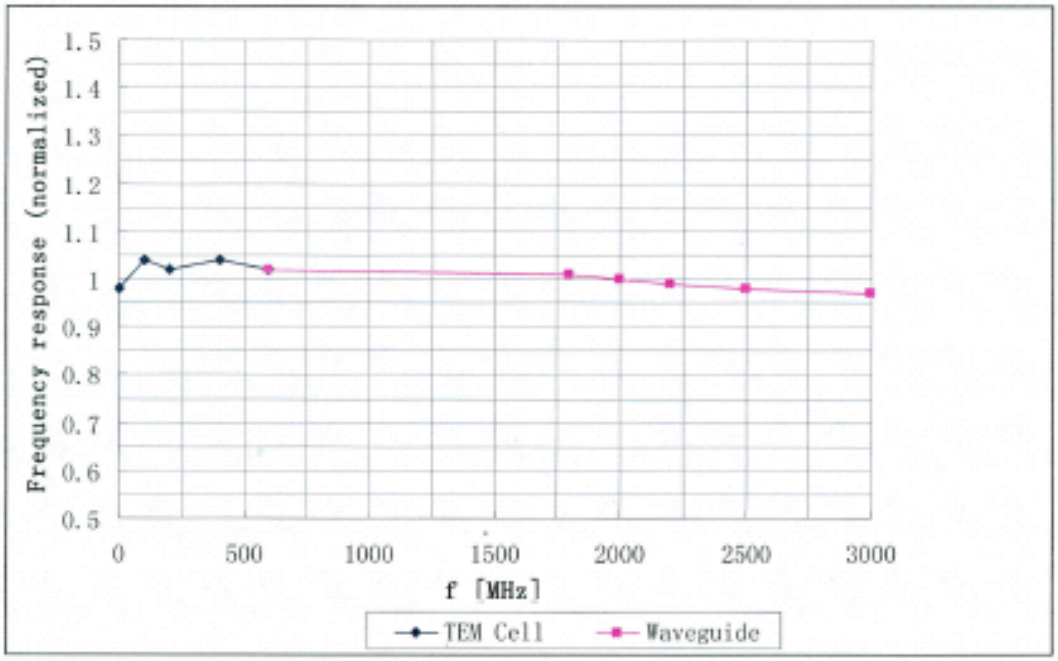
Frequency Response of E-Field



Uncertainty of Frequency Response of E-field: ±5.0% (k=2)

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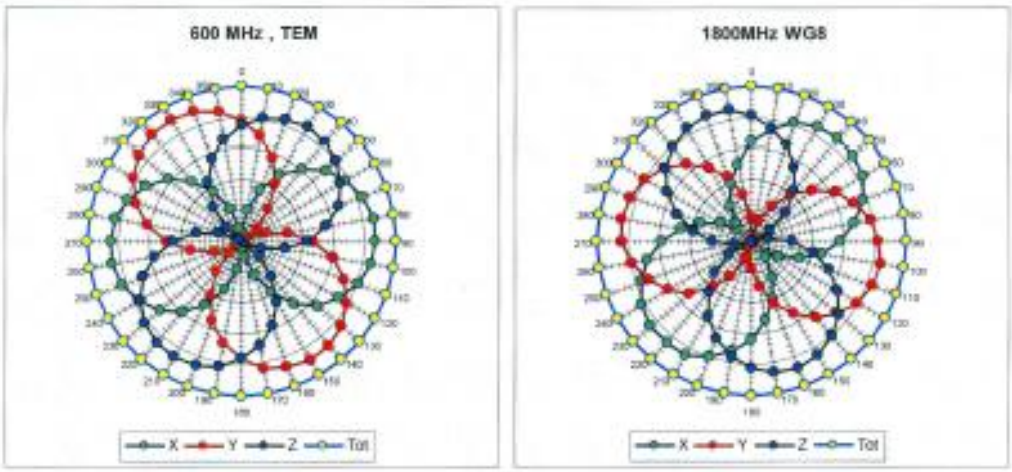
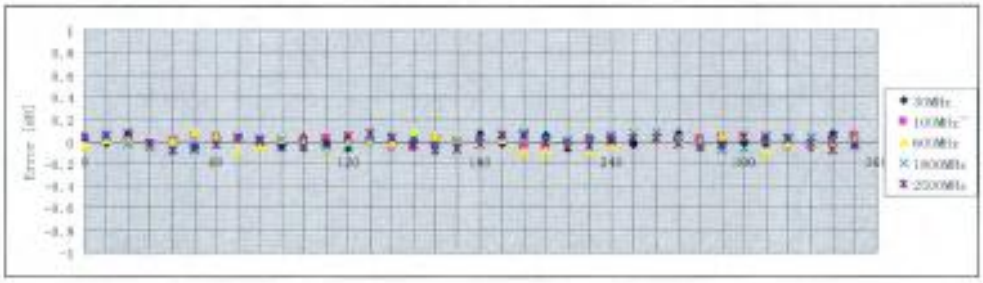
Receiving Pattern (ϕ), θ =0°





