

## APPENDIX C        CALIBRATION DOCUMENTS

1. SN: 3657 Probe Calibration Certificate
2. SN: D5GHzV2 Dipole Calibration Certificate
3. SN: 442 DAE3 Data Acquisition Electronics Calibration Certificate



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**Calibration Laboratory of**  
**Schmid & Partner**  
**Engineering AG**  
**Zeughausstrasse 43, 8004 Zurich, Switzerland**



**S** Schweizerischer Kalibrierdienst  
**C** Service suisse d'étalonnage  
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 Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: **SCS 108**Client **EMC Technologies**Certificate No: **EX3-3657\_Dec12**

## CALIBRATION CERTIFICATE

Object **EX3DV4 - SN:3657**

Calibration procedure(s) **QA CAL-01.v8, QA CAL-14.v3, QA CAL-23.v4, QA CAL-25.v4**  
 Calibration procedure for dosimetric E-field probes

Calibration date: **December 7, 2012**

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).  
 The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature  $(22 \pm 3)^\circ\text{C}$  and humidity  $< 70\%$ .

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID	Cal Date (Certificate No.)	Scheduled Calibration
Power meter E4419B	GB41293874	29-Mar-12 (No. 217-01508)	Apr-13
Power sensor E4412A	MY41498087	29-Mar-12 (No. 217-01508)	Apr-13
Reference 3 dB Attenuator	SN: S5054 (3c)	27-Mar-12 (No. 217-01531)	Apr-13
Reference 20 dB Attenuator	SN: S5086 (20b)	27-Mar-12 (No. 217-01529)	Apr-13
Reference 30 dB Attenuator	SN: S5129 (30b)	27-Mar-12 (No. 217-01532)	Apr-13
Reference Probe ES3DV2	SN: 3013	29-Dec-11 (No. ES3-3013_Dec11)	Dec-12
DAE4	SN: 660	20-Jun-12 (No. DAE4-660_Jun12)	Jun-13
Secondary Standards	ID	Check Date (in house)	Scheduled Check
RF generator HP 8648C	US3642U01700	4-Aug-99 (in house check Apr-11)	In house check: Apr-13
Network Analyzer HP 8753E	US37390585	18-Oct-01 (in house check Oct-12)	In house check: Oct-13

Calibrated by:	Name	Function	Signature
	Jeton Kastrati	Laboratory Technician	
Approved by:	Katja Pokovic	Technical Manager	

Issued: December 8, 2012

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Page 1 of 11



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### Glossary:

TSL	tissue simulating liquid
NORM <sub>x,y,z</sub>	sensitivity in free space
ConvF	sensitivity in TSL / NORM <sub>x,y,z</sub>
DCP	diode compression point
CF	crest factor (1/duty_cycle) of the RF signal
A, B, C	modulation dependent linearization parameters
Polarization $\varphi$	$\varphi$ rotation around probe axis
Polarization $\vartheta$	$\vartheta$ rotation around an axis that is in the plane normal to probe axis (at measurement center), i.e., $\vartheta = 0$ is normal to probe axis

### Calibration is Performed According to the Following Standards:

- IEEE Std 1528-2003, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", December 2003
- IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

### Methods Applied and Interpretation of Parameters:

- NORM<sub>x,y,z</sub>**: Assessed for E-field polarization  $\vartheta = 0$  ( $f \leq 900$  MHz in TEM-cell;  $f > 1800$  MHz: R22 waveguide). NORM<sub>x,y,z</sub> are only intermediate values, i.e., the uncertainties of NORM<sub>x,y,z</sub> does not affect the E<sup>2</sup>-field uncertainty inside TSL (see below ConvF).
- NORM(f)<sub>x,y,z</sub> = NORM<sub>x,y,z</sub> \* frequency\_response** (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- DCP<sub>x,y,z</sub>**: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR**: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- A<sub>x,y,z</sub>; B<sub>x,y,z</sub>; C<sub>x,y,z</sub>; VR<sub>x,y,z</sub>**: A, B, C are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters**: Assessed in flat phantom using E-field (or Temperature Transfer Standard for  $f \leq 800$  MHz) and inside waveguide using analytical field distributions based on power measurements for  $f > 800$  MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to  $NORM<sub>x,y,z</sub> * ConvF$  whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from  $\pm 50$  MHz to  $\pm 100$  MHz.
- Spherical isotropy (3D deviation from isotropy)**: in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset**: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.



