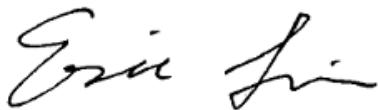


# TEST REPORT

<b>Application No.:</b>	KSCR2111000258AT (SZCR2111023625AT)
<b>FCC ID:</b>	2AG32EG8015QM11
<b>Applicant:</b>	Baicells Technologies Co., Ltd.
<b>Address of Applicant:</b>	9-10F, 1stBldg., No.81BeijingRoad, Haidian District, Beijing, China
<b>Manufacturer:</b>	Baicells Technologies Co., Ltd.
<b>Address of Manufacturer:</b>	9-10F, 1stBldg., No.81BeijingRoad, Haidian District, Beijing, China
<b>Equipment Under Test (EUT):</b>	
<b>EUT Name:</b>	LTE Turbo UE
<b>Model No.:</b>	EG8015Q-M11
<b>Trade Mark:</b>	Baicells
<b>Standard(s):</b>	CBRSA-TS-9001-V1.0.0 WINNF-19-IN-00033 V1.0 FCC 47 CFR Part 96 FCC KDB 940660 D01 Part 96 CBRS Eqpt v03 KDB 940660 D02 CPE-CBSD Handshake Procedures v02 WINNF-TS-0122-V1.0.2 CBRS CBSD Test Specification
<b>Date of Receipt:</b>	2021-10-15
<b>Date of Test:</b>	2021-11-03 to 2021-11-04
<b>Date of Issue:</b>	2021-11-29
<b>Test Result:</b>	<b>Pass*</b>

\* In the configuration tested, the EUT complied with the standards specified above.



Eric Lin  
Laboratory Manager

The manufacturer should ensure that all products in series production are in conformity with the product sample detailed in this report. If the product in this report is used in any configuration other than that detailed in the report, the manufacturer must ensure the new system complies with all relevant standards. Any mention of SGS International Electrical Approvals or testing done by SGS International Electrical Approvals in connection with, distribution or use of the product described in this report must be approved by SGS International Electrical Approvals in writing.



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Revision Record			
Version	Description	Date	Remark
00	Original	2021-11-29	/

Authorized for issue by:			
		Damon Zhou	
		Damon Zhou / Project Engineer	
		Eric Lin	
		Eric Lin / Reviewer	

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## 2 Test Summary

Item	Standard	Test Case ID	Result
Multi-Step registration	WINNF-TS-0122-V1.0.2	WINNF.FT.C.REG.1	Pass
Single-Step registration for CBSD with CPI signed data	WINNF-TS-0122-V1.0.2	WINNF.FT.C.REG.5	Pass
Missing Required parameters (responseCode 102)	WINNF-TS-0122-V1.0.2	WINNF.FT.C.REG.8	Pass
Pending registration (responseCode 200)	WINNF-TS-0122-V1.0.2	WINNF.FT.C.REG.10	Pass
Invalid parameter (responseCode 103)	WINNF-TS-0122-V1.0.2	WINNF.FT.C.REG.12	Pass
Blacklisted CBSD (responseCode 101)	WINNF-TS-0122-V1.0.2	WINNF.FT.C.REG.14	Pass
Unsupported SAS protocol version (responseCode 100)	WINNF-TS-0122-V1.0.2	WINNF.FT.C.REG.16	Pass
Group Error (responseCode 201)	WINNF-TS-0122-V1.0.2	WINNF.FT.C.REG.18	Pass
Unsuccessful Grant responseCode=400 (INTERFERENCE)	WINNF-TS-0122-V1.0.2	WINNF.FT.D.GRA.1	Pass
Unsuccessful Grant responseCode=401 (GRANT_CONFLICT)	WINNF-TS-0122-V1.0.2	WINNF.FT.C.GRA.2	Pass
Heartbeat Success Case (first Heartbeat Response)	WINNF-TS-0122-V1.0.2	WINNF.FT.C.HBT.1	Pass
Heartbeat responseCode=105 (DREGISTER)	WINNF-TS-0122-V1.0.2	WINNF.FT.C.HBT.3	Pass
Heartbeat responseCode=500 (TERMINATED_GRANT)	WINNF-TS-0122-V1.0.2	WINNF.FT.C.HBT.4	Pass
Heartbeat responseCode=501 (SUSPENDED_GRANT) in First Heartbeat Response	WINNF-TS-0122-V1.0.2	WINNF.FT.C.HBT.5	Pass
Heartbeat responseCode=501 (SUSPENDED_GRANT) in Subsequent Heartbeat Response	WINNF-TS-0122-V1.0.2	WINNF.FT.C.HBT.6	Pass
Heartbeat responseCode=502 (UNSYNC_OP_PARAM)	WINNF-TS-0122-V1.0.2	WINNF.FT.C.HBT.7	Pass
Heartbeat Response Absent (First Heartbeat)	WINNF-TS-0122-V1.0.2	WINNF.FT.C.HBT.9	Pass
Heartbeat Response Absent (Subsequent Heartbeat)	WINNF-TS-0122-V1.0.2	WINNF.FT.C.HBT.10	Pass
Successful Relinquishment	WINNF-TS-0122-V1.0.2	WINNF.FT.C.RLQ.1	Pass
Successful Deregistration	WINNF-TS-0122-V1.0.2	WINNF.FT.C.DRG.1	Pass