Uncertainty of Axial Isotropy Assessment: ±0.5% (k=2)

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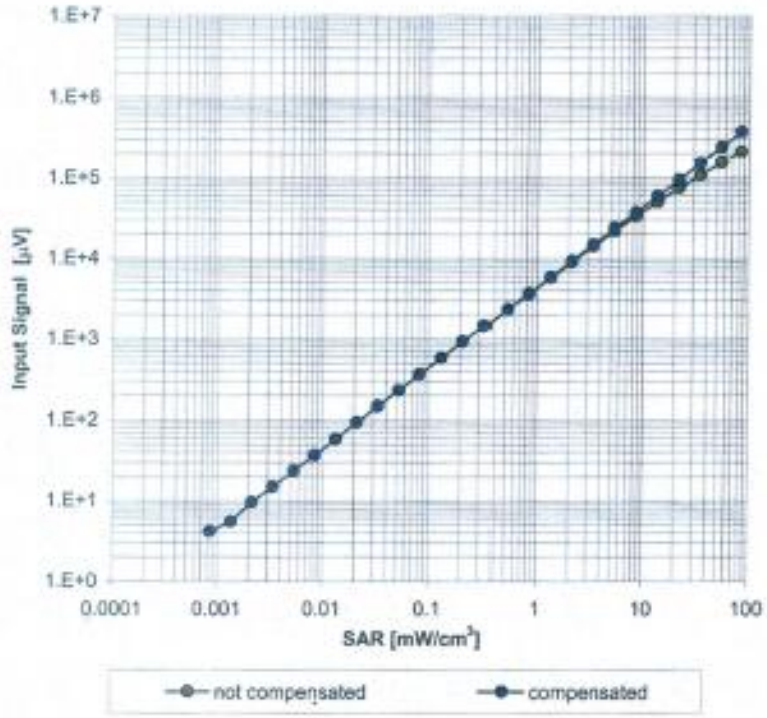
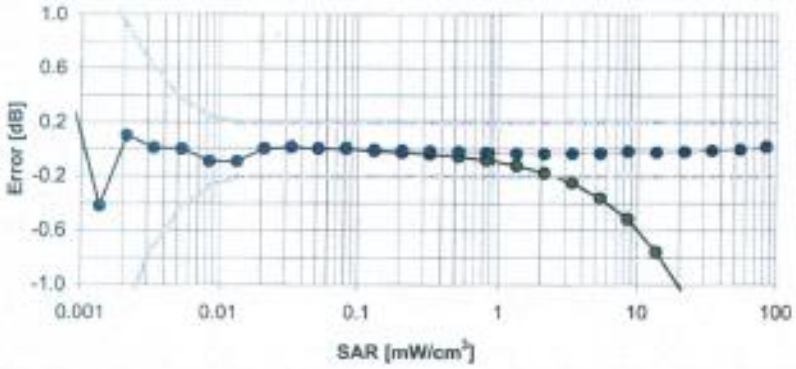
Dynamic Range f(SAR_{head})