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EX3DV4 – SN:3657

December 7, 2012

# Probe EX3DV4

## SN:3657

Manufactured: April 29, 2008  
Calibrated: December 7, 2012

Calibrated for DASY/EASY Systems  
(Note: non-compatible with DASY2 system!)



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EX3DV4– SN:3657

December 7, 2012

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3657

### Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm ( $\mu\text{V}/(\text{V}/\text{m})^2$ ) <sup>A</sup>	0.51	0.44	0.50	$\pm 10.1\%$
DCP (mV) <sup>B</sup>	99.9	103.1	97.6	

### Modulation Calibration Parameters

UID	Communication System Name	PAR		A dB	B dB	C dB	VR mV	Unc <sup>E</sup> (k=2)
0	CW	0.00	X	0.0	0.0	1.0	162.1	$\pm 3.3\%$
			Y	0.0	0.0	1.0	146.5	
			Z	0.0	0.0	1.0	158.8	

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of NormX,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

<sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.



EX3DV4- SN:3657

December 7, 2012

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3657

### Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) <sup>c</sup>	Relative Permittivity <sup>f</sup>	Conductivity (S/m) <sup>f</sup>	ConvF X	ConvF Y	ConvF Z	Alpha	Depth (mm)	Unct. (k=2)
2600	39.0	1.96	6.53	6.53	6.53	0.35	0.95	± 12.0 %
5200	36.0	4.66	4.81	4.81	4.81	0.40	1.80	± 13.1 %
5600	35.5	5.07	3.76	3.76	3.76	0.60	1.80	± 13.1 %
5800	35.3	5.27	3.91	3.91	3.91	0.60	1.80	± 13.1 %

<sup>c</sup> Frequency validity of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

<sup>f</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.



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EX3DV4- SN:3657

December 7, 2012

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3657

### Calibration Parameter Determined in Body Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha	Depth (mm)	Unct. (k=2)
2600	52.5	2.16	6.89	6.89	6.89	0.70	0.64	± 12.0 %
5200	49.0	5.30	3.89	3.89	3.89	0.50	1.90	± 13.1 %
5600	48.5	5.77	3.04	3.04	3.04	0.60	1.90	± 13.1 %
5800	48.2	6.00	3.36	3.36	3.36	0.60	1.90	± 13.1 %

<sup>C</sup> Frequency validity of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

Certificate No: EX3-3657\_Dec12

Page 6 of 11



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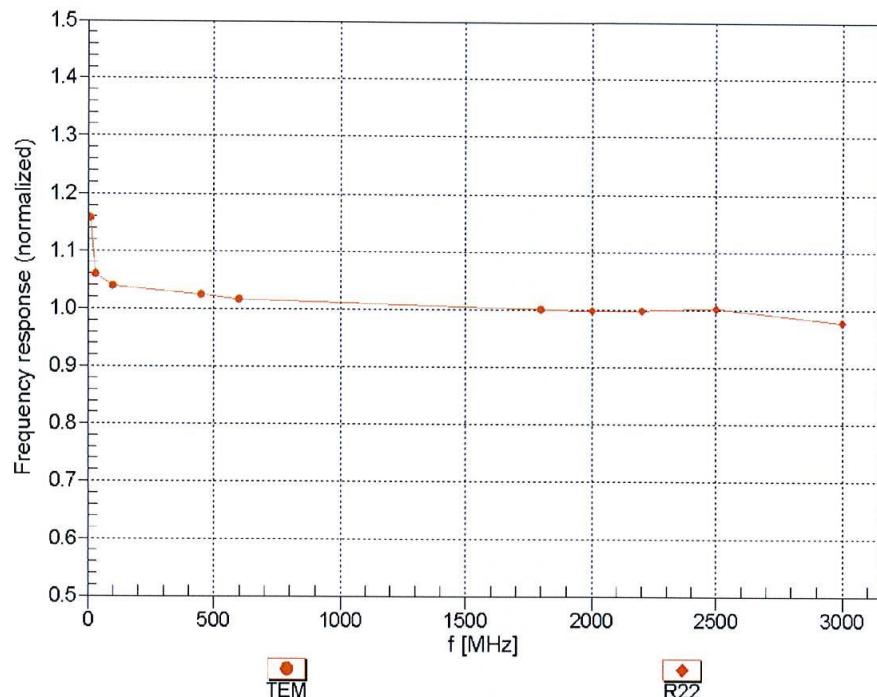
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EX3DV4- SN:3657

