

FCC SAR Test Report

APPLICANT : ZTE Corporation
EQUIPMENT : LTE USB Modem
BRAND NAME : ZTE
MODEL NAME : K5006-Z
FCC ID : Q78-K5006-Z
STANDARD : FCC 47 CFR Part 2 (2.1093)
IEEE C95.1-1991
IEEE 1528-2003
FCC OET Bulletin 65 Supplement C (Edition 01-01)

The product was received on Jan. 05, 2012 and completely tested on Feb. 15, 2012. We, SPORTON INTERNATIONAL (KUNSHAN) INC., would like to declare that the tested sample has been evaluated in accordance with the procedures and shown the compliance with the applicable technical standards.

The test results in this report apply exclusively to the tested model / sample. Without written approval of SPORTON INTERNATIONAL (KUNSHAN) INC., the test report shall not be reproduced except in full.

Reviewed by:



Jones Tsai / Manager



SPORTON INTERNATIONAL (KUNSHAN) INC.
No. 3-2, PingXiang Road, Kunshan, Jiangsu Province, P.R.C.



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Revision History

REPORT NO.	VERSION	DESCRIPTION	ISSUED DATE
FA210502	Rev. 01	Initial issue of report	Feb. 17, 2012

1. Statement of Compliance

The maximum results of Specific Absorption Rate (SAR) found during testing for **ZTE Corporation LTE USB Modem K5006-Z** are as follows.

Band	Position	SAR_{1g} (W/kg)
GSM850	Body (0.5 cm)	0.671
GSM1900	Body (0.5 cm)	0.656
WCDMA Band V	Body (0.5 cm)	1.02
WCDMA Band II	Body (0.5 cm)	1.18

This device is in compliance with Specific Absorption Rate (SAR) for general population/uncontrolled exposure limits (1.6 W/kg) specified in FCC 47 CFR part 2 (2.1093) and ANSI/IEEE C95.1-1991, and had been tested in accordance with the measurement methods and procedures specified in IEEE 1528-2003 and FCC OET Bulletin 65 Supplement C (Edition 01-01).

2. Administration Data

2.1 Testing Laboratory

Test Site	SPORTON INTERNATIONAL (KUNSHAN) INC.
Test Site Location	No. 3-2, PingXiang Road, Kunshan, Jiangsu Province, P.R.C. TEL: +86-0512-5790-0158 FAX: +86-0512-5790-0958

2.2 Applicant

Company Name	ZTE Corporation
Address	ZTE Plaza, Keji Road South, Hi-Tech, Industrial Park, Nanshan District, Shenzhen, Guangdong, 518057, P.R.China

2.3 Manufacturer

Company Name	ZTE Corporation
Address	ZTE Plaza, Keji Road South, Hi-Tech, Industrial Park, Nanshan District, Shenzhen, Guangdong, 518057, P.R.China

2.4 Application Details

Date of Receipt of Application	Jan. 05, 2012
Date of Start during the Test	Feb. 15, 2012
Date of End during the Test	Feb. 15, 2012

3. General Information

3.1 Description of Device Under Test (DUT)

Product Feature & Specification	
DUT Type	LTE USB Modem
Brand Name	ZTE
Model Name	K5006-Z
FCC ID	Q78-K5006-Z
Tx Frequency	GSM850: 824 MHz ~ 849 MHz GSM1900: 1850 MHz ~ 1910 MHz WCDMA Band V: 824 MHz ~ 849 MHz WCDMA Band II: 1850 MHz ~ 1910 MHz
Rx Frequency	GSM850: 869 MHz ~ 894 MHz GSM1900: 1930 MHz ~ 1990 MHz WCDMA Band V: 869 MHz ~ 894 MHz WCDMA Band II: 1930 MHz ~ 1990 MHz
Maximum Average Output Power to Antenna	GSM850: 31.80 dBm GSM1900: 29.58 dBm WCDMA Band V: 23.01 dBm WCDMA Band II: 21.75 dBm
Antenna Type	Fixed Internal Antenna
Type of Modulation	GPRS: GMSK EDGE: GMSK / 8PSK WCDMA: QPSK (uplink) HSDPA: QPSK (uplink) HSUPA: QPSK (uplink)
DUT Stage	Production Unit
Remark: 1. The above DUT's information was declared by manufacturer. Please refer to the specifications or user's manual for more detailed description. 2. GSM voice call is not supported, DTM not supported.	

3.2 Product Photos

Please refer to Appendix D

3.3 Applied Standards

The Specific Absorption Rate (SAR) testing specification, method and procedure for this device is in accordance with the following standards:

- FCC 47 CFR Part 2 (2.1093)
- IEEE C95.1-1991
- IEEE 1528-2003
- FCC OET Bulletin 65 Supplement C (Edition 01-01)
- FCC KDB 447498 D01 v04
- FCC KDB 447498 D02 v02
- FCC KDB 941225 D01 v02
- FCC KDB 941225 D03 v01

3.4 Device Category and SAR Limits

This device belongs to portable device category because its radiating structure is allowed to be used within 20 centimeters of the body of the user. Limit for General Population/Uncontrolled exposure should be applied for this device, it is 1.6 W/kg as averaged over any 1 gram of tissue.

3.5 Test Conditions

3.5.1 Ambient Condition

Ambient Temperature	20 to 24 °C
Humidity	< 60 %

3.5.2 Test Configuration

The device was controlled by using a base station emulator. Communication between the device and the emulator was established by air link. The distance between the DUT and the antenna of the emulator is larger than 50 cm and the output power radiated from the emulator antenna is at least 30 dB smaller than the output power of DUT. The DUT was set from the emulator to radiate maximum output power during all tests.

4. Specific Absorption Rate (SAR)

4.1 Introduction

SAR is related to the rate at which energy is absorbed per unit mass in an object exposed to a radio field. The SAR distribution in a biological body is complicated and is usually carried out by experimental techniques or numerical modeling. The standard recommends limits for two tiers of groups, occupational/controlled and general population/uncontrolled, based on a person's awareness and ability to exercise control over his or her exposure. In general, occupational/controlled exposure limits are higher than the limits for general population/uncontrolled.

4.2 SAR Definition

The SAR definition is the time derivative (rate) of the incremental energy (dW) absorbed by (dissipated in) an incremental mass (dm) contained in a volume element (dv) of a given density (ρ). The equation description is as below:

$$SAR = \frac{d}{dt} \left(\frac{dW}{dm} \right) = \frac{d}{dt} \left(\frac{dW}{\rho dv} \right)$$

SAR is expressed in units of Watts per kilogram (W/kg)

SAR measurement can be either related to the temperature elevation in tissue by

$$SAR = c \left(\frac{\delta T}{\delta t} \right)$$

Where: C is the specific heat capacity, δT is the temperature rise and δt is the exposure duration, or related to the electrical field in the tissue by

$$SAR = \frac{\sigma |E|^2}{\rho}$$

Where: σ is the conductivity of the tissue, ρ is the mass density of the tissue and E is the RMS electrical field strength.

However for evaluating SAR of low power transmitter, electrical field measurement is typically applied.

