

APPENDIX C CALIBRATION DOCUMENTS

1. SN: 3657 Probe Calibration Certificate
2. SN: D5GHzV2 Dipole Calibration Certificate
3. SN: 442 DAE3 Data Acquisition Electronics Calibration Certificate



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**Calibration Laboratory of
Schmid & Partner
Engineering AG**
Zeughausstrasse 43, 8004 Zurich, Switzerland



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Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: **SCS 108**

Client **EMC Technologies**

Certificate No: **EX3-3657_Dec12**

CALIBRATION CERTIFICATE

Object **EX3DV4 - SN:3657**

Calibration procedure(s) **QA CAL-01.v8, QA CAL-14.v3, QA CAL-23.v4, QA CAL-25.v4
Calibration procedure for dosimetric E-field probes**

Calibration date: **December 7, 2012**

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID	Cal Date (Certificate No.)	Scheduled Calibration
Power meter E4419B	GB41293874	29-Mar-12 (No. 217-01508)	Apr-13
Power sensor E4412A	MY41498087	29-Mar-12 (No. 217-01508)	Apr-13
Reference 3 dB Attenuator	SN: S5054 (3c)	27-Mar-12 (No. 217-01531)	Apr-13
Reference 20 dB Attenuator	SN: S5086 (20b)	27-Mar-12 (No. 217-01529)	Apr-13
Reference 30 dB Attenuator	SN: S5129 (30b)	27-Mar-12 (No. 217-01532)	Apr-13
Reference Probe ES3DV2	SN: 3013	29-Dec-11 (No. ES3-3013_Dec11)	Dec-12
DAE4	SN: 660	20-Jun-12 (No. DAE4-660_Jun12)	Jun-13
Secondary Standards	ID	Check Date (in house)	Scheduled Check
RF generator HP 8648C	US3642U01700	4-Aug-99 (in house check Apr-11)	In house check: Apr-13
Network Analyzer HP 8753E	US37390585	18-Oct-01 (in house check Oct-12)	In house check: Oct-13

	Name	Function	Signature
Calibrated by:	Jeton Kastrati	Laboratory Technician	
Approved by:	Katja Pokovic	Technical Manager	
Issued: December 8, 2012			
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Certificate No: EX3-3657_Dec12

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Glossary:

TSL	tissue simulating liquid
NORM _{x,y,z}	sensitivity in free space
ConvF	sensitivity in TSL / NORM _{x,y,z}
DCP	diode compression point
CF	crest factor (1/duty_cycle) of the RF signal
A, B, C	modulation dependent linearization parameters
Polarization φ	φ rotation around probe axis
Polarization ϑ	ϑ rotation around an axis that is in the plane normal to probe axis (at measurement center), i.e., $\vartheta = 0$ is normal to probe axis

Calibration is Performed According to the Following Standards:

- IEEE Std 1528-2003, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", December 2003
- IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

Methods Applied and Interpretation of Parameters:

- NORM_{x,y,z}**: Assessed for E-field polarization $\vartheta = 0$ ($f \leq 900$ MHz in TEM-cell; $f > 1800$ MHz: R22 waveguide). NORM_{x,y,z} are only intermediate values, i.e., the uncertainties of NORM_{x,y,z} does not affect the E^2 -field uncertainty inside TSL (see below **ConvF**).
- NORM(f)_{x,y,z} = NORM_{x,y,z} * frequency_response** (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of **ConvF**.
- DCP_{x,y,z}**: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR**: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- A_{x,y,z}; B_{x,y,z}; C_{x,y,z}; VR_{x,y,z}**: A, B, C are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters**: Assessed in flat phantom using E-field (or Temperature Transfer Standard for $f \leq 800$ MHz) and inside waveguide using analytical field distributions based on power measurements for $f > 800$ MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORM_{x,y,z} * ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.
- Spherical isotropy (3D deviation from isotropy)**: in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset**: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.



