

January 14, 2020

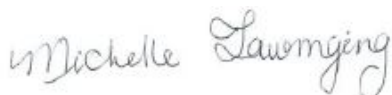
HID Global Corporation
6533 Flying Cloud Drive
Eden Prairie, MN 55344

Dear Robert Cresswell,

Enclosed is the EMC Wireless test report for compliance testing of the HID Global Corporation, Model: 40 as tested to the requirements of Title 47 of the CFR, Ch. 1 (10-1-06 ed.), Part 15 Subpart C for Intentional Radiators.

Thank you for using the services of Eurofins MET Labs, Inc. If you have any questions regarding these results or if MET can be of further service to you, please feel free to contact me.

Sincerely yours,
EUROFINS MET LABS, INC.

A handwritten signature in cursive script that reads "Michelle Tawmging".

Michelle Tawmging
Documentation Department

Reference: (\HID Global Corporation\EMCA104936-FCC209 SRD 40 Rev. 4)

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Electromagnetic Compatibility Criteria Test Report

for the

**HID Global Corporation
HID Signo Reader
Model: 40**

Tested under
the FCC Certification Rules
contained in
15.209 Subpart C for Intentional Radiators

MET Report: EMCA104936-FCC209 SRD 40 Rev. 4

January 14, 2020

Prepared For:

**HID Global Corporation
6533 Flying Cloud Drive
Eden Prairie, MN 55344**

Prepared By:
Eurofins MET Labs, Inc.
13501 McCallen Pass
Austin, TX 78753

**Electromagnetic Compatibility Criteria
Test Report**

for the

**HID Global Corporation
HID Signo Reader
Model: 40****Tested under**
the FCC Certification Rules
contained in
15.209 Subpart C for Intentional RadiatorsAdan Arab, Project Engineer
Electromagnetic Compatibility LabMichelle Tawmging
Documentation Department

Engineering Statement: The measurements shown in this report were made in accordance with the procedures indicated, and the emissions from this equipment were found to be within the limits applicable. I assume full responsibility for the accuracy and completeness of these measurements, and for the qualifications of all persons taking them. It is further stated that upon the basis of the measurements made, the equipment tested is capable of operation in accordance with the requirements of the FCC Rules Part 15.209 under normal use and maintenance.

Jonathan Tavira,
Manager, Electromagnetic Compatibility Lab

Report Status Sheet

Revision	Report Date	Reason for Revision
Ø	October 24, 2019	Initial Issue
1	October 29, 2019	Implemented Customer-Requested Revisions
2	December 24, 2019	Implemented TCB-Requested Revisions
3	January 10, 2020	Implemented TCB-Requested Revisions
4	January 14, 2020	Implemented TCB-Requested Revisions

Table of Contents

I.	Executive Summary	1
	A. Purpose of Test	2
	B. Executive Summary	2
II.	Equipment Configuration	3
	A. Overview.....	4
	B. References.....	5
	C. Test Site	5
	D. Measurement Uncertainty	5
	E. Description of Test Sample.....	5
	F. Equipment Configuration.....	6
	G. Support Equipment	6
	H. Ports and Cabling Information.....	7
	I. Mode of Operation.....	7
	J. Method of Monitoring EUT Operation.....	7
	K. Modifications	7
	a) Modifications to EUT.....	7
	b) Modifications to Test Standard.....	7
	L. Disposition of EUT	7
III.	Electromagnetic Compatibility Criteria for Intentional Radiators.....	8
	§ 15.203 Antenna Requirement	9
	§ 15.209(d) Radiated Spurious Emissions Requirements and Band Edge	10
	§ 15.207(a) Conducted Emissions Limits.....	20
IV.	Test Equipment	24
V.	Certification & User's Manual Information.....	26
	A. Certification Information	27
	B. Label and User's Manual Information	31

List of Figures

Figure 1: Executive Summary of EMC Part 15 Subpart C Compliance Testing.....	2
Figure 2: EUT Summary Table	4
Figure 3: References.....	5
Figure 4: Uncertainty Calculations Summary	5
Figure 5: Block Diagram of Test Configuration.....	6
Figure 6: Equipment List.....	6
Figure 7: Support Equipment	6
Figure 8: Ports and Cabling Information.....	7
Figure 9: Radiated Emissions Limits Calculated from FCC Part 15, § 15.209 (a).....	10
Figure 10: Radiated Emissions, Test Setup	10
Figure 11: Radiated Spurious Emissions, Pigtail, 0.009 MHz – 0.150 MHz, 0 Deg.....	12
Figure 12: Radiated Spurious Emissions, Pigtail, 0.009 MHz – 0.150 MHz, 90 Deg.....	13
Figure 13: Radiated Spurious Emissions, Pigtail, 0.150 MHz – 0.490 MHz, 0 Deg.....	13
Figure 14: Radiated Spurious Emissions, Pigtail, 0.150 MHz – 0.490 MHz, 90 Deg.....	14
Figure 15: Radiated Spurious Emissions, Pigtail, 0.490 MHz – 1.705 MHz, 0 Deg.....	14
Figure 16: Radiated Spurious Emissions, Pigtail, 0.490 MHz – 1.705 MHz, 90 Deg.....	15
Figure 17: Radiated Spurious Emissions, Terminal, 0.009 MHz – 0.150 MHz, 0 Deg.....	16
Figure 18: Radiated Spurious Emissions, Terminal, 0.009 MHz – 0.150 MHz, 90 Deg.....	17
Figure 19: Radiated Spurious Emissions, Terminal, 0.150 MHz – 0.490 MHz, 0 Deg.....	17
Figure 20: Radiated Spurious Emissions, Terminal, 0.150 MHz – 0.490 MHz, 90 Deg.....	18
Figure 21: Radiated Spurious Emissions, Terminal, 0.490 MHz – 1.705 MHz, 0 Deg.....	18
Figure 22: Radiated Spurious Emissions, Terminal, 0.490 MHz – 1.705 MHz, 90 Deg.....	19
Figure 23: Conducted Limits for Intentional Radiators from FCC Part 15 § 15.207(a).....	20
Figure 24: CEV Test Setup	21
Figure 25: Conducted Emissions Limits, Pigtail, Phase Line, Test Results	22
Figure 26: Conducted Emissions Limits, Pigtail, Phase Line, Prescan	22
Figure 27: Conducted Emissions Limits, Pigtail, Neutral Line, Test Results	23
Figure 28: Conducted Emissions Limits, Pigtail, Neutral Line, Prescan.....	23
Figure 29: Test Equipment List.....	25

List of Terms and Abbreviations

AC	Alternating Current
ACF	Antenna Correction Factor
Cal	Calibration
d	Measurement Distance
dB	Decibels
dBμA	Decibels above one microamp
dBμV	Decibels above one microvolt
dBμA/m	Decibels above one microamp per meter
dBμV/m	Decibels above one microvolt per meter
DC	Direct Current
E	Electric Field
DSL	Digital Subscriber Line
ESD	Electrostatic Discharge
EUT	Equipment Under Test
f	Frequency
FCC	Federal Communications Commission
GRP	Ground Reference Plane
H	Magnetic Field
HCP	Horizontal Coupling Plane
Hz	Hertz
IEC	International Electrotechnical Commission
kHz	kilohertz
kPa	kilopascal
kV	kilovolt
LISN	Line Impedance Stabilization Network
MHz	Megahertz
μH	microhenry
μ	microfarad
μs	microseconds
NEBS	Network Equipment-Building System
PRF	Pulse Repetition Frequency
RF	Radio Frequency
RMS	Root-Mean-Square
TWT	Traveling Wave Tube
V/m	Volts per meter
VCP	Vertical Coupling Plane

I. Executive Summary

A. Purpose of Test

An EMC evaluation was performed to determine compliance of the HID Global Corporation Model: 40, with the requirements of Part 15, §15.209. All references are to the most current version of Title 47 of the Code of Federal Regulations in effect. In accordance with §2.1033, the following data is presented in support of the Certification of the Model: 40. HID Global Corporation should retain a copy of this document which should be kept on file for at least two years after the manufacturing of the Model: 40, has been **permanently** discontinued.