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EMC Laboratory

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Item	Standard	Test Case ID	Result
Successful TLS connection between UUT and SAS Test Harness	WINNF-TS-0122-V1.0.2	WINNF.FT.C.SCS.1	Pass
TLS failure due to revoked certificate	WINNF-TS-0122-V1.0.2	WINNF.FT.C.SCS.2	Pass
TLS failure due to expired server certificate	WINNF-TS-0122-V1.0.2	WINNF.FT.C.SCS.3	Pass
TLS failure when SAS Test Harness certificate is issued by unknown CA	WINNF-TS-0122-V1.0.2	WINNF.FT.C.SCS.4	Pass
TLS failure when certificate at the SAS Test Harness is corrupted	WINNF-TS-0122-V1.0.2	WINNF.FT.C.SCS.5	Pass
UUT RF Transmit Power Measurement	WINNF-TS-0122-V1.0.2	WINNF.PT.C.HBT	Pass
SAS Version: 1.0.0.3			

The UUT is a CPE-CBSD product. According to the specifications of the manufacturer, it must comply with the requirements of the following standards:

**Test standards:**

**CBRSA-TS-9001-V1.0.0**

CBRS Alliance Certification Test Plan

**WINNF-TS-0122-V1.0.2**

Test and Certification for Citizens Broadband Radio Service (CBRS); Conformance and Performance Test Technical Specification; CBSD/DP as Unit Under Test (UUT)

**WINNF-19-IN-00033 V1.0**

CBRS CPE-CBSD as UUT Test Guidelines

**KDB 940660 D01 Part 96 CBRS Eqpt v03**

**KDB 940660 D02 CPE-CBSD Handshake Procedures v02**



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## 4 General Information

### 4.1 Details of E.U.T.

Power supply:	Adapter Model No.: G0720-240-100 Input: AC100-240V, 50/60Hz, MAX 0.75A Output: DC 24.0V, 1.0A, 24.0W
Sample Type:	Fixed device
CBSD Class:	B (Outdoor CPE)
Transmitter Frequency Band:	LTE Band 48
Transmitter Frequency Range:	3550~3700MHz
Hardware Version:	ver.A
Software Version:	BaiCE_LT_1.0.16
Test sample:	202104131448 (S/N)
Antenna Gain:	13dBi
MIMO Type:	2T4R MIMO

### 4.2 Description of CBSD/DP Support Features

Condition	Feature Description	Supported
C1	Mandatory for UUT which supports multi-step registration message.	Y
C2	Mandatory for UUT which supports single-step registration with no CPI-signed data in the registration message. By definition, this is a subset of Category A devices which determine all registration information, including location, without CPI intervention.	N
C3	Mandatory for UUT which supports single-step registration containing CPI-signed data in the registration message.	Y
C4	Mandatory for UUT which supports RECEIVED_POWER_WITHOUT_GRANT measurement report type.	N
C5	Mandatory for UUT which supports RECEIVED_POWER_WITH_GRANT measurement report type.	N
C6	Mandatory for UUT which supports parameter change being made at the UUT and prior to sending a deregistration.	N

Y: Supported

N: Not supported



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### 4.3 Summary of Test Results

WINNF-TS-0122			
Classes	Test Case Items	Pass Items	Pass Rate (%)
FT (CBSD, DP/CBSD)	25	25	100
PT (CBSD, DP/CBSD)	1	1	100
Total	25	25	100

Note:

1. Functional Test (FT): Test to validate the conformance of the Protocols and functionalities implemented in the CBSD/DP UUT to the requirements developed by WInnForum and supporting FCC/DoD requirements.
2. Field/Performance Test (PT): Test to check the capability of the CBSD/DP UUT to support various traffic models and actual operations in the field.

Duration and Duty Cycle		
Period	Limit	Test Result
10-second	1-second	Pass
300-second	10-second	Pass
3600-second	20-second	Pass

Note: Limited in duration and duty cycle to the minimum time necessary to get a grant from the SAS.

This time should not exceed 1 second within any 10-second period, 10seconds within any 300-second period, or 20 seconds within any 3600-second period.

### 4.4 Modification Record

1. The Pass/Fail criteria "UUT shall not transmit RF" is replaced by "CPE-CBSD UUT shall not transmit user traffic".
2. The Pass/Fail criteria "UUT shall stop transmission" is replaced by CPE-CBSD UUT shall stop transmitting user traffic"

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## 4.5 Measurement Uncertainty

No.	Item	Measurement Uncertainty
1	Radio Frequency	$\pm 7.25 \times 10^{-8}$
2	RF conducted power	$\pm 0.75\text{dB}$
3	Temperature test	$\pm 1^\circ\text{C}$
4	Humidity test	$\pm 3\%$
5	Supply voltages	$\pm 1.5\%$
6	Time	$\pm 3\%$

## 4.6 Description of Support Units

Description	Manufacturer	Model No.	Serial No.
EPC	Lanner Electronics Inc.	LICA-1513A	LR202002004052
Router	TP-LINK	TL-R860+	1175379002425
Base station	Baicells	mBS1105 (FCC ID: 2AG32MBS110596N)	120200007219BSP0001

## 4.7 Test Location

All tests were performed at:

Compliance Certification Services (Kunshan) Inc.

No.10 Weiye Rd, Innovation park, Eco&Tec, Development Zone, Kunshan City, Jiangsu, China.

Tel: +86 512 5735 5888      Fax: +86 512 5737 0818

No tests were sub-contracted.

## 4.8 Test Facility

The test facility is recognized, certified, or accredited by the following organizations:

- **A2LA (Certificate No. 2541.01)**

Compliance Certification Services (Kunshan) Inc. is accredited by the American Association for Laboratory Accreditation (A2LA). Certificate No. 2541.01.



