

**APPENDIX D: RELEVANT PAGES FROM PROBE CALIBRATION
REPORT(S)**

Calibration Laboratory of
Schmid & Partner
Engineering AG
Zeughausstrasse 43, 8004 Zurich, Switzerland



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Accreditation No.: **SCS 108**

Client **SMQ (Auden)**

Certificate No: **ES3-3203_Oct12**

CALIBRATION CERTIFICATE

Object **ES3DV3 - SN:3203**

Calibration procedure(s) **QA CAL-01.v8, QA CAL-12.v7, QA CAL-23.v4, QA CAL-25.v4**
 Calibration procedure for dosimetric E-field probes

Calibration date: **October 24, 2012**

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).
 The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature $(22 \pm 3)^\circ\text{C}$ and humidity $< 70\%$.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID	Cal Date (Certificate No.)	Scheduled Calibration
Power meter E4419B	GB41293874	29-Mar-12 (No. 217-01508)	Apr-13
Power sensor E4412A	MY41498087	29-Mar-12 (No. 217-01508)	Apr-13
Reference 3 dB Attenuator	SN: S5054 (3c)	27-Mar-12 (No. 217-01531)	Apr-13
Reference 20 dB Attenuator	SN: S5086 (20b)	27-Mar-12 (No. 217-01529)	Apr-13
Reference 30 dB Attenuator	SN: S5129 (30b)	27-Mar-12 (No. 217-01532)	Apr-13
Reference Probe ES3DV2	SN: 3013	29-Dec-11 (No. ES3-3013_Dec11)	Dec-12
DAE4	SN: 660	20-Jun-12 (No. DAE4-660_Jun12)	Jun-13
Secondary Standards	ID	Check Date (in house)	Scheduled Check
RF generator HP 8648C	US3642U01700	4-Aug-99 (in house check Apr-11)	In house check: Apr-13
Network Analyzer HP 8753E	US37390585	18-Oct-01 (in house check Oct-12)	In house check: Oct-13

Calibrated by:	Name	Function	Signature
	Jeton Kastrati	Laboratory Technician	
Approved by:	Katja Pokovic	Technical Manager	

Issued: October 25, 2012

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Engineering AG
Zeughausstrasse 43, 8004 Zurich, Switzerland



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Glossary:

TS	tissue simulating liquid
NORM _{x,y,z}	sensitivity in free space
ConvF	sensitivity in TS / NORM _{x,y,z}
DCP	diode compression point
CF	crest factor (1/duty_cycle) of the RF signal
A, B, C	modulation dependent linearization parameters
Polarization ϕ	ϕ rotation around probe axis
Polarization ϑ	ϑ rotation around an axis that is in the plane normal to probe axis (at measurement center), i.e., $\vartheta = 0$ is normal to probe axis

Calibration is Performed According to the Following Standards:

- IEEE Std 1528-2003, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", December 2003
- IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

Methods Applied and Interpretation of Parameters:

- NORM_{x,y,z}*: Assessed for E-field polarization $\vartheta = 0$ ($f \leq 900$ MHz in TEM-cell; $f > 1800$ MHz: R22 waveguide). *NORM_{x,y,z}* are only intermediate values, i.e., the uncertainties of *NORM_{x,y,z}* does not affect the E^2 -field uncertainty inside TS (see below *ConvF*).
- NORM(f)x,y,z = NORM_{x,y,z} * frequency_response* (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of *ConvF*.
- DCPx,y,z*: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR*: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- A_{x,y,z}; B_{x,y,z}; C_{x,y,z}; VR_{x,y,z}*: A, B, C are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters*: Assessed in flat phantom using E-field (or Temperature Transfer Standard for $f \leq 800$ MHz) and inside waveguide using analytical field distributions based on power measurements for $f > 800$ MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TS corresponds to *NORM_{x,y,z} * ConvF* whereby the uncertainty corresponds to that given for *ConvF*. A frequency dependent *ConvF* is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.
- Spherical isotropy (3D deviation from isotropy)*: in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset*: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.