B. Executive Summary

The following tests were conducted on a sample of the equipment for the purpose of demonstrating compliance with Part 15, §15.209, in accordance with HID Global Corporation, quote number 1HID1903R1. All tests were conducted using measurement procedure ANSI C63.10-2013.

FCC Reference 47 CFR Part 15 Subpart C	Description	Compliance Model: 40 Pigtail	Compliance Model: 40 Terminal
Part 15 §15.203	Antenna Requirement	Compliant	Compliant
Title 47 of the CFR, Part 15 §15.209	Radiated Spurious Emissions Requirements	Compliant	Compliant
Part 15 §15.207(a)	Conducted Emission Limits	Compliant	Compliant

Figure 1: Executive Summary of EMC Part 15 Subpart C Compliance Testing

II. Equipment Configuration

A. Overview

Eurofins MET Labs, Inc. was contracted by HID Global Corporation to perform testing on the Model: 40, under HID Global Corporation's quote number 1HID1903R1.

This document describes the test setups, test methods, required test equipment, and the test limit criteria used to perform compliance testing of the HID Global Corporation, Model: 40.

The results obtained relate only to the item(s) tested.

Models Tested:	40 Pigtail and Terminal	
Models Covered:	40	
EUT Specifications:	Primary Power: 12 VDC	
	FCC ID: JQ6-SIGNO40	
	Type of Modulations:	FSK
	Equipment Code:	DCD
	EUT Frequency Ranges:	125 kHz
	Antenna Type:	Inductive Coil
	Antenna Gain:	1 dBi
	Firmware Version:	R9.1.0.19
Analysis:	The results obtained relate to all model(s) covered.	
Environmental Test Conditions:	Temperature: 15-35° C	
	Relative Humidity: 30-60%	
	Barometric Pressure: 860-1060 mbar	
Evaluated by:	Jonathan Tavira and Adan Arab	
Report Date:	January 14, 2020	

Figure 2: EUT Summary Table

B. References

CFR 47, Part 15, Subpart C	Federal Communication Commission, Code of Federal Regulations, Title 47, Part 15: General Rules and Regulations, Allocation, Assignment, and Use of Radio Frequencies
ANSI C63.4:2014	Methods and Measurements of Radio-Noise Emissions from Low-Voltage Electrical And Electronic Equipment in the Range of 9 kHz to 40 GHz
ISO/IEC 17025:2017	General Requirements for the Competence of Testing and Calibration Laboratories
ANSI C63.10:2013	American National Standard for Testing Unlicensed Wireless Devices

Figure 3: References

C. Test Site

All testing was performed at Eurofins MET Labs, Inc., 13501 McCallen Pass, Austin, TX 78753. All equipment used in making physical determinations is accurate and bears recent traceability to the National Institute of Standards and Technology.

Radiated Emissions measurements were performed in a 10 meter semi-anechoic chamber (equivalent to an Open Area Test Site). In accordance with §2.948(a)(3), a complete site description is contained at Eurofins MET Labs.

D. Measurement Uncertainty

Test Method	Typical Expanded Uncertainty	K	Confidence Level
RF Frequencies	±4.52 Hz	2	95%
RF Power Conducted Emissions	±2.32 dB	2	95%
RF Power Conducted Spurious Emissions	±2.25 dB	2	95%
RF Power Radiated Emissions	±3.01 dB	2	95%

Figure 4: Uncertainty Calculations Summary

E. Description of Test Sample

The Model: 40, Equipment Under Test (EUT) is typically installed near doorway as part of physical access system, to control access to that door. A user will approach the door and present a BLE or RFID credentials to the leader with intention of entering the door. The reader will read the credential and send its data to a connected access control panel, which determine whether or not grant the user access to the door. Optionally, a personal identification number (PIN) may also be required, in which case the user will enter the PIN on the reader's keypad.

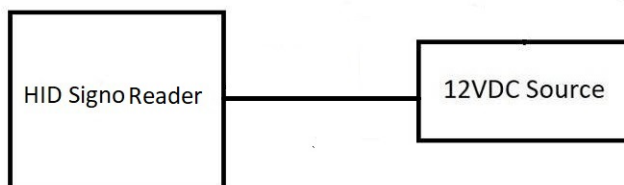


Figure 5: Block Diagram of Test Configuration

F. Equipment Configuration

The EUT was set up as outlined in Figure 5. All cards, racks, etc., incorporated as part of the EUT is included in the following list.

Ref. ID	Slot #	Name / Description	Model Number	Part Number	Serial Number	Rev. #
	N/A	HID Signo Reader	40	N/A	N/A	N/A

Figure 6: Equipment List

G. Support Equipment

Support equipment necessary for the operation and testing of the EUT is included in the following list.

Ref. ID	Slot #	Name / Description	Model Number	Part number	Serial Number	Rev. #
N/A	N/A	12VDC Source	DURACELL Battery	N/A	N/A	N/A
N/A	N/A	Tablet	Galaxy Tab 4	N/A	N/A	N/A

Figure 7: Support Equipment

H. Ports and Cabling Information

Ref. ID	Port name on EUT	Cable Description or reason for no cable	Qty	Length as tested (m)	Max Length(m)	Shielded? (Y/N)	Termination Box ID & Port Name
1	+VDC	Power	1	0.3	0.3	Y	
2	GND	Power	1	0.3	0.3	Y	
3	Data1	Data	1	0.3	0.3	Y	
4	Data0	Data	1	0.3	0.3	Y	
5	GLED	Data	1	0.3	0.3	Y	
6	RLY1	Data	1	0.3	0.3	Y	
7	RLY2	Data	1	0.3	0.3	Y	
8	RLED	Data	1	0.3	0.3	Y	
9	HOLD	Data	1	0.3	0.3	Y	
10	Beep	Data	1	0.3	0.3	Y	

Figure 8: Ports and Cabling Information

I. Mode of Operation

A 12V DC provided to the EUT, once booting process done and LED's on readers turn solid RED the unit is ready to be tested. An HF/LF credential were presented to the reader for a continuously operation. The unit will beep every few seconds and LED's flashing constantly means that the unit is reading and data is going back and forth between the credential and the reader. In order to operate the BT radio, an tablet was paired to the unit via BT link and signal information presented via the HID app to insure connection is constant.

J. Method of Monitoring EUT Operation

HF/LF: once the LED's presented a solid RED light the unit is ready to use. An HF/LF card presented to the reader, which cause the unit to beep every few second and the LED to flash.

BT: an tablet was paired via BT link with the HID app. BT signal data shown on tablet screen through the HID app to ensure link is alive and reader-tablet link is live.

K. Modifications

a) Modifications to EUT

No modifications were made to the EUT.

b) Modifications to Test Standard

No modifications were made to the test standard.

L. Disposition of EUT

The test sample including all support equipment submitted to the Electro-Magnetic Compatibility Lab for testing was returned to HID Global Corporation upon completion of testing.

III. Electromagnetic Compatibility Criteria for Intentional Radiators

Electromagnetic Compatibility Criteria for Intentional Radiators

§ 15.203 Antenna Requirement

Test Requirement: § 15.203: An intentional radiator shall be designed to ensure that no antenna other than that furnished by the responsible party shall be used with the device. The use of a permanently attached antenna or of an antenna that uses a unique coupling to the intentional radiator shall be considered sufficient to comply with the provisions of this section. The manufacturer may design the unit so that a broken antenna can be replaced by the user, but the use of a standard antenna jack or electrical connector is prohibited.

The structure and application of the EUT were analyzed to determine compliance with Section 15.203 of the Rules. Section 15.203 states that the subject device must meet at least one of the following criteria:

- a.) Antenna must be permanently attached to the unit.
- b.) Antenna must use a unique type of connector to attach to the EUT.
- c.) Unit must be professionally installed. Installer shall be responsible for verifying that the correct antenna is employed with the unit.