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EX3DV4 – SN:3657

December 7, 2012

Probe EX3DV4

SN:3657

Manufactured: April 29, 2008
Calibrated: December 7, 2012

Calibrated for DASY/EASY Systems
(Note: non-compatible with DASY2 system!)



EX3DV4– SN:3657

December 7, 2012

DASY/EASY - Parameters of Probe: EX3DV4 - SN:3657

Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm ($\mu\text{V}/(\text{V/m})^2$) ^A	0.51	0.44	0.50	± 10.1 %
DCP (mV) ^B	99.9	103.1	97.6	

Modulation Calibration Parameters

UID	Communication System Name	PAR		A dB	B dB	C dB	VR mV	Unc ^E (k=2)
0	CW	0.00	X	0.0	0.0	1.0	162.1	±3.3 %
			Y	0.0	0.0	1.0	146.5	
			Z	0.0	0.0	1.0	158.8	

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

^A The uncertainties of NormX,Y,Z do not affect the E²-field uncertainty inside TSL (see Pages 5 and 6).

^B Numerical linearization parameter: uncertainty not required.

^E Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.



EX3DV4– SN:3657

December 7, 2012

DASY/EASY - Parameters of Probe: EX3DV4 - SN:3657

Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) ^C	Relative Permittivity ^F	Conductivity (S/m) ^F	ConvF X	ConvF Y	ConvF Z	Alpha	Depth (mm)	Unct. (k=2)
2600	39.0	1.96	6.53	6.53	6.53	0.35	0.95	± 12.0 %
5200	36.0	4.66	4.81	4.81	4.81	0.40	1.80	± 13.1 %
5600	35.5	5.07	3.76	3.76	3.76	0.60	1.80	± 13.1 %
5800	35.3	5.27	3.91	3.91	3.91	0.60	1.80	± 13.1 %

^C Frequency validity of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

^F At frequencies below 3 GHz, the validity of tissue parameters (ϵ and σ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (ϵ and σ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.



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EX3DV4- SN:3657

December 7, 2012

DASY/EASY - Parameters of Probe: EX3DV4 - SN:3657

Calibration Parameter Determined in Body Tissue Simulating Media

f (MHz) ^C	Relative Permittivity ^F	Conductivity (S/m) ^F	ConvF X	ConvF Y	ConvF Z	Alpha	Depth (mm)	Unct. (k=2)
2600	52.5	2.16	6.89	6.89	6.89	0.70	0.64	± 12.0 %
5200	49.0	5.30	3.89	3.89	3.89	0.50	1.90	± 13.1 %
5600	48.5	5.77	3.04	3.04	3.04	0.60	1.90	± 13.1 %
5800	48.2	6.00	3.36	3.36	3.36	0.60	1.90	± 13.1 %

^C Frequency validity of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

^F At frequencies below 3 GHz, the validity of tissue parameters (ϵ and σ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (ϵ and σ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.