ES3DV3 – SN:3203

October 24, 2012

Probe ES3DV3

SN:3203

Manufactured: July 1, 2008
Calibrated: October 24, 2012

Calibrated for DASY/EASY Systems
(Note: non-compatible with DASY2 system!)

DASY/EASY - Parameters of Probe: ES3DV3 - SN:3203

Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm ($\mu\text{V}/(\text{V}/\text{m})^2$) ^A	1.48	1.35	1.17	$\pm 10.1\%$
DCP (mV) ^B	97.4	98.4	100.6	

Modulation Calibration Parameters

UID	Communication System Name	PAR		A dB	B dB	C dB	VR mV	Unc ^E (k=2)
0	CW	0.00	X	0.0	0.0	1.0	165.4	$\pm 3.3\%$
			Y	0.0	0.0	1.0	162.0	
			Z	0.0	0.0	1.0	142.5	

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

^A The uncertainties of NormX,Y,Z do not affect the E²-field uncertainty inside TSL (see Pages 5 and 6).

^B Numerical linearization parameter: uncertainty not required.

^E Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

DASY/EASY - Parameters of Probe: ES3DV3 - SN:3203

Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) ^C	Relative Permittivity ^F	Conductivity (S/m) ^F	ConvF X	ConvF Y	ConvF Z	Alpha	Depth (mm)	Unct. (k=2)
900	41.5	0.97	6.17	6.17	6.17	0.22	2.35	± 12.0 %
1810	40.0	1.40	5.20	5.20	5.20	0.62	1.36	± 12.0 %
2450	39.2	1.80	4.48	4.48	4.48	0.62	1.54	± 12.0 %

^C Frequency validity of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

^F At frequencies below 3 GHz, the validity of tissue parameters (ϵ and σ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (ϵ and σ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

DASY/EASY - Parameters of Probe: ES3DV3 - SN:3203

Calibration Parameter Determined in Body Tissue Simulating Media

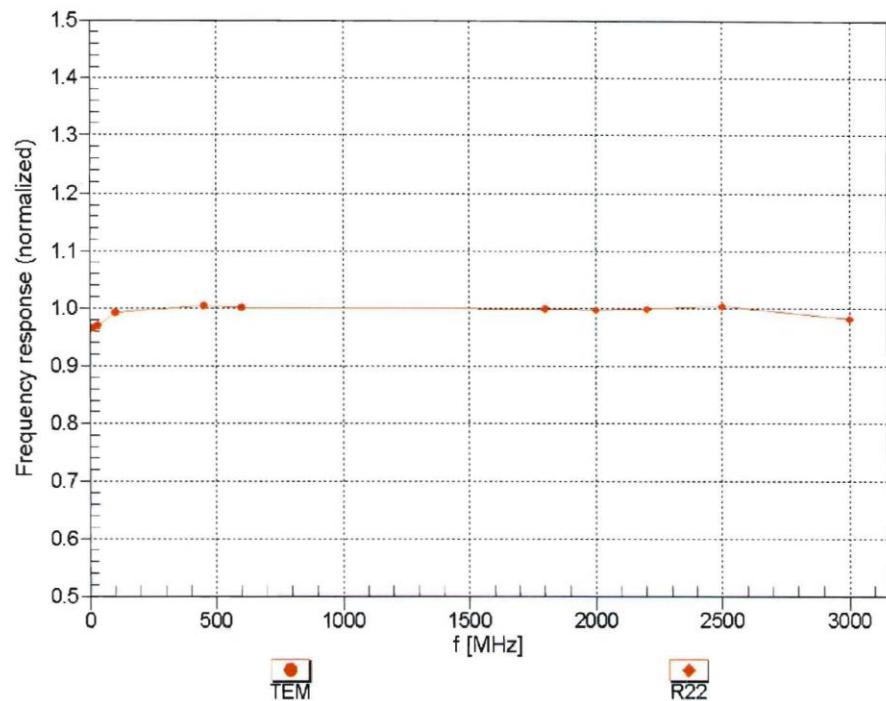
f (MHz) ^C	Relative Permittivity ^F	Conductivity (S/m) ^F	ConvF X	ConvF Y	ConvF Z	Alpha	Depth (mm)	Unct. (k=2)
450	56.7	0.94	7.35	7.35	7.35	0.08	1.20	± 13.4 %
900	55.0	1.05	6.19	6.19	6.19	0.26	2.17	± 12.0 %
1810	53.3	1.52	4.91	4.91	4.91	0.58	1.45	± 12.0 %
2450	52.7	1.95	4.22	4.22	4.22	0.80	1.18	± 12.0 %