(Waveguide: WG8, f = 1750 MHz)





Uncertainty of Linearity Assessment: ±0.5% (k=2)

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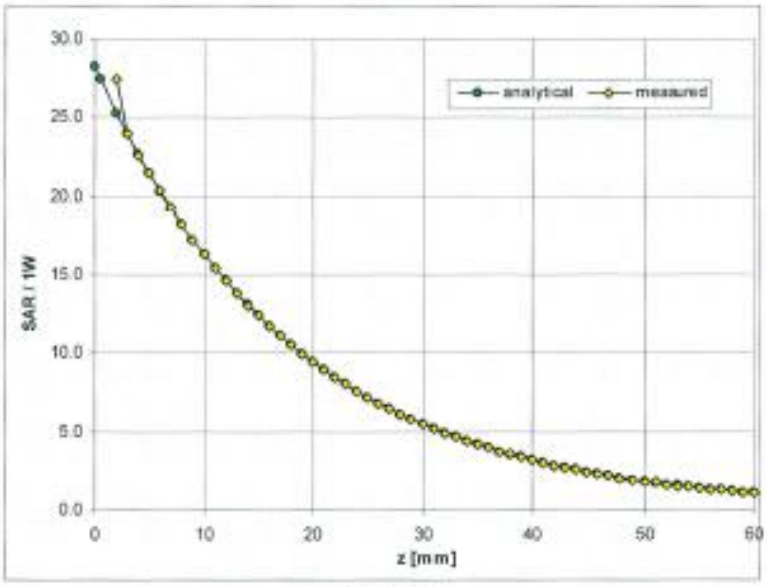
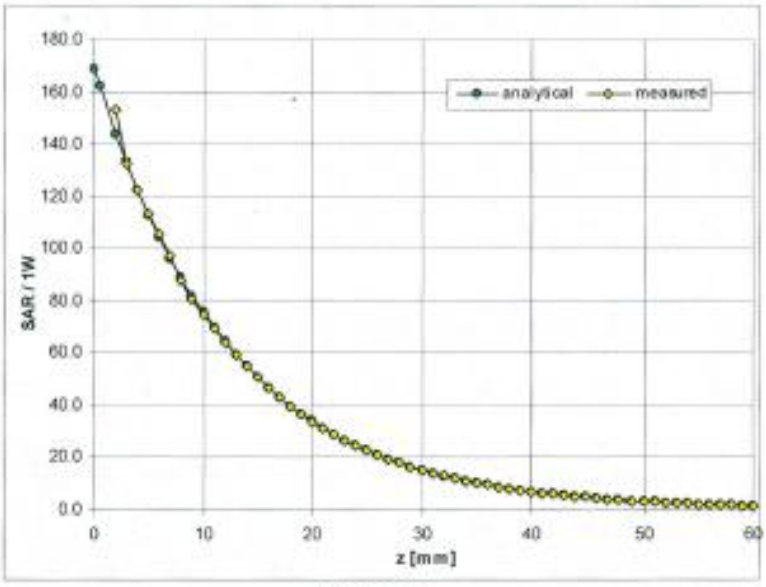
Conversion Factor Assessment