Model: 40 Pigtail

Test Results: The EUT as tested is compliant with the requirements of § 15.203 Antenna Requirement. The 40 Pigtail Card Reader implements a loop antenna that is permanently installed. Therefore, the HID Signo Pigtail Card Reader satisfies the all requirements under section 15.203.

Test Engineer: Jonathan Tavira

Test Date: September 17, 2019

Model: 40 Terminal

Test Results: The EUT as tested is compliant with the requirements of § 15.203 Antenna Requirement. The 40 Terminal Card Reader implements a loop antenna that is permanently installed. Therefore, the HID Signo Terminal Card Reader satisfies the all requirements under section 15.203.

Test Engineer: Jonathan Tavira

Test Date: September 17, 2019

Electromagnetic Compatibility Criteria for Intentional Radiators

§ 15.209(a) Radiated Spurious Emissions Requirements

Test Requirement(s): § 15.209 (a): Except as provided elsewhere in this subpart, the emissions from an intentional radiator shall not exceed the field strength levels specified in Figure 9:

Frequency (MHz)	§ 15.209(a), Radiated Emission Limits (μV/m)	Measurement Distance (meters)
0.009 – 0.490	2400/F [kHz]	300
0.490 – 1.705	24000/F [kHz]	30
1.705 – 30	30	30

Figure 9: Radiated Emissions Limits Calculated from FCC Part 15, § 15.209 (a)

Test Procedures: The transmitter was turned on. Measurements were performed at constant transmission. The EUT was rotated a full 360° and with the antenna at parallel and perpendicular polarities (Below 30 MHz). Plots shown are corrected for both antenna correction factor and distance and compared to the appropriate limit line defined in Figure 9.

The measurements made at 3m with the loop antenna were then extrapolated to 30m or 300 m using the following correction factors.

$$40\log(3/30) = -40 \text{ dB}$$

$$40\log(3/300) = -80 \text{ dB}$$

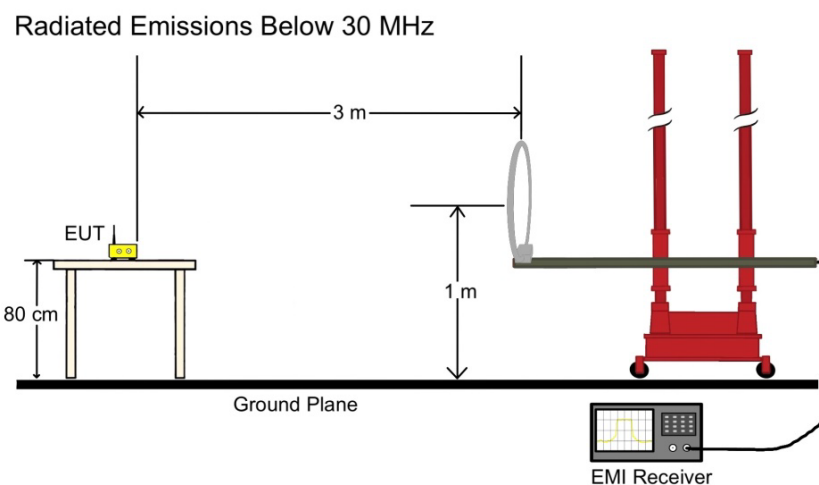


Figure 10: Radiated Emissions, Test Setup

Sample Calculation for Distance Correction factor (DCF) measurement:

$$F_d = 20 \cdot \log_{10} (D_m/D_s)$$

where:

F_d = Distance Factor in dB

D_m = Measurement Distance in meters

D_s = Specification Distance in meters

Sample formula for calculating the Corrected Data for the Radiated Emissions Measurements:

Frequency (MHz)	Antenna Polarity	EUT Azimuth (Degrees)	Antenna Height (cm)	Uncorrected Amplitude (dBμV/m)	ACF (dB/m) (+)	Pre Amp Gain + CBL (dB)(-)	DCF (dB) (+)	Corrected Amplitude (dBμV/m)	Limit (dBμV/m)	Margin (dB)
249.99	V	359.9	240.7	55.46	11.4	28.335	10.46	38.525	47	-8.475

$$\begin{aligned} \text{Corrected Amplitude (dB}\mu\text{V/m)} &= \text{Uncorrected Amplitude (dB}\mu\text{V/m)} + \text{ACF (dB/m)} - (\text{Preamp Gain (dB)} + \text{CBL (dB)} + \text{DCF (dB)})^{**} \\ &= 55.46 + 11.4 - 28.355 + 10.46 = \mathbf{38.525} \end{aligned}$$

** DCF Column represents the appropriate correction factor used when the measurement distance differs from the specification distance.

Model: 40 Pigtail

Test Results: The EUT was **compliant** with the Radiated Spurious Emission limits of § 15.209. The plots and points recorded are of the noise floor. According to § 15.201 (a), devices below 490 kHz and which all emissions are at least 20 dB below the § 15.209 limits shall be verified pursuant.

The transmitting frequency was verified by placing the EUT next to the measuring antenna to confirm it was constantly transmitting during testing.