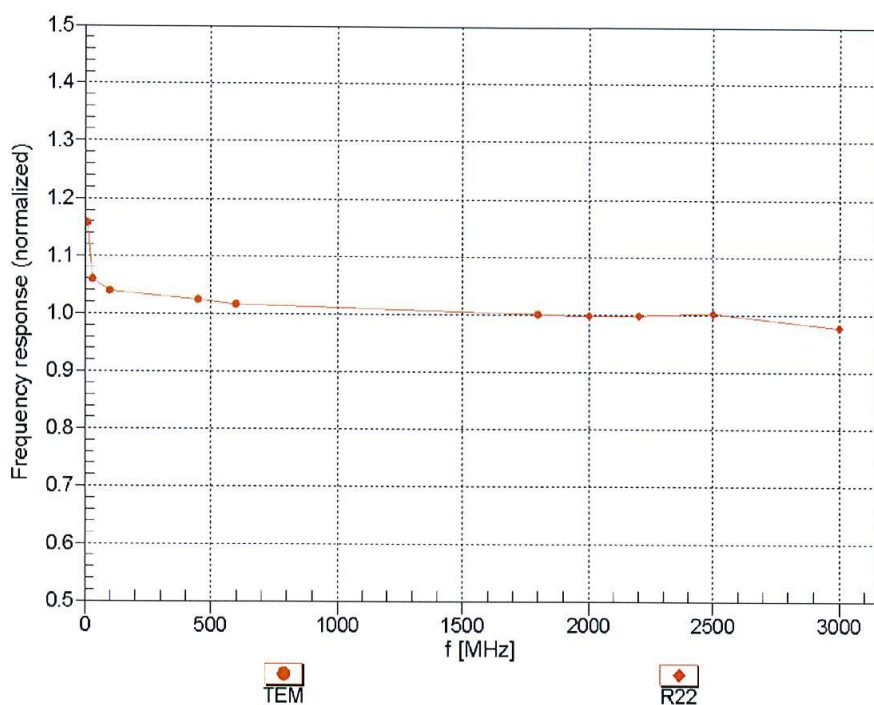
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EX3DV4- SN:3657

December 7, 2012

Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)



Uncertainty of Frequency Response of E-field: $\pm 6.3\%$ ($k=2$)

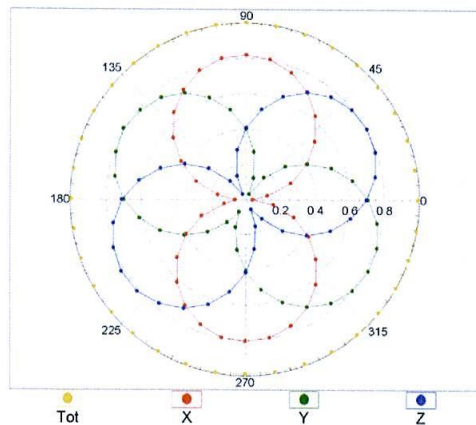
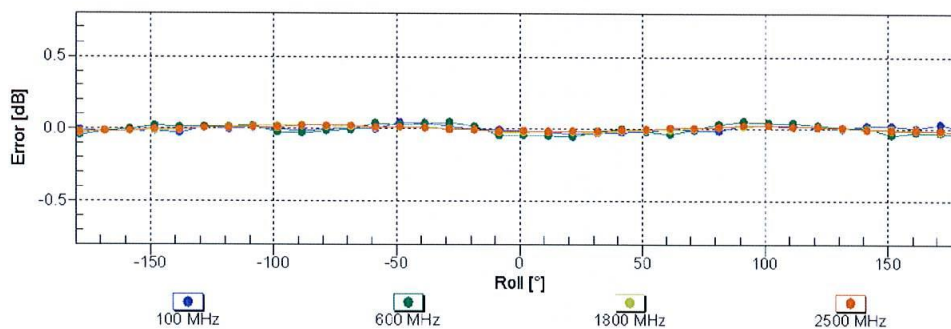
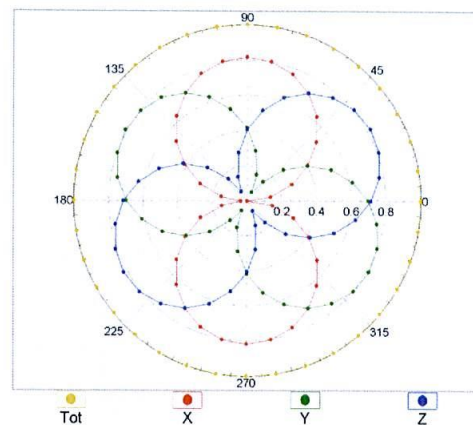


