



PCTEST ENGINEERING LABORATORY, INC.

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CERTIFICATE OF COMPLIANCE (SAR EVALUATION)

Applicant Name:
NEC Corporation of America
Radio Communications Systems Division
6535 N. State Highway 161
Irving, TX 75039 USA

Date of Testing:
3/19/08 - 3/26/08
Test Site/Location:
PCTEST Lab, Columbia, MD, USA
Test Report Serial No.:
0803130322-R1.A98

FCC ID:

A98-FOMA-N906IMYU

APPLICANT:

NEC CORPORATION OF AMERICA

EUT Type:

Cellular WCDMA/ PCS GSM-GPRS Phone

Application Type:

Certification

FCC Rule Part(s):

§2.1093; FCC/OET Bulletin 65 Supplement C [July 2001]

FCC Classification:

Licensed Transmitter Held to Ear (PCE)

Model(s):

FOMA N906iμ

Tx Frequency:

1850.20 - 1909.80 MHz (GSM PCS)

826.40 - 846.60 MHz (Cellular WCDMA)

Conducted Power:

23.64 dBm Cellular WCDMA / 30.67 dBm PCS GSM

Max. SAR Measurement:

0.779 W/kg WCDMA Head SAR

0.381 W/kg WCDMA Body SAR

0.665 W/kg PCS Head SAR

0.29 W/kg PCS GPRS Body SAR

Test Device Serial No.:

Pre-Production [S/N: 004401200236558]

This wireless portable device has been shown to be capable of compliance for localized specific absorption rate (SAR) for uncontrolled environment/general population exposure limits specified in ANSI/IEEE Std. C95.1-2005 and has been tested in accordance with the measurement procedures specified in FCC/OET Bulletin 65 Supplement C (2001) and IEEE Std. 1528-2003.

I attest to the accuracy of data. All measurements reported herein were performed by me or were made under my supervision and are correct to the best of my knowledge and belief. I assume full responsibility for the completeness of these measurements and vouch for the qualifications of all persons taking them.

***This revised SAR report (S/N: 0803130322-R1.A98) supersedes and replaces the previously issued SAR report on the same subject EUT for the same type of testing as indicated. Please discard or destroy the previously issued SAR report (S/N: 0803130322.A98) and dispose of it accordingly.**

Grant Conditions: Power output listed is ERP for Part 22 and EIRP for Part 24. SAR compliance for body-worn operating configuration is based on a separation distance of 1.5 cm between the device and the body of the user. End-users must be informed of the body-worn operating requirements for satisfying RF exposure compliance. Belt clips or holsters not specified in this filing may not contain metallic components.

PCTEST certifies that no party to this application has been denied the FCC benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. 862.



Randy Ortanez
President



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1 INTRODUCTION

The FCC has adopted the guidelines for evaluating the environmental effects of radio frequency (RF) radiation in ET Docket 93-62 on Aug. 6, 1996 to protect the public and workers from the potential hazards of RF emissions due to FCC-regulated portable devices.[1]

The safety limits used for the environmental evaluation measurements are based on the criteria published by the American National Standards Institute (ANSI) for localized specific absorption rate (SAR) in IEEE/ANSI C95.1-2005 Standard for Safety Levels with Respect to Human Exposure to Radio Frequency Electromagnetic Fields, 3 kHz to 300 GHz[2] and Health Canada RF Exposure Guidelines Safety Code 6 [26]. The measurement procedure described in IEEE/ANSI C95.3-2002 Recommended Practice for the Measurement of Potentially Hazardous Electromagnetic Fields - RF and Microwave [3] is used for guidance in measuring the Specific Absorption Rate (SAR) due to the RF radiation exposure from the Equipment Under Test (EUT). These criteria for SAR evaluation are similar to those recommended by the International Committee for Non-Ionizing Radiation Protection (ICNIRP) in Biological Effects and Exposure Criteria for Radiofrequency Electromagnetic Fields," Report No. Vol 74. SAR is a measure of the rate of energy absorption due to exposure to an RF transmitting source. SAR values have been related to threshold levels for potential biological hazards.

1.1 SAR Definition

Specific Absorption Rate is defined as the time derivative (rate) of the incremental energy (dU) absorbed by (dissipated in) an incremental mass (dm) contained in a volume element (dV) of a given density (ρ). It is also defined as the rate of RF energy absorption per unit mass at a point in an absorbing body (see Fig. 1-1).

$$SAR = \frac{d}{d t} \left(\frac{dU}{dm} \right) = \frac{d}{d t} \left(\frac{dU}{\rho dV} \right)$$

Figure 1-1
SAR Mathematical Equation

SAR is expressed in units of Watts per Kilogram (W/kg).

$$SAR = \frac{\sigma \cdot E^2}{\rho}$$

where:

σ = conductivity of the tissue-simulating material (S/m)
 ρ = mass density of the tissue-simulating material (kg/m³)
E = Total RMS electric field strength (V/m)

NOTE: The primary factors that control rate of energy absorption were found to be the wavelength of the incident field in relation to the dimensions and geometry of the irradiated organism, the orientation of the organism in relation to the polarity of field vectors, the presence of reflecting surfaces, and whether conductive contact is made by the organism with a ground plane.[6]

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2 TEST SITE LOCATION

2.1 INTRODUCTION

The map at the right shows the location of the PCTEST LABORATORY in Columbia, Maryland. It is in proximity to the FCC Laboratory, the Baltimore-Washington International (BWI) airport, the city of Baltimore and Washington, DC (See Figure 2).

These measurement tests were conducted at the PCTEST Engineering Laboratory, Inc. facility in New Concept Business Park, Guilford Industrial Park, Columbia, Maryland. The site address is 6660-B Dobbin Road, Columbia, MD 21045. The test site is one of the highest points in the Columbia area with an elevation of 390 feet above mean sea level. The site coordinates are 39° 11'15" N latitude and 76° 49' 38" W longitude. The facility is 1.5 miles north of the FCC laboratory, and the ambient signal and ambient signal strength are approximately equal to those of the FCC laboratory. There are no FM or TV transmitters within 15 miles of the site. The detailed description of the measurement facility was found to be in accordance to ANSI C63.4 on January 27, 2006 and Industry

2.2 Test Facility / Accreditations:

Measurements were performed at an independent accredited PCTEST Engineering Lab located in Columbia, MD 21045, U.S.A.