^C Frequency validity of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

^F At frequencies below 3 GHz, the validity of tissue parameters (ϵ and σ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (ϵ and σ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

Frequency Response of E-Field

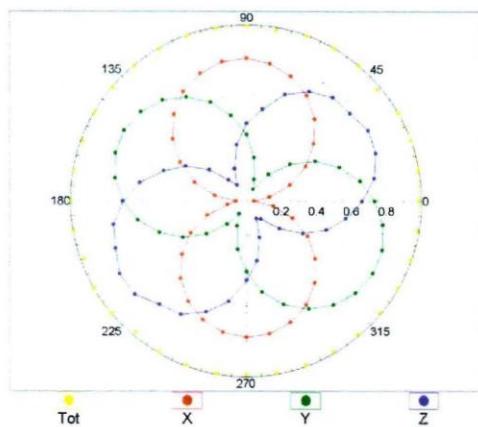
(TEM-Cell:ifi110 EXX, Waveguide: R22)



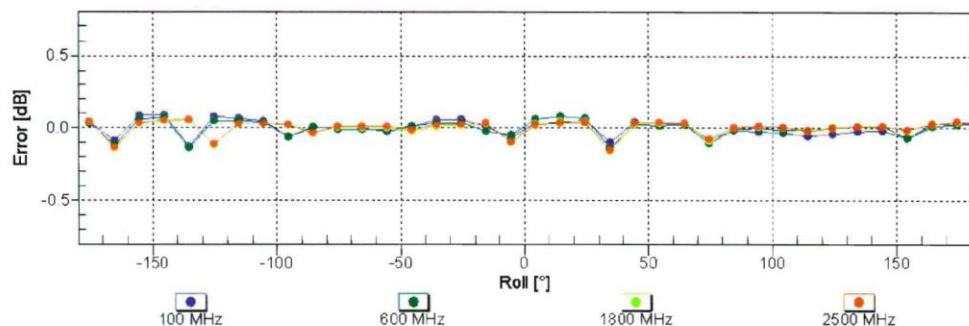
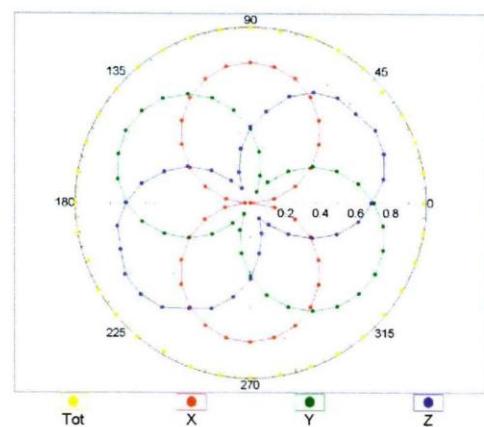
Uncertainty of Frequency Response of E-field: $\pm 6.3\% (k=2)$