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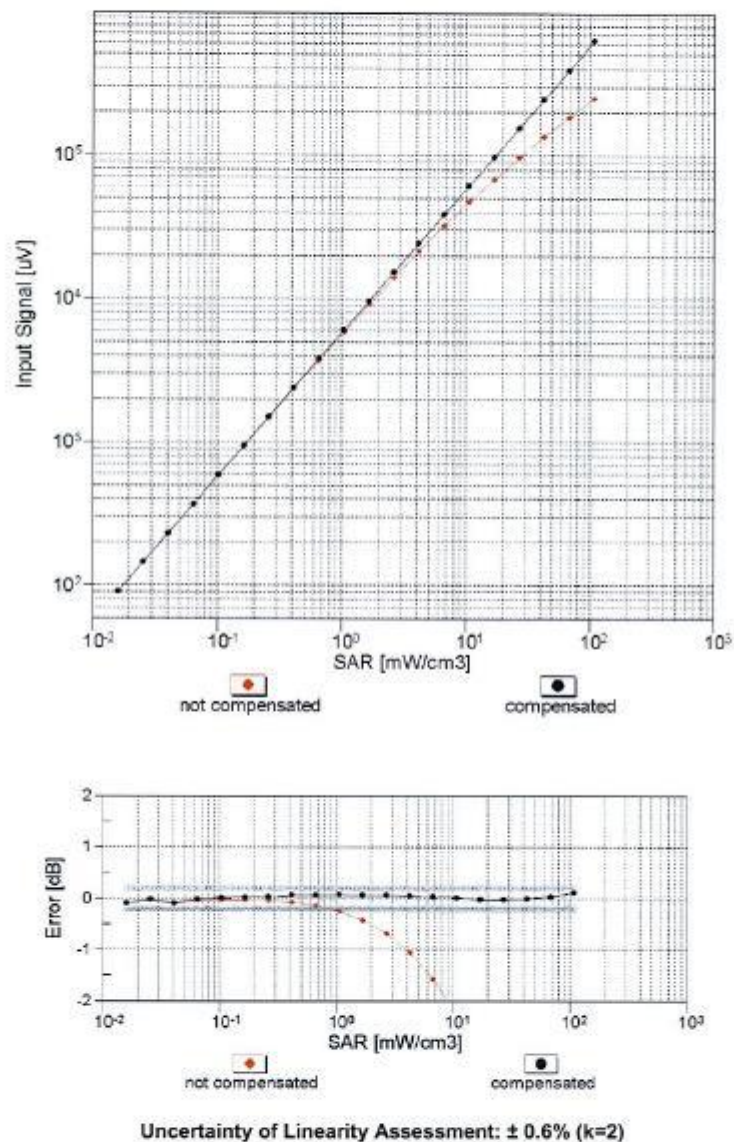
December 7, 2012

Receiving Pattern (ϕ), $\theta = 0^\circ$ **f=600 MHz,TEM****f=1800 MHz,R22****Uncertainty of Axial Isotropy Assessment: $\pm 0.5\%$ ($k=2$)**

EX3DV4- SN:3657

December 7, 2012

Dynamic Range f(SAR_{head}) (TEM cell , f = 900 MHz)