Test Engineer: Jonathan Tavira

Test Date: August 7, 2019

Test Data, Model: 40 Pigtail

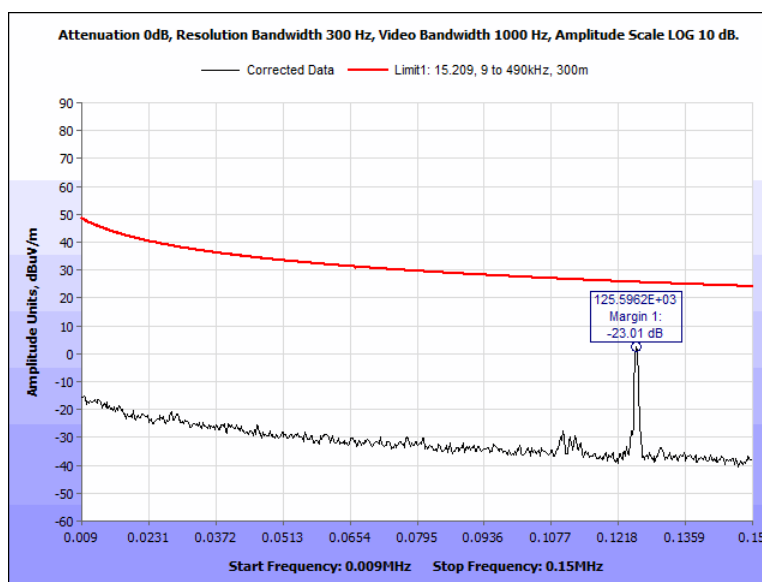


Figure 11: Radiated Spurious Emissions, Pigtail, 0.009 MHz – 0.150 MHz, 0 Deg

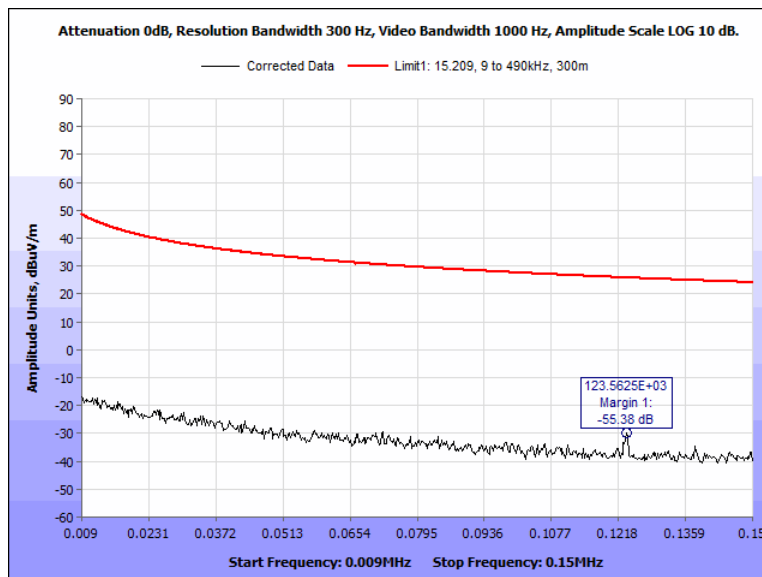


Figure 12: Radiated Spurious Emissions, Pigtail, 0.009 MHz – 0.150 MHz, 90 Deg

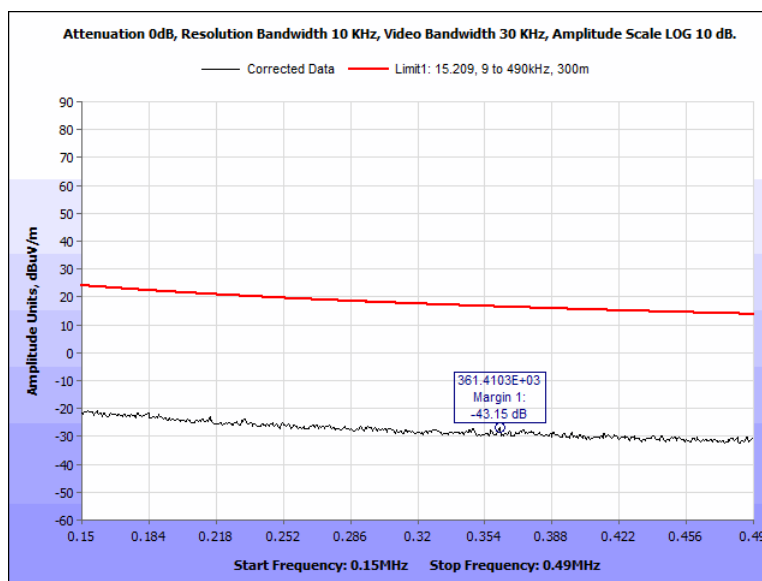


Figure 13: Radiated Spurious Emissions, Pigtail, 0.150 MHz – 0.490 MHz, 0 Deg

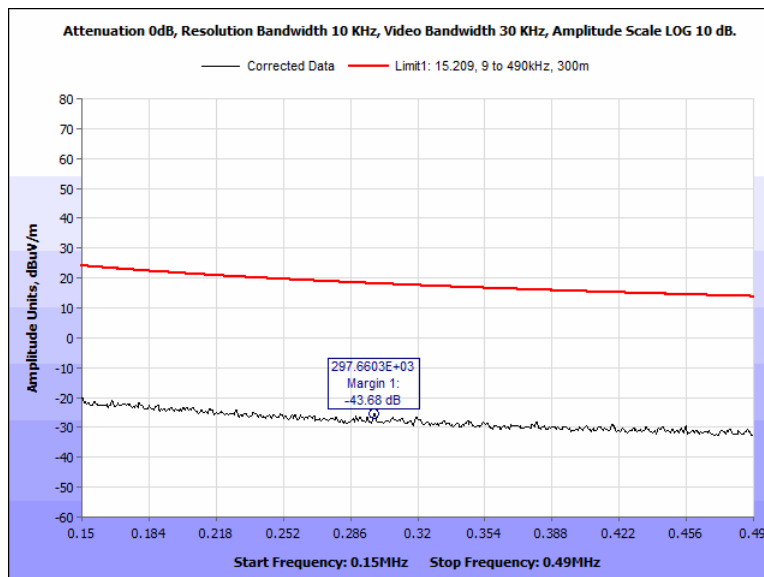


Figure 14: Radiated Spurious Emissions, Pigtail, 0.150 MHz – 0.490 MHz, 90 Deg

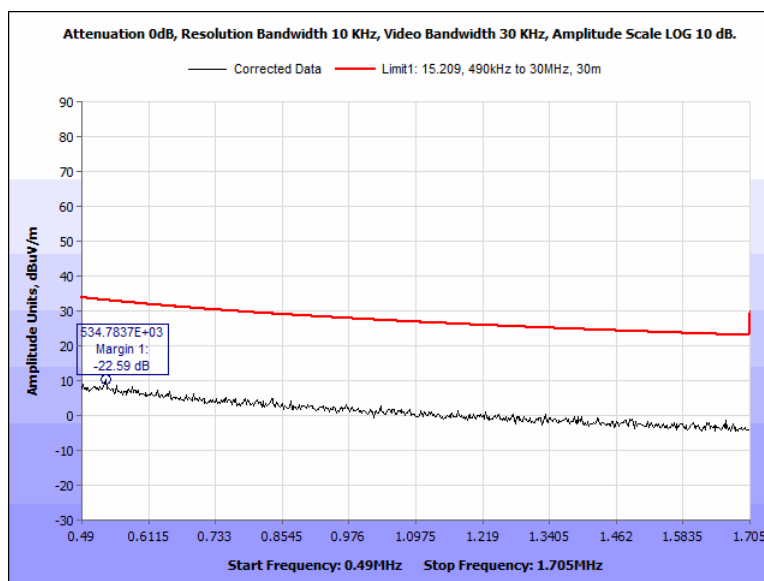


Figure 15: Radiated Spurious Emissions, Pigtail, 0.490 MHz – 1.705 MHz, 0 Deg

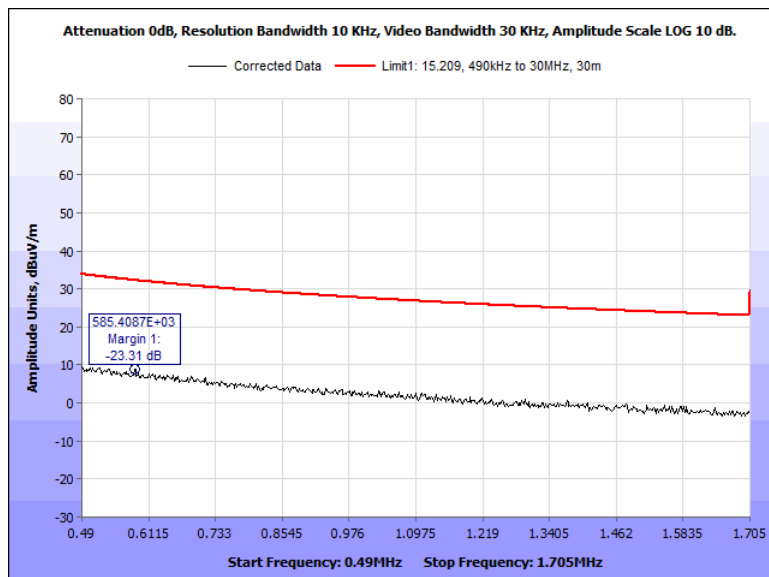


Figure 16: Radiated Spurious Emissions, Pigtail, 0.490 MHz – 1.705 MHz, 90 Deg

Model: 40 Terminal

Test Results:

The EUT was **compliant** with the Radiated Spurious Emission limits of § 15.209. The plots and points recorded are of the noise floor. According to § 15.201 (a), devices below 490 kHz and which all emissions are at least 40 dB below the § 15.209 limits shall be verified pursuant.

The transmitting frequency was verified by placing the EUT next to the measuring antenna to confirm it was constantly transmitting during testing.