900MHz



1900MHz

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Freq	Frequency	ε	σ	ε	σ	Sensitivity X sensor			Sensitivity Y sensor			Sensitivity Z sensor			Uncertainty
[MHz]	Range	target	[S/m]	used	used	ConvF	Alpha	Depth	ConvF	Alpha	Depth	ConvF	Alpha	Depth	k=2
		± 5%	± 5%												
Probe Conversion Factors: Head Tissue Liquid															
850	± 50 / ± 100	41.5	0.92	42.0	0.91	5.98	0.28	1.92	5.98	0.28	1.92	5.98	0.28	1.92	± 11%
900	± 50 / ± 100	41.5	0.97	41.5	0.95	5.89	0.28	2.04	5.89	0.28	2.04	5.89	0.28	2.04	± 11%
1750	± 50 / ± 100	40.08	1.37	39.7	1.35	5.12	0.42	1.57	5.12	0.42	1.57	5.12	0.42	1.57	± 11%
1900	± 50 / ± 100	40.0	1.40	40.5	1.35	4.97	0.48	1.63	4.97	0.48	1.63	4.97	0.48	1.63	± 11%
2000	± 50 / ± 100	40.0	1.40	40.2	1.45	4.83	0.44	1.66	4.83	0.44	1.66	4.83	0.44	1.66	± 11%
2300	± 50 / ± 100	39.47	1.67	40.4	1.71	4.59	0.29	2.36	4.59	0.29	2.36	4.59	0.29	2.36	± 11%
2450	± 50 / ± 100	39.2	1.80	39.4	1.82	4.45	0.51	1.38	4.45	0.51	1.38	4.45	0.51	1.38	± 11%
2600	± 50 / ± 100	39.01	1.96	38.7	2.05	4.32	0.68	2.92	4.32	0.68	2.92	4.32	0.68	2.92	± 11%
Probe Conversion Factors: Body Tissue Liquid															
850	± 50 / ± 100	55.2	0.99	54.6	1.03	5.92	0.32	1.88	5.92	0.32	1.88	5.92	0.32	1.88	± 11%
900	± 50 / ± 100	55.0	1.05	54.0	1.08	5.83	0.43	1.52	5.83	0.43	1.52	5.83	0.43	1.52	± 11%
1750	± 50 / ± 100	53.4	1.49	53.2	1.42	4.99	0.43	1.74	4.99	0.43	1.74	4.99	0.43	1.74	± 11%
1900	± 50 / ± 100	53.3	1.52	52.8	1.54	4.61	0.43	1.76	4.61	0.43	1.76	4.61	0.43	1.76	± 11%
2000	± 50 / ± 100	53.3	1.52	53.6	1.58	4.56	0.41	1.67	4.56	0.41	1.67	4.56	0.41	1.67	± 11%
2300	± 50 / ± 100	52.8	1.85	52.3	1.77	4.38	0.33	2.18	4.38	0.33	2.18	4.38	0.33	2.18	± 11%
2450	± 50 / ± 100	52.7	1.95	51.9	1.95	4.24	0.66	2.92	4.24	0.66	2.92	4.24	0.66	2.92	± 11%
2600	± 50 / ± 100	52.5	2.16	51.9	2.26	4.16	0.35	1.91	4.16	0.35	1.91	4.16	0.35	1.91	± 11%

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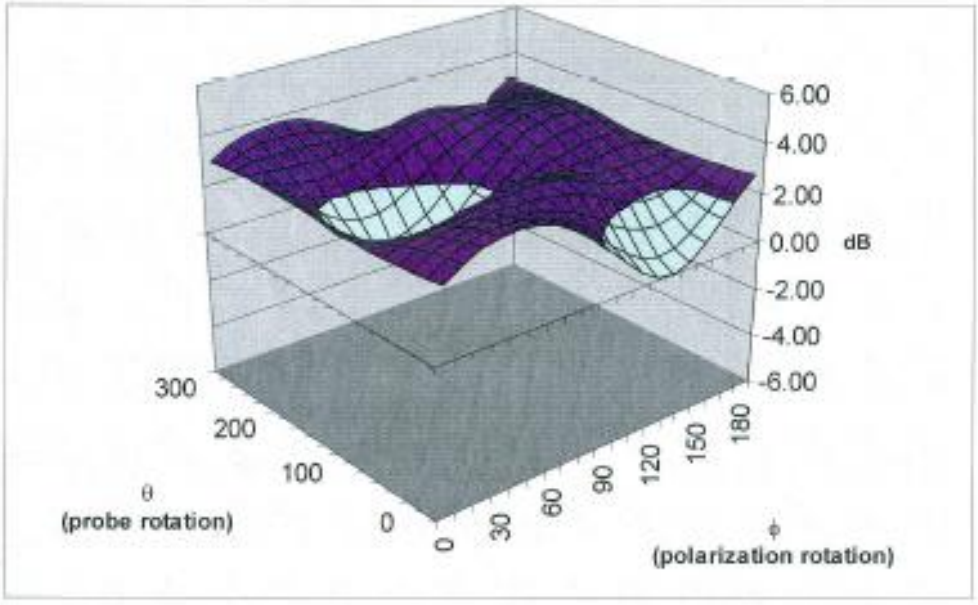
Deviation from Isotropy