December 7, 2012

## Frequency Response of E-Field

(TEM-Cell:ifi110 EXX, Waveguide: R22)



Uncertainty of Frequency Response of E-field:  $\pm 6.3\%$  ( $k=2$ )



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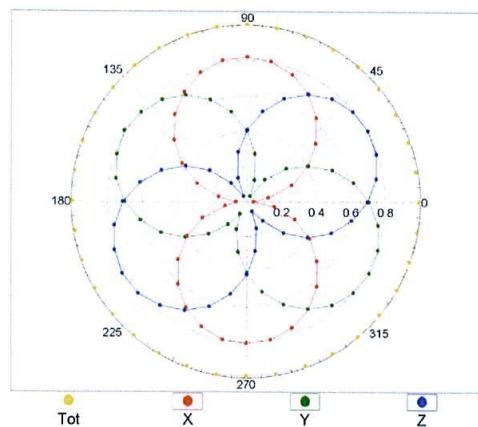
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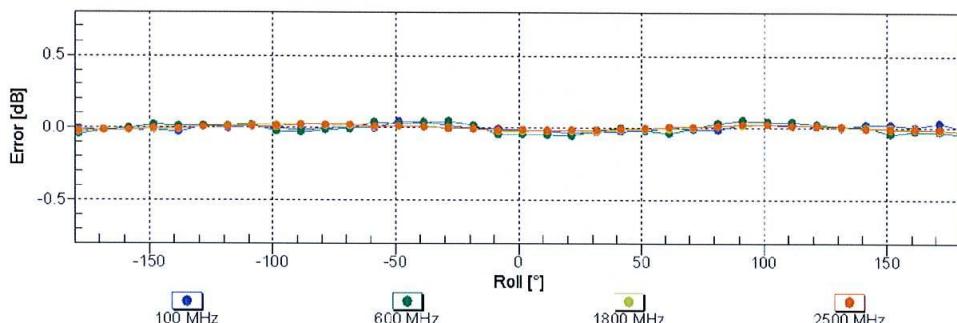
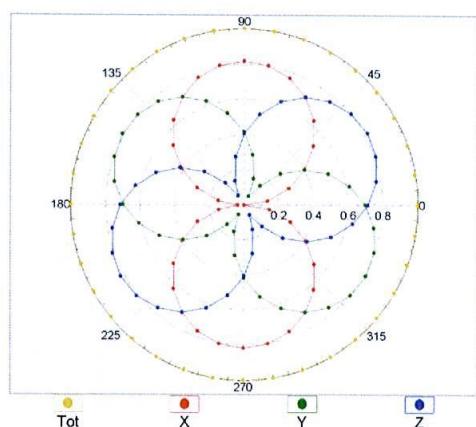
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## Receiving Pattern ( $\phi$ ), $\theta = 0^\circ$

f=600 MHz, TEM



f=1800 MHz, R22

Uncertainty of Axial Isotropy Assessment:  $\pm 0.5\%$  (k=2)