Receiving Pattern (ϕ), $\theta = 0^\circ$

f=600 MHz, TEM

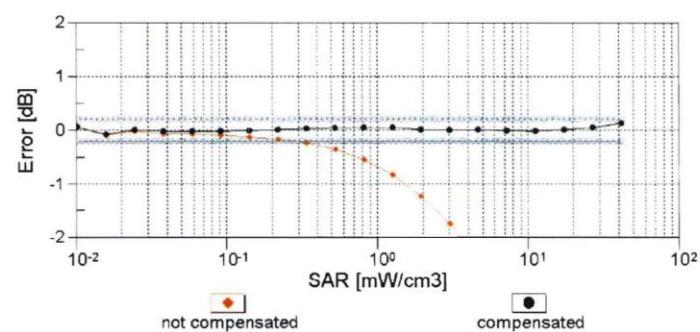
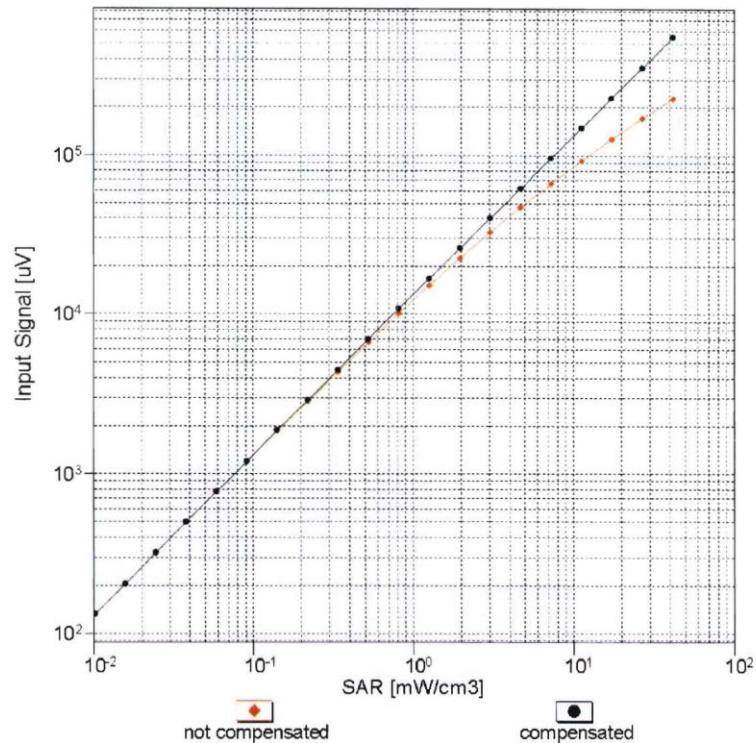


f=1800 MHz, R22



Uncertainty of Axial Isotropy Assessment: $\pm 0.5\%$ ($k=2$)

Dynamic Range f(SAR_{head}) (TEM cell , f = 900 MHz)



Uncertainty of Linearity Assessment: $\pm 0.6\%$ (k=2)

APPENDIX E: RELEVANT PAGES FROM DIPOLE VALIDATION KIT
REPORT(S)

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Schmid & Partner
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Accreditation No.: **SCS 108**

Client **SMQ (Auden)**

Certificate No: **D835V2-4d141_Sep12**

CALIBRATION CERTIFICATE

Object	D835V2 - SN: 4d141
Calibration procedure(s)	QA CAL-05.v8 Calibration procedure for dipole validation kits above 700 MHz
Calibration date:	September 24, 2012

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).
 The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature $(22 \pm 3)^\circ\text{C}$ and humidity $< 70\%$.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date (Certificate No.)	Scheduled Calibration
Power meter EPM-442A	GB37480704	05-Oct-11 (No. 217-01451)	Oct-12
Power sensor HP 8481A	US37292783	05-Oct-11 (No. 217-01451)	Oct-12
Reference 20 dB Attenuator	SN: 5058 (20k)	27-Mar-12 (No. 217-01530)	Apr-13
Type-N mismatch combination	SN: 5047.2 / 06327	27-Mar-12 (No. 217-01533)	Apr-13
Reference Probe ES3DV3	SN: 3205	30-Dec-11 (No. ES3-3205_Dec11)	Dec-12
DAE4	SN: 601	27-Jun-12 (No. DAE4-601_Jun12)	Jun-13

Secondary Standards	ID #	Check Date (in house)	Scheduled Check
Power sensor HP 8481A	MY41092317	18-Oct-02 (in house check Oct-11)	In house check: Oct-13
RF generator R&S SMT-06	100005	04-Aug-99 (in house check Oct-11)	In house check: Oct-13
Network Analyzer HP 8753E	US37390585 S4206	18-Oct-01 (in house check Oct-11)	In house check: Oct-12