- PCTEST Lab is accredited to ISO 17025-2005 by the American Association for Laboratory Accreditation (A2LA) in Specific Absorption Rate (SAR) testing, Hearing-Aid Compatibility (HAC), CTIA Test Plans, and wireless testing for FCC and Industry Canada Rules.
- PCTEST Lab is accredited to ISO 17025 by U.S. National Institute of Standards and Technology (NIST) under the National Voluntary Laboratory Accreditation Program (NVLAP Lab code: 100431-0) in EMC, FCC and Telecommunications.
- PCTEST facility is an FCC registered (PCTEST Reg. No. 90864) test facility with the site description report on file and has met all the requirements specified in Section 2.948 of the FCC Rules and Industry Canada (IC-2451).
- PCTEST Lab is a recognized U.S. Conformity Assessment Body (CAB) in EMC and R&TTE (n.b. 0982) under the U.S.-EU Mutual Recognition Agreement (MRA).
- PCTEST TCB is a Telecommunication Certification Body (TCB) accredited to ISO/IEC Guide 65 by the American National Standards Institute (ANSI) in all scopes of FCC Rules and all Industry Canada Standards (RSS).
- PCTEST facility is an IC registered (IC-2451) test laboratory with the site description on file at Industry Canada.
- PCTEST is a CTIA Authorized Test Laboratory (CATL) for AMPS and CDMA, and EVDO mobile phones.
- PCTEST is a CTIA Authorized Test Laboratory (CATL) for Over-the-Air (OTA) Antenna Performance testing for AMPS, CDMA, GSM, GPRS, EGPRS, UMTS (W-CDMA), CDMA 1xEVDO Data, CDMA 1xRTT Data.

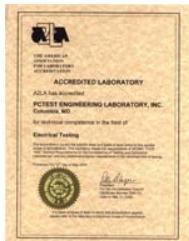


Figure 2-1
Map of the Greater Baltimore and Metropolitan Washington, D.C. area

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3 SAR MEASUREMENT SETUP

3.1 Robotic System

Measurements are performed using the DASY4 automated dosimetric assessment system. The DASY4 is made by Schmid & Partner Engineering AG (SPEAG) in Zurich, Switzerland and consists of high precision robotics system (Staubli), robot controller, Pentium 4 computer, near-field probe, probe alignment sensor, and the generic twin phantom containing the brain equivalent material. The robot is a six-axis industrial robot performing precise movements to position the probe to the location (points) of maximum electromagnetic field (EMF) (see Figure 3-1).

3.2 System Hardware

A cell controller system contains the power supply, robot controller, teach pendant (Joystick), and a remote control used to drive the robot motors. The PC consists of the Gateway Pentium 4 2.53 GHz computer with Windows XP system and SAR Measurement Software DASY4, A/D interface card, monitor, mouse, and keyboard. The Staubli Robot is connected to the cell controller to allow software manipulation of the robot. A data acquisition electronic (DAE) circuit that performs the signal amplification, signal multiplexing, AD-conversion, offset measurements, mechanical surface detection, collision detection, etc. is connected to the Electro-optical coupler (EOC). The EOC performs the conversion from the optical into digital electric signal of the DAE and transfers data to the PC plug-in card.

3.3 System Electronics

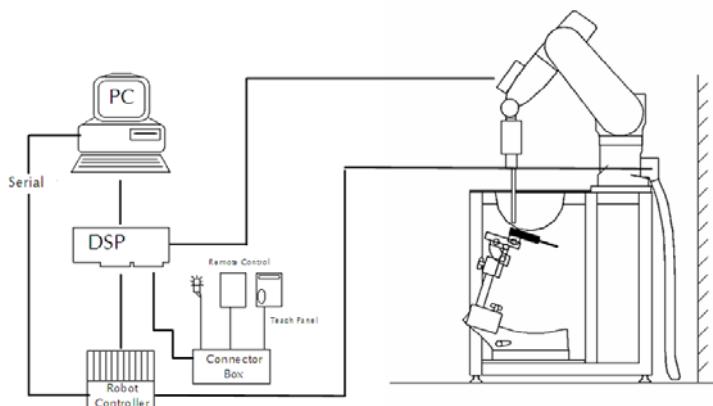


Figure 3-1
SAR Measurement System Setup

The DAE4 consists of a highly sensitive electrometer-grade preamplifier with auto-zeroing, a channel and gain-switching multiplexer, a fast 16 bit AD-converter and a command decoder and control logic unit. Transmission to the PC-card is accomplished through an optical downlink for data and status information and an optical uplink for commands and clock lines. The mechanical probe mounting device includes two different sensor systems for frontal and sidewise probe contacts. They are also used for mechanical surface detection and probe collision detection. The robot uses its own controller with a built in VME-bus computer. The system is described in detail in [7].

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3.4 Automated Test System Specifications

Positioner

Robot: Stäubli Unimation Corp. Robot RX60L
 Repeatability: 0.02 mm
 No. of Axes: 6

Data Acquisition Electronic System (DAE)

Cell Controller

Processor: Pentium 4
 Clock Speed: 2.53 GHz
 Operating System: Windows XP Professional

Data Converter

Features: Signal Amplifier, multiplexer, A/D converter & control logic
 Software: DASY4, SEMCAD software
 Connecting Lines: Optical Downlink for data and status info
 Optical upload for commands and clock

PC Interface Card

Function: 166MHz low power Pentium MMX 32MB chipdisk
 Link to DAE
 16-bit A/D converter for surface detection system
 Two Serial & Ethernet link to robotics
 Direct emergency stop output for robot

Phantom

Type: SAM Twin Phantom (V4.0)
 Shell Material: Composite
 Thickness: 2.0 ± 0.2 mm



Figure 3-2
DASY4 SAR Measurement System

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4 DASY E-FIELD PROBE SYSTEM

4.1 Probe Measurement System



Figure 4-1
SAR System

The SAR measurements were conducted with the dosimetric probe EX3DV4, designed in the classical triangular configuration [7] (see Figure 4-3) and optimized for dosimetric evaluation. The probe is constructed using the thick film technique; with printed resistive lines on ceramic substrates. The probe is equipped with an optical multi-fiber line ending at the front of the probe tip. It is connected to the EOC box on the robot arm and provides an automatic detection of the phantom surface. Half of the fibers are connected to a pulsed infrared transmitter, the other half to a synchronized receiver. As the probe approaches the surface, the reflection from the surface produces a coupling from the transmitting to the receiving fibers. This reflection increases first during the approach, reaches maximum and then decreases. If the probe is flatly touching the surface, the coupling is zero. The distance of the coupling maximum to the surface is independent of the surface reflectivity and largely independent of the surface to probe angle. The DASY4 software reads the reflection during a software approach

and looks for the maximum using a 2nd order fitting (see Figure 5-1). The approach is stopped at reaching the maximum.

4.2 Probe Specifications

Model:	ES3DV3, EX3DV4
Frequency Range:	10 MHz – 6.0 GHz (EX3DV4) 10 MHz – 4 GHz (ES3DV3)
Calibration:	In brain and muscle simulating tissue at Frequencies from 835 up to 5800MHz
Linearity:	± 0.2 dB (30 MHz to 6 GHz) for EX3DV4 ± 0.2 dB (30 MHz to 4 GHz) for ES3DV3
Dynamic Range:	10 mW/kg – 100 W/kg
Probe Length:	330 mm
Probe Tip Length:	20 mm
Body Diameter:	12 mm
Tip Diameter:	2.5 mm (3.9mm for ES3DV3)
Tip-Center:	1 mm (2.0 mm for ES3DV3)
Application:	SAR Dosimetry Testing Compliance tests of mobile phones Dosimetry in strong gradient fields



Figure 4-2
Near-Field Probe

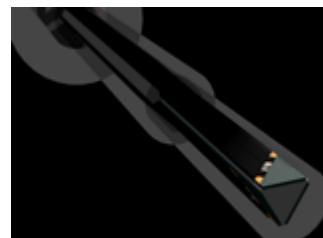


Figure 4-3
Triangular Probe Configuration

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PROBE CALIBRATION PROCESS

5.1 Dosimetric Assessment Procedure

Each E-Probe/Probe amplifier combination has unique calibration parameters. A TEM cell calibration procedure is conducted to determine the proper amplifier settings to enter in the probe parameters. The amplifier settings are determined for a given frequency by subjecting the probe to a known E-field density (1 mW/cm²) using an RF Signal generator, TEM cell, and RF Power Meter.