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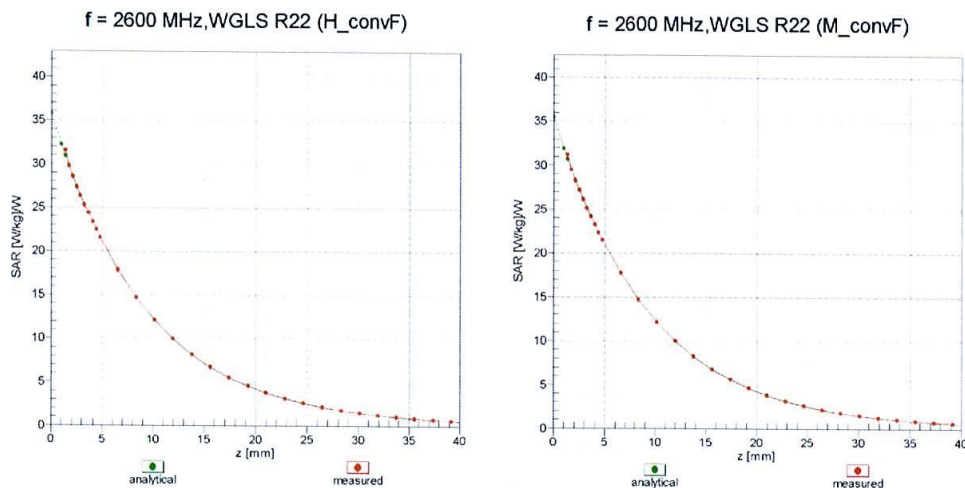
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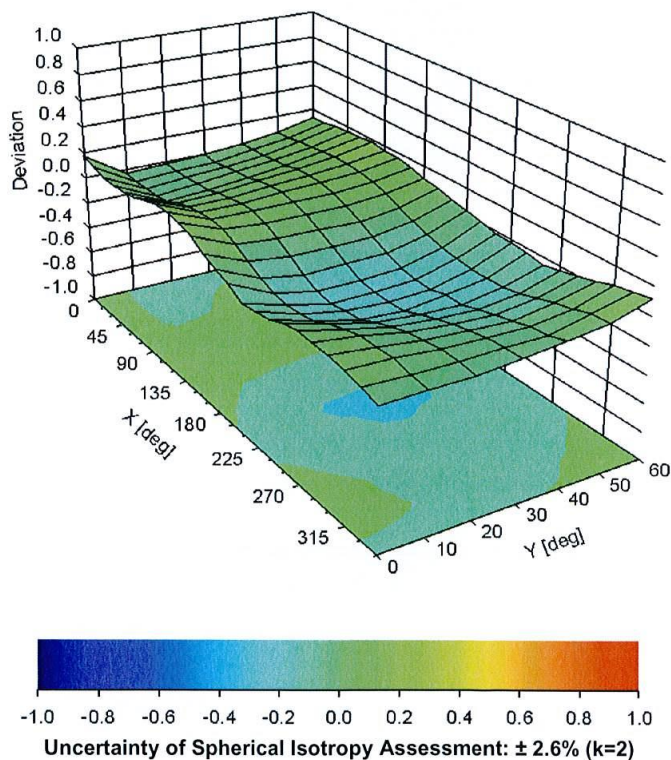
December 7, 2012

Conversion Factor Assessment



Deviation from Isotropy in Liquid

Error (ϕ , θ), f = 900 MHz



EX3DV4- SN:3657

December 7, 2012

DASY/EASY - Parameters of Probe: EX3DV4 - SN:3657**Other Probe Parameters**

Sensor Arrangement	Triangular
Connector Angle (°)	-8.5
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disabled
Probe Overall Length	337 mm
Probe Body Diameter	10 mm
Tip Length	9 mm
Tip Diameter	2.5 mm
Probe Tip to Sensor X Calibration Point	1 mm
Probe Tip to Sensor Y Calibration Point	1 mm
Probe Tip to Sensor Z Calibration Point	1 mm
Recommended Measurement Distance from Surface	2 mm



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Client **EMC Technologies**

Certificate No: **D5GHzV2-1008_Dec11**

CALIBRATION CERTIFICATE

Object **D5GHzV2 - SN: 1008**

Calibration procedure(s) **QA CAL-22.v1
Calibration procedure for dipole validation kits between 3-6 GHz**

