

## 5 FCC §2.1091, FCC §15.407(f) & ISED RSS-102 – RF Exposure

### 5.1 Applicable Standards

According to FCC KDB 447498 D04 Interim General RF Exposure Guidance v01, Section 2.1 RF Exposure Test Exemptions for Single Source,

#### 2.1.1 General RF Exposure Test Exemption Considerations

RF exposure test exemptions provide means to obtain certification without the need of showing data (measurements, or analytical/numerical modeling) to demonstrate compliance. Hereafter, in this context, an RF source is referred to as “exempt RF device” in the sense that it is not required to show data demonstrating compliance to RF exposure limits.

Test exemptions apply for devices used in general population/uncontrolled exposure environments, according to the SAR-based, or MPE-based exemption thresholds.<sup>8</sup> However, it is always possible, especially when the potential for exposure cannot be easily determined, that an RF exposure evaluation may become required according §§ 1.1307(c) and (d).

As detailed in Section 2.1.2, the 1 mW and SAR-based test exemption conditions are in terms of source-based available maximum time-averaged (matched conducted) output power for all operating configurations, adjusted for tune-up tolerance, and at the minimum test separation distance required for the particular RF exposure scenario under consideration. This minimum test separation distance is determined by the smallest distance from the antenna and radiating structures or outer surface of the device, according to the host form factor, exposure conditions and platform requirements, to any part of the body or extremity of a user or bystander.

To qualify for SAR test exemption, the test separation distances applied must be fully explained and justified (typically in the SAR measurement, or SAR analysis report, according to KDB Pub. 865664) by showing the actual operating configurations and exposure conditions of the transmitter, and applicable host platform requirements (e.g., KDB Pubs. 648474, 616217, 941225)

When no other RF exposure testing or reporting is required, a statement of justification and compliance must be included in the equipment approval, in lieu of the SAR report, to qualify for SAR test exemption.

If RF exposure testing requirements for a specific device are covered in a KDB Publication, those requirements must be satisfied before applying any SAR test exemption provisions. For example, this is the case for handheld PTT two-way radios, handsets, laptops, and tablets, etc.<sup>9</sup>

Finally, when 10-g extremity SAR applies, SAR test exemption may be considered by applying a factor of 2.5 to the SAR-based exemption thresholds.

#### 2.1.2 1-mW Test Exemption

Per §1.1307(b)(3)(i)(A), a single RF source is exempt RF device (from the requirement to show data demonstrating compliance to RF exposure limits, as previously mentioned) if the available maximum time-averaged power is no more than 1 mW, regardless of separation distance.

This exemption applies to all operating configurations and exposure conditions, for the frequency range 100 kHz to 100 GHz, regardless of fixed, mobile, or portable device exposure conditions. This is a standalone exemption, and it cannot be applied in conjunction with any other test exemption.

### 2.1.3 SAR-Based Exemption

A more comprehensive exemption, considering a variable power threshold that depends on both the separation distance and power, is provided in §1.1307(b)(3)(ii)(B). This exemption is applicable to the frequency range between 300 MHz and 6 GHz, with test separation distances between 0.5 cm and 40 cm, and for all RF sources in fixed, mobile, and portable device exposure conditions.

Accordingly, a RF source is considered an RF exempt device if its available maximum time-averaged (matched conducted) power or its effective radiated power (ERP), whichever is greater, are below a specified threshold. This exemption threshold was derived based on general population 1-g SAR requirements and is detailed in Appendix C.

### 2.1.4 MPE-Based Exemption

An alternative to the SAR-based exemption is provided in §1.1307(b)(3)(ii)(C), for a much wider frequency range, from 300 kHz to 100 GHz, applicable for separation distances greater or equal to  $\lambda/2\pi$ , where  $\lambda$  is the free-space operating wavelength in meters. The MPE-based test exemption condition is in terms of ERP, defined as the

8 Specific test exemption thresholds for operations under occupational/controlled limits are not established.

9 When SAR evaluation is required by the hotspot mode or UMPC mini-tablet procedures, that is, where an antenna is  $\leq 2.5$  cm from a surface or edge, the test separation distance from the phantom to the antenna or device enclosure, as appropriate, should be applied to determine SAR test exemption for such configurations, according to the criteria in this document. For that case, the test separation distance cannot be determined from the distance of the antenna to the device surface or edge.

According to ISED RSS-102 Issue 6 Section 6.3: SAR exemption limits for routine evaluations

Devices operating at or below the applicable output power levels (adjusted for tune-up tolerance) specified in table 11, based on the separation distance, are exempt from SAR evaluation. The separation distance, defined as the distance between the user and/or bystander and the antenna and/or radiating element of the device or the outer surface of the device, shall be less than or equal to 20 cm for these exemption limits to apply.