5.2 Free Space Assessment

The free space E-field from amplified probe outputs is determined in a test chamber. This calibration can be performed in a TEM cell if the frequency is below 1 GHz and in a waveguide or other methodologies above 1 GHz for free space. For the free space calibration, the probe is placed in the volumetric center of the cavity and at the proper orientation with the field. The probe is rotated 360 degrees until the three channels show the maximum reading. The power density readings equates to 1 mW/cm².

5.3 Temperature Assessment

E-field temperature correlation calibration is performed in a flat phantom filled with the appropriate simulated brain tissue. The E-field in the medium correlates with the temperature rise in the dielectric medium. For temperature correlation calibration a RF transparent thermistor-based temperature probe is used in conjunction with the E-field probe.

$$\text{SAR} = C \frac{\Delta T}{\Delta t}$$

where:

Δt = exposure time (30 seconds),

C = heat capacity of tissue (brain or muscle),

ΔT = temperature increase due to RF exposure.

$$\text{SAR} = \frac{|E|^2 \cdot \sigma}{\rho}$$

where:

σ = simulated tissue conductivity,

ρ = Tissue density (1.25 g/cm³ for brain tissue)

SAR is proportional to $\Delta T/\Delta t$, the initial rate of tissue heating, before thermal diffusion takes place. Now it's possible to quantify the electric field in the simulated tissue by equating the thermally derived SAR to the E-field;

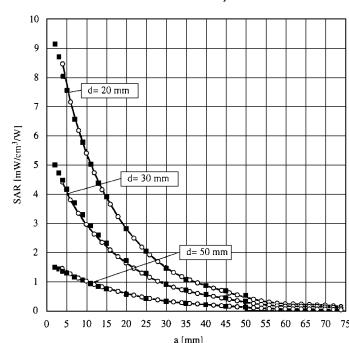


Figure 5-1 E-Field and Temperature measurements at 900MHz [7]

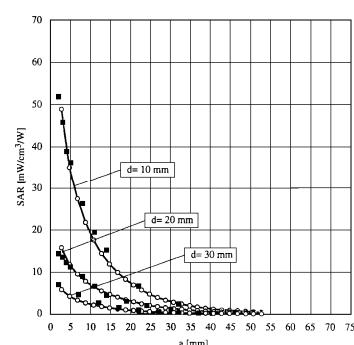


Figure 5-2 E-Field and temperature measurements at 1.9GHz [7]

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6

PHANTOM AND EQUIVALENT TISSUES

6.1 SAM Phantoms



Figure 6-1
SAM Phantoms

The SAM Twin Phantom V4.0 is constructed of a fiberglass shell integrated in a wooden table. The shape of the shell is based on data from an anatomical study designed to determine the maximum exposure in at least 90% of all users [11][12]. It enables the dosimetric evaluation of left and right hand phone usage as well as body mounted usage at the flat phantom region. A cover prevents the evaporation of the liquid. Reference markings on the Phantom allow the complete setup of all predefined phantom positions and measurement grids by manually teaching three points in the robot. (see Fig. 5.1)

6.2 Brain & Muscle Simulating Mixture Characterization



Figure 6-2
Head Simulated

The brain and muscle mixtures consist of a viscous gel using hydroxethylcellulose (HEC) gelling agent and saline solution (see Table 6-1). Preservation with a bactericide is added and visual inspection is made to make sure air bubbles are not trapped during the mixing process. The mixture is calibrated to obtain proper dielectric constant (permittivity) and conductivity of the desired tissue. The head tissue dielectric parameters recommended by the IEEE SCC-34/SC-2 have been incorporated in the following table. Other head and body tissue parameters that have not been specified in IEEE-1528 are derived from the tissue dielectric parameters computed from the 4-Cole-Cole equations. The mixture characterizations used for the brain and muscle tissue simulating liquids are according to the data by C. Gabriel and G. Hartsgrave [13]. (See Table 6-1)

Table 6-1
Composition of the Brain & Muscle Tissue Equivalent Matter

Frequency (MHz)	300	450	685	900	1450	1800			1900			1950		2000		2100		2450		3000		
Recipe #	1	1	3	1	1	2	3	1	1	2	2	3	1	2	4	1	1	2	2	3	2	
Ingredient: (% by weight)																						
1,2-Propanediol						64.81																
Bactericide	0.19	0.19	0.50	0.10	0.10		0.50					0.50									0.50	
Diacetin			49.90				49.20					49.43									49.75	
DGBE								45.41	47.00	13.84	44.92		44.94	13.84	45.00	50.00	50.00	7.99	7.99		7.99	
HEC	0.98	0.98		1.00	1.00																	
NaCl	5.95	3.95	1.70	1.45	1.48	0.79	1.10	0.67	0.36	0.35	0.18	0.64	0.18	0.35					0.16	0.16	0.16	
Sucrose	55.32	56.32		57.00	56.50																	
Triton X-100										30.45				30.45					19.97	19.97	19.97	
Water	37.56	38.16	48.90	40.45	40.92	34.40	49.20	53.80	52.64	55.36	54.90	49.43	54.90	55.36	55.00	50.00	50.00	71.88	71.88	49.75	71.88	
Measured dielectric parameters:																						
ϵ'_r	46.00	43.4	44.3	41.6	41.2	41.8	42.7	40.9	39.3	41	40.4	39.2	38.9	41	40.1	37	36.8	41.1	40.3	39.2	37.9	
$\sigma(S/m)$	0.86	0.85	0.9	0.9	0.98	0.97	0.99	1.21	1.39	1.38	1.4	1.4	1.42	1.38	1.41	1.4	1.31	1.35	1.88	1.82	2.46	
Temp. (°C)	22	22	20	22	22	20	22	22	21	22	20	21	21	20	22	22	20	20	20	20	20	
Target dielectric parameters (Table 2)																						
ϵ'_r	45.30	45.50	41.5		41.50	40.5				40.0						39.80	39.2	38.5				
$\sigma(S/m)$	0.87	0.87	0.9		0.97	1.2				1.4						1.49	1.8	2.4				

NOTE—Multiple columns for any single frequency are optional recipes. Recipe 0: reference 1 (Kanda et al. [B85]), 2 (Vignau [B145]), 3 (Payman and Oberle [B119]), 4 (Fukunaga et al. [B50]).

*The formulas containing Triton X-100 and corresponding measured parameters are under review and verification.