Test Engineer:

Jonathan Tavira

Test Date:

August 12, 2019

Test Data, Model: 40 Terminal

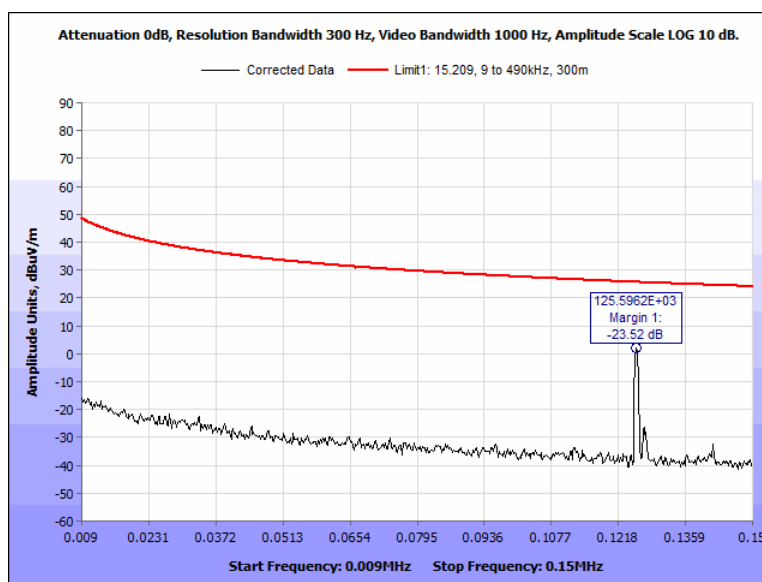


Figure 17: Radiated Spurious Emissions, Terminal, 0.009 MHz – 0.150 MHz, 0 Deg

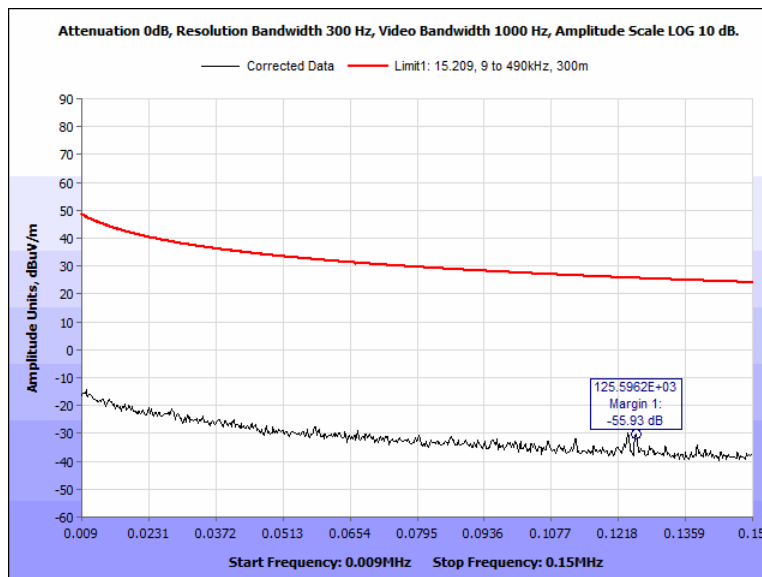


Figure 18: Radiated Spurious Emissions, Terminal, 0.009 MHz – 0.150 MHz, 90 Deg

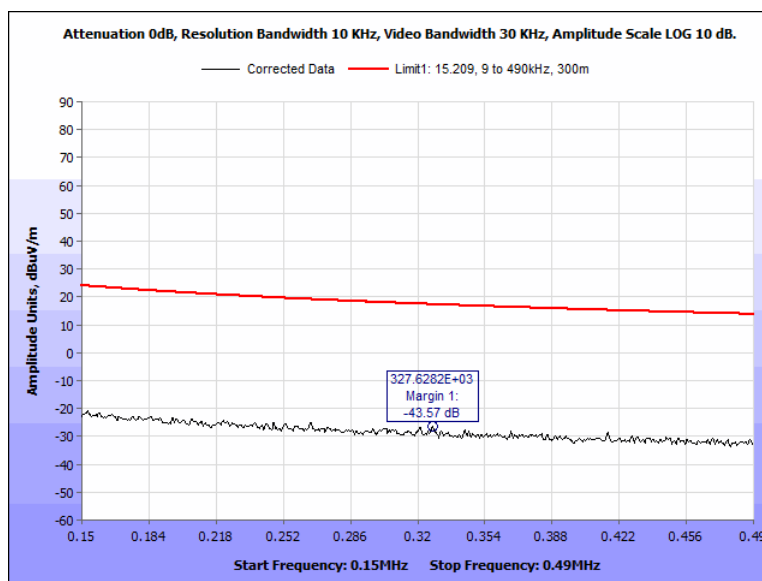


Figure 19: Radiated Spurious Emissions, Terminal, 0.150 MHz – 0.490 MHz, 0 Deg

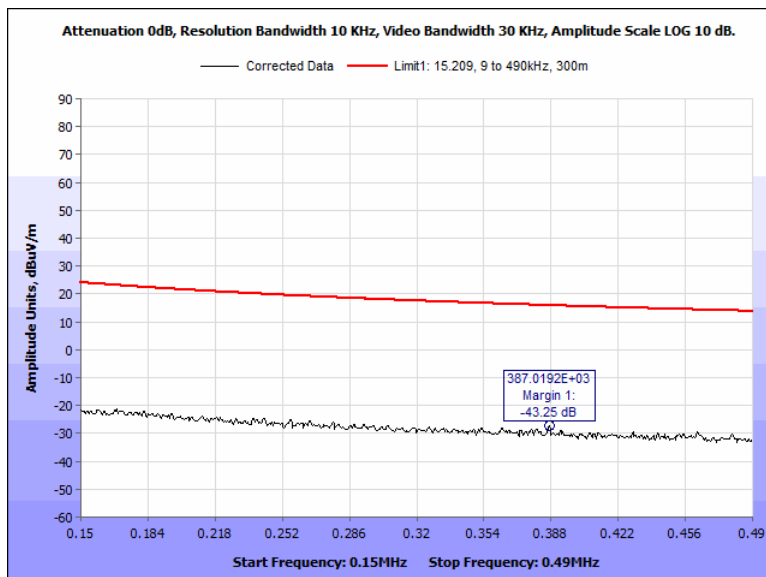


Figure 20: Radiated Spurious Emissions, Terminal, 0.150 MHz – 0.490 MHz, 90 Deg

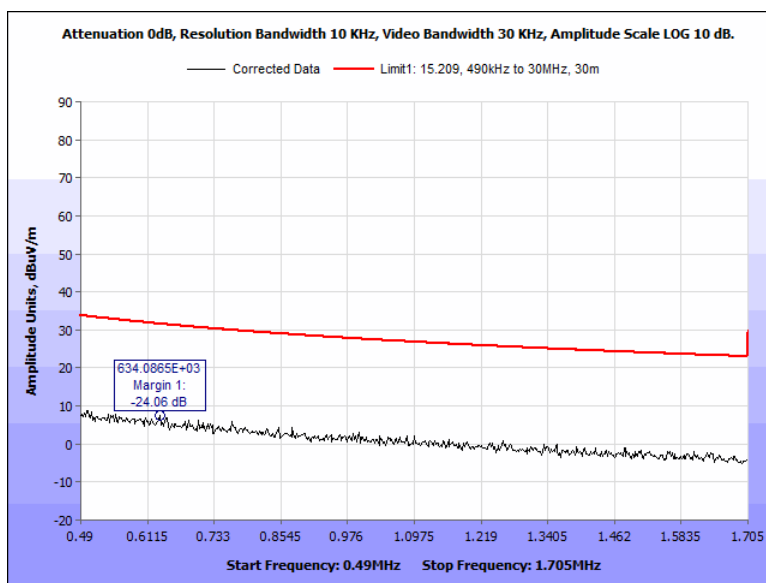


Figure 21: Radiated Spurious Emissions, Terminal, 0.490 MHz – 1.705 MHz, 0 Deg

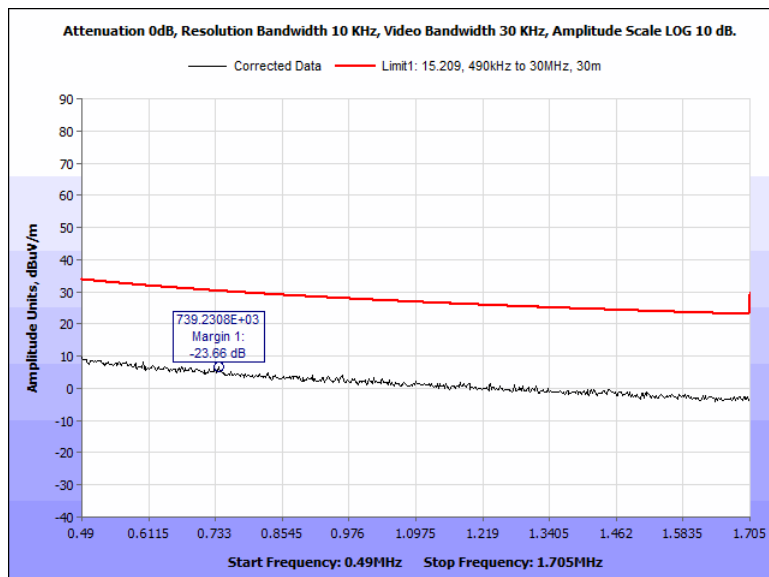


Figure 22: Radiated Spurious Emissions, Terminal, 0.490 MHz – 1.705 MHz, 90 Deg

Electromagnetic Compatibility Criteria for Intentional Radiators

§ 15.207(a) Conducted Emissions Limits

Test Requirement(s): § 15.207 (a): For an intentional radiator that is designed to be connected to the public utility (AC) power line, the radio frequency voltage that is conducted back onto the AC power line on any frequency or frequencies, within the band 150 kHz to 30MHz, shall not exceed the limits in the following table, as measured using a 50 μ H/50 Ω line impedance stabilization network (LISN). Compliance with the provisions of this paragraph shall be based on the measurement of the radio frequency voltage between each power line and ground at the power terminal. The lower limit applies at the boundary between the frequency ranges.

Frequency range (MHz)	§ 15.207(a), Conducted Limit (dB μ V)	
	Quasi-Peak	Average
* 0.15 - 0.5	66 - 56	56 - 46
0.5 - 5	56	46
5 - 30	60	50

Figure 23: Conducted Limits for Intentional Radiators from FCC Part 15 § 15.207(a)

Note: *Decreases with the logarithm of the frequency.

Test Procedure: The EUT was placed on a 0.8 m-high wooden table inside a screen room. The EUT was situated such that the back of the EUT was 0.4 m from one wall of the vertical ground plane, and the remaining sides of the EUT were no closer than 0.8 m from any other conductive surface. The EUT was powered from a 50 Ω /50 μ H Line Impedance Stabilization