Table 11: Power limits for exemption from routine SAR evaluation based on the separation distance

| Frequency (MHz) | Exemption Limits (mW)                 |                                 |                                 |                                 |                                 |
|-----------------|---------------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|
|                 | At separation distance of $\leq 5$ mm | At separation distance of 10 mm | At separation distance of 15 mm | At separation distance of 20 mm | At separation distance of 25 mm |
| ≤300            | 45                                    | 116                             | 139                             | 163                             | 189                             |
| 450             | 32                                    | 71                              | 87                              | 104                             | 124                             |
| 835             | 21                                    | 32                              | 41                              | 54                              | 72                              |
| 1900            | 6                                     | 10                              | 18                              | 33                              | 57                              |
| 2450            | 3                                     | 7                               | 16                              | 32                              | 56                              |
| 3500            | 2                                     | 6                               | 15                              | 29                              | 50                              |
| 5800            | 1                                     | 5                               | 13                              | 23                              | 32                              |

| Frequency (MHz) | Exemption Limits (mW)           |                                 |                                 |                                 |  |
|-----------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|--|
|                 | At separation distance of 30 mm | At separation distance of 35 mm | At separation distance of 40 mm | At separation distance of 45 mm | At separation distance of $\geq 50$ mm |
| $\leq 300$      | 216                             | 246                             | 280                             | 319                             | 362                                    |
| 450             | 147                             | 175                             | 208                             | 248                             | 296                                    |
| 835             | 96                              | 129                             | 172                             | 228                             | 298                                    |
| 1900            | 92                              | 138                             | 194                             | 257                             | 323                                    |
| 2450            | 89                              | 128                             | 170                             | 209                             | 245                                    |
| 3500            | 72                              | 94                              | 114                             | 134                             | 158                                    |
| 5800            | 41                              | 54                              | 74                              | 102                             | 128                                    |

The exemption limits in table 11 Table 11 are based on measurements and simulations of half-wave dipole antennas at separation distances of 5 mm to 50 mm from a flat phantom, which provides a SAR value of approximately 0.4 W/kg for 1 g of tissue.

For limb-worn devices where the 10 gram of tissue applies, the exemption limits for routine evaluation in table 11 are multiplied by a factor of 2.5.

For controlled-use devices where the 8 W/kg for 1 gram of tissue applies, the exemption limits for routine evaluation in table 11 Table 11 are multiplied by a factor of 5.

When the operating frequency of the device is between two frequencies located in table 11, linear interpolation shall be applied for the applicable separation distance. If the separation distance of the device is between two distances located in table 11, linear interpolation may be applied for the applicable frequency. Alternatively, the limit corresponding to the smaller distance may be employed. For example, in case of a 7 mm separation distance, either use the exception value for a 5 mm separation distance or interpolate between the limits corresponding to 5 mm and 10 mm separation distances.

For implanted medical devices, the exemption limit for routine SAR evaluation is set at an output power of 1 mW, regardless of frequency.

The SAR levels from exempted transmitters shall be included in the compliance assessment and the determination of the TER. Detailed guidance is included in sections 7.1.8 and 8.2.2.1.

## 5.2 FCC RF Exposure Exemption Evaluation Procedures

According to FCC KDB 447498 D04 Interim General RF Exposure Guidance v01, Annex B Exemptions for Single Source,

### B.1 General

This appendix provides the exemption criteria and summarizes relevant parameters and usage considerations based on descriptions in FCC 19-126.

### B.2 Blanket 1 mW Blanket Exemption

The 1 mW Blanket Exemption of § 1.1307(b)(3)(i)(A) applies for single fixed, mobile, and portable RF sources with available maximum time-averaged power of no more than 1 mW, regardless of separation distance. The 1 mW blanket exemption applies at separation distances less than 0.5 cm, including where there is no separation. This exemption shall not be used in conjunction with other exemption criteria other than those for multiple RF sources in paragraph § 1.1307(b)(3)(ii)(A). The 1 mW exemption is independent of service type and covers the full range of 100 kHz to 100 GHz, but it shall not be used in conjunction with other exemption criteria or in devices with higher-power transmitters operating in the same time-averaging period. Exposure from such higher-power transmitters would invalidate the underlying assumption that exposure from the lower-power transmitter is the only contributor to SAR in the relevant volume of tissue.

### B.3 MPE-based Exemption

General frequency and separation-distance dependent MPE-based effective radiated power (ERP) thresholds are in Table B.1 [Table 1 of § 1.1307(b)(1)(i)(C)] to support an exemption from further evaluation from 300 kHz through 100 GHz.