Certificate No: EX3-3657\_Dec12

Page 8 of 11



Accreditation No. 5292

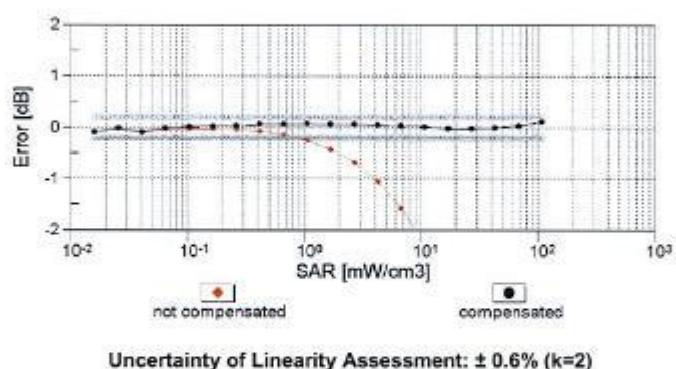
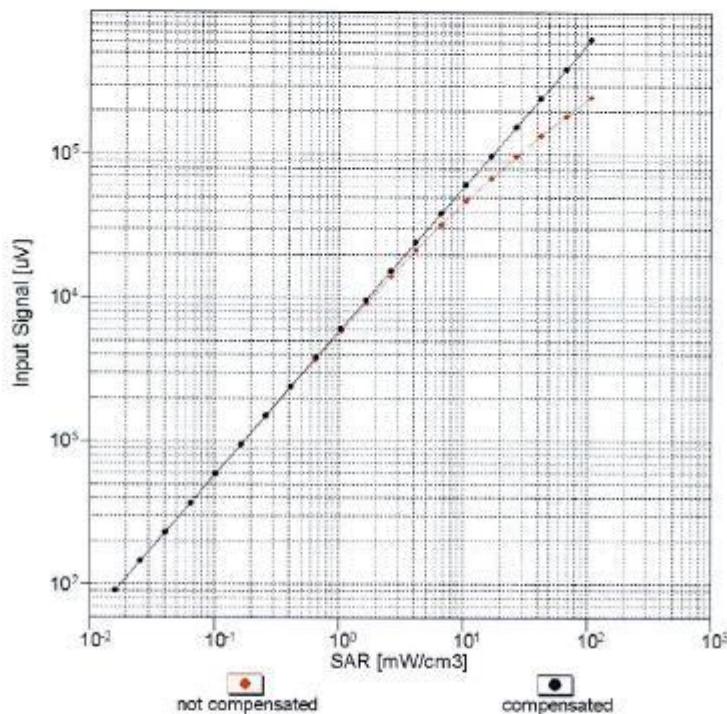
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EX3DV4-SN.3657

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**Dynamic Range f(SAR<sub>head</sub>)**  
(TEM cell, f = 900 MHz)



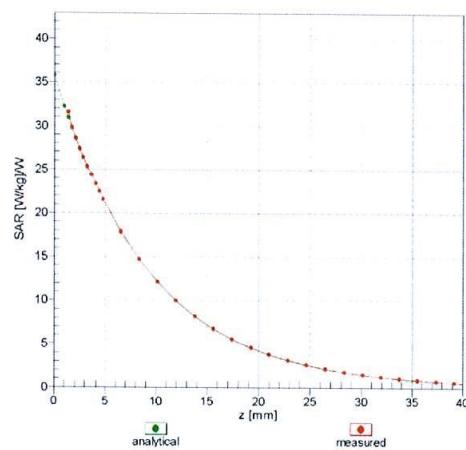
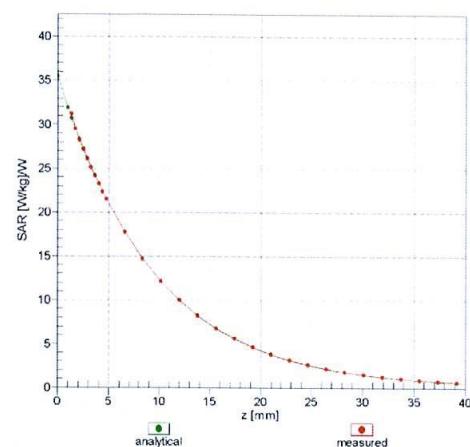
**Uncertainty of Linearity Assessment:  $\pm 0.6\%$  ( $k=2$ )**