Calibrated by:	Name	Function	Signature
	Israe El-Naouq	Laboratory Technician	
Approved by:	Katja Pokovic	Technical Manager	

Issued: September 24, 2012

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Certificate No: D835V2-4d141_Sep12

Page 1 of 8

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Accreditation No.: **SCS 108**

Glossary:

TSL	tissue simulating liquid
ConvF	sensitivity in TSL / NORM x,y,z
N/A	not applicable or not measured

Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2003, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", December 2003
- b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005
- c) Federal Communications Commission Office of Engineering & Technology (FCC OET), "Evaluating Compliance with FCC Guidelines for Human Exposure to Radiofrequency Electromagnetic Fields; Additional Information for Evaluating Compliance of Mobile and Portable Devices with FCC Limits for Human Exposure to Radiofrequency Emissions", Supplement C (Edition 01-01) to Bulletin 65

Additional Documentation:

- d) DASY4/5 System Handbook

Methods Applied and Interpretation of Parameters:

- *Measurement Conditions:* Further details are available from the Validation Report at the end of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- *Antenna Parameters with TSL:* The dipole is mounted with the spacer to position its feed point exactly below the center marking of the flat phantom section, with the arms oriented parallel to the body axis.
- *Feed Point Impedance and Return Loss:* These parameters are measured with the dipole positioned under the liquid filled phantom. The impedance stated is transformed from the measurement at the SMA connector to the feed point. The Return Loss ensures low reflected power. No uncertainty required.
- *Electrical Delay:* One-way delay between the SMA connector and the antenna feed point. No uncertainty required.
- *SAR measured:* SAR measured at the stated antenna input power.
- *SAR normalized:* SAR as measured, normalized to an input power of 1 W at the antenna connector.
- *SAR for nominal TSL parameters:* The measured TSL parameters are used to calculate the nominal SAR result.

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor $k=2$, which for a normal distribution corresponds to a coverage probability of approximately 95%.

Measurement Conditions

DASY system configuration, as far as not given on page 1.

DASY Version	DASY5	V52.8.2
Extrapolation	Advanced Extrapolation	
Phantom	Modular Flat Phantom	
Distance Dipole Center - TSL	15 mm	with Spacer
Zoom Scan Resolution	dx, dy, dz = 5 mm	
Frequency	835 MHz ± 1 MHz	

Head TSL parameters

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Head TSL parameters	22.0 °C	41.5	0.90 mho/m
Measured Head TSL parameters	(22.0 ± 0.2) °C	41.3 ± 6 %	0.90 mho/m ± 6 %
Head TSL temperature change during test	< 0.5 °C	----	----

SAR result with Head TSL

SAR averaged over 1 cm ³ (1 g) of Head TSL	Condition	
SAR measured	250 mW input power	2.34 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	9.35 mW /g ± 17.0 % (k=2)

SAR averaged over 10 cm ³ (10 g) of Head TSL	condition	
SAR measured	250 mW input power	1.53 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	6.12 mW /g ± 16.5 % (k=2)

Body TSL parameters

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Body TSL parameters	22.0 °C	55.2	0.97 mho/m
Measured Body TSL parameters	(22.0 ± 0.2) °C	53.2 ± 6 %	1.00 mho/m ± 6 %
Body TSL temperature change during test	< 0.5 °C	----	----

SAR result with Body TSL

SAR averaged over 1 cm ³ (1 g) of Body TSL	Condition	
SAR measured	250 mW input power	2.44 mW / g
SAR for nominal Body TSL parameters	normalized to 1W	9.46 mW /g ± 17.0 % (k=2)

SAR averaged over 10 cm ³ (10 g) of Body TSL	condition	
SAR measured	250 mW input power	1.60 mW / g
SAR for nominal Body TSL parameters	normalized to 1W	6.25 mW /g ± 16.5 % (k=2)