Calibration date: **December 14, 2011**

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date (Certificate No.)	Scheduled Calibration
Power meter EPM-442A	GB37480704	05-Oct-11 (No. 217-01451)	Oct-12
Power sensor HP 8481A	US37292783	05-Oct-11 (No. 217-01451)	Oct-12
Reference 20 dB Attenuator	SN: 5086 (20g)	29-Mar-11 (No. 217-01368)	Apr-12
Type-N mismatch combination	SN: 5047.2 / 06327	29-Mar-11 (No. 217-01371)	Apr-12
Reference Probe EX3DV4	SN: 3503	04-Mar-11 (No. EX3-3503_Mar11)	Mar-12
DAE4	SN: 601	04-Jul-11 (No. DAE4-601_Jul11)	Jul-12
Secondary Standards	ID #	Check Date (in house)	Scheduled Check
Power sensor HP 8481A	MY41092317	18-Oct-02 (in house check Oct-11)	In house check: Oct-13
RF generator R&S SMT-06	100005	04-Aug-99 (in house check Oct-11)	In house check: Oct-13
Network Analyzer HP 8753E	US37390585 S4206	18-Oct-01 (in house check Oct-11)	In house check: Oct-12

	Name	Function	Signature
Calibrated by:	Jeton Kastrati	Laboratory Technician	
Approved by:	Katja Pokovic	Technical Manager	

Issued: December 14, 2011

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Glossary:

TSL	tissue simulating liquid
ConvF	sensitivity in TSL / NORM x,y,z
N/A	not applicable or not measured

Calibration is Performed According to the Following Standards:

- IEEE Std 1528-2003, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", December 2003
- IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005
- Federal Communications Commission Office of Engineering & Technology (FCC OET), "Evaluating Compliance with FCC Guidelines for Human Exposure to Radiofrequency Electromagnetic Fields; Additional Information for Evaluating Compliance of Mobile and Portable Devices with FCC Limits for Human Exposure to Radiofrequency Emissions", Supplement C (Edition 01-01) to Bulletin 65

Additional Documentation:

- DASY4/5 System Handbook

Methods Applied and Interpretation of Parameters:

- Measurement Conditions:** Further details are available from the Validation Report at the end of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- Antenna Parameters with TSL:** The dipole is mounted with the spacer to position its feed point exactly below the center marking of the flat phantom section, with the arms oriented parallel to the body axis.
- Feed Point Impedance and Return Loss:** These parameters are measured with the dipole positioned under the liquid filled phantom. The impedance stated is transformed from the measurement at the SMA connector to the feed point. The Return Loss ensures low reflected power. No uncertainty required.
- Electrical Delay:** One-way delay between the SMA connector and the antenna feed point. No uncertainty required.
- SAR measured:** SAR measured at the stated antenna input power.
- SAR normalized:** SAR as measured, normalized to an input power of 1 W at the antenna connector.
- SAR for nominal TSL parameters:** The measured TSL parameters are used to calculate the nominal SAR result.



Measurement Conditions

DASY system configuration, as far as not given on page 1.

DASY Version	DASY5	V52.8.0
Extrapolation	Advanced Extrapolation	
Phantom	Modular Flat Phantom V5.0	
Distance Dipole Center - TSL	10 mm	with Spacer
Zoom Scan Resolution	dx, dy = 4.0 mm, dz = 1.4 mm	Graded Ratio = 1.4 (Z direction)
Frequency	5200 MHz \pm 1 MHz 5500 MHz \pm 1 MHz 5800 MHz \pm 1 MHz	

Head TSL parameters at 5200 MHz

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Head TSL parameters	22.0 °C	36.0	4.66 mho/m
Measured Head TSL parameters	(22.0 \pm 0.2) °C	36.1 \pm 6 %	4.65 mho/m \pm 6 %
Head TSL temperature change during test	< 0.5 °C	----	----

SAR result with Head TSL at 5200 MHz

SAR averaged over 1 cm³ (1 g) of Head TSL	Condition	
SAR measured	100 mW input power	8.01 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	80.1 mW / g \pm 17.0 % (k=2)

SAR averaged over 10 cm³ (10 g) of Head TSL	condition	
SAR measured	100 mW input power	2.28 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	22.8 mW / g \pm 16.5 % (k=2)