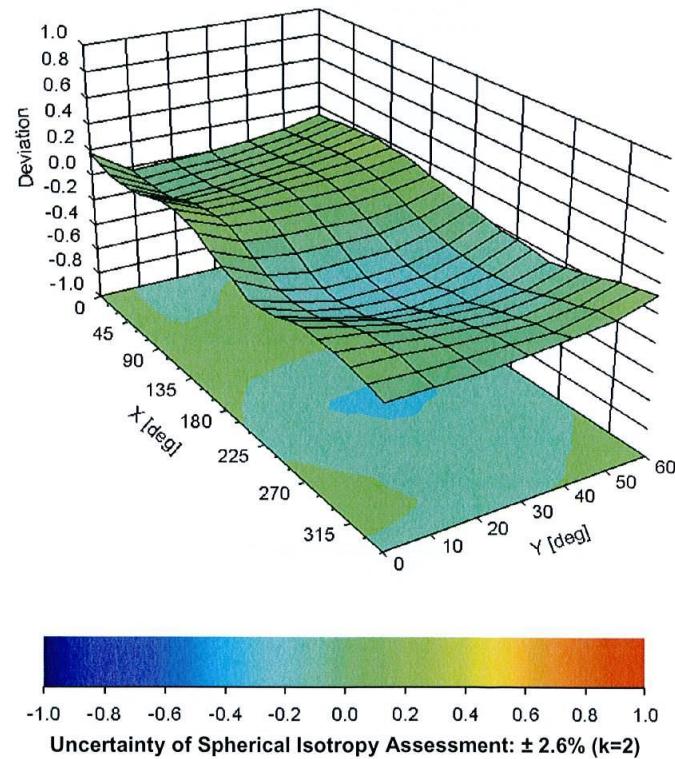
EX3DV4- SN:3657

December 7, 2012

## Conversion Factor Assessment

 $f = 2600 \text{ MHz}, \text{WGLS R22 (H_convF)}$  $f = 2600 \text{ MHz}, \text{WGLS R22 (M_convF)}$ 

## Deviation from Isotropy in Liquid

Error ( $\phi, 9$ ),  $f = 900 \text{ MHz}$ 

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Page 10 of 11



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EX3DV4- SN:3657

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## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3657

### Other Probe Parameters

Sensor Arrangement	Triangular
Connector Angle (°)	-8.5
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disabled
Probe Overall Length	337 mm
Probe Body Diameter	10 mm
Tip Length	9 mm
Tip Diameter	2.5 mm
Probe Tip to Sensor X Calibration Point	1 mm
Probe Tip to Sensor Y Calibration Point	1 mm
Probe Tip to Sensor Z Calibration Point	1 mm
Recommended Measurement Distance from Surface	2 mm

Certificate No: EX3-3657\_Dec12

Page 11 of 11



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Accreditation No.: **SCS 108**Client **EMC Technologies**Certificate No: **D5GHzV2-1008\_Dec11**

## CALIBRATION CERTIFICATE

Object **D5GHzV2 - SN: 1008**

Calibration procedure(s) **QA CAL-22.v1**  
 Calibration procedure for dipole validation kits between 3-6 GHz

Calibration date: **December 14, 2011**

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).  
 The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date (Certificate No.)	Scheduled Calibration
Power meter EPM-442A	GB37480704	05-Oct-11 (No. 217-01451)	Oct-12
Power sensor HP 8481A	US37292783	05-Oct-11 (No. 217-01451)	Oct-12
Reference 20 dB Attenuator	SN: 5086 (20g)	29-Mar-11 (No. 217-01368)	Apr-12
Type-N mismatch combination	SN: 5047.2 / 06327	29-Mar-11 (No. 217-01371)	Apr-12
Reference Probe EX3DV4	SN: 3503	04-Mar-11 (No. EX3-3503_Mar11)	Mar-12
DAE4	SN: 601	04-Jul-11 (No. DAE4-601_Jul11)	Jul-12
Secondary Standards	ID #	Check Date (in house)	Scheduled Check
Power sensor HP 8481A	MY41092317	18-Oct-02 (in house check Oct-11)	In house check: Oct-13
RF generator R&S SMT-06	100005	04-Aug-99 (in house check Oct-11)	In house check: Oct-13
Network Analyzer HP 8753E	US37390585 S4206	18-Oct-01 (in house check Oct-11)	In house check: Oct-12

Calibrated by: Name **Jeton Kastrati** Function **Laboratory Technician** Signature