Network (LISN). The EMC receiver scanned the frequency range from 150 kHz to 30 MHz. Conducted Emissions measurements were made in accordance with ANSI C63.4-2014 "Methods and Measurements of Radio-Noise Emissions from Low-Voltage Electrical and Electronic Equipment in the Range of 9kHz to 40 GHz". The measurements were performed over the frequency range of 0.15 MHz to 30 MHz using a 50 Ω /50 μ H LISN as the input transducer to an EMC/field intensity meter. For the purpose of this testing, the transmitter was turned on. Scans were performed with the transmitter on.

Sample Calculation:

$$R_r - S = M$$

where:

- R_r = Receiver Reading in dB μ V
- S = Specification Limit in dB μ V
- M = Margin to Specification in +/- dB

Sample formula for calculating the Corrected Data for the Conducted Emissions Measurements:

Line	Freq (MHz)	Uncorrected QP** Amplitude (dB μ V)	LISN IL (dB)	CBL (dB)	Corrected QP** Amplitude (dB μ V)	QP** Limit (dB μ V)	Delta (dB)	Results
XYZ	0.18	42.65	10	0.58	53.23	79	-25.77	Pass

Corrected QP** Amplitude (dB μ V) = Uncorrected Amplitude (dB μ V) + LISN IL (dB) + CBL (dB) = 42.65 + 10 + 0.58 = 53.23

** Same Calculation applies to Corrected Avg. amplitude as well.

Conducted Emissions Voltage Test Setup

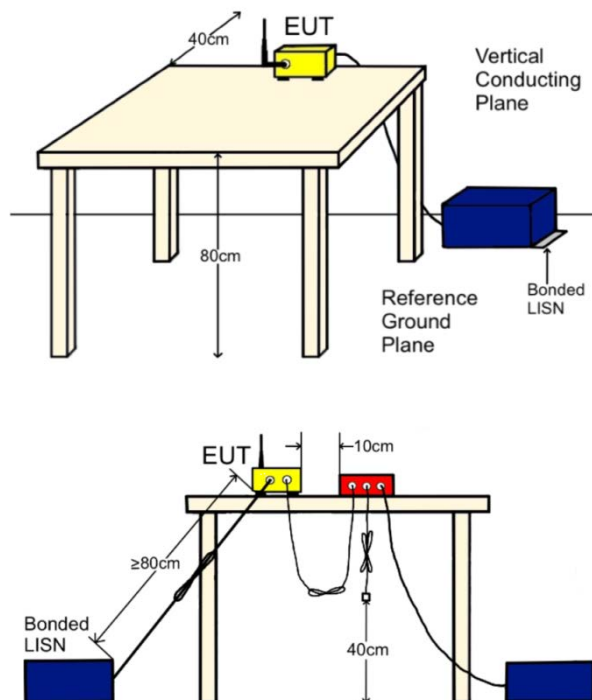


Figure 24: CEV Test Setup

Model: 40 Pigtail

Test Results: The EUT as tested is **compliant** with the requirements of § 15.207(a) Conducted Emissions Limits. Measured emissions were below applicable limits.

Test Engineer: Adan Arab

Test Date: August 9, 2019

Test Data, Model: 40 Pigtail

Meas. Location	Meas. mΩ	Limit	Pass/Fail
Bonding measurement from LISN ground to ground plane	0.895	< 2.5 mΩ	Pass

Line	Freq (MHz)	QP Amplitude	QP Limit	Delta	Pass	Average Amplitude	Average Limit	Delta	Pass
Line1_120VAC 60Hz	0.470	46.90	56.528	-9.63	Pass	37.30	46.529	-9.23	Pass
Line1_120VAC 60Hz	0.174	43.10	64.771	-21.67	Pass	33.80	54.771	-20.97	Pass
Line1_120VAC 60Hz	0.438	43.20	57.124	-13.92	Pass	31.80	47.124	-15.32	Pass
Line1_120VAC 60Hz	0.554	39.40	56.000	-16.60	Pass	28.00	46.000	-18.00	Pass
Line1_120VAC 60Hz	1.106	37.60	56.000	-18.40	Pass	26.40	46.000	-19.60	Pass
Line1_120VAC 60Hz	0.514	35.70	56.000	-20.30	Pass	23.10	46.000	-22.90	Pass