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## 5 Equipment List

Test Equipment	Manufacturer	Model No.	Inventory No.	Cal. Date	Cal. Due date
Laptop (With SAS Test harness)	Lenovo	Pro-13API 2019	REF. No.SEA1800	/	/
Spectrum Analyzer	R&S	FSV40	101493	2021-10-18	2022-10-17
Shield Room	SAEMC	MSR433	SEM001-11	2021-03-11	2023-03-12
Coaxial Cable	SGS	N/A	SEM031-01	2021-07-10	2022-07-09
Attenuator	Weinschel Associates	WA41	SEM021-09	N/A	N/A
Humidity/ Temperature Indicator	Shanghai Meteorological Industry Factory	ZJ1-2B	SEM002-03	2021-09-26	2022-09-25

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## 6 Test Method and Environment

### 6.1 CBSD/DP Conformance and Performance

Test Requirement: CBRS CBSD Test Specification WINNF-TS-0122-V1.0.2  
Test Method: CBRS CBSD Test Specification WINNF-TS-0122-V1.0.2  
WINNF-IN-0156\_WInnForum\_SAS\_Test\_Harness\_CBSD\_UUT\_Tutorial\_v1\_0\_0\_1

### 6.2 CPE-CBSD Handshake Procedures

Test Requirement: KDB 940660 D02 CPE-CBSD Handshake Procedures v02  
Test Method: WINNF-19-IN-00033 V1.0  
KDB 940660 D02 CPE-CBSD Handshake Procedures v02

### 6.3 CBSD Test Procedure

- Connect the UUT to SAS Test Harness system and RF Test instruments via the CBSD interface and RF components. The highest level is set to test configuration.
- UUT shall be UTC time synchronized
- The frequency band is granted and set as UUT supported Modulation and Channels, transmitted power of the UUT according to it granted parameters from the SAS Test Harness.
- Each test case results were recorded and validated by SAS Test Harness system and RF instruments test cases was recorded test results from SAS Test Harness system.

### 6.4 Duration and Duty Cycle Measurement

The CPE-CBSD shall validate and ensure that limited in duration and duty cycle to the minimum time necessary to get a grant from the SAS.

### 6.5 Duration and Duty Cycle Test Procedure

- CPE-CBSD as UUT does not receive any RF signal from its "Compatible BTS-CBSD" (FCC ID: 2AG32MBS110596N), so CPE-CBSD as UUT does not transmit. UUT shall be UTC time synchronized.
- Use the WinnForum SAS Harness #1 for CPE-CBSD as UUT and run test case WINNF.FT.C.GRA.1 for CPE-CBSD as UUT.
- CPE-CBSD as UUT starts to receive the RF signal from its "Compatible BTS-CBSD", so CPE-CBSD can start communicating with the WinnForum SAS Harness #1. Make note of the time when RF Test equipment logs the first transmission from CPE-CBSD which is above 23dBm/10MHz – this is the start time of the {X time out of Y time}.
- When the test case WINNF.FT.C.GRA.1 finishes and the questions appear on the WinnForum SAS Harness #1 console, do NOT answer the questions. Wait until Y time has passed from step #3. During this Y time, the RF test equipment is logging the amount of time CPE-CBSD as UUT transmitted EiRP above 23dBm/10MHz. The amount of time logged for transmitting EIRP above 23dBm/10MHz is the X time.
- Answer the questions on the WinnForum SAS Harness #1 console so the WinnForum SAS Harness #1 is ready for the next test.



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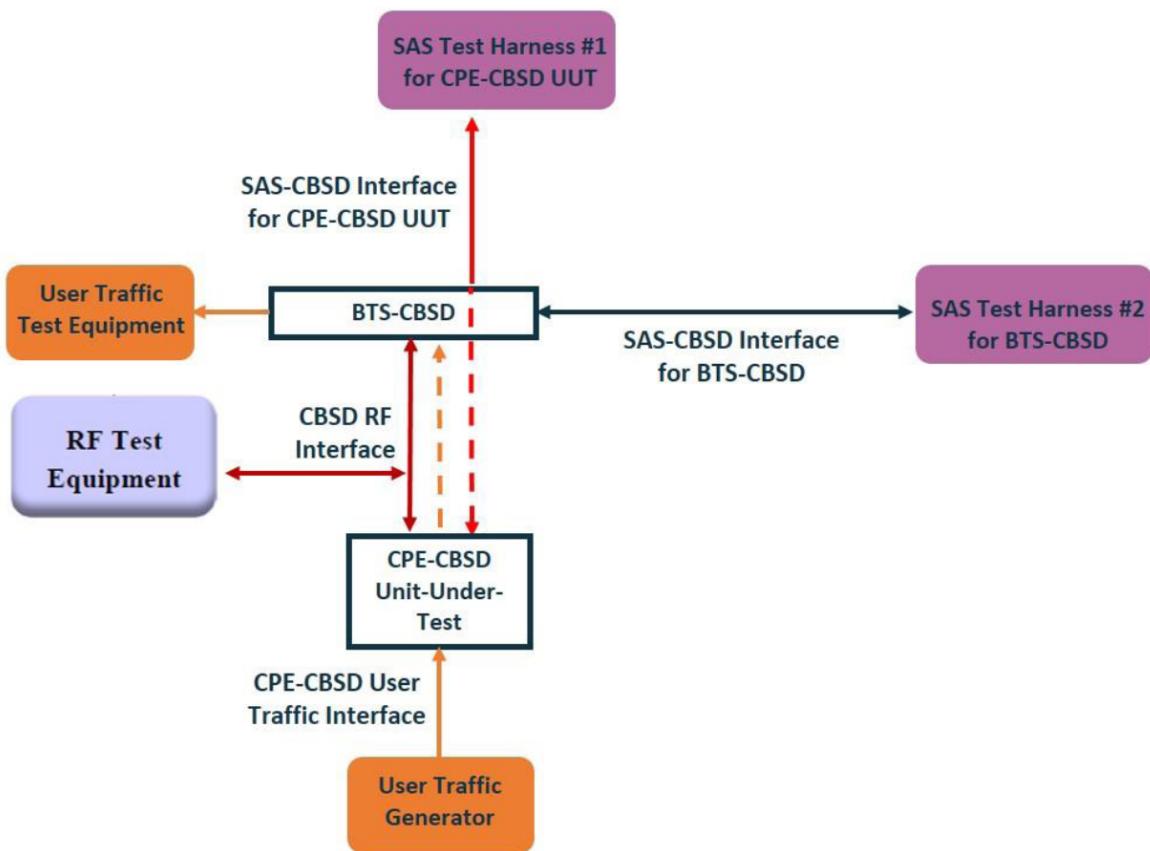
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## 6.6 Test Environment