5. SAR Measurement System

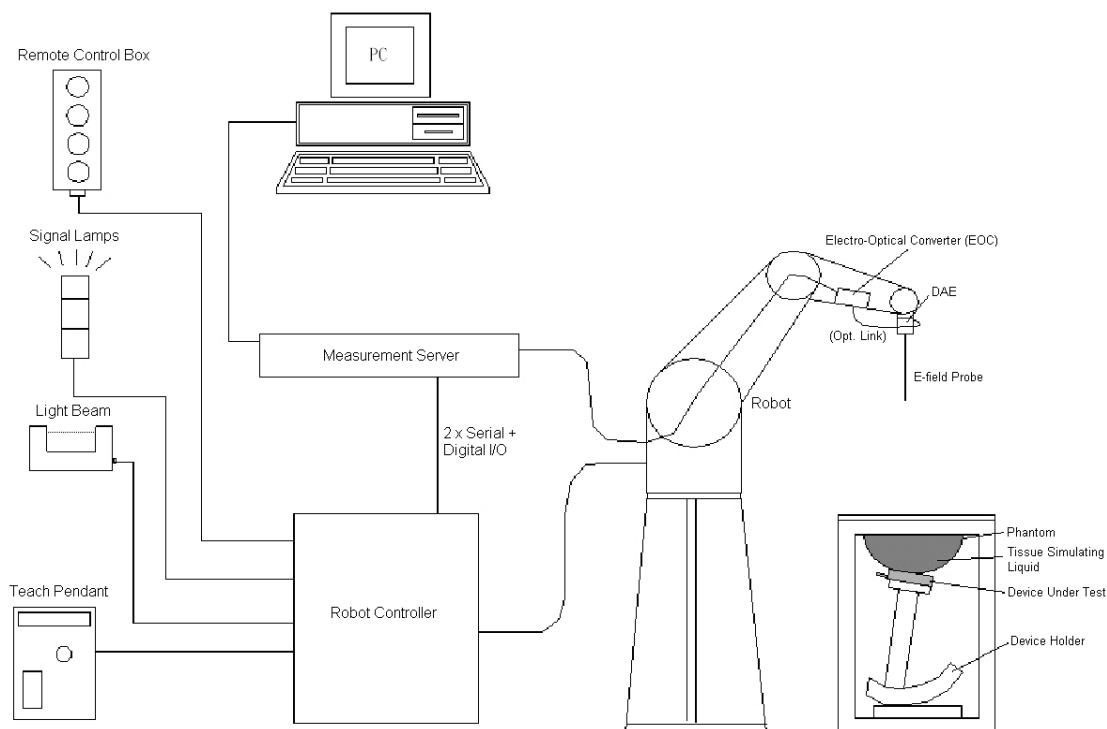


Fig 5.1 SPEAG DASY5 System Configurations

The DASY5 system for performance compliance tests is illustrated above graphically. This system consists of the following items:

- A standard high precision 6-axis robot with controller, a teach pendant and software
- A data acquisition electronic (DAE) attached to the robot arm extension
- A dosimetric probe equipped with an optical surface detector system
- The electro-optical converter (ECO) performs the conversion between optical and electrical signals
- A measurement server performs the time critical tasks such as signal filtering, control of the robot operation and fast movement interrupts.
- A probe alignment unit which improves the accuracy of the probe positioning
- A computer operating Windows XP
- DASY5 software
- Remote control with teach pendant and additional circuitry for robot safety such as warning lamps, etc.
- The SAM twin phantom
- A device holder
- Tissue simulating liquid
- Dipole for evaluating the proper functioning of the system

Some of the components are described in details in the following sub-sections.

5.1 E-Field Probe

The SAR measurement is conducted with the dosimetric probe (manufactured by SPEAG). The probe is specially designed and calibrated for use in liquid with high permittivity. The dosimetric probe has special calibration in liquid at different frequency. This probe has a built in optical surface detection system to prevent from collision with phantom.

5.1.1 E-Field Probe Specification

<EX3DV4 Probe>

Construction	Symmetrical design with triangular core Built-in shielding against static charges PEEK enclosure material (resistant to organic solvents, e.g., DGBE)
Frequency	10 MHz to 6 GHz; Linearity: ± 0.2 dB
Directivity	± 0.3 dB in HSL (rotation around probe axis) ± 0.5 dB in tissue material (rotation normal to probe axis)
Dynamic Range	10 μ W/g to 100 mW/g; Linearity: ± 0.2 dB (noise: typically < 1 μ W/g)
Dimensions	Overall length: 330 mm (Tip: 20 mm) Tip diameter: 2.5 mm (Body: 12 mm) Typical distance from probe tip to dipole centers: 1 mm

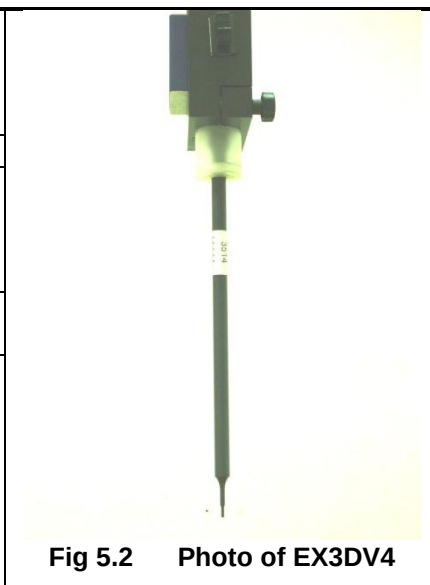


Fig 5.2 Photo of EX3DV4

5.1.2 E-Field Probe Calibration

Each probe needs to be calibrated according to a dosimetric assessment procedure with accuracy better than $\pm 10\%$. The spherical isotropy shall be evaluated and within ± 0.25 dB. The sensitivity parameters (NormX, NormY, and NormZ), the diode compression parameter (DCP) and the conversion factor (ConvF) of the probe are tested. The calibration data can be referred to appendix C of this report.

5.2 Data Acquisition Electronics (DAE)

The data acquisition electronics (DAE) consists of a highly sensitive electrometer-grade preamplifier with auto-zeroing, a channel and gain-switching multiplexer, a fast 16 bit AD-converter and a command decoder and control logic unit. Transmission to the measurement server is accomplished through an optical downlink for data and status information as well as an optical uplink for commands and the clock. The input impedance of the DAE is 200 MOhm; the inputs are symmetrical and floating. Common mode rejection is above 80 dB.



Fig 5.3 Photo of DAE

5.3 Robot

The SPEAG DASY system uses the high precision robots (DASY5: TX90XL) type from Stäubli SA (France). For the 6-axis controller system, the robot controller version (DASY5: CS8c) from Stäubli is used. The Stäubli robot series have many features that are important for our application:

- High precision (repeatability ± 0.035 mm)
- High reliability (industrial design)
- Jerk-free straight movements
- Low ELF interference (the closed metallic construction shields against motor control fields)



Fig 5.4 Photo of DASY5

5.4 Measurement Server

The measurement server is based on a PC/104 CPU board with CPU (DASY5: 400 MHz, Intel Celeron), chipdisk (DASY5: 128 MB), RAM (DASY5: 128 MB). The necessary circuits for communication with the DAE electronic box, as well as the 16 bit AD converter system for optical detection and digital I/O interface are contained on the DASY I/O board, which is directly connected to the PC/104 bus of the CPU board.