Figure 25: Conducted Emissions Limits, Pigtail, Phase Line, Test Results

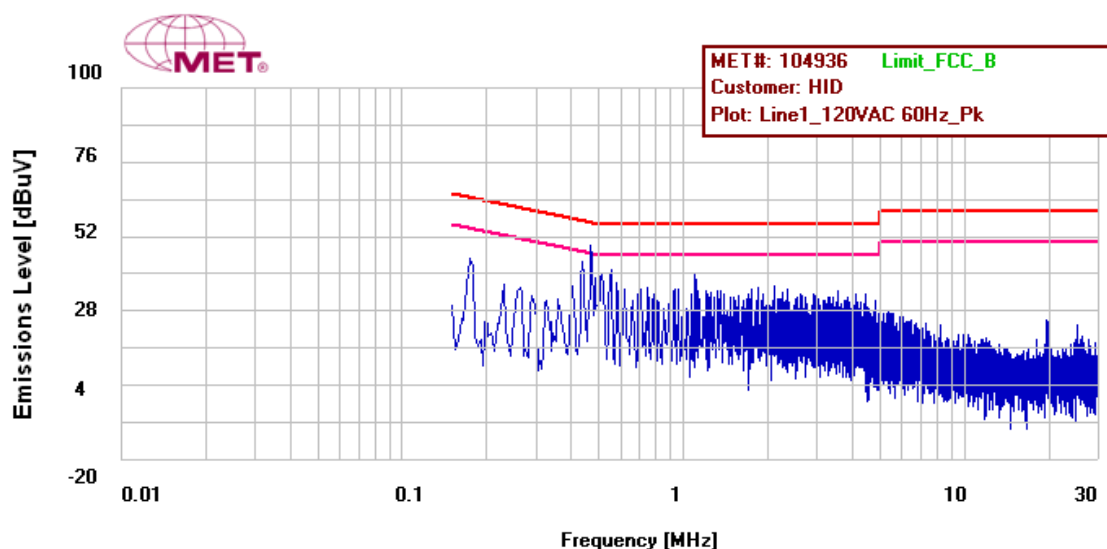


Figure 26: Conducted Emissions Limits, Pigtail, Phase Line, Prescan

Line	Freq (MHz)	QP Amplitude	QP Limit	Delta	Pass	Average Amplitude	Average Limit	Delta	Pass
Neutral_120VAC 60Hz	0.294	37.00	60.426	-23.43	Pass	25.30	50.426	-25.13	Pass
Neutral_120VAC 60Hz	0.818	35.70	56.000	-20.30	Pass	22.80	46.000	-23.20	Pass
Neutral_120VAC 60Hz	0.466	47.70	56.602	-8.90	Pass	35.30	46.602	-11.30	Pass
Neutral_120VAC 60Hz	0.438	38.30	57.124	-18.82	Pass	26.00	47.124	-21.12	Pass
Neutral_120VAC 60Hz	0.934	34.80	56.000	-21.20	Pass	21.90	46.000	-24.10	Pass
Neutral_120VAC 60Hz	0.410	35.40	57.671	-22.27	Pass	23.00	47.671	-24.67	Pass

Figure 27: Conducted Emissions Limits, Pigtail, Neutral Line, Test Results

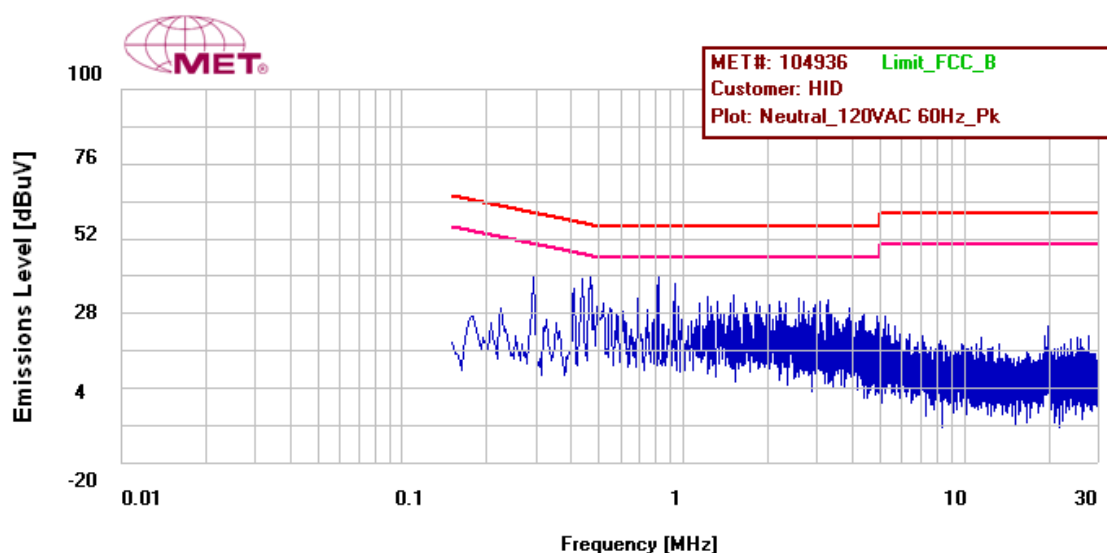


Figure 28: Conducted Emissions Limits, Pigtail, Neutral Line, Prescan

IV. Test Equipment

Test Equipment

Calibrated test equipment utilized during testing was maintained in a current state of calibration per the requirements of ISO/IEC 17025:2017.

MET ASSET #	EQUIPMENT	MANUFACTURER	MODEL	LAST CAL DATE	CAL DUE DATE
1A1083	TEST RECEIVER	ROHDE & SCHWARZ	ESU40	10/10/2019	10/10/2020
1A1176	ACTIVE LOOP ANTENNA	ETS-LINDGREN	6502	04/03/2019	04/03/2020
1A1106	10 M SEMI-ANECHOIC CHAMBER (NSA)	ETS - LINDGREN	04X07	12/18/2017	12/18/2019
1A1074	SYSTEM CONTROLLER	PANASONIC	WV-CU101	SEE NOTE	
1A1075	SYSTEM CONTROLLER	PANASONIC	WV-CU101	SEE NOTE	
1A1080	MULTI DEVICE CONTROLLER	ETS EMCO	2090	SEE NOTE	
1A1122	LISN	TESEQ	NNB 51	08/09/2019	08/09/2020
1A1065	EMI RECEIVER	ROHDE & SCHWARZ	SCI	05/01/2019	05/01/2020
1A1149	MILLIOHM METER	GW INSTRON	GOM-802	04/19/2019	04/19/2020

Figure 29: Test Equipment List

Note: Functionally tested equipment is verified using calibrated instrumentation at the time of testing.

V. Certification & User's Manual Information

Certification & User's Manual Information

A. Certification Information

The following is extracted from Title 47 of the Code of Federal Regulations, Part 2, Subpart I — Marketing of Radio frequency devices:

§ 2.801 Radio-frequency device defined.

As used in this part, a radio-frequency device is any device which in its operation is capable of Emitting radio-frequency energy by radiation, conduction, or other means. Radio- frequency devices include, but are not limited to:

- (a) The various types of radio communication transmitting devices described throughout this chapter.
- (b) *The incidental, unintentional and intentional radiators defined in Part 15 of this chapter.*
- (c) The industrial, scientific, and medical equipment described in Part 18 of this chapter.
- (d) Any part or component thereof which in use emits radio-frequency energy by radiation, conduction, or other means.

§ 2.803 Marketing of radio frequency devices prior to equipment authorization.

- (a) Except as provided elsewhere in this chapter, no person shall sell or lease, or offer for sale or lease (including advertising for sale or lease), or import, ship or distribute for the purpose of selling or leasing or offering for sale or lease, any radio frequency device unless:
 - (1) In the case of a device subject to certification, such device has been authorized by the Commission in accordance with the rules in this chapter and is properly identified and labeled as required by §2.925 and other relevant sections in this chapter; or
 - (2) In the case of a device that is not required to have a grant of equipment authorization issued by the Commission, but which must comply with the specified technical standards prior to use, such device also complies with all applicable administrative (including verification of the equipment or authorization under a Declaration of Conformity, where required), technical, labeling and identification requirements specified in this chapter.
- (d) Notwithstanding the provisions of paragraph (a) of this section, the offer for sale solely to business, commercial, industrial, scientific or medical users (but not an offer for sale to other parties or to end users located in a residential environment) of a radio frequency device that is in the conceptual, developmental, design or pre-production stage is permitted prior to equipment authorization or, for devices not subject to the equipment authorization requirements, prior to a determination of compliance with the applicable technical requirements *provided* that the prospective buyer is advised in writing at the time of the offer for sale that the equipment is subject to the FCC rules and that the equipment will comply with the appropriate rules before delivery to the buyer or to centers of distribution.