Test Harness Version:	V1.0.0.3
Operating System:	Microsoft Windows 10
TLS Version:	1.2
Python Version:	2.7.13
Environmental Conditions:	25deg. C, 65%RH
Input Power:	120Vac, 60Hz

## 6.7 Test Setup



CPE-CBSD as UUT, BTS-CBSD direct communication without Domain Proxy



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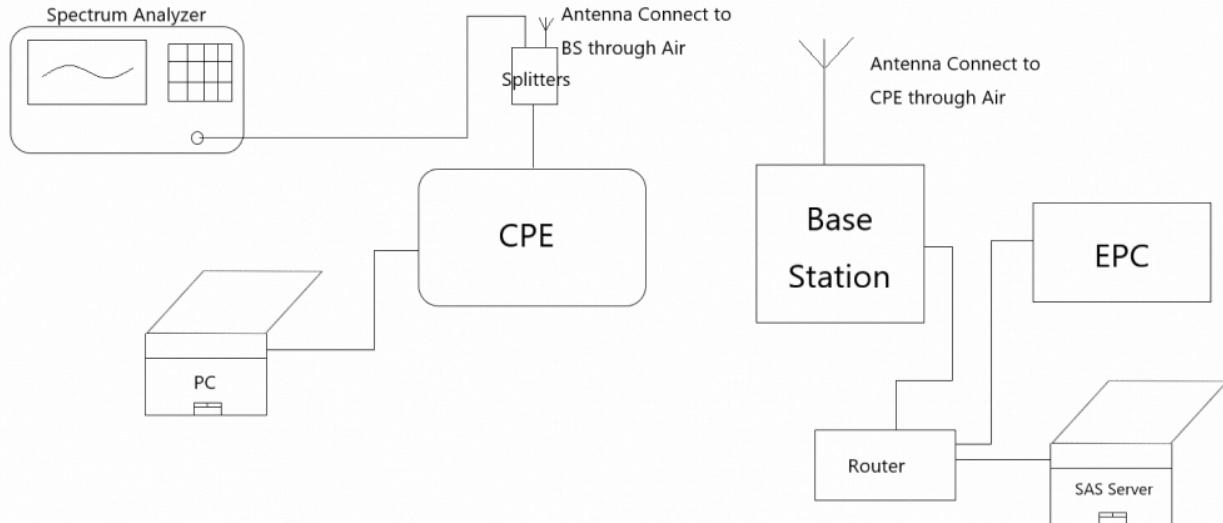
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Test setup diagram for WINNF.PT.C.HBT Test case

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## 7 Test Data

### 7.1 CBSD Registration Process

#### 7.1.1 WINNF.FT.C.REG.1

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> <li>UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness</li> <li>UUT is in the Unregistered state</li> </ul>	--	--
2	CBSD sends correct Registration request information, as specified in [n.5], to the SAS Test Harness: <ul style="list-style-type: none"> <li>The required userId, fccId and cbsdSerialNumber registration parameters shall be sent from the CBSD and conform to proper format and acceptable ranges.</li> <li>Any REG-conditional or optional registration parameters that may be included in the message shall be verified that they conform to proper format and are within acceptable ranges.</li> </ul> <p>Note: It is outside the scope of this document to test the Registration information that is supplied via another means.</p>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	SAS Test Harness sends a CBSD Registration Response as follows: <ul style="list-style-type: none"> <li>cbsdId = C</li> <li>measReportConfig shall not be included</li> <li>responseCode = 0</li> </ul>	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> <li>UUT shall not transmit RF</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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## 7.1.2 WINNF.FT.C.REG.5

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>● UUT is in the Unregistered state</li> <li>● All of the required and REG-Conditional parameters shall be configured and CPI signature provided</li> </ul>	--	--
2	<p>CBSD sends Registration request to the SAS Test Harness:</p> <ul style="list-style-type: none"> <li>● The required userId, fccId and cbsdSerialNumber and REG-Conditional cbsdCategory, airInterface, measCapability and cpiSignatureData registration parameters shall be sent from the CBSD and conform to proper format and acceptable ranges.</li> <li>● Any optional registration parameters that may be included in the message shall be verified that they conform to proper format and are within acceptable ranges.</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>SAS Test Harness sends a CBSD Registration Response as follows:</p> <ul style="list-style-type: none"> <li>- cbsdId = C</li> <li>- measReportConfig shall not be included.</li> <li>- responseCode = 0</li> </ul>	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.	--	--
5	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> <li>● UUT shall not transmit RF</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