Error (ϕ , θ), f = 900 MHz



Uncertainty of Spherical Isotropy Assessment: ±2.5% (k=2)

Annex 4.2 Calibration report "Data Acquisition Unit (DAE)"

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Client **Huawei** **Certificate No: DAE4-852_Dec10**

CALIBRATION CERTIFICATE

Object	DAE4 - SN: 852
Calibration Procedure(s)	TMC-XZ-01-029 Calibration procedure for the data acquisition electronics (DAE)
Calibration date:	December 24, 2010
Condition of the calibrated item	In Tolerance

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3)℃ and humidity<70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
Multimeter 3458A	MY45041463	12-Nov-10 (TMC, No: DLsc2010-1115)	Nov-11
DC POWER SUPPLY 66321D	MY43001657	12-Nov-10 (TMC, No: JZ10-290)	Nov-11
Secondary Standards	**ID #**	**Check Date (in house)**	**Scheduled Check**
Calibrator Box	/	19-Jun-10 (TMC, in house check)	In house check Jun-11

	Name	Function	Signature
Calibrated by:	Lin Hao	SAR Test Engineer	
Reviewed by:	Qi Dianyuan	SAR Project Leader	
Approved by:	Xiao Li	Deputy Director of the laboratory	

Issued: December 24, 2010

Certificate No: DAE4-852_Dec10 Page 1 of 5

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Glossary:

DAE	data acquisition electronics
Connector angle	information used in DASY system to align probe sensor X to the robot coordinate system.

Methods Applied and Interpretation of Parameters:

- DC Voltage Measurement: Calibration Factor assessed for use in DASY system by comparison with a calibrated instrument traceable to national standards. The figure given corresponds to the full scale range of the voltmeter in the respective range.
- Connector angle: The angle of the connector is assessed measuring the angle mechanically by a tool inserted. Uncertainty is not required.
- The following parameters contain technical information as a result from the performance test and require no uncertainty.
- DC Voltage Measurement Linearity: Verification of the Linearity at +10% and -10% of the nominal calibration voltage. Influence of offset voltage is included in this measurement.
- Common mode sensitivity: Influence of a positive or negative common mode voltage on the differential measurement.
- Channel separation: Influence of a voltage on the neighbor channels not subject to an input voltage.
- AD Converter Values with inputs shorted: Values on the internal AD converter corresponding to zero input voltage.
- Input Offset Measurement: Output voltage and statistical results over a large number of zero voltage measurements.

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DC Voltage Measurement

A/D - Converter Resolution nominal

High Range: 1LSB = 6.1 μ V , full range = -100...+300 mV
Low Range: 1LSB = 61nV , full range = -1.....+3mV

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

Calibration Factors	X	Y	Z
High Range	405.142 ± 0.1% (κ=2)	405.214 ± 0.1% (κ=2)	404.005 ± 0.1% (κ=2)
Low Range	3.97599 ± 0.7% (κ=2)	3.96438 ± 0.7% (κ=2)	3.95613 ± 0.7% (κ=2)

Connector Angle

Connector Angle to be used in DASY system	159 ° ± 1 °

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Appendix

1. DC Voltage Linearity

High Range		Input (μV)	Reading (μV)	Error (%)
Channel X	+ Input	200000	200000.2	0.00
Channel X	+ Input	20000	20002.75	0.01
Channel X	- Input	20000	-20003.08	0.02
Channel Y	+ Input	200000	200000	0.00
Channel Y	+ Input	20000	20003.19	0.02
Channel Y	- Input	20000	-20002.40	0.01
Channel Z	+ Input	200000	200001.2	0.00
Channel Z	+ Input	20000	20004.27	0.02
Channel Z	- Input	20000	-20003.2	0.02