The measurement server performs all the real-time data evaluation for field measurements and surface detection, controls robot movements and handles safety operations.



Fig 5.5 Photo of Server for DASY5

5.5 Phantom

<SAM Twin Phantom>

Shell Thickness	2 ± 0.2 mm; Center ear point: 6 ± 0.2 mm
Filling Volume	Approx. 25 liters
Dimensions	Length: 1000 mm; Width: 500 mm; Height: adjustable feet
Measurement Areas	Left Hand, Right Hand, Flat Phantom



Fig 5.6 Photo of SAM Phantom

The bottom plate contains three pair of bolts for locking the device holder. The device holder positions are adjusted to the standard measurement positions in the three sections. A white cover is provided to tap the phantom during off-periods to prevent water evaporation and changes in the liquid parameters. On the phantom top, three reference markers are provided to identify the phantom position with respect to the robot.

<ELI4 Phantom>

Shell Thickness	2 ± 0.2 mm (sagging: <1%)
Filling Volume	Approx. 30 liters
Dimensions	Major ellipse axis: 600 mm Minor axis: 400 mm

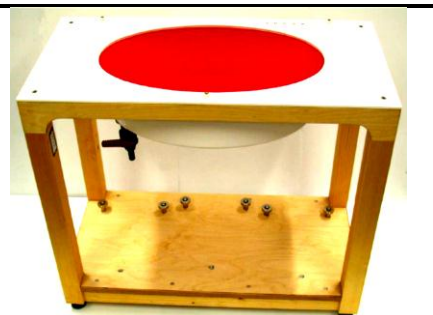


Fig 5.7 Photo of ELI4 Phantom

The ELI4 phantom is intended for compliance testing of handheld and body-mounted wireless devices in the frequency range of 30 MHz to 6 GHz. ELI4 is fully compatible with standard and all known tissue simulating liquids.

5.6 Device Holder

<Laptop Extension Kit>

The extension is lightweight and made of POM, acrylic glass and foam. It fits easily on the upper part of the mounting device in place of the phone positioned. The extension is fully compatible with the SAM Twin and ELI phantoms.

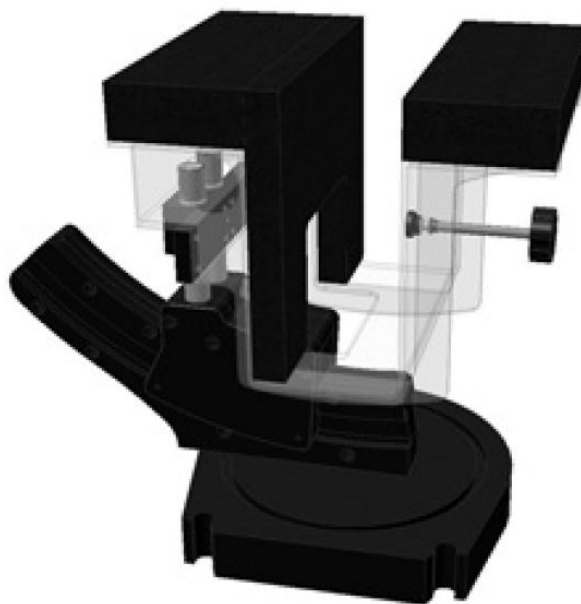


Fig 5.8 Laptop Extension Kit

5.7 Data Storage and Evaluation

5.7.1 Data Storage

The DASY software stores the assessed data from the data acquisition electronics as raw data (in microvolt readings from the probe sensors), together with all the necessary software parameters for the data evaluation (probe calibration data, liquid parameters and device frequency and modulation data) in measurement files. The post-processing software evaluates the desired unit and format for output each time the data is visualized or exported. This allows verification of the complete software setup even after the measurement and allows correction of erroneous parameter settings. For example, if a measurement has been performed with an incorrect crest factor parameter in the device setup, the parameter can be corrected afterwards and the data can be reevaluated.

The measured data can be visualized or exported in different units or formats, depending on the selected probe type (e.g., [V/m], [A/m], [mW/g]). Some of these units are not available in certain situations or give meaningless results, e.g., a SAR-output in a non-lossy media, will always be zero. Raw data can also be exported to perform the evaluation with other software packages.

5.7.2 Data Evaluation

The DASY post-processing software (SEMCAD) automatically executes the following procedures to calculate the field units from the microvolt readings at the probe connector. The parameters used in the evaluation are stored in the configuration modules of the software :

Probe parameters :	- Sensitivity	$\text{Norm}_i, a_{i0}, a_{i1}, a_{i2}$
	- Conversion factor	ConvF_i
	- Diode compression point	dcp_i
Device parameters :	- Frequency	f
	- Crest factor	cf
Media parameters :	- Conductivity	σ
	- Density	ρ

These parameters must be set correctly in the software. They can be found in the component documents or they can be imported into the software from the configuration files issued for the DASY components. In the direct measuring mode of the multi-meter option, the parameters of the actual system setup are used. In the scan visualization and export modes, the parameters stored in the corresponding document files are used.

The first step of the evaluation is a linearization of the filtered input signal to account for the compression characteristics of the detector diode. The compensation depends on the input signal, the diode type and the DC-transmission factor from the diode to the evaluation electronics. If the exciting field is pulsed, the crest factor of the signal must be known to correctly compensate for peak power.

The formula for each channel can be given as :

$$V_i = U_i + U_i^2 \cdot \frac{cf}{dcp_i}$$

with V_i = compensated signal of channel i, (i = x, y, z)
 U_i = input signal of channel i, (i = x, y, z)
 cf = crest factor of exciting field (DASY parameter)
 dcp_i = diode compression point (DASY parameter)

From the compensated input signals, the primary field data for each channel can be evaluated :

$$\text{E-field Probes : } E_i = \sqrt{\frac{V_i}{\text{Norm}_i \cdot \text{ConvF}}}$$

$$\text{H-field Probes : } H_i = \sqrt{V_i} \cdot \frac{a_{i0} + a_{i1}f + a_{i2}f^2}{f}$$

with V_i = compensated signal of channel i, (i = x, y, z)
 Norm_i = sensor sensitivity of channel i, (i = x, y, z), $\mu\text{V}/(\text{V/m})^2$ for E-field Probes
 ConvF = sensitivity enhancement in solution
 a_{ij} = sensor sensitivity factors for H-field probes
 f = carrier frequency [GHz]
 E_i = electric field strength of channel i in V/m
 H_i = magnetic field strength of channel i in A/m

The RSS value of the field components gives the total field strength (Hermitian magnitude) :

$$E_{\text{tot}} = \sqrt{E_x^2 + E_y^2 + E_z^2}$$

The primary field data are used to calculate the derived field units.

$$\text{SAR} = E_{\text{tot}}^2 \cdot \frac{\sigma}{\rho \cdot 1000}$$

with SAR = local specific absorption rate in mW/g
 E_{tot} = total field strength in V/m
 σ = conductivity in [mho/m] or [Siemens/m]
 ρ = equivalent tissue density in g/cm^3

Note that the density is set to 1, to account for actual head tissue density rather than the density of the tissue simulating liquid.