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## 7.1.3 WINNF.FT.C.REG.8

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>UUT is in the Unregistered state</li> </ul>	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> <li>SAS response does not include cbsId</li> <li>responseCode = 102</li> </ul>	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> <li>UUT shall not transmit RF</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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## 7.1.4 WINNF.FT.C.REG.10

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>UUT is in the Unregistered state</li> </ul>	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> <li>SAS response does not include cbsId</li> <li>responseCode = 200</li> </ul>	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=200) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> <li>UUT shall not transmit RF</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

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## 7.1.5 WINNF.FT.C.REG.12

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> <li>● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>● UUT is in the Unregistered state</li> </ul>	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> <li>- SAS response does not include cbsdId</li> <li>- responseCode = 103</li> </ul>	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=103) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> <li>● UUT shall not transmit RF</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

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**7.1.6 WINNF.FT.C.REG.14**

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>● UUT is in the Unregistered state</li> </ul>	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	<p>SAS Test Harness rejects the request by sending a CBSD Registration Response as follows:</p> <ul style="list-style-type: none"> <li>- SAS response does not include cbsdId</li> <li>- responseCode = 101</li> </ul>	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=101) to further request messages from the UUT.	--	--
5	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> <li>● UUT shall not transmit RF</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

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## 7.1.7 WINNF.FT.C.REG.16

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> <li>● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>● UUT is in the Unregistered state</li> </ul>	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> <li>- SAS response does not include cbsdId</li> <li>- responseCode = 100</li> </ul>	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=100) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> <li>● UUT shall not transmit RF</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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**7.1.8 WINNF.FT.C.REG.18**

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> <li>● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>● UUT is in the Unregistered state</li> </ul>	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> <li>- SAS response does not include cbsdId</li> <li>- responseCode = 201</li> </ul>	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=201) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> <li>● UUT shall not transmit RF</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

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## 7.2 CBSD Spectrum Grant Process

### 7.2.1 WINNF.FT.C.GRA.1

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has registered successfully with SAS Test Harness, with cbsdId = C	--	--
2	UUT sends valid Grant Request.	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	SAS Test Harness sends a Grant Response message, including ● cbsdId=C - responseCode = 400	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: ● UUT shall not transmit RF	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

### 7.2.2 WINNF.FT.C.GRA.2

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has registered successfully with SAS Test Harness, with cbsdId = C	--	--
2	UUT sends valid Grant Request.	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	SAS Test Harness sends a Grant Response message, including - cbsdId=C - responseCode = 401	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=401) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: ● UUT shall not transmit RF	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

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## 7.3 CBSD HeartBeat Process

### 7.3.1 WINNF.FT.C.HBT.1

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has registered successfully with SAS Test Harness, with cbsdId = C	--	--
2	UUT sends a message: ● If message is type Spectrum Inquiry Request, go to step 3, or ● If message is type Grant Request, go to step 5	--	--
3	UUT sends Spectrum Inquiry Request. Validate: ● cbsdId = C ● List of frequencyRange objects sent by UUT are within the CBRS frequency range	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	SAS Test Harness sends a Spectrum Inquiry Response message, including the following parameters: ● cbsdId = C ● availableChannel is an array of availableChannel objects ● responseCode = 0	--	--
5	UUT sends Grant Request message. Validate: ● cbsdId = C ● maxEIRP is at or below the limit appropriate for CBSD category as defined by Part 96 ● operationFrequencyRange, F, sent by UUT is a valid range within the CBRS band	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
6	SAS Test Harness sends a Grant Response message, including the parameters: ● cbsdId = C ● grantId = G = a valid grant ID ● grantExpireTime = UTC time greater than duration of the test ● responseCode = 0	--	--
7	UUT sends a first Heartbeat Request message. Verify Heartbeat Request message is formatted correctly, including: ● cbsdId = C ● grantId = G ● operationState = "GRANTED"	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
8	SAS Test Harness sends a Heartbeat Response message, with the following parameters: ● cbsdId = C ● grantId = G ● transmitExpireTime = current UTC time + 200 seconds ● responseCode = 0	--	--
9	For further Heartbeat Request messages sent from UUT after completion of step 8, validate message is sent within latest specified heartbeatInterval, and: ● cbsdId = C ● grantId = G ● operationState = "AUTHORIZED" and SAS Test Harness responds with a Heartbeat Response message including the following parameters: ● cbsdId = C ● grantId = G ● transmitExpireTime = current UTC time + 200 seconds ● responseCode = 0	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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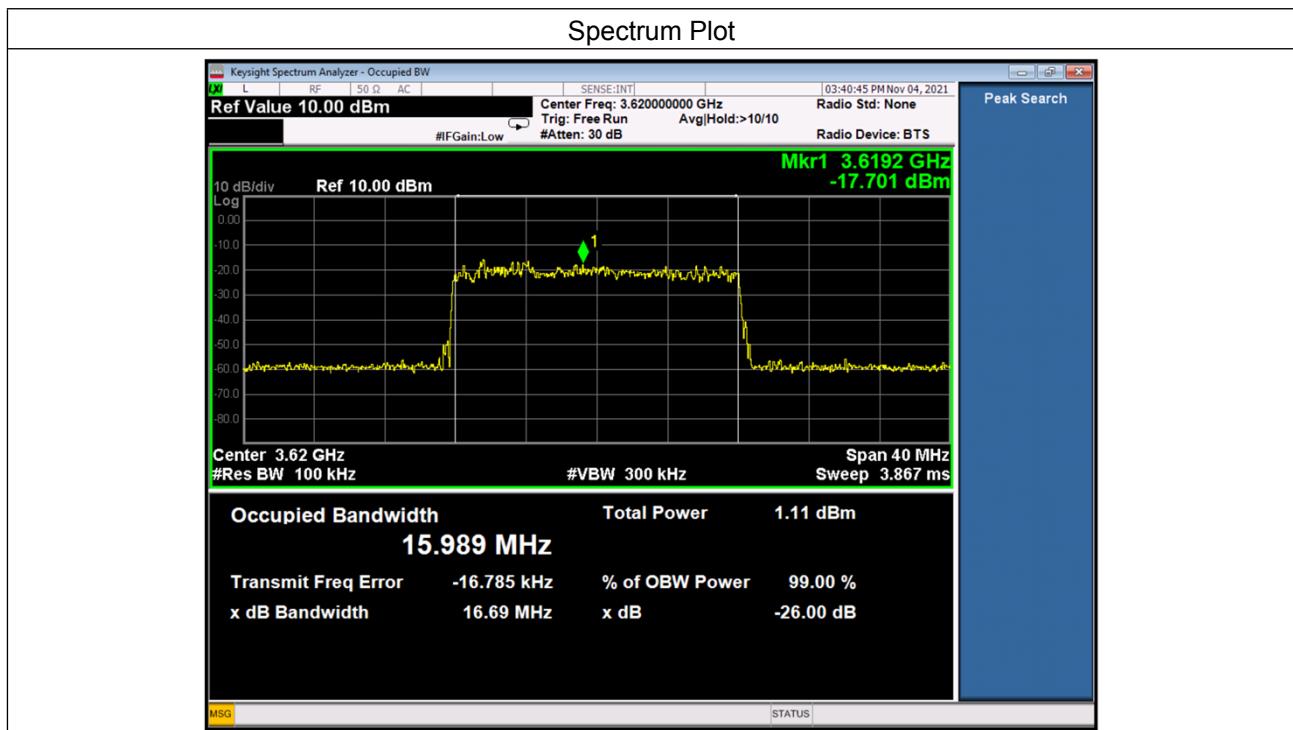
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10	<p>Monitor the RF output of the UUT from start of test until UUT transmission commences. Verify:</p> <ul style="list-style-type: none"> <li>● UUT does not transmit at any time prior to completion of the first heartbeat response</li> <li>● UUT transmits after step 8 is complete, and its transmission is limited to within the bandwidth range F.</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
----	--	--	-------------------------------