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7.1 Measurement Procedure

The evaluation was performed using the following procedure:

1. The SAR measurement was taken at a selected spatial reference point to monitor power variations during testing. This fixed point was measured and used as a reference value.
2. The SAR distribution at the exposed side of the head was measured at a distance of 3.0mm from the inner surface of the shell. The area covered the entire dimension of the head and the horizontal grid spacing was 15mm x 15mm.
3. Based on the area scan data, the area of the maximum absorption was determined by spline interpolation. Around this point, a volume of 32mm x 32mm x 30mm (fine resolution volume scan, zoom scan) was assessed by measuring 5 x 5 x 7 points. On this basis of this data set, the spatial peak SAR value was evaluated with the following procedure (see Figure 7-1):
 - a. The data at the surface was extrapolated, since the center of the dipoles is 2.7mm away from the tip of the probe and the distance between the surface and the lowest measuring point is 1.2mm. The extrapolation was based on a least square algorithm [15]. A polynomial of the fourth order was calculated through the points in z-axes. This polynomial was then used to evaluate the points between the surface and the probe tip.
 - b. The maximum interpolated value was searched with a straight-forward algorithm. Around this maximum the SAR values averaged over the spatial volumes (1g or 10g) were computed using the 3D-Spline interpolation algorithm. The 3D-spline is composed of three one-dimensional splines with the "Not a knot" condition (in x, y, and z directions) [15][16]. The volume was integrated with the trapezoidal algorithm. One thousand points (10 x 10 x 10) were interpolated to calculate the average.
 - c. All neighboring volumes were evaluated until no neighboring volume with a higher average value was found.
4. The SAR reference value, at the same location as step 1, was re-measured. If the value changed by more than 5%, the evaluation is repeated.



Figure 7-1
Sample SAR Area Scan

7.2 Specific Anthropomorphic Mannequin (SAM) Specifications

The phantom for handset SAR assessment testing is a low-loss dielectric shell, with shape and dimensions derived from the anthropometric data of the 90th percentile adult male head dimensions as tabulated by the US Army. The SAM Twin Phantom shell is bisected along the mid-sagittal plane into right and left halves (see Figure 7-2). The perimeter sidewalls of each phantom halves are extended to allow filling with liquid to a depth that is sufficient to minimize reflections from the upper surface. The liquid depth is maintained at a minimum depth of 15cm to minimize reflections from the upper surface.



Figure 7-2
SAM Twin Phantom Shell

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8

DEFINITION OF REFERENCE POINTS

8.1 EAR REFERENCE POINT

Figure 8-1 shows the front, back and side views of the SAM Twin Phantom. The point "M" is the reference point for the center of the mouth, "LE" is the left ear reference point (ERP), and "RE" is the right ERP. The ERP is 15mm posterior to the entrance to the ear canal (EEC) along the B-M line (Back-Mouth), as shown in Figure 8-1. The plane passing through the two ear canals and M is defined as the Reference Plane. The line N-F (Neck-Front) is perpendicular to the reference plane and passing through the RE (or LE) is called the Reference Pivoting Line (see Figure 8-2). Line B-M is perpendicular to the N-F line. Both N-F and B-M lines are marked on the external phantom shell to facilitate handset positioning [5].

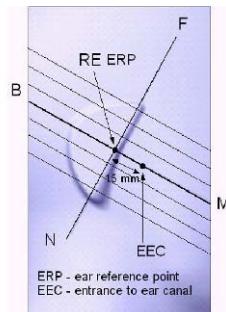


Figure 8-1
Close-Up Side view
of ERP

8.2 HANDSET REFERENCE POINTS

Two imaginary lines on the handset were established: the vertical centerline and the horizontal line. The test device was placed in a normal operating position with the "test device reference point" located along the "vertical centerline" on the front of the device aligned to the "ear reference point" (See Figure 8-3). The "test device reference point" was then located at the same level as the center of the ear reference point. The test device was positioned so that the "vertical centerline" was bisecting the front surface of the handset at its top and bottom edges, positioning the "ear reference point" on the outer surface of the both the left and right head phantoms on the ear reference point.



Figure 8-2
Front, back and side view of SAM Twin Phantom

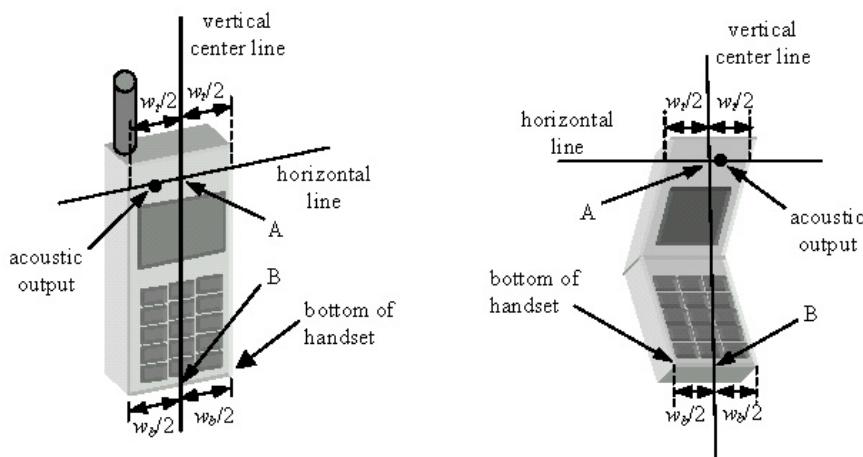


Figure 8-3
Handset Vertical Center & Horizontal Line Reference Points

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9 TEST CONFIGURATION POSITIONS

9.1 Positioning for Cheek/Touch

1. The test device was positioned with the handset close to the surface of the phantom such that point A is on the (virtual) extension of the line passing through points RE and LE on the phantom (see Figure 9-1), such that the plane defined by the vertical center line and the horizontal line of the phone is approximately parallel to the sagittal plane of the phantom.

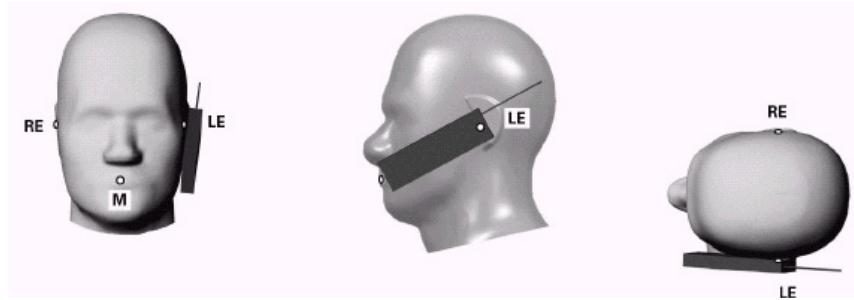


Figure 9-1 Front, Side and Top View of Cheek/Touch Position

2. The handset was translated towards the phantom along the line passing through RE & LE until the handset touches the ear.
3. While maintaining the handset in this plane, the handset was rotated around the LE-RE line until the vertical centerline was in the plane normal to MB-NF including the line MB (reference plane).
4. The phone was then rotated around the vertical centerline until the phone (horizontal line) was symmetrical with respect to the line NF.
5. While maintaining the vertical centerline in the reference plane, keeping point A on the line passing through RE and LE, and maintaining the phone contact with the ear, the handset was rotated about the line NF until any point on the handset made contact with a phantom point below the ear (cheek). See Figure 9-2)

9.2 Positioning for Ear / 15° Tilt

With the test device aligned in the "Cheek/Touch Position":

1. While maintaining the orientation of the phone, the phone was retracted parallel to the reference plane far enough to enable a rotation of the phone by 15 degree.
2. The phone was then rotated around the horizontal line by 15 degree.
3. While maintaining the orientation of the phone, the phone was moved parallel to the reference plane until any part of the phone touches the head. (In this position, point A was located on the line RE-LE). The tilted position is obtained when the contact is on the pinna. If the contact was at any location other than the pinna, the angle of the phone would then be reduced. The tilted position was obtained when any part of the phone was in contact of the ear as well as a second part of the phone was in contact with the head (see Figure 9-3).