Low Range		Input (μV)	Reading (μV)	Error (%)
Channel X	+ Input	2000	1999.7	-0.02
Channel X	+ Input	200	199.66	-0.06
Channel X	- Input	200	-200.49	0.28
Channel Y	+ Input	2000	1999.8	0.00
Channel Y	+ Input	200	199.45	-0.26
Channel Y	- Input	200	-200.24	0.08
Channel Z	+ Input	2000	2000	0.00
Channel Z	+ Input	200	199.57	-0.30
Channel Z	- Input	200	-200.83	0.49

2. Common mode sensitivity

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

	Common mode Input Voltage (mV)	High Range Average Reading (μV)	Low Range Average Reading (μV)
Channel X	200	3.41	3.47
	- 200	-2.60	-3.15
Channel Y	200	0.18	-0.37
	- 200	-0.66	-1.12
Channel Z	200	-9.70	-10.08
	- 200	7.88	8.23

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3. Channel separation

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

	Input Voltage (mV)	Channel X (μV)	Channel Y (μV)	Channel Z (μV)
Channel X	200	-	2.61	-0.38
Channel Y	200	0.59	-	2.46
Channel Z	200	-1.93	0.24	-

4. AD-Converter Values with inputs shorted

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

	High Range (LSB)	Low Range (LSB)
Channel X	16130	16437
Channel Y	15975	16211
Channel Z	15978	16034

5. Input Offset Measurement

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec
Input 10MΩ

	Average (μV)	min. Offset (μV)	max. Offset (μV)	Std. Deviation(μV)
Channel X	0.57	-1.04	1.91	0.45
Channel Y	-1.03	-1.90	-0.06	0.39
Channel Z	1.12	-0.11	1.94	0.38

6. Input Offset Current

Nominal Input Circuitry offset current on all channels: <25fA

7. Input Resistance

	Zeroing (MOhm)	Measuring (MOhm)
Channel X	0.2000	201.1
Channel Y	0.2000	198.9
Channel Z	0.2000	200.4

Annex 4.3 Calibration report “1900 MHz System validation dipole”

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Telecommunication Metrology Center of MIIT

CNAS L0442

Client Huawei Certificate No: D1900V2-5d091_Feb11

CALIBRATION CERTIFICATE

Object	D1900V2 - SN: 5d091
Calibration Procedure(s)	TMC-XZ-01-027 Calibration procedure for dipole validation kits
Calibration date:	February 23, 2011
Condition of the calibrated item	In Tolerance

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3)℃ and humidity<70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
Power Meter NRVD	101253	18-Jun-10 (TMC, No.JZ10-248)	Jun-11
Power sensor NRV-Z5	100333	18-Jun-10 (TMC, No. JZ10-248)	Jun-11
Reference Probe ES3DV3	SN 3149	25-Sep-10 (SPEAG, No.ES3-3149_Sep10)	Sep-11
DAE4	SN 771	21-Nov-10 (TMC, No.JZ10-653)	Nov-11
RF generator E4438C	MY45092879	17-Jun-10 (TMC, No.JZ10-302)	Jun-11
Network Analyzer 8753E	US38433212	02-Aug-10 (TMC, No.JZ10-056)	Aug-11

	Name	Function	Signature
Calibrated by:	Lin Hao	SAR Test Engineer	
Reviewed by:	Qi Dianyuan	SAR Project Leader	
Approved by:	Lu Bingsong	Deputy Director of the laboratory	

Issued: February 23, 2011

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

Certificate No: D1900V2-5d091_Feb11 Page 1 of 9

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Glossary:

TSL	tissue simulating liquid
ConvF	sensitivity in TSL / NORMx,y,z
N/A	not applicable or not measured