Approved by: Name **Katja Pokovic** Function **Technical Manager** Signature

Issued: December 14, 2011

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Accreditation No.: **SCS 108**

**Glossary:**

TSI	tissue simulating liquid
ConvF	sensitivity in TSL / NORM x,y,z
N/A	not applicable or not measured

**Calibration is Performed According to the Following Standards:**

- IEEE Std 1528-2003, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", December 2003
- IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005
- Federal Communications Commission Office of Engineering & Technology (FCC OET), "Evaluating Compliance with FCC Guidelines for Human Exposure to Radiofrequency Electromagnetic Fields; Additional Information for Evaluating Compliance of Mobile and Portable Devices with FCC Limits for Human Exposure to Radiofrequency Emissions", Supplement C (Edition 01-01) to Bulletin 65

**Additional Documentation:**

- DASY4/5 System Handbook

**Methods Applied and Interpretation of Parameters:**

- Measurement Conditions:* Further details are available from the Validation Report at the end of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- Antenna Parameters with TSL:* The dipole is mounted with the spacer to position its feed point exactly below the center marking of the flat phantom section, with the arms oriented parallel to the body axis.
- Feed Point Impedance and Return Loss:* These parameters are measured with the dipole positioned under the liquid filled phantom. The impedance stated is transformed from the measurement at the SMA connector to the feed point. The Return Loss ensures low reflected power. No uncertainty required.
- Electrical Delay:* One-way delay between the SMA connector and the antenna feed point. No uncertainty required.
- SAR measured:* SAR measured at the stated antenna input power.
- SAR normalized:* SAR as measured, normalized to an input power of 1 W at the antenna connector.
- SAR for nominal TSL parameters:* The measured TSL parameters are used to calculate the nominal SAR result.



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## Measurement Conditions

DASY system configuration, as far as not given on page 1.

<b>DASY Version</b>	DASY5	
<b>Extrapolation</b>	Advanced Extrapolation	
<b>Phantom</b>	Modular Flat Phantom V5.0	
<b>Distance Dipole Center - TSL</b>	10 mm	with Spacer
<b>Zoom Scan Resolution</b>	$dx, dy = 4.0 \text{ mm}, dz = 1.4 \text{ mm}$	Graded Ratio = 1.4 (Z direction)
<b>Frequency</b>	5200 MHz $\pm 1 \text{ MHz}$ 5500 MHz $\pm 1 \text{ MHz}$ 5800 MHz $\pm 1 \text{ MHz}$	

## Head TSL parameters at 5200 MHz

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
<b>Nominal Head TSL parameters</b>	22.0 °C	36.0	4.66 mho/m
<b>Measured Head TSL parameters</b>	$(22.0 \pm 0.2) \text{ °C}$	$36.1 \pm 6 \text{ %}$	4.65 mho/m $\pm 6 \text{ %}$
<b>Head TSL temperature change during test</b>	< 0.5 °C	----	----

## SAR result with Head TSL at 5200 MHz

<b>SAR averaged over 1 cm<sup>3</sup> (1 g) of Head TSL</b>	Condition	
SAR measured	100 mW input power	8.01 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	<b>80.1 mW / g <math>\pm 17.0 \text{ % (k=2)}</math></b>
<b>SAR averaged over 10 cm<sup>3</sup> (10 g) of Head TSL</b>	condition	
SAR measured	100 mW input power	2.28 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	<b>22.8 mW / g <math>\pm 16.5 \text{ % (k=2)}</math></b>

## Head TSL parameters at 5500 MHz

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
<b>Nominal Head TSL parameters</b>	22.0 °C	35.6	4.96 mho/m
<b>Measured Head TSL parameters</b>	$(22.0 \pm 0.2) \text{ °C}$	$35.6 \pm 6 \text{ %}$	4.96 mho/m $\pm 6 \text{ %}$
<b>Head TSL temperature change during test</b>	< 0.5 °C	----	----