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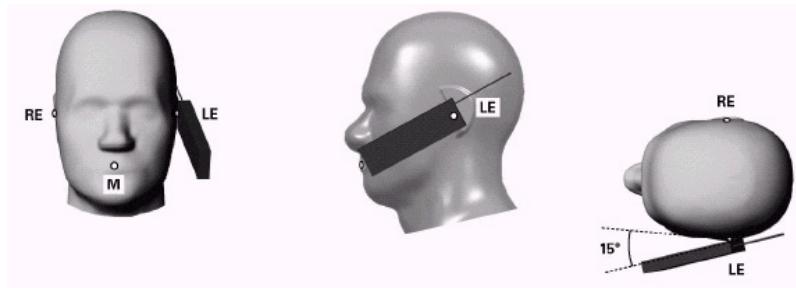
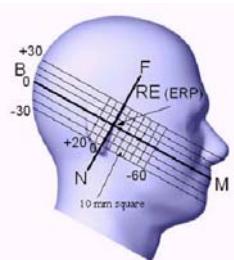


Figure 9-2 Front, Side and Top View of Ear/15° Tilt Position



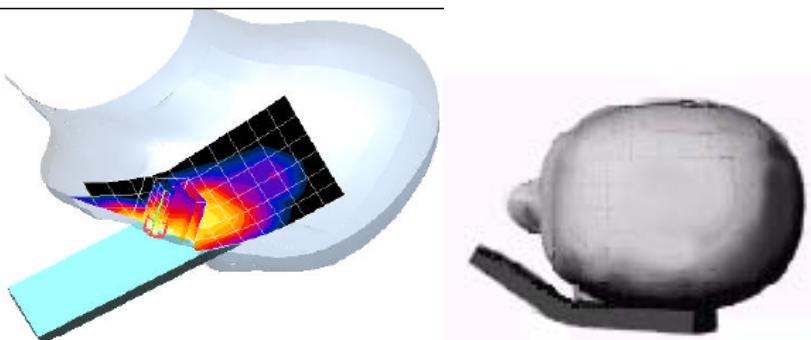
**Figure 9-3
Side view w/ relevant markings**



**Figure 9-4 Body SAR Sample Photo
(Not Actual EUT)**

9.3 SAR Evaluations near the Mouth/Jaw Regions of the SAM Phantom

Antennas located near the bottom of a phone may require SAR measurements around the mouth and jaw regions of the SAM head phantom. This typically applies to clam-shell style phones that are generally longer in the unfolded normal use positions or to certain older style long rectangular phones. It has been known for some time that there are SAR measurement difficulties in these regions of the SAM phantom. SAR probes are calibrated in tissue equivalent liquids with sufficient separation between the probe sensors and nearby physical boundaries to ensure scattering does not affect probe calibration. When the probe tip is moved into tight regions with multiple boundaries surrounding its sensors, probe calibration and measurement accuracy can become questionable. In addition, these measurement locations often require a probe to be tilted at steep angles, where it may no longer comply with calibration requirements and measurement protocols, or satisfy the required measurement uncertainty. In some situations it is not feasible to tilt the probe or rotate the phantom, as suggested by measurement standards, to conduct these measurements.



**Figure 9-5
SAR Scans near the Jaw/Mouth**

In order to ensure there is sufficient conservativeness for ensuring compliance until practical solutions are available, additional measurement considerations are necessary to address these technical difficulties. When measurements are required near the mouth, nose, jaw or similar tight regions of the SAM phantom,

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area or zoom scans are often unable to fully enclose the peak SAR location as required by IEEE 1528 and Supplement C, due to probe orientation and positioning difficulties. Even when limited measurements are possible, the test results could be questionable due to probe calibration and measurement uncertainty issues. Under these circumstances, the following procedures apply, adopted from the FCC guidance on SAR handsets document publication 648474. The SAR required in these regions of SAM should be measured using a flat phantom. **Rectangular shaped phones** should be positioned with its bottom edge positioned from the flat phantom with the same distance provided by the cheek touching position using SAM. The ear reference point (ERP, as defined for SAM) of the phone should be positioned $\frac{1}{2}$ cm from the flat phantom shell. **Clam-shell phones** should be positioned with the hinge against a smooth edge of the flat phantom where the upper half of the phone is unfolded and extended beyond the phantom side wall. The lower half of the phone is secured in the test device holder at a fixed distance below the flat phantom determined by the minimum separation along the lower edge of the phone in the cheek touching position using SAM. Any case with substantial variation in separation distance along the lower edge of a clam shell is discussed with the FCC for best-to-use methodology.

The flat phantom data should allow test results to be compared uniformly across measurement systems, until suitable solutions are available in measurement standards to address certain probe calibration and positioning issues, due to implementation differences between horizontal and upright SAM configurations. These flat phantom procedures are only applicable for stand-alone SAR evaluation in tight regions of the SAM phantom, where measurement is not feasible or test results can be questionable due to probe calibration and accessibility issues. Details on device positioning and photos showing how separation distances are determined are included in the SAR report Photographs. SAR for other regions of the head must be evaluated using SAM; therefore, a phone with antennas at different locations may require flat and SAM phantom evaluation for the different antennas.

9.4 Body Holster /Belt Clip Configurations

Body-worn operating configurations are tested with the belt-clips and holsters attached to the device and positioned against a flat phantom in a normal use configuration (see Figure 9-5). A device with a headset output is tested with a headset connected to the device.

Accessories for Body-worn operation configurations are divided into two categories: those that do not contain metallic components and those that do contain metallic components. When multiple accessories that do not contain metallic components are supplied with the device, the device is tested with only the accessory that dictates the closest spacing to the body. Then multiple accessories that contain metallic components are tested with the device with each accessory. If multiple accessories share an identical metallic component (i.e. the same metallic belt-clip used with different holsters with no other metallic components) only the accessory that dictates the closest spacing to the body is tested.

Body-worn accessories may not always be supplied or available as options for some devices intended to be authorized for body-worn use. In this case, a test configuration with a separation distance between the back of the device and the flat phantom is used. Test position spacing was documented. Transmitters that are designed to operate in front of a person's face, as in push-to-talk configurations, are tested for SAR compliance with the front of the device positioned to face the flat phantom in brain fluid. For devices that are carried next to the body such as a shoulder, waist or chest-worn transmitters, SAR compliance is tested with the accessories, including headsets and microphones, attached to the device and positioned against a flat phantom in a normal use configuration.