5.8 Test Equipment List

Manufacturer	Name of Equipment	Type/Model	Serial Number	Calibration	
				Last Cal.	Due Date
SPEAG	Dosimetric E-Field Probe	EX3DV4	3697	Sep. 02, 2011	Sep. 01, 2012
SPEAG	Data Acquisition Electronics	DAE4	1210	Nov. 18, 2011	Nov. 17, 2012
SPEAG	835MHz System Validation Kit	D835V2	4d091	Nov. 18, 2011	Nov. 17, 2012
SPEAG	1900MHz System Validation Kit	D1900V2	5d118	Nov. 21, 2011	Nov. 20, 2012
SPEAG	SAM Twin Phantom	QD 000 P40 CB	TP-1479	NCR	NCR
SPEAG	ELI4 Phantom	QD OVA 001 BB	1079	NCR	NCR
R&S	Universal Radio Communication Tester	CMU200	116456	Sep. 20, 2011	Sep. 19, 2012
Agilent	Dielectric Probe Kit	85070E	MY44300475	NCR	NCR
AR	Amplifier	551G4	333096	NCR	NCR
R&S	Spectrum Analyzer	FSP30	101400	Jun. 02, 2011	Jun. 01, 2012
R&S	Signal Generator	SMR40	100455	Dec. 30, 2011	Dec. 29, 2012

Table 5.1 Test Equipment List

Note: The calibration certificate of DASY can be referred to appendix C of this report.

6. Tissue Simulating Liquids

For the measurement of the field distribution inside the SAM phantom with DASY, the phantom must be filled with around 25 liters of homogeneous body tissue simulating liquid. For head SAR testing, the liquid height from the ear reference point (ERP) of the phantom to the liquid top surface is larger than 15 cm, which is shown in Fig. 6.1. For body SAR testing, the liquid height from the center of the flat phantom to the liquid top surface is larger than 15 cm, which is shown in Fig. 6.2.

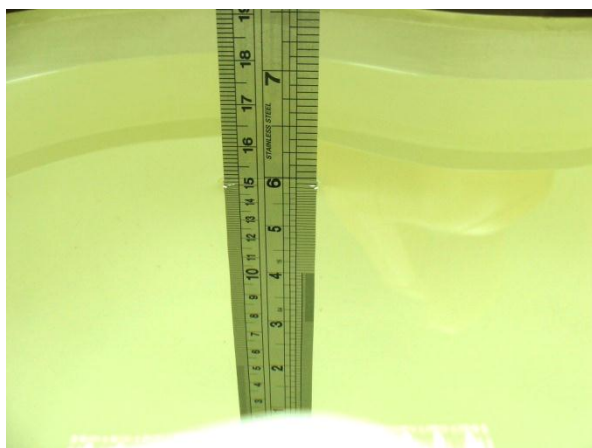


Fig 6.1 Photo of Liquid Height for Head SAR



Fig 6.2 Photo of Liquid Height for Body SAR

The following table gives the recipes for tissue simulating liquid.

Frequency (MHz)	Water (%)	Sugar (%)	Cellulose (%)	Salt (%)	Preventol (%)	DGBE (%)	Conductivity (σ)	Permittivity (ϵ_r)
For Head								
835	40.3	57.9	0.2	1.4	0.2	0	0.90	41.5
1800, 1900, 2000	55.2	0	0	0.3	0	44.5	1.40	40.0
For Body								
835	50.8	48.2	0	0.9	0.1	0	0.97	55.2
1800, 1900, 2000	70.2	0	0	0.4	0	29.4	1.52	53.3

Table 6.1 Recipes of Tissue Simulating Liquid

The dielectric parameters of the liquids were verified prior to the SAR evaluation using an Agilent 85070D Dielectric Probe Kit and an Agilent Network Analyzer.

The following table shows the measuring results for simulating liquid.

Freq.	Liquid Type	Temp. (°C)	Conductivity (σ)	Permittivity (ϵ_r)	Conductivity Target (σ)	Permittivity Target (ϵ_r)	Delta (σ) (%)	Delta (ϵ_r) (%)	Limit (%)	Date
835	Body	21.6	0.976	54.388	0.97	55.2	0.62	-1.47	±5	Feb. 15, 2012
1900	Body	21.5	1.535	54.579	1.52	53.3	0.99	2.40	±5	Feb. 15, 2012

Table 6.2 Measuring Results for Simulating Liquid

CH	Frequency (MHz)	Liquid Type	Conductivity (σ)	Permittivity (ϵ_r)	Conductivity Target (σ)	Permittivity Target (ϵ_r)	Conductivity delta (%) (σ)	Permittivity delta (%) (ϵ_r)	Measurement Date
189	836.4	Body	0.978	54.371	0.97	55.2	0.82	-1.50	Feb. 15, 2012
512	1850.2	Body	1.472	54.682	1.50	53.4	-1.87	2.40	Feb. 15, 2012
4132	826.4	Body	0.968	54.448	0.97	55.2	-0.21	-1.36	Feb. 15, 2012
4182	836.4	Body	0.978	54.371	0.97	55.2	0.82	-1.50	Feb. 15, 2012
4233	846.6	Body	0.987	54.285	0.98	55.2	0.71	-1.66	Feb. 15, 2012
9262	1852.4	Body	1.475	54.674	1.50	53.4	-1.67	2.39	Feb. 15, 2012
9400	1880	Body	1.513	54.609	1.51	53.3	0.20	2.46	Feb. 15, 2012
9538	1907.6	Body	1.542	54.565	1.51	53.3	2.12	2.37	Feb. 15, 2012

Table 6.3 Low/mid/High channel for liquid validation

7. Uncertainty Assessment

The component of uncertainty may generally be categorized according to the methods used to evaluate them. The evaluation of uncertainty by the statistical analysis of a series of observations is termed a Type A evaluation of uncertainty. The evaluation of uncertainty by means other than the statistical analysis of a series of observation is termed a Type B evaluation of uncertainty. Each component of uncertainty, however evaluated, is represented by an estimated standard deviation, termed standard uncertainty, which is determined by the positive square root of the estimated variance.

A Type A evaluation of standard uncertainty may be based on any valid statistical method for treating data. This includes calculating the standard deviation of the mean of a series of independent observations; using the method of least squares to fit a curve to the data in order to estimate the parameter of the curve and their standard deviations; or carrying out an analysis of variance in order to identify and quantify random effects in certain kinds of measurement.