Calibration is Performed According to the Following Standards:

a) IEEE Std 1528-2003, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", December 2003

b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) For hand-held devices used in close proximity to the ear (frequency range of 300MHz to 3GHz)", February 2005

c) Federal Communications Commission Office of Engineering & Technology (FCC OET), "Evaluating Compliance with FCC Guidelines for Human Exposure to Radiofrequency Electromagnetic Fields; Additional Information for Evaluating Compliance of Mobile and Portable Devices with FCC Limits for Human Exposure to Radiofrequency Emissions", Supplement C (Edition 01-01) to Bulletin 65

Additional Documentation:

d) DASY System Handbook

Methods Applied and Interpretation of Parameters:

- Measurement Conditions: Further details are available from the Validation Report at the end of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- Antenna Parameters with TSL: The dipole is mounted with the spacer to position its feed point exactly below the center marking of the flat phantom section, with the arms oriented parallel to the body axis.
- Feed Point Impedance and Return Loss: These parameters are measured with the dipole positioned under the liquid filled phantom. The impedance stated is transformed from the measurement at the SMA connector to the feed point. The Return Loss ensures low reflected power. No uncertainty required.
- Electrical Delay: One-way delay between the SMA connector and the antenna feed point. No uncertainty required.
- SAR measured: SAR measured at the stated antenna input power.
- SAR normalized: SAR as measured, normalized to an input power of 1 W at the antenna connector.
- SAR for nominal TSL parameters: The measured TSL parameters are used to calculate the nominal SAR result.

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Measurement Conditions

DASY system configuration, as far as not given on page 1.

DASY Version	DASY5	V5.2.157
Extrapolation	Advanced Extrapolation	
Phantom	2mm Oval Phantom EL|4	
Distance Dipole Center - TSL	10 mm	with Spacer
Zoom Scan Resolution	dx, dy, dz = 5 mm	
Frequency	1900 MHz ± 1 MHz	

Head TSL parameters

The following parameters and calculations were applied.

	Temperature	**Permittivity**	**Conductivity**
Nominal Head TSL parameters	22.0 °C	40.0	1.40 mho/m
Measured Head TSL parameters	(22.0 ± 0.2) °C	38.8 ± 6 %	1.39mho/m ± 6 %
Head TSL temperature during test	(22.1 ± 0.2) °C	----	----

SAR result with Head TSL

SAR averaged over 1 cm^3 (1 g) of Head TSL	Condition	
SAR measured	250 mW input power	9.90 mW / g
SAR normalized	normalized to 1W	39.6 mW / g
SAR for nominal Head TSL parameters [1]	normalized to 1W	**39.1 mW /g ± 17.0 % (k=2)**

SAR averaged over 10 cm^3 (10 g) of Head TSL	Condition	
SAR measured	250 mW input power	5.10 mW / g
SAR normalized	normalized to 1W	20.4 mW / g
SAR for nominal Head TSL parameters [1]	normalized to 1W	**20.2 mW /g ± 16.5 % (k=2)**

[1] Correction to nominal TSL parameters according to d), chapter "SAR Sensitivities"

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Body TSL parameters

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Body TSL parameters	22.0 °C	53.3	1.52 mho/m
Measured Body TSL parameters	(22.0 ± 0.2) °C	51.7 ± 6%	1.58mho/m ± 6 %
Body TSL temperature during test	(21.9 ± 0.2) °C	----	----

SAR result with Body TSL

SAR averaged over 1 cm^3 (1 g) of Body TSL	Condition	
SAR measured	250 mW input power	10.2 mW / g
SAR normalized	normalized to 1W	40.8 mW / g
SAR for nominal Body TSL parameters [2]	normalized to 1W	**39.2 mW /g ± 17.0 % (k=2)**

SAR averaged over 10 cm^3 (10 g) of Body TSL	Condition	
SAR measured	250 mW input power	5.24 mW / g
SAR normalized	normalized to 1W	21.0 mW / g
SAR for nominal Body TSL parameters [2]	normalized to 1W	**20.5 mW /g ± 16.5 % (k=2)**

[2] Correction to nominal TSL parameters according to d), chapter "SAR Sensitivities"