In all cases SAR measurements are performed to investigate the worst-case positioning. Worst-case positioning is then documented and used to perform Body SAR testing.

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10 ANSI/IEEE C95.1-2005 RF EXPOSURE LIMITS

10.1 Uncontrolled Environment

UNCONTROLLED ENVIRONMENTS are defined as locations where there is the exposure of individuals who have no knowledge or control of their exposure. The general population/uncontrolled exposure limits are applicable to situations in which the general public may be exposed or in which persons who are exposed as a consequence of their employment may not be made fully aware of the potential for exposure or cannot exercise control over their exposure. Members of the general public would come under this category when exposure is not employment-related; for example, in the case of a wireless transmitter that exposes persons in its vicinity.

10.2 Controlled Environment

CONTROLLED ENVIRONMENTS are defined as locations where there is exposure that may be incurred by persons who are aware of the potential for exposure, (i.e. as a result of employment or occupation). In general, occupational/controlled exposure limits are applicable to situations in which persons are exposed as a consequence of their employment, who have been made fully aware of the potential for exposure and can exercise control over their exposure. This exposure category is also applicable when the exposure is of a transient nature due to incidental passage through a location where the exposure levels may be higher than the general population/uncontrolled limits, but the exposed person is fully aware of the potential for exposure and can exercise control over his or her exposure by leaving the area or by some other appropriate means.

Table 10-1
SAR Human Exposure Specified in ANSI/IEEE C95.1-2005 and Health Canada Safety Code 6

HUMAN EXPOSURE LIMITS		
	UNCONTROLLED ENVIRONMENT <i>General Population</i> (W/kg) or (mW/g)	CONTROLLED ENVIRONMENT <i>Occupational</i> (W/kg) or (mW/g)
SPATIAL PEAK SAR Brain	1.6	8.0
SPATIAL AVERAGE SAR Whole Body	0.08	0.4
SPATIAL PEAK SAR Hands, Feet, Ankles, Wrists	4.0	20

1 The Spatial Peak value of the SAR averaged over any 1 gram of tissue (defined as a tissue volume in the shape of a cube) and over the appropriate averaging time.

2 The Spatial Average value of the SAR averaged over the whole body.

3 The Spatial Peak value of the SAR averaged over any 10 grams of tissue (defined as a tissue volume in the shape of a cube) and over the appropriate averaging time.

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11 MEASUREMENT UNCERTAINTIES

a	b	c	d	e= f(d,k)	f	g	h = c x f/e	i = c x g/e	k
Uncertainty Component	IEEE 1528 Sec.	Tol. (± %)	Prob. Dist.	Div.	c _i	c _i	1gm	10gms	v _i
Measurement System									
Probe Calibration	E.2.1	6.6	N	1	1.0	1.0	6.6	6.6	∞
Axial Isotropy	E.2.2	0.25	N	1	0.7	0.7	0.2	0.2	∞
Hemispherical Isotropy	E.2.2	1.3	N	1	1.0	1.0	1.3	1.3	∞
Boundary Effect	E.2.3	0.4	N	1	1.0	1.0	0.4	0.4	∞
Linearity	E.2.4	0.3	N	1	1.0	1.0	0.3	0.3	∞
System Detection Limits	E.2.5	5.1	N	1	1.0	1.0	5.1	5.1	∞
Readout Electronics	E.2.6	1.0	N	1	1.0	1.0	1.0	1.0	∞
Response Time	E.2.7	0.8	R	1.73	1.0	1.0	0.5	0.5	∞
Integration Time	E.2.8	2.6	R	1.73	1.0	1.0	1.5	1.5	∞
RF Ambient Conditions	E.6.1	3.0	R	1.73	1.0	1.0	1.7	1.7	∞
Probe Positioner Mechanical Tolerance	E.6.2	0.4	R	1.73	1.0	1.0	0.2	0.2	∞
Probe Positioning w/ respect to Phantom	E.6.3	2.9	R	1.73	1.0	1.0	1.7	1.7	∞
Extrapolation, Interpolation & Integration algorithms for Max. SAR Evaluation	E.5	1.0	R	1.73	1.0	1.0	0.6	0.6	∞
Test Sample Related									
Test Sample Positioning	E.4.2	6.0	N	1	1.0	1.0	6.0	6.0	287
Device Holder Uncertainty	E.4.1	3.32	R	1.73	1.0	1.0	1.9	1.9	∞
Output Power Variation - SAR drift measurement	E.6.2	5.0	R	1.73	1.0	1.0	2.9	2.9	∞
Phantom & Tissue Parameters									
Phantom Uncertainty (Shape & Thickness tolerances)	E.3.1	4.0	R	1.73	1.0	1.0	2.3	2.3	∞
Liquid Conductivity - deviation from target values	E.3.2	5.0	R	1.73	0.64	0.43	1.8	1.2	∞
Liquid Conductivity - measurement uncertainty	E.3.3	3.8	N	1	0.64	0.43	2.4	1.6	6
Liquid Permittivity - deviation from target values	E.3.2	5.0	R	1.73	0.60	0.49	1.7	1.4	∞
Liquid Permittivity - measurement uncertainty	E.3.3	4.5	N	1	0.60	0.49	2.7	2.2	6
Combined Standard Uncertainty (k=1)	RSS						12.4	12.0	299
Expanded Uncertainty (95% CONFIDENCE LEVEL)	k=2						24.7	24.0	

The above measurement uncertainties are according to IEEE Std. 1528-2003

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12 SYSTEM VERIFICATION

12.1 Tissue Verification

Table 12-1
Measured Tissue Properties

Calibrated Date:	03/17/2008		03/17/2008		03/17/2008		03/17/2008		03/24/2008	
	835H		835M		1900H		1900M		1900H	
	Target	Measured								
Dielectric Constant	41.50	42.61	55.20	56.69	40.00	38.15	53.30	55.44	40.00	39.68
Conductivity	0.90	0.94	0.97	0.99	1.40	1.34	1.52	1.59	1.40	1.36

12.2 Test System Verification

Prior to assessment, the system is verified to $\pm 10\%$ of the specifications at 835 and 1900 MHz by using the system validation kit(s). (Graphic Plots Attached)

Table 12-2
System Verification Results

System Verification TARGET & MEASURED							
Date:	Amb. Temp (°C)	Liquid Temp (°C)	Input Power (W)	Tissue Frequency (MHz)	Targeted SAR _{1g} (mW)	Measured SAR _{1g} (mW)	Deviation (%)
03/19/2008	23.5	21.8	0.250	835	2.29	2.38	3.93%
03/19/2008	23.6	21.5	0.100	1900	3.75	3.87	3.20%
03/26/2008	23.8	21.9	0.100	1900	3.75	3.94	5.07%
03/28/2008	23.7	21.8	0.100	1900	3.75	3.73	-0.53%

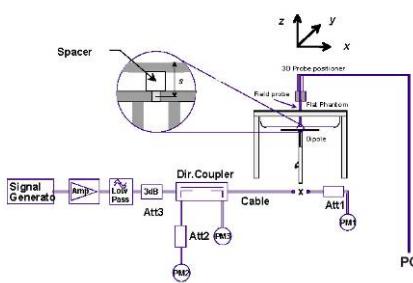


Figure 12-1
System Verification Setup Diagram



Figure 12-2
System Verification Setup Photo

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13 FCC 3G MEASUREMENT PROCEDURES

Power measurements were performed using a base station simulator under digital average power.