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## 7.3.2 WINNF.FT.C.HBT.3

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>● UUT has registered successfully with SAS Test Harness</li> <li>● UUT has a valid single grant as follows: <ul style="list-style-type: none"> <li>○ valid cbsId = C</li> <li>○ valid grantId = G</li> <li>○ grant is for frequency range F, power P</li> <li>○ grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface</li> </ul>	--	--
2	<p>UUT sends a Heartbeat Request message.</p> <p>Ensure Heartbeat Request message is sent within Heartbeat Interval specified in the latest Heartbeat Response, and formatted correctly, including:</p> <ul style="list-style-type: none"> <li>● cbsId = C</li> <li>● grantId = G</li> <li>● operationState = "AUTHORIZED"</li> </ul>	--	--
3	<p>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</p> <ul style="list-style-type: none"> <li>● cbsId = C</li> <li>● grantId = G</li> <li>● transmitExpireTime = T = Current UTC time</li> <li>● responseCode = 105 (DEREGISTER)</li> </ul>	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the RF output of the UUT. Verify: <ul style="list-style-type: none"> <li>● UUT shall stop transmission within (T + 60 seconds) of completion of step 3</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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## 7.3.3 WINNF.FT.C.HBT.4

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>● UUT has registered successfully with SAS Test Harness</li> <li>● UUT has a valid single grant as follows:           <ul style="list-style-type: none"> <li>○ valid cbsId = C</li> <li>○ valid grantId = G</li> <li>○ grant is for frequency range F, power P</li> <li>○ grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface</li> </ul>	--	--
2	<p>UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including:</p> <ul style="list-style-type: none"> <li>● cbsId = C</li> <li>● grantId = G</li> <li>● operationState = "AUTHORIZED"</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</p> <ul style="list-style-type: none"> <li>● cbsId = C</li> <li>● grantId = G</li> <li>● transmitExpireTime = T = current UTC time</li> <li>● responseCode = 500 (TERMINATED_GRANT)</li> </ul>	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the RF output of the UUT. Verify: <ul style="list-style-type: none"> <li>● UUT shall stop transmission within (T + 60 seconds) of completion of step 3</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

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## 7.3.4 WINNF.FT.C.HBT.5

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>● UUT has registered successfully with SAS Test Harness</li> <li>● UUT has a valid single grant as follows:           <ul style="list-style-type: none"> <li>○ valid cbssid = C</li> <li>○ valid grantId = G</li> <li>○ grant is for frequency range F, power P</li> <li>○ grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>● UUT is in GRANTED, but not AUTHORIZED state (i.e. has not performed its first Heartbeat Request)</li> </ul>	--	--
2	<p>UUT sends a Heartbeat Request message.</p> <p>Verify Heartbeat Request message is formatted correctly, including:</p> <ul style="list-style-type: none"> <li>● cbssid = C</li> <li>● grantId = G</li> <li>● operationState = "GRANTED"</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</p> <ul style="list-style-type: none"> <li>● cbssid = C</li> <li>● grantId = G</li> <li>● transmitExpireTime = T = current UTC time</li> <li>● ?responseCode = 501 (SUSPENDED_GRANT)</li> </ul>	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	<p>Monitor the SAS-CBSD interface. Verify either A OR B occurs:</p> <p>A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters:</p> <ul style="list-style-type: none"> <li>● cbssid = C</li> <li>● grantId = G</li> <li>● operationState = "GRANTED"</li> </ul> <p>B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters:</p> <ul style="list-style-type: none"> <li>● cbssid = C</li> <li>● grantId = G</li> </ul> <p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> <li>● UUT does not transmit at any time</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