- (e)(1) Notwithstanding the provisions of paragraph (a) of this section, prior to equipment authorization or determination of compliance with the applicable technical requirements any radio frequency device may be operated, but not marketed, for the following purposes and under the following conditions:
- (i) *Compliance testing;*
 - (ii) Demonstrations at a trade show provided the notice contained in paragraph (c) of this section is displayed in a conspicuous location on, or immediately adjacent to, the device;
 - (iii) Demonstrations at an exhibition conducted at a business, commercial, industrial, scientific or medical location, but excluding locations in a residential environment, provided the notice contained in paragraphs (c) or (d) of this section, as appropriate, is displayed in a conspicuous location on, or immediately adjacent to, the device;
 - (iv) Evaluation of product performance and determination of customer acceptability, provided such operation takes place at the manufacturer's facilities during developmental, design or pre-production states; or
 - (v) Evaluation of product performance and determination of customer acceptability where customer acceptability of a radio frequency device cannot be determined at the manufacturer's facilities because of size or unique capability of the device, provided the device is operated at a business, commercial, industrial, scientific or medical user's site, but not at a residential site, during the development, design or pre-production stages.
- (e)(2) For the purpose of paragraphs (e)(1)(iv) and (e)(1)(v) of this section, the term *manufacturer's facilities* includes the facilities of the party responsible for compliance with the regulations and the manufacturer's premises, as well as the facilities of other entities working under the authorization of the responsible party in connection with the development and manufacture, but not the marketing, of the equipment.
- (f) For radio frequency devices subject to verification and sold solely to business, commercial, industrial, scientific and medical users (excluding products sold to other parties or for operation in a residential environment), parties responsible for verification of the devices shall have the option of ensuring compliance with the applicable technical specifications of this chapter at each end user's location after installation, provided that the purchase or lease agreement includes a proviso that such a determination of compliance be made and is the responsibility of the party responsible for verification of the equipment.

Certification & User's Manual Information

The following is extracted from Title 47 of the Code of Federal Regulations, Part 2, Subpart J — Equipment Authorization Procedures:

§ 2.901 Basis and Purpose

- (a) In order to carry out its responsibilities under the Communications Act and the various treaties and international regulations, and in order to promote efficient use of the radio spectrum, the Commission has developed technical standards for radio frequency equipment and parts or components thereof. The technical standards applicable to individual types of equipment are found in that part of the rules governing the service wherein the equipment is to be operated.¹ *In addition to the technical standards provided, the rules governing the service may require that such equipment be verified by the manufacturer or importer, be authorized under a Declaration of Conformity, or receive an equipment authorization from the Commission by one of the following procedures: certification or registration.*
- (b) The following sections describe the verification procedure, the procedure for a Declaration of Conformity, and the procedures to be followed in obtaining certification from the Commission and the conditions attendant to such a grant.

§ 2.907 Certification.

- (a) Certification is an equipment authorization issued by the Commission, based on representation and test data submitted by the applicant.
- (b) Certification attaches to all units subsequently marketed by the grantee which are identical (see Section 2.908) to the sample tested except for permissive changes or other variations authorized by the Commission pursuant to Section 2.1043.

¹ In this case, the equipment is subject to the rules of Part 15. More specifically, the equipment falls under Subpart B (of Part 15), which deals with unintentional radiators.

Certification & User's Manual Information

§ 2.948 Description of measurement facilities.

- (a) Each party making measurements of equipment that is subject to an equipment authorization under Part 15 or Part 18 of this chapter, regardless of whether the measurements are filed with the Commission or kept on file by the party responsible for compliance of equipment marketed within the U.S. or its possessions, shall compile a description of the measurement facilities employed.
 - (1) If the measured equipment is subject to the verification procedure, the description of the measurement facilities shall be retained by the party responsible for verification of the equipment.
 - (i) *If the equipment is verified through measurements performed by an independent laboratory, it is acceptable for the party responsible for verification of the equipment to rely upon the description of the measurement facilities retained by or placed on file with the Commission by that laboratory. In this situation, the party responsible for the verification of the equipment is not required to retain a duplicate copy of the description of the measurement facilities.*
 - (ii) If the equipment is verified based on measurements performed at the installation site of the equipment, no specific site calibration data is required. It is acceptable to retain the description of the measurement facilities at the site at which the measurements were performed.
 - (2) If the equipment is to be authorized by the Commission under the certification procedure, the description of the measurement facilities shall be filed with the Commission's Laboratory in Columbia, Maryland. The data describing the measurement facilities need only be filed once but must be updated as changes are made to the measurement facilities or as otherwise described in this section. At least every three years, the organization responsible for filing the data with the Commission shall certify that the data on file is current.

Certification & User's Manual Information

1. Label and User's Manual Information

The following is extracted from Title 47 of the Code of Federal Regulations, Part 15, Subpart A — General:

§ 15.19 Labeling requirements.

(a) *In addition to the requirements in Part 2 of this chapter, a device subject to certification or verification shall be labeled as follows:*

- (1) Receivers associated with the operation of a licensed radio service, e.g., FM broadcast under Part 73 of this chapter, land mobile operation under Part 90, etc., shall bear the following statement in a conspicuous location on the device:

This device complies with Part 15 of the FCC Rules. Operation is subject to the condition that this device does not cause harmful interference.

- (2) A stand-alone cable input selector switch, shall bear the following statement in a conspicuous location on the device:

This device is verified to comply with Part 15 of the FCC Rules for use with cable television service.

- (3) All other devices shall bear the following statement in a conspicuous location on the device:

This device complies with Part 15 of the FCC Rules. Operation is subject to the following two conditions: (1) This device may not cause harmful interference, and (2) this device must accept any interference received, including interference that may cause undesired operation.

- (4) Where a device is constructed in two or more sections connected by wires and marketed together, the statement specified under paragraph (a) of this section is required to be affixed only to the main control unit.

- (5) When the device is so small or for such use that it is not practicable to place the statement specified under paragraph (a) of this section on it, the information required by this paragraph shall be placed in a prominent location in the instruction manual or pamphlet supplied to the user or, alternatively, shall be placed on the container in which the device is marketed. However, the FCC identifier or the unique identifier, as appropriate, must be displayed on the device.

§ 15.21 Information to user.

The user's manual or instruction manual for an intentional or unintentional radiator shall caution the user that changes or modifications not expressly approved by the party responsible for compliance could void the user's authority to operate the equipment.

Verification & User's Manual Information

The following is extracted from Title 47 of the Code of Federal Regulations, Part 15, Subpart B — Unintentional Radiators:

§ 15.105 Information to the user.

- (a) For a Class A digital device or peripheral, the instructions furnished the user shall include the following or similar statement, placed in a prominent location in the text of the manual:

Note: This equipment has been tested and found to comply with the limits for a Class A digital device, pursuant to Part 15 of the FCC Rules. These limits are designed to provide reasonable protection against harmful interference when the equipment is operated in a commercial environment. This equipment generates, uses, and can radiate radio frequency energy and, if not installed and used in accordance with the instruction manual, may cause harmful interference to radio communications. Operation of this equipment in a residential area is likely to cause harmful interference in which case the user will be required to correct the interference at own expense.

- (b) For a Class B digital device or peripheral, the instructions furnished the user shall include the following or similar statement, placed in a prominent location in the text of the manual:

Note: This equipment has been tested and found to comply with the limits for a Class B digital device, pursuant to Part 15 of the FCC Rules. These limits are designed to provide reasonable protection against harmful interference when the equipment is operated in a residential environment. This equipment generates, uses, and can radiate radio frequency energy and, if not installed and used in accordance with the instruction manual, may cause harmful interference to radio communications. However, there is no guarantee that interference will not occur in a particular installation. If this equipment does cause harmful interference to radio or television reception, which can be determined by turning the equipment off and on, the user is encouraged to try to correct the interference by one or more of the following measures:

- Reorient or relocate the receiving antenna.
- Increase the separation between the equipment and receiver.
- Connect the equipment into an outlet on a circuit different from that to which the receiver is connected.
- Consult the dealer or an experienced radio/TV technician for help.

End of Report