13.1 Procedures Used to Establish RF Signal for SAR

The handset was placed into a simulated call using a base station simulator in a shielded chamber. Such test signals offer a consistent means for testing SAR and are recommended for evaluating SAR [4]. SAR measurements were taken with a fully charged battery. In order to verify that the device was tested and maintained at full power, this was configured with the base station simulator. The SAR measurement software calculates a reference point at the start and end of the test to check for power drifts. If conducted power deviations of more than 5% occurred, the tests were repeated.

13.2 SAR Measurement Conditions for UMTS

13.2.1 Output Power Verification

Maximum output power is verified on the High, Middle and Low channels according to the general descriptions in section 5.2 of 3GPP TS 34.121, using the appropriate RMC or AMR with TPC (transmit power control) set to all "1s".

13.2.2 Head SAR Measurements

SAR for head exposure configurations is measured using the 12.2 kbps RMC with TPC bits configured to all "1s". SAR in AMR configurations is not required when the maximum average output of each RF channel for 12.2 kbps AMR is less than $\frac{1}{4}$ dB higher than that measured in 12.2 kbps RMC. Otherwise, SAR is measured on the maximum output channel in 12.2 AMR with a 3.4 kbps SRB (signaling radio bearer) using the exposure configuration that results in the highest SAR for that RF channel in 12.2 RMC.

13.2.3 Body SAR Measurements

SAR for body exposure configurations is measured using the 12.2 kbps RMC with the TPC bits all "1s".

13.2.4 Handsets with HSDPA

Body SAR is not required for handsets with HSDPA capabilities when the maximum average output of each RF channel with HSDPA active is less than $\frac{1}{4}$ dB higher than that measured without HSDPA using 12.2 kbps RMC and the maximum SAR for 12.2 kbps RMC is $\leq 75\%$ of the SAR limit. Otherwise, SAR is measured for HSDPA, using an FRC with H-Set 1 in Sub-test 1 and a 12.2 kbps RMC configured in Test Loop Mode 1, using the highest body SAR configuration in 12.2 kbps RMC without HSDPA, on the maximum output channel with the body exposure configuration that results in the highest SAR in 12.2 kbps RMC for that RF channel.

Table 13-1 Conducted Power for FOMA N906iμ

		RF Conducted Power Table		UMTS RF Conducted Power Table			
Band	Channel	GSM [dBm]	GPRS [dBm] 1 Tx Slot	Band	Channel	12.2 kbps RMC [dBm]	12.2 kbps AMR [dBm]
PCS	512	30.67	30.66	Cellular	4132	23.27	23.26
	661	30.41	30.35		4183	23.57	23.55
	810	30.33	30.32		4233	23.64	23.53

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14 SAR DATA SUMMARY

14.1 GSM PCS Head SAR Results

MEASUREMENT RESULTS								
FREQUENCY		Mode	C_Power[dBm]		Side	Test Position	Battery	SAR
MHz	Ch.		Start	End				(W/kg)
1880.00	661	PCS	30.41	30.34	Right	Touch	Standard	0.665
1880.00	661	PCS	30.41	30.56	Right	Tilt	Standard	0.263
1880.00	661	PCS	30.41	30.25	Left	Touch	Standard	0.547
1880.00	661	PCS	30.41	30.26	Left	Tilt	Standard	0.215
ANSI / IEEE C95.1 2005 - SAFETY LIMIT						Brain		
Spatial Peak						1.6 W/kg (mW/g)		
Uncontrolled Exposure/General Population						averaged over 1 gram		

Notes:

1. The test data reported are the worst-case SAR value with the position set in a typical configuration. Test procedures used are according to FCC/OET Bulletin 65, Supplement C [July 2001].
2. All modes of operation were investigated, and worst-case results are reported.
3. Batteries are fully charged for all readings. Standard batteries were investigated.
4. Tissue parameters and temperatures are listed on the SAR plots.
5. Liquid tissue depth is 15.1 cm. \pm 0.1.
6. Justification for reduced test configurations: Per FCC/OET Bulletin 65 Supplement C (July, 2001) and Public Notice DA-02-1438, if the SAR measured at the middle channel for each test configuration (left, right, cheek/touch, tilt/ear, extended and retracted) is at least 3.0 dB lower than the SAR limit, testing at the high and low channels is optional for such test configuration(s).

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14.2 WCDMA Band V (Cellular) Head SAR Results

MEASUREMENT RESULTS								
FREQUENCY		Mode	C_Power[dBm]		Side	Test Position	Battery	SAR
MHz	Ch.		Start	End				(W/kg)
836.60	4183	WCDMA	23.57	23.66	Right	Touch	Standard	0.779
836.60	4183	WCDMA	23.57	23.57	Right	Tilt	Standard	0.274
836.60	4183	WCDMA	23.57	23.47	Left	Touch	Standard	0.729
836.60	4183	WCDMA	23.57	23.43	Left	Tilt	Standard	0.229
ANSI / IEEE C95.1 2005 - SAFETY LIMIT						Brain		
Spatial Peak						1.6 W/kg (mW/g)		
Uncontrolled Exposure/General Population						averaged over 1 gram		

Notes:

1. The test data reported are the worst-case SAR value with the position set in a typical configuration. Test procedures used are according to FCC/OET Bulletin 65, Supplement C [July 2001].
2. All modes of operation were investigated, and worst-case results are reported.
3. Batteries are fully charged for all readings. Standard batteries were investigated.
4. Tissue parameters and temperatures are listed on the SAR plots.
5. Liquid tissue depth is 15.1 cm. \pm 0.1.
6. Justification for reduced test configurations: Per FCC/OET Bulletin 65 Supplement C (July, 2001) and Public Notice DA-02-1438, if the SAR measured at the middle channel for each test configuration (left, right, cheek/touch, tilt/ear, extended and retracted) is at least 3.0 dB lower than the SAR limit, testing at the high and low channels is optional for such test configuration(s).
7. WCDMA Mode was tested under RMC 12.2 kbps.