Table B.1 – THRESHOLD FOR SINGLE RF SOURCE SUBJECT TO ROUTINE ENVIRONMENTAL EVALUATION

| RF Source |   |           | Minimum Distance |   |                  | Threshold ERP   |
|-----------|---|-----------|------------------|---|------------------|-----------------|
| $f_L$ MHz |   | $f_H$ MHz | $\lambda_L/2\pi$ |   | $\lambda_H/2\pi$ | W               |
| 0.3       | - | 1.34      | 159 m            | - | 35.6 m           | 1,920 $R^2$     |
| 1.34      | - | 30        | 35.6 m           | - | 1.6 m            | 3,450 $R^2/f^2$ |
| 30        | - | 300       | 1.6 m            | - | 159 mm           | 3.83 $R^2$      |
| 300       | - | 1,500     | 159 mm           | - | 31.8 mm          | 0.0128 $R^2/f$  |
| 1,500     | - | 100,000   | 31.8 mm          | - | 0.5 mm           | 19.2 $R^2$      |

Subscripts L and H are low and high;  $\lambda$  is wavelength.  
From § 1.1307(b)(3)(i)(C), modified by adding Minimum Distance columns.

The table applies to any RF source (i.e., single fixed, mobile, and portable transmitters) and specifies power and distance criteria for each of the five frequency ranges used for the MPE limits. These criteria apply at separation distances from any part of the radiating structure of at least  $\lambda/2\pi$ . The thresholds are based on the general population MPE limits with a single perfect reflection, outside of the reactive near-field, and in the main beam of the radiator.

For mobile devices that are not exempt per Table B.1 [Table 1 of § 1.1307(b)(1)(i)(C)] at distances from 20 cm to 40 cm and in 0.3 GHz to 6 GHz, evaluation of compliance with the exposure limits in § 1.1310 is necessary if

the ERP of the device is greater than ERP<sub>20 cm</sub> in Formula (B.1) [repeated from § 2.1091(c)(1) and § 1.1307(b)(1)(i)(B)].

$$\begin{aligned} P_{th} (\text{mW}) &= ERP_{20 \text{ cm}} (\text{mW}) = 2040f \quad 0.3 \text{ GHz} \leq f < 1.5 \text{ GHz} \\ P_{th} (\text{mW}) &= ERP_{20 \text{ cm}} (\text{mW}) = 3060 \quad 1.5 \text{ GHz} \leq f \leq 6 \text{ GHz} \end{aligned} \quad (\text{B.1})$$

If the ERP is not easily obtained, then the available maximum time-averaged power may be used (i.e., without consideration of ERP only if the physical dimensions of the radiating structure(s) do not exceed the electrical length of  $\lambda/4$  or if the antenna gain is less than that of a half-wave dipole).

SAR-based exemptions are constant at separation distances between 20 cm and 40 cm to avoid discontinuities in the threshold when transitioning between SAR-based and MPE-based exemption criteria at 40 cm, considering the importance of reflections.

#### B.4 SAR-based Exemption

SAR-based thresholds are derived based on frequency, power, and separation distance of the RF source. The formula defines the thresholds in general for either available maximum time-averaged power or maximum time-averaged ERP, whichever is greater.

If the ERP of a device is not easily determined, such as for a portable device with a small form factor, the applicant may use the available maximum time-averaged power exclusively if the device antenna or radiating structure does not exceed an electrical length of  $\lambda/4$ .

As for devices with antennas of length greater than  $\lambda/4$  where the gain is not well defined, but always less than that of a half-wave dipole (length  $\lambda/2$ ), the available maximum time-averaged power generated by the device may be used in place of the maximum time-averaged ERP, where that value is not known.

The separation distance is the smallest distance from any part of the antenna or radiating structure for all persons, during operation at the applicable ERP. In the case of mobile or portable devices, the separation distance is from the outer housing of the device where it is closest to the antenna.

The SAR-based exemption formula of § 1.1307(b)(3)(i)(B), repeated here as Formula (B.2), applies for single fixed, mobile, and portable RF sources with available maximum time-averaged power or effective radiated power (ERP), whichever is greater, of less than or equal to the threshold  $P_{th}$  (mW).

This method shall only be used at separation distances from 0.5 cm to 40 cm and at frequencies from 0.3 GHz to 6 GHz (inclusive).  $P_{th}$  is given by Formula (B.2).

$$\begin{aligned} P_{th} (\text{mW}) &= ERP_{20 \text{ cm}} (d/20 \text{ cm})^x \quad d \leq 20 \text{ cm} \\ P_{th} (\text{mW}) &= ERP_{20 \text{ cm}} \quad 20 \text{ cm} < d \leq 40 \text{ cm} \end{aligned} \quad (\text{B.2})$$

Where

$$x = -\log_{10} (60/(ERP_{20 \text{ cm}} \sqrt{f}))$$

and  $f$  is in GHz,  $d$  is the separation distance (cm), and  $ERP_{20 \text{ cm}}$  is per Formula (B.1).