## SAR result with Head TSL at 5500 MHz

<b>SAR averaged over 1 cm<sup>3</sup> (1 g) of Head TSL</b>	Condition	
SAR measured	100 mW input power	8.56 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	<b>85.6 mW / g <math>\pm 17.0 \text{ % (k=2)}</math></b>
<b>SAR averaged over 10 cm<sup>3</sup> (10 g) of Head TSL</b>	condition	
SAR measured	100 mW input power	2.41 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	<b>24.1 mW / g <math>\pm 16.5 \text{ % (k=2)}</math></b>



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### Head TSL parameters at 5800 MHz

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
<b>Nominal Head TSL parameters</b>	22.0 °C	35.3	5.27 mho/m
<b>Measured Head TSL parameters</b>	(22.0 ± 0.2) °C	35.1 ± 6 %	5.27 mho/m ± 6 %
Head TSL temperature change during test	< 0.5 °C	----	----

### SAR result with Head TSL at 5800 MHz

SAR averaged over 1 cm <sup>3</sup> (1 g) of Head TSL	Condition	
SAR measured	100 mW input power	7.79 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	<b>77.8 mW / g ± 17.0 % (k=2)</b>

SAR averaged over 10 cm <sup>3</sup> (10 g) of Head TSL	Condition	
SAR measured	100 mW input power	2.20 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	<b>22.0 mW / g ± 16.5 % (k=2)</b>

### Body TSL parameters at 5200 MHz

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
<b>Nominal Body TSL parameters</b>	22.0 °C	49.0	5.30 mho/m
<b>Measured Body TSL parameters</b>	(22.0 ± 0.2) °C	49.6 ± 6 %	5.44 mho/m ± 6 %
Body TSL temperature change during test	< 0.5 °C	----	----

### SAR result with Body TSL at 5200 MHz

SAR averaged over 1 cm <sup>3</sup> (1 g) of Body TSL	Condition	
SAR measured	100 mW input power	7.54 mW / g
SAR for nominal Body TSL parameters	normalized to 1W	<b>75.6 mW / g ± 18.1 % (k=2)</b>

SAR averaged over 10 cm <sup>3</sup> (10 g) of Body TSL	Condition	
SAR measured	100 mW input power	2.10 mW / g
SAR for nominal Body TSL parameters	normalized to 1W	<b>21.1 mW / g ± 17.6 % (k=2)</b>



### Body TSL parameters at 5500 MHz

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
<b>Nominal Body TSL parameters</b>	22.0 °C	48.6	5.65 mho/m
<b>Measured Body TSL parameters</b>	(22.0 ± 0.2) °C	49.0 ± 6 %	5.86 mho/m ± 6 %
<b>Body TSL temperature change during test</b>	< 0.5 °C	----	----

### SAR result with Body TSL at 5500 MHz

SAR averaged over 1 cm <sup>3</sup> (1 g) of Body TSL	Condition	
SAR measured	100 mW input power	8.20 mW / g
SAR for nominal Body TSL parameters	normalized to 1W	<b>82.3 mW / g ± 18.1 % (k=2)</b>

SAR averaged over 10 cm <sup>3</sup> (10 g) of Body TSL	Condition	
SAR measured	100 mW input power	2.26 mW / g
SAR for nominal Body TSL parameters	normalized to 1W	<b>22.7 mW / g ± 17.6 % (k=2)</b>

### Body TSL parameters at 5800 MHz

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
<b>Nominal Body TSL parameters</b>	22.0 °C	48.2	6.00 mho/m
<b>Measured Body TSL parameters</b>	(22.0 ± 0.2) °C	48.4 ± 6 %	6.28 mho/m ± 6 %
<b>Body TSL temperature change during test</b>	< 0.5 °C	----	----

### SAR result with Body TSL at 5800 MHz

SAR averaged over 1 cm <sup>3</sup> (1 g) of Body TSL	Condition	
SAR measured	100 mW input power	7.65 mW / g
SAR for nominal Body TSL parameters	normalized to 1W	<b>76.7 mW / g ± 18.1 % (k=2)</b>

SAR averaged over 10 cm <sup>3</sup> (10 g) of Body TSL	Condition	
SAR measured	100 mW input power	2.10 mW / g
SAR for nominal Body TSL parameters	normalized to 1W	<b>21.1 mW / g ± 17.6 % (k=2)</b>