A type B evaluation of standard uncertainty is typically based on scientific judgment using all of the relevant information available. These may include previous measurement data, experience and knowledge of the behavior and properties of relevant materials and instruments, manufacture's specification, data provided in calibration reports and uncertainties assigned to reference data taken from handbooks. Broadly speaking, the uncertainty is either obtained from an outdoor source or obtained from an assumed distribution, such as the normal distribution, rectangular or triangular distributions indicated in Table 7.1

Uncertainty Distributions	Normal	Rectangular	Triangular	U-Shape
Multi-plying Factor ^(a)	$1/k^{(b)}$	$1/\sqrt{3}$	$1/\sqrt{6}$	$1/\sqrt{2}$

(a) standard uncertainty is determined as the product of the multiplying factor and the estimated range of variations in the measured quantity

(b) k is the coverage factor

Table 7.1 Standard Uncertainty for Assumed Distribution

The combined standard uncertainty of the measurement result represents the estimated standard deviation of the result. It is obtained by combining the individual standard uncertainties of both Type A and Type B evaluation using the usual "root-sum-squares" (RSS) methods of combining standard deviations by taking the positive square root of the estimated variances.

Expanded uncertainty is a measure of uncertainty that defines an interval about the measurement result within which the measured value is confidently believed to lie. It is obtained by multiplying the combined standard uncertainty by a coverage factor. Typically, the coverage factor ranges from 2 to 3. Using a coverage factor allows the true value of a measured quantity to be specified with a defined probability within the specified uncertainty range. For purpose of this document, a coverage factor two is used, which corresponds to confidence interval of about 95 %. The DASY uncertainty Budget is showed in Table 7.2.

Error Description	Uncertainty Value (±%)	Probability Distribution	Divisor	Ci (1g)	Standard Uncertainty (1g)
Measurement System					
Probe Calibration	6.0	Normal	1	1	± 6.0 %
Axial Isotropy	4.7	Rectangular	√3	0.7	± 1.9 %
Hemispherical Isotropy	9.6	Rectangular	√3	0.7	± 3.9 %
Boundary Effects	1.0	Rectangular	√3	1	± 0.6 %
Linearity	4.7	Rectangular	√3	1	± 2.7 %
System Detection Limits	1.0	Rectangular	√3	1	± 0.6 %
Readout Electronics	0.3	Normal	1	1	± 0.3 %
Response Time	0.8	Rectangular	√3	1	± 0.5 %
Integration Time	2.6	Rectangular	√3	1	± 1.5 %
RF Ambient Noise	3.0	Rectangular	√3	1	± 1.7 %
RF Ambient Reflections	3.0	Rectangular	√3	1	± 1.7 %
Probe Positioner	0.4	Rectangular	√3	1	± 0.2 %
Probe Positioning	2.9	Rectangular	√3	1	± 1.7 %
Max. SAR Eval.	1.0	Rectangular	√3	1	± 0.6 %
Test Sample Related					
Device Positioning	2.9	Normal	1	1	± 2.9 %
Device Holder	3.6	Normal	1	1	± 3.6 %
Power Drift	5.0	Rectangular	√3	1	± 2.9 %
Phantom and Setup					
Phantom Uncertainty	4.0	Rectangular	√3	1	± 2.3 %
Liquid Conductivity (Target)	5.0	Rectangular	√3	0.64	± 1.8 %
Liquid Conductivity (Meas.)	2.5	Normal	1	0.64	± 1.6 %
Liquid Permittivity (Target)	5.0	Rectangular	√3	0.6	± 1.7 %
Liquid Permittivity (Meas.)	2.5	Normal	1	0.6	± 1.5 %
Combined Standard Uncertainty					± 10.99 %
Coverage Factor for 95 %					K = 2
Expanded Uncertainty					± 21.97 %

Table 7.2 Uncertainty Budget of DASY for frequency range 300 MHz to 3 GHz

8. SAR Measurement Evaluation

Each DASY system is equipped with one or more system validation kits. These units, together with the predefined measurement procedures within the DASY software, enable the user to conduct the system performance check and system validation. System validation kit includes a dipole, tripod holder to fix it underneath the flat phantom and a corresponding distance holder.

8.1 Purpose of System Performance check

The system performance check verifies that the system operates within its specifications. System and operator errors can be detected and corrected. It is recommended that the system performance check be performed prior to any usage of the system in order to guarantee reproducible results. The system performance check uses normal SAR measurements in a simplified setup with a well characterized source. This setup was selected to give a high sensitivity to all parameters that might fail or vary over time. The system check does not intend to replace the calibration of the components, but indicates situations where the system uncertainty is exceeded due to drift or failure.

8.2 System Setup

In the simplified setup for system evaluation, the DUT is replaced by a calibrated dipole and the power source is replaced by a continuous wave that comes from a signal generator. The calibrated dipole must be placed beneath the flat phantom section of the SAM twin phantom with the correct distance holder. The distance holder should touch the phantom surface with a light pressure at the reference marking and be oriented parallel to the long side of the phantom. The equipment setup is shown below:

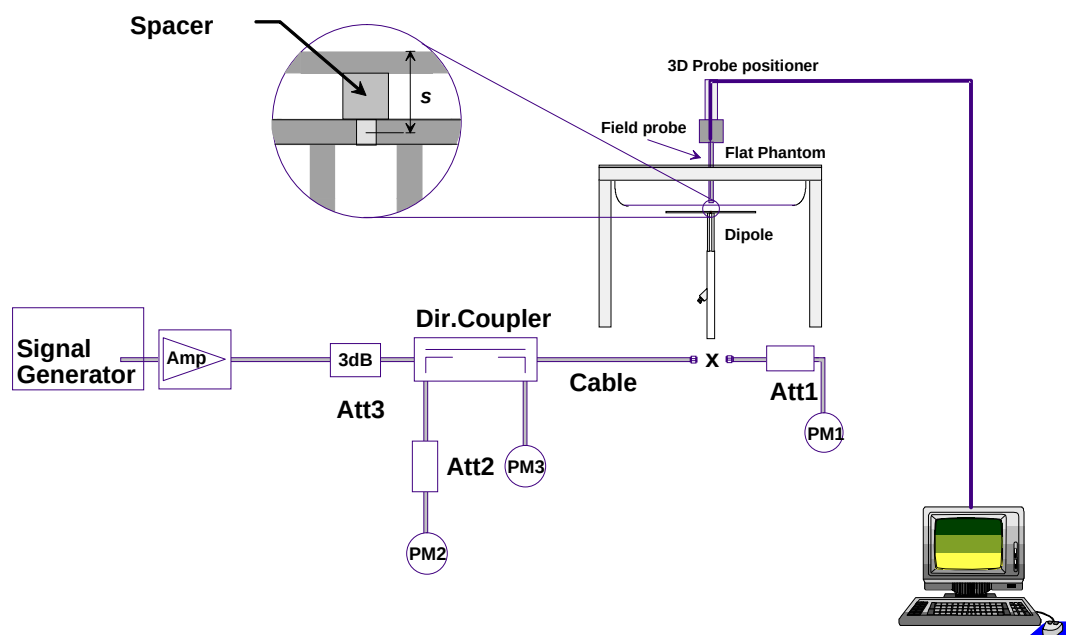


Fig 8.1 System Setup for System Evaluation

1. Signal Generator
2. Amplifier
3. Directional Coupler
4. Power Meter
5. Calibrated Dipole

The output power on dipole port must be calibrated to 24 dBm (250 mW) before dipole is connected.