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## 7.3.5 WINNF.FT.C.HBT.6

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>● UUT has registered successfully with SAS Test Harness</li> <li>● UUT has a valid single grant as follows:           <ul style="list-style-type: none"> <li>○ valid cbssid = C</li> <li>○ valid grantId = G</li> <li>○ grant is for frequency range F, power P</li> <li>○ grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface</li> </ul>	--	--
2	<p>UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including:</p> <ul style="list-style-type: none"> <li>● cbssid = C</li> <li>● grantId = G</li> <li>● operationState = "AUTHORIZED"</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</p> <ul style="list-style-type: none"> <li>○ cbssid = C</li> <li>○ grantId = G</li> <li>○ transmitExpireTime = T = current UTC time</li> <li>● responseCode = 501 (SUSPENDED_GRANT)</li> </ul>	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	<p>Monitor the SAS-CBSD interface. Verify either A OR B occurs:</p> <p>A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters:</p> <ul style="list-style-type: none"> <li>● cbssid = C</li> <li>● grantId = G</li> <li>● operationState = "GRANTED"</li> </ul> <p>B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters:</p> <ul style="list-style-type: none"> <li>● cbssid = C</li> <li>● grantId = G</li> </ul> <p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> <li>● UUT shall stop transmission within (T+60) seconds of completion of step 3</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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## 7.3.6 WINNF.FT.C.HBT.7

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>● UUT has registered successfully with SAS Test Harness</li> <li>● UUT has a valid single grant as follows:           <ul style="list-style-type: none"> <li>○ valid cbsId = C</li> <li>○ valid grantId = G</li> <li>○ grant is for frequency range F, power P</li> <li>○ grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface</li> </ul>	--	--
2	<p>UUT sends a Heartbeat Request message.</p> <p>Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including:</p> <ul style="list-style-type: none"> <li>● cbsId = C</li> <li>● grantId = G</li> <li>● operationState = "AUTHORIZED"</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</p> <ul style="list-style-type: none"> <li>○ cbsId = C</li> <li>○ grantId = G</li> <li>○ transmitExpireTime = T = current UTC time</li> <li>● responseCode = 502 (UNSYNC_OP_PARAM)</li> </ul>	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	<p>Monitor the SAS-CBSD interface. Verify:</p> <ul style="list-style-type: none"> <li>● UUT sends a Grant Relinquishment Request message. Verify message is correctly formatted with parameters:           <ul style="list-style-type: none"> <li>○ cbsId = C</li> <li>○ grantId = G</li> </ul> </li> </ul> <p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> <li>● UUT shall stop transmission within (T+60) seconds of completion of step 3</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

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## 7.3.7 WINNF.FT.C.HBT.9

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>● UUT has registered successfully with SAS Test Harness</li> <li>● UUT has a valid single grant as follows:           <ul style="list-style-type: none"> <li>○ valid cbsdId = C</li> <li>○ valid grantId = G</li> <li>○ grant is for frequency range F, power P</li> <li>○ grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>● UUT is in GRANTED, but not AUTHORIZED state(i.e. has not performed its first Heartbeat Request)</li> </ul>	--	--
2	<p>UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including:</p> <ul style="list-style-type: none"> <li>● cbsdId = C</li> <li>● grantId = G</li> <li>● operationState = "GRANTED"</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>After completion of step 2, SAS Test Harness does not respond to any further messages from UUT to simulate loss of network connection</p>	--	--
4	<p>Monitor the RF output of the UUT from start of test to 60 seconds after step 3. Verify: At any time during the test, UUT shall not transmit on RF interface</p>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

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## 7.3.8 WINNF.FT.C.HBT.10

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>● UUT has registered successfully with SAS Test Harness</li> <li>● UUT has a valid single grant as follows:           <ul style="list-style-type: none"> <li>○ valid cbsId = C</li> <li>○ valid grantId = G</li> <li>○ grant is for frequency range F, power P</li> <li>○ grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface</li> </ul>	--	--
2	<p>UUT sends a Heartbeat Request message. Verify Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including:</p> <ul style="list-style-type: none"> <li>● cbsId = C</li> <li>● grantId = G</li> <li>● operationState = "AUTHORIZED"</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</p> <ul style="list-style-type: none"> <li>□ cbsId = C</li> <li>□ grantId = G</li> <li>□ transmitExpireTime = T = current UTC time + 200 seconds</li> <li>● responseCode = 0</li> </ul>	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	<p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> <li>● UUT shall stop all transmission on RF interface within (transmitExpireTime + 60 seconds), using the transmitExpireTime sent in Step 3.</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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## 7.4 CBSD Relinquishment Process

### 7.4.1 WINNF.FT.C.RLQ.1

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>● UUT has successfully registered with SAS Test Harness, with cbsId=C</li> <li>● UUT has received a valid grant with grantId = G</li> <li>● UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant.</li> </ul> <p>Invoke trigger to relinquish UUT Grant from the SAS Test Harness</p>	--	--
2	<p>UUT sends a Relinquishment Request message. Verify message contains all required parameters properly formatted, and specifically:</p> <ul style="list-style-type: none"> <li>● cbsId = C</li> <li>● grantId = G</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>SAS Test Harness shall approve the request with a Relinquishment Response message with parameters:</p> <ul style="list-style-type: none"> <li>- cbsId = C</li> <li>- grantId = G</li> <li>● responseCode = 0</li> </ul>	--	--
4	<p>After completion of step 3, SAS Test Harness will not provide any additional positive response (responseCode=0) to further request messages from the UUT</p>	--	--
5	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> <li>● UUT shall stop RF transmission at any time between triggering the relinquishment and UUT sending the relinquishment request</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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## 7.5 CBSD Deregistration Process