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14.3 Body SAR Results

14.3.1 GSM Mode

MEASUREMENT RESULTS											
FREQUENCY		Mode	C_Power[dBm]		Position	Service	Spacing	Battery	Slots	Side	SAR
MHz	Ch.		Start	End							(W/kg)
1880.00	661	PCS	30.35	30.23	Body	GPRS	1.5 cm	Standard	1	back	0.290
1880.00	661		30.35	30.31	Body	GPRS	1.5 cm	Standard	1	front	0.157
ANSI / IEEE C95.1 2005 - SAFETY LIMIT Spatial Peak Uncontrolled Exposure/General Population								Muscle 1.6 W/kg (mW/g) averaged over 1 gram			

14.3.2 WCDMA Mode

MEASUREMENT RESULTS											
FREQUENCY		Mode	C_Power[dBm]		Position	Service	Spacing	Battery	Side	SAR	
MHz	Ch.		Start	End						(W/kg)	
835.00	4183	WCDMA	23.57	23.50	Body	RMC	1.5 cm	Standard	back	0.381	
835.00	4183		23.57	23.50	Body	RMC	1.5 cm	Standard	front	0.164	
ANSI / IEEE C95.1 2005 - SAFETY LIMIT Spatial Peak Uncontrolled Exposure/General Population								Muscle 1.6 W/kg (mW/g) averaged over 1 gram			

Notes:

1. The test data reported are the worst-case SAR value with the position set in a typical configuration. Test procedures used are according to FCC/OET Bulletin 65, Supplement C [July 2001].
2. All modes of operation were investigated, and worst-case results are reported.
3. Batteries are fully charged for all readings. Standard batteries were investigated.
4. Tissue parameters and temperatures are listed on the SAR plots.
5. Both sides of the phone were tested, and the worst-case is reported.
6. Liquid tissue depth is 15.1 cm. \pm 0.1.
7. Device was tested using a fixed spacing.
8. WCDMA mode was tested under RMC 12.2 kbps.

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15 EQUIPMENT LIST

Manufacturer	Model / Equipment	Calibration Date	Cal Interval	Calibration Due	Serial No.
Agilent	8648D (9kHz-4GHz) Signal Generator	10/11/2007	Biennial	10/10/2009	3613A00315
Agilent	E5515C Wireless Communications Test Set	10/6/2006	Biennial	10/5/2008	GB43193972
Agilent	E5515C Wireless Communications Test Set	6/8/2007	Biennial	6/8/2009	GB46310798
Agilent	E5515C Wireless Communications Test Set	8/31/2007	Biennial	8/30/2009	GB41450275
Agilent	E6651A Mobile WiMAX Tester	8/23/2007	Biennial	8/22/2009	MY47310109
Agilent	E8257D (250kHz-20GHz) Signal Generator	3/8/2007	Biennial	3/8/2009	MY45470194
Agilent	HP 85070B Dielectric Probe Kit	7/12/2007	Annual	7/11/2008	US33020316
Agilent	N4010A Wireless Connectivity Test Set	6/11/2007	Annual	6/11/2008	GB46170464
Gigatronics	80701A (0.05-18GHz) Power Sensor	6/22/2007	Annual	6/21/2008	1833460
Gigatronics	8651A Universal Power Meter	6/22/2007	Annual	6/21/2008	8650319
Rohde & Schwarz	CMU200 Base Station Simulator	5/24/2007	Annual	5/23/2008	836371/079
Rohde & Schwarz	CMU200 Base Station Simulator	9/7/2007	Annual	9/6/2008	833855/010
Rohde & Schwarz	CMU200 Base Station Simulator	12/6/2007	Annual	12/5/2008	107826
Rohde & Schwarz	NRVD Dual Channel Power Meter	12/11/2006	Biennial	12/10/2008	101695
Rohde & Schwarz	NRVS Power Meter	7/3/2007	Biennial	7/2/2009	835360/079
Rohde & Schwarz	NRV-Z32 Peak Power Sensor (100uW-2W)	12/21/2006	Biennial	12/20/2008	100155
Rohde & Schwarz	NRV-Z33 Peak Power Sensor (1mW-20W)	11/28/2006	Biennial	11/27/2008	100004
Rohde & Schwarz	NRV-Z33 Peak Power Sensor (1mW-20W)	7/3/2007	Biennial	7/2/2009	846076/007
SPEAG	D1450V2 1450 MHz SAR Dipole	6/11/2007	Biennial	6/10/2009	1025
SPEAG	D1765V2 1765 MHz SAR Dipole	6/11/2007	Biennial	6/10/2009	1008
SPEAG	D1900V2 1900 MHz SAR Dipole	1/23/2007	Biennial	1/22/2009	502
SPEAG	D1900V2 1900MHz SAR Dipole	1/23/2007	Biennial	1/22/2009	5d080
SPEAG	D2450V2 2450 MHz SAR Dipole	9/26/2007	Biennial	9/25/2009	719
SPEAG	D2450V2 2450MHz SAR Dipole	1/17/2007	Biennial	1/16/2009	797
SPEAG	D2600V2 2600MHz SAR Dipole	1/30/2008	Biennial	1/29/2010	1004
SPEAG	D5GHzV2 5 GHz SAR Dipole	9/25/2007	Biennial	9/24/2009	1007
SPEAG	D5GHzV2 5GHz SAR Dipole	1/24/2007	Biennial	1/23/2009	1057
SPEAG	D835V2 835MHz SAR Dipole	1/8/2007	Biennial	1/7/2009	4d047
SPEAG	D835V2 835MHz SAR Dipole	8/27/2007	Biennial	8/26/2009	4d026
SPEAG	DAE3	11/13/2007	Annual	11/12/2008	455
SPEAG	DAE4	5/25/2007	Annual	5/24/2008	704
SPEAG	DAE4	8/29/2007	Annual	8/28/2008	665
SPEAG	DAE4	1/30/2008	Annual	1/29/2009	649
SPEAG	ES3DV2 SAR Probe	10/23/2007	Annual	10/22/2008	3022
SPEAG	EX3DV4 SAR Probe	5/28/2007	Annual	5/27/2008	3589
SPEAG	EX3DV4 SAR Probe	8/30/2007	Annual	8/29/2008	3561
SPEAG	EX3DV4 SAR Probe	1/31/2008	Annual	1/30/2009	3550

Notes:

The E-field probe was calibrated by SPEAG, by the waveguide technique procedure. Dipole Validation measurement is performed by PCTEST prior to SAR evaluation. The brain simulating material is calibrated by PCTEST using the dielectric probe system and network analyzer to determine the conductivity and permittivity (dielectric constant) of the brain-equivalent material.

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16 CONCLUSION

16.1 Measurement Conclusion

The SAR evaluation indicates that the EUT complies with the RF radiation exposure limits of the FCC, with respect to all parameters subject to this test. These measurements were taken to simulate the RF effects of RF exposure under worst-case conditions. Precise laboratory measures were taken to assure repeatability of the tests. The results and statements relate only to the item(s) tested.

Please note that the absorption and distribution of electromagnetic energy in the body are very complex phenomena that depend on the mass, shape, and size of the body, the orientation of the body with respect to the field vectors, and the electrical properties of both the body and the environment. Other variables that may play a substantial role in possible biological effects are those that characterize the environment (e.g. ambient temperature, air velocity, relative humidity, and body insulation) and those that characterize the individual (e.g. age, gender, activity level, debilitation, or disease). Because various factors may interact with one another to vary the specific biological outcome of an exposure to electromagnetic fields, any protection guide should consider maximal amplification of biological effects as a result of field-body interactions, environmental conditions, and physiological variables. [3]

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