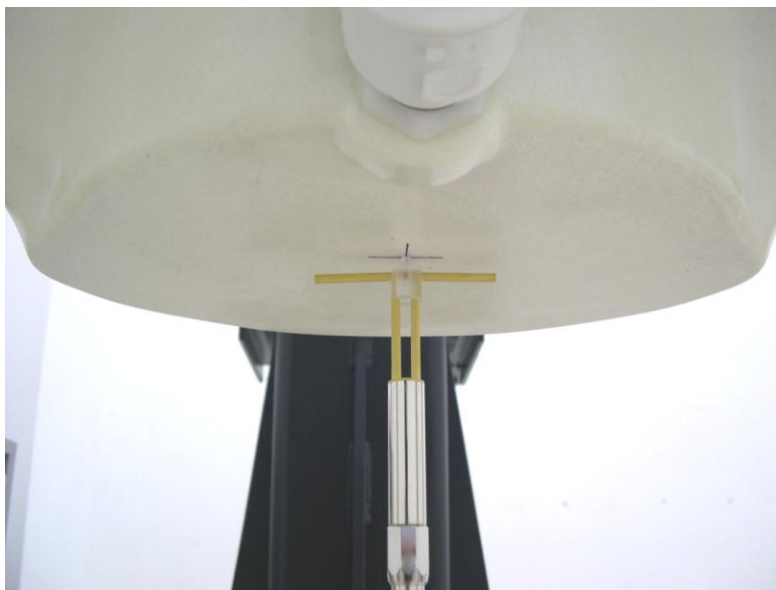


Fig 8.2 Photo of Dipole Setup

8.3 Validation Results

Comparing to the original SAR value provided by SPEAG, the validation data should be within its specification of 10 %. Table 8.1 shows the target SAR and measured SAR after normalized to 1W input power. The table below indicates the system performance check can meet the variation criterion and the plots can be referred to Appendix A of this report.

Measurement Date	Frequency (MHz)	Liquid Type	Targeted SAR _{1g} (W/kg)	Measured SAR _{1g} (W/kg)	Normalized SAR _{1g} (W/kg)	Deviation (%)
Feb. 15, 2012	835	Body	9.42	2.37	9.48	0.64
Feb. 15, 2012	1900	Body	41.8	10.7	42.80	2.39

Table 8.1 Target and Measurement SAR after Normalized

9. DUT Testing Position

This DUT was tested in five different USB configurations. They are “direct laptop plug-in for configuration 1, 4”, “USB cable plug-in for configuration 2, 3”, and “USB Cable Plug-in for Tip Mode (the tip of the DUT)”. Both direct laptop plug-in and USB cable plug-in test configurations are tested with 5 cm separation between the particular dongle orientation and the flat phantom. Please refer to Appendix E for the test setup photos.

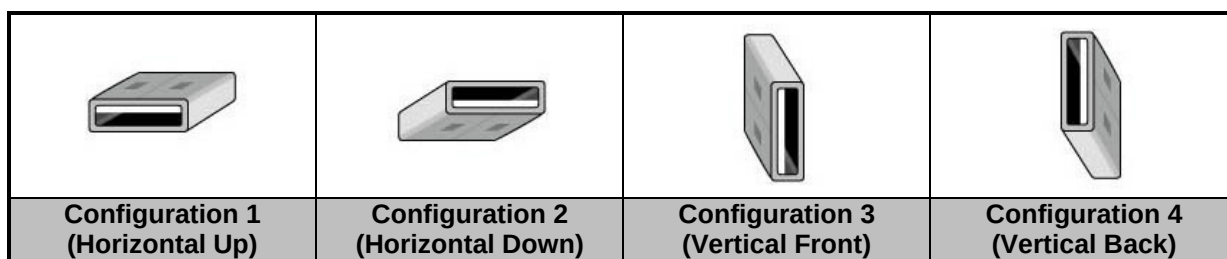


Fig 9.1 Illustration for USB Connector Orientations

<DUT Setup Photos>

Please refer to Appendix E for the test setup photos.

10. Measurement Procedures

The measurement procedures are as follows:

- (a) Use base station or engineering software (if applicable) to transmit RF power continuously (continuous Tx) in the highest power channel Set base station emulator to allow DUT to radiate maximum output power.
- (b) Measure output power through RF cable and power meter.
- (c) Place the DUT in the positions as Appendix E demonstrates.
- (d) Set scan area, grid size and other setting on the DASY software.
- (e) Measure SAR results for the highest power channel on each testing position.
- (f) Find out the largest SAR result on these testing positions of each band
- (g) Measure SAR results for other channels in worst SAR testing position if the SAR of highest power channel is larger than 0.8 W/kg

According to the test standard, the recommended procedure for assessing the peak spatial-average SAR value consists of the following steps:

- (a) Power reference measurement
- (b) Area scan
- (c) Zoom scan
- (d) Power drift measurement

10.1 Spatial Peak SAR Evaluation

The procedure for spatial peak SAR evaluation has been implemented according to the test standard. It can be conducted for 1g and 10g, as well as for user-specific masses. The DASY software includes all numerical procedures necessary to evaluate the spatial peak SAR value.

The base for the evaluation is a "cube" measurement. The measured volume must include the 1g and 10g cubes with the highest averaged SAR values. For that purpose, the center of the measured volume is aligned to the interpolated peak SAR value of a previously performed area scan.

The entire evaluation of the spatial peak values is performed within the post-processing engine (SEMCAD). The system always gives the maximum values for the 1g and 10g cubes. The algorithm to find the cube with highest averaged SAR is divided into the following stages:

- (a) Extraction of the measured data (grid and values) from the Zoom Scan
- (b) Calculation of the SAR value at every measurement point based on all stored data (A/D values and measurement parameters)
- (c) Generation of a high-resolution mesh within the measured volume
- (d) Interpolation of all measured values from the measurement grid to the high-resolution grid
- (e) Extrapolation of the entire 3-D field distribution to the phantom surface over the distance from sensor to surface

- (f) Calculation of the averaged SAR within masses of 1g and 10g

10.2 Area & Zoom Scan Procedures

First Area Scan is used to locate the approximate location(s) of the local peak SAR value(s). The measurement grid within an Area Scan is defined by the grid extent, grid step size and grid offset. Next, in order to determine the EM field distribution in a three-dimensional spatial extension, Zoom Scan is required. The Zoom Scan measures 5x5x7 points with step size 8, 8 and 5 mm for 300 MHz to 3 GHz, and 8x8x8 points with step size 4, 4 and 2.5 mm for 3 GHz to 6 GHz. The Zoom Scan is performed around the highest E-field value to determine the averaged SAR-distribution over 10 g.