Head TSL parameters at 5500 MHz

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Head TSL parameters	22.0 °C	35.6	4.96 mho/m
Measured Head TSL parameters	(22.0 \pm 0.2) °C	35.6 \pm 6 %	4.96 mho/m \pm 6 %
Head TSL temperature change during test	< 0.5 °C	----	----

SAR result with Head TSL at 5500 MHz

SAR averaged over 1 cm³ (1 g) of Head TSL	Condition	
SAR measured	100 mW input power	8.56 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	85.6 mW / g \pm 17.0 % (k=2)

SAR averaged over 10 cm³ (10 g) of Head TSL	condition	
SAR measured	100 mW input power	2.41 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	24.1 mW / g \pm 16.5 % (k=2)



Head TSL parameters at 5800 MHz

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Head TSL parameters	22.0 °C	35.3	5.27 mho/m
Measured Head TSL parameters	(22.0 ± 0.2) °C	35.1 ± 6 %	5.27 mho/m ± 6 %
Head TSL temperature change during test	< 0.5 °C	----	----

SAR result with Head TSL at 5800 MHz

SAR averaged over 1 cm ³ (1 g) of Head TSL	Condition	
SAR measured	100 mW input power	7.79 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	77.8 mW / g ± 17.0 % (k=2)

SAR averaged over 10 cm ³ (10 g) of Head TSL	condition	
SAR measured	100 mW input power	2.20 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	22.0 mW / g ± 16.5 % (k=2)

Body TSL parameters at 5200 MHz

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Body TSL parameters	22.0 °C	49.0	5.30 mho/m
Measured Body TSL parameters	(22.0 ± 0.2) °C	49.6 ± 6 %	5.44 mho/m ± 6 %
Body TSL temperature change during test	< 0.5 °C	----	----

SAR result with Body TSL at 5200 MHz

SAR averaged over 1 cm ³ (1 g) of Body TSL	Condition	
SAR measured	100 mW input power	7.54 mW / g
SAR for nominal Body TSL parameters	normalized to 1W	75.6 mW / g ± 18.1 % (k=2)

SAR averaged over 10 cm ³ (10 g) of Body TSL	condition	
SAR measured	100 mW input power	2.10 mW / g
SAR for nominal Body TSL parameters	normalized to 1W	21.1 mW / g ± 17.6 % (k=2)



Body TSL parameters at 5500 MHz

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Body TSL parameters	22.0 °C	48.6	5.65 mho/m
Measured Body TSL parameters	(22.0 ± 0.2) °C	49.0 ± 6 %	5.86 mho/m ± 6 %
Body TSL temperature change during test	< 0.5 °C	----	----

SAR result with Body TSL at 5500 MHz

SAR averaged over 1 cm ³ (1 g) of Body TSL	Condition	
SAR measured	100 mW input power	8.20 mW / g
SAR for nominal Body TSL parameters	normalized to 1W	82.3 mW / g ± 18.1 % (k=2)

SAR averaged over 10 cm ³ (10 g) of Body TSL	condition	
SAR measured	100 mW input power	2.26 mW / g
SAR for nominal Body TSL parameters	normalized to 1W	22.7 mW / g ± 17.6 % (k=2)

Body TSL parameters at 5800 MHz

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Body TSL parameters	22.0 °C	48.2	6.00 mho/m
Measured Body TSL parameters	(22.0 ± 0.2) °C	48.4 ± 6 %	6.28 mho/m ± 6 %
Body TSL temperature change during test	< 0.5 °C	----	----

SAR result with Body TSL at 5800 MHz

SAR averaged over 1 cm ³ (1 g) of Body TSL	Condition	
SAR measured	100 mW input power	7.65 mW / g
SAR for nominal Body TSL parameters	normalized to 1W	76.7 mW / g ± 18.1 % (k=2)

SAR averaged over 10 cm ³ (10 g) of Body TSL	condition	
SAR measured	100 mW input power	2.10 mW / g
SAR for nominal Body TSL parameters	normalized to 1W	21.1 mW / g ± 17.6 % (k=2)