The example values shown in Table B.2 are for illustration only.

Table B.2 – Example Power Thresholds (mW)

| Frequency<br>(MHz) | Distance (mm) |    |    |     |     |     |     |     |     |     |
|--------------------|---------------|----|----|-----|-----|-----|-----|-----|-----|-----|
|                    |               | 5  | 10 | 15  | 20  | 25  | 30  | 35  | 40  | 45  |
| 300                | 39            | 65 | 88 | 110 | 129 | 148 | 166 | 184 | 201 | 217 |
| 450                | 22            | 44 | 67 | 89  | 112 | 135 | 158 | 180 | 203 | 226 |
| 835                | 9             | 25 | 44 | 66  | 90  | 116 | 145 | 175 | 207 | 240 |
| 1900               | 3             | 12 | 26 | 44  | 66  | 92  | 122 | 157 | 195 | 236 |
| 2450               | 3             | 10 | 22 | 38  | 59  | 83  | 111 | 143 | 179 | 219 |
| 3600               | 2             | 8  | 18 | 32  | 49  | 71  | 96  | 125 | 158 | 195 |
| 5800               | 1             | 6  | 14 | 25  | 40  | 58  | 80  | 106 | 136 | 169 |

According to ISED RSS-102 Issue 6 Section 6.6: Field reference level exposure exemption limits

Field reference level (FRL) exposure evaluation is required if the separation distance between the user and/or bystander and the device's radiating element is greater than 20 cm (i.e. mobile devices), except when the device operates as follows:

- below 20 MHz and the source-based, time-averaged maximum EIRP of the device is equal to or less than 1 W (adjusted for tune-up tolerance)
- at or above 20 MHz and below 48 MHz and the source-based, time-averaged maximum EIRP of the device is equal to or less than  $4.49/f0.5W$  (adjusted for tune-up tolerance), where  $f$  is in MHz
- at or above 48 MHz and below 300 MHz and the source-based, time-averaged maximum EIRP of the device is equal to or less than 0.6 W (adjusted for tune-up tolerance)
- at or above 300 MHz and below 6 GHz and the source-based, time-averaged maximum EIRP of the device is equal to or less than  $1.31 \times 10^{-2}f0.6834W$  (adjusted for tune-up tolerance), where  $f$  is in MHz
- at or above 6 GHz and the source-based, time-averaged maximum EIRP of the device is equal to or less than 5 W (adjusted for tune-up tolerance)

In these cases, the information contained in the RF exposure technical brief may be limited to information that demonstrates how the EIRP was derived.

### 5.3 MPE Result

Predication of MPE limit at a given distance, Equation from OET Bulletin 65, Edition 97-01

$$S = PG/4\pi R^2$$

Where: S = power density

P = power input to antenna

G = power gain of the antenna in the direction of interest relative to an isotropic radiator

R = distance to the center of radiation of the antenna

### 5.4 MPE Result

| Radio       | Antenna Gain (dBi) | Maximum Power (dBm) | Maximum EIRP (dBm) | Maximum EIRP (mW) | Power Density at 20cm (mW/cm <sup>2</sup> ) | Limit (mW/cm <sup>2</sup> ) |
|-------------|--------------------|---------------------|--------------------|-------------------|---|-----------------------------|
| 5 GHz Wi-Fi | 5.00               | 15.00               | 20.00              | 100.00            | 0.01989437                                  | 1.0                         |
| RFID        | -36.00             | 28.11               | -7.89              | 0.16              | 0.00003234                                  | 1.0                         |

#### Sum of Ratios:

$$5 \text{ GHz Wi-Fi} + \text{RFID}: (0.01989437/1.0) + (0.00003234/1.0) = 0.01992671 < 1$$

### 5.5 IC Exemption

#### 5 GHz Wi-Fi

Maximum EIRP = 15.00dBm + 5.0dBi = 20.00dBm which is less than  $1.31 \times 10^{-2} f^{0.6834} = 4.53\text{W} = 36.56\text{dBm}$ .

#### RFID

Maximum EIRP = 28.11dBm + -36dBi = -7.89dBm which is less than  $1.31 \times 10^{-2} f^{0.6834} = 1.37\text{W} = 31.37\text{dBm}$ .

Therefore, the SAR evaluation is exempt