10.3 Volume Scan Procedures

The volume scan is used for assess overlapping SAR distributions for antennas transmitting in different frequency bands. It is equivalent to an oversized zoom scan used in standalone measurements. The measurement volume will be used to enclose all the simultaneous transmitting antennas. For antennas transmitting simultaneously in different frequency bands, the volume scan is measured separately in each frequency band. In order to sum correctly to compute the 1g aggregate SAR, the DUT remain in the same test position for all measurements and all volume scan use the same spatial resolution and grid spacing (step-size is 4, 4 and 2.5 mm). When all volume scan were completed, the software, SEMCAD postprocessor can combine and subsequently superpose these measurement data to calculating the multiband SAR.

10.4 SAR Averaged Methods

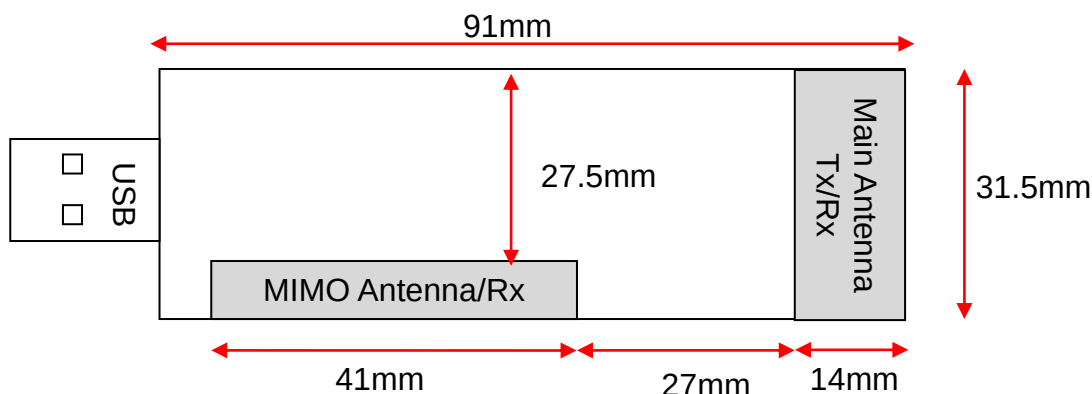
In DASY, the interpolation and extrapolation are both based on the modified Quadratic Shepard's method. The interpolation scheme combines a least-square fitted function method and a weighted average method which are the two basic types of computational interpolation and approximation.

Extrapolation routines are used to obtain SAR values between the lowest measurement points and the inner phantom surface. The extrapolation distance is determined by the surface detection distance and the probe sensor offset. The uncertainty increases with the extrapolation distance. To keep the uncertainty within 1% for the 1 g and 10 g cubes, the extrapolation distance should not be larger than 5 mm.

10.5 Power Drift Monitoring

All SAR testing is under the DUT install full charged battery and transmit maximum output power. In DASY measurement software, the power reference measurement and power drift measurement procedures are used for monitoring the power drift of DUT during SAR test. Both these procedures measure the field at a specified reference position before and after the SAR testing. The software will calculate the field difference in dB. If the power drift more than 5%, the SAR will be retested.

11. Antenna Location



Note:

1. Per KDB 447498 D02, since the distance between USB connector and the Main Antenna > 2.5cm, additional swivel connector positions are not necessary.
2. Main antenna is within 1 cm from the tip of the dongle, per KDB 447498 D02, the tip of the dongle should also be tested at 5mm perpendicular to the flat phantom.

12. SAR Test Results

12.1 Conducted Power (Unit: dBm)

<GPRS / EDGE>

Burst Average Power						
Band	GSM850			GSM1900		
Channel	128	189	251	512	661	810
Frequency (MHz)	824.2	836.4	848.8	1850.2	1880.0	1909.8
GPRS 8 (1 Uplink) – CS1	31.75	31.78	31.80	29.58	29.39	28.93
GPRS 10 (2 Uplink) – CS1	29.62	29.92	29.84	27.50	27.20	27.12
GPRS 11 (3 Uplink) – CS1	27.04	27.02	27.25	25.69	25.50	25.56
GPRS 12 (4 Uplink) – CS1	25.97	25.98	25.74	25.42	25.12	24.63
EDGE 8 (GMSK, 1 Uplink) – MCS1	31.57	31.48	31.53	29.14	29.54	29.38
EDGE 10 (GMSK, 2 Uplink) – MCS1	29.61	29.73	29.91	27.77	27.52	27.70
EDGE 11 (GMSK, 3 Uplink) – MCS1	27.15	27.20	27.27	26.30	26.01	25.97
EDGE 12 (GMSK, 4 Uplink) – MCS1	25.88	25.89	26.03	24.81	24.67	24.61
EDGE 8 (8PSK, 1 Uplink) – MCS9	25.72	25.76	25.93	24.78	24.73	24.66
EDGE 10 (8PSK, 2 Uplink) – MCS9	23.52	23.57	23.65	23.68	23.49	23.40
EDGE 11 (8PSK, 3 Uplink) – MCS9	21.61	21.84	21.88	21.71	21.49	21.51
EDGE 12 (8PSK, 4 Uplink) – MCS9	20.45	20.76	20.91	21.37	21.08	20.93

Note: Maximum burst average power in the table above.

Source-Based Time-Averaged Power						
Band	GSM850			GSM1900		
Channel	128	189	251	512	661	810
Frequency (MHz)	824.2	836.4	848.8	1850.2	1880.0	1909.8
GPRS 8 (1 Uplink) – CS1	22.75	22.78	22.80	20.58	20.39	19.93
GPRS 10 (2 Uplink) – CS1	23.62	23.92	23.84	21.50	21.20	21.12
GPRS 11 (3 Uplink) – CS1	22.78	22.76	22.99	21.43	21.24	21.30
GPRS 12 (4 Uplink) – CS1	22.97	22.98	22.74	22.42	22.12	21.63
EDGE 8 (GMSK, 1 Uplink) – MCS1	22.57	22.48	22.53	20.14	20.54	20.38
EDGE 10 (GMSK, 2 Uplink) – MCS1	23.61	23.73	23.91	21.77	21.52	21.70
EDGE 11 (GMSK, 3 Uplink) – MCS1	22.89	22.94	23.01	22.04	21.75	21.71
EDGE 12 (GMSK, 4 Uplink) – MCS1	22.88	22.89	23.03	21.81	21.67	21.61
EDGE 8 (8PSK, 1 Uplink) – MCS9	16.72	16.76	16.93	15.78	15.73	15.66
EDGE 10 (8PSK, 2 Uplink) – MCS9	17.52	17.57	17.65	17.68	17.49	17.40
EDGE 11 (8PSK, 3 Uplink) – MCS9	17.35	17.58	17.62	17.45	17.23	17.25
EDGE 12 (8PSK, 4 Uplink) – MCS9	17.45	17.76	17.91	18.37	18.08	17.93
Remark: The source-based time-averaged power is linearly scaled the maximum burst averaged power based on time slots. The calculated method are shown as below: Source based time averaged power = Maximum burst averaged power (1 Uplink) - 9 dB Source based time averaged power = Maximum burst averaged power (2 Uplink) - 6 dB Source based time averaged power = Maximum burst averaged power (3 Uplink) - 4.26 dB Source based time averaged power = Maximum burst averaged power (4 Uplink) - 3 dB						

Note:

1. For Body SAR testing, GPRS, EDGE should be evaluated, therefore the DUT was set in GPRS 10 for GSM850 and set in GPRS 12 for GSM1900 due to its highest source-based time-average power.
2. Per 2010/10 workshop, the maximum output power channel is used for SAR testing and for further SAR test reduction.
3. EDGE tests with MCS1 setting, GMSK modulation. Burst average power with MCS9 setting 8 PSK modulation, is provided voluntary for reference.

<WCDMA>

Band	WCDMA Band V			WCDMA Band II		
Channel	4132	4182	4233	9262	9400	9538
Frequency (MHz)	826.4	836.4	846.6	1852.4	1880.0	1907.6
RMC 12.2K	22.51	22.61	23.01	21.63	21.75	21.43
HSDPA Subtest-1	22.02	22.14	22.58	21.08	21.45	21.08
HSDPA Subtest-2	22.14	22.02	22.55	21.13	21.28	21.05
HSDPA Subtest-3	22.06	22.10	22.36	20.95	21.08	20.95
HSDPA Subtest-4	22.07	22.13	22.60	21.07	21.12	20.80
HSUPA Subtest-1	20.98	20.81	21.22	20.50	20.47	20.62
HSUPA Subtest-2	19.94	19.72	20.01	19.19	19.09	19.31
HSUPA Subtest-3	21.09	20.84	21.08	20.14	20.23	20.35
HSUPA Subtest-4	19.94	19.85	19.81	19.02	19.14	19.31
HSUPA Subtest-5	21.12	21.30	21.57	20.33	20.49	20.39

3GPP Requirement	MPR						
		WCDMA band V			WCDMA band II		
0	HSDPA Subtest-1	0.00	0.00	0.00	0.00	0.00	0.00
0	HSDPA Subtest-2	-0.12	0.12	0.03	-0.05	0.17	0.03
0.5	HSDPA Subtest-3	-0.04	0.04	0.22	0.13	0.37	0.13
0.5	HSDPA Subtest-4	-0.05	0.01	-0.02	0.01	0.33	0.28
0	HSUPA Subtest-1	0.14	0.49	0.35	-0.17	0.02	-0.23
2	HSUPA Subtest-2	1.18	1.58	1.56	1.14	1.40	1.08
1	HSUPA Subtest-3	0.03	0.46	0.49	0.19	0.26	0.04
2	HSUPA Subtest-4	1.18	1.45	1.76	1.31	1.35	1.08
0	HSUPA Subtest-5	0.00	0.00	0.00	0.00	0.00	0.00

12.2 Test Records for Body SAR Test

<2G/3G>

Plot No.	Band	Mode	Test Position	Gap (cm)	Ch.	SAR _{1g} (W/kg)
13	GSM850	GPRS10	Horizontal Up	0.5	189	0.671
14	GSM850	GPRS10	Horizontal Down	0.5	189	0.623
15	GSM850	GPRS10	Vertical Front	0.5	189	0.334
16	GSM850	GPRS10	Vertical Back	0.5	189	0.497
17	GSM850	GPRS10	Tip Mode	0.5	189	0.081
1	GSM1900	GPRS12	Horizontal Up	0.5	512	0.477
2	GSM1900	GPRS12	Horizontal Down	0.5	512	0.467
3	GSM1900	GPRS12	Vertical Front	0.5	512	0.656
4	GSM1900	GPRS12	Vertical Back	0.5	512	0.176
5	GSM1900	GPRS12	Tip Mode	0.5	512	0.228
24	WCDMA V	RMC12.2	Horizontal Up	0.5	4233	1.02
25	WCDMA V	RMC12.2	Horizontal Down	0.5	4233	0.793
26	WCDMA V	RMC12.2	Vertical Front	0.5	4233	0.519
27	WCDMA V	RMC12.2	Vertical Back	0.5	4233	0.629
28	WCDMA V	RMC12.2	Tip Mode	0.5	4233	0.118
29	WCDMA V	RMC12.2	Horizontal Up	0.5	4132	0.653
30	WCDMA V	RMC12.2	Horizontal Up	0.5	4182	0.687
6	WCDMA II	RMC12.2	Horizontal Up	0.5	9400	0.675
7	WCDMA II	RMC12.2	Horizontal Down	0.5	9400	0.786
8	WCDMA II	RMC12.2	Vertical Front	0.5	9400	1.18
9	WCDMA II	RMC12.2	Vertical Back	0.5	9400	0.425
10	WCDMA II	RMC12.2	Tip Mode	0.5	9400	0.362
11	WCDMA II	RMC12.2	Vertical Front	0.5	9262	1.02
12	WCDMA II	RMC12.2	Vertical Front	0.5	9538	0.941

Note: Per KDB447498, if the highest output channel SAR for each exposure position ≤ 0.8 W/kg other channels SAR tests are not necessary.

Test Engineer : Mark Qu

13. References

- [1] FCC 47 CFR Part 2 "Frequency Allocations and Radio Treaty Matters; General Rules and Regulations"
- [2] IEEE Std. C95.1-1991, "IEEE Standard for Safety Levels with Respect to Human Exposure to Radio Frequency Electromagnetic Fields, 3 kHz to 300 GHz", 1991
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- [4] FCC OET Bulletin 65 (Edition 97-01) Supplement C (Edition 01-01), "Evaluating Compliance with FCC Guidelines for Human Exposure to Radiofrequency Electromagnetic Fields", June 2001
- [5] SPEAG DASY System Handbook
- [6] FCC KDB 248227 D01 v01r02, "SAR Measurement Procedures for 802.11 a/b/g Transmitters", May 2007
- [7] FCC KDB 447498 D01 v04, "Mobile and Portable Device RF Exposure Procedures and Equipment Authorization Policies", November 2009
- [8] FCC KDB 447498 D02 v02, "SAR Measurement Procedures for USB Dongle Transmitters", November 2009
- [9] FCC KDB 941225 D01 v02, "SAR Measurement Procedures for 3G Devices – CDMA 2000 / Ev-Do / WCDMA / HSDPA / HSPA", October 2007
- [10] FCC KDB 941225 D03 v01, "Recommended SAR Test Reduction Procedures for GSM / GPRS / EDGE", December 2008
- [11] FCC KDB 941225 D04 v01, "Evaluating SAR for GSM/(E)GPRS Dual Transfer Mode", January 27 2010
- [12] FCC KDB 941225 D05 v01, "SAR Test Considerations for LTE Handsets and Data Modems", December 2010
- [13] FCC KDB 941225 D06 v01, "SAR Evaluation Procedures for Portable Devices with Wireless Router Capabilities", April 2011
- [14] FCC KDB 388624 D02, "Permit But Ask List", April 2011.



Appendix A. Plots of System Performance Check

The plots are shown as follows.



Appendix B. Plots of SAR Measurement

The plots are shown as follows.



Appendix C. DASY Calibration Certificate

The DASY calibration certificates are shown as follows.