### 7.5.1 WINNF.FT.C.DRG.1

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>● UUT has successfully registered with SAS Test Harness, with cbsId=C</li> <li>● UUT has received a valid grant with grantId = G</li> <li>● UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant.</li> </ul> <p>Invoke trigger to deregister UUT from the SAS Test Harness</p>	--	--
2	<p>UUT sends a Relinquishment request and receives Relinquishment response with</p> <ul style="list-style-type: none"> <li>● responseCode=0</li> </ul>	--	--
3	<ul style="list-style-type: none"> <li>● UUT sends Deregistration Request to SAS Test Harness with cbsId = C.</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	<p>SAS Test Harness shall approve the request with a Deregistration Response message with parameters:</p> <ul style="list-style-type: none"> <li>● cbsId = C</li> <li>● responseCode = 0</li> </ul>	--	--
5	<p>After completion of step 3, SAS Test Harness will not provide any additional positive</p> <ul style="list-style-type: none"> <li>● response (responseCode=0) to further request messages from the UUT.</li> </ul>	--	--
6	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 4 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> <li>● UUT stopped RF transmission at any time between triggering the deregistration and either A OR B occurs:</li> </ul> <p>A. UUT sending a Registration Request message, as this is not mandatory</p> <p>B. UUT sending a Deregistration Request message</p>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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## 7.6 CBSD Security Validation

### 7.6.1 WINNF.FT.C.SCS.1

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> <li>● UUT shall start CBSD-SAS communication with the security procedure</li> <li>● The UUT shall establish a TLS handshake with the SAS Test Harness using configured certificate.</li> <li>● Configure the SAS Test Harness to accept the security procedure and establish the connection</li> </ul>	■ Pass	<input type="checkbox"/> Fail
2	<ul style="list-style-type: none"> <li>● Make sure that Mutual authentication happens between UUT and the SAS Test Harness.</li> <li>● Make sure that UUT uses TLS v1.2</li> <li>● Make sure that cipher suites from one of the following is selected,           <ul style="list-style-type: none"> <li>● TLS_RSA_WITH_AES_128_GCM_SHA256</li> <li>● TLS_RSA_WITH_AES_256_GCM_SHA384</li> <li>● TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA256</li> <li>● TLS_ECDHE_ECDSA_WITH_AES_256_GCM_SHA384</li> <li>● TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256</li> </ul> </li> </ul>	■ Pass	<input type="checkbox"/> Fail
3	<p>A successful registration is accomplished using one of the test cases described in section 6.1.4.1, depending on CBSD capability.</p> <ul style="list-style-type: none"> <li>● UUT sends a registration request to the SAS Test Harness and the SAS Test Harness sends a Registration Response with responseCode = 0 and cbsdId.</li> </ul>	■ Pass	<input type="checkbox"/> Fail
4	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> <li>● UUT shall not transmit RF</li> </ul>	■ Pass	<input type="checkbox"/> Fail

### 7.6.2 WINNF.FT.C.SCS.2

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> <li>● UUT shall start CBSD-SAS communication with the security procedures</li> </ul>	■ Pass	<input type="checkbox"/> Fail
2	<ul style="list-style-type: none"> <li>● Make sure that UUT uses TLS v1.2 for security establishment.</li> <li>● Make sure UUT selects the correct cipher suite.</li> <li>● UUT shall use CRL or OCSP to verify the validity of the server certificate.</li> <li>● Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.</li> </ul>	■ Pass	<input type="checkbox"/> Fail
3	<ul style="list-style-type: none"> <li>● UUT may retry for the security procedure which shall fail.</li> </ul>	■ Pass	<input type="checkbox"/> Fail
4	<ul style="list-style-type: none"> <li>● SAS Test-Harness shall not receive any Registration request or any application data.</li> </ul>	--	--
5	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> <li>● UUT shall not transmit RF</li> </ul>	■ Pass	<input type="checkbox"/> Fail



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### 7.6.3 WINNF.FT.C.SCS.3

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> <li>● UUT shall start CBSD-SAS communication with the security procedures</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
2	<ul style="list-style-type: none"> <li>● Make sure that UUT uses TLS v1.2 for security establishment.</li> <li>● Make sure UUT selects the correct cipher suite.</li> <li>● UUT shall use CRL or OCSP to verify the validity of the server certificate.</li> <li>● Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<ul style="list-style-type: none"> <li>● UUT may retry for the security procedure which shall fail.</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	<ul style="list-style-type: none"> <li>● SAS Test-Harness shall not receive any Registration request or any application data.</li> </ul>	--	--
5	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> <li>● UUT shall not transmit RF</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

### 7.6.4 WINNF.FT.C.SCS.4

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> <li>● UUT shall start CBSD-SAS communication with the security procedures</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
2	<ul style="list-style-type: none"> <li>● Make sure that UUT uses TLS v1.2 for security establishment.</li> <li>● Make sure UUT selects the correct cipher suite.</li> <li>● UUT shall use CRL or OCSP to verify the validity of the server certificate</li> <li>● Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<ul style="list-style-type: none"> <li>● UUT may retry for the security procedure which shall fail.</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	<ul style="list-style-type: none"> <li>● SAS Test-Harness shall not receive any Registration request or any application data.</li> </ul>	--	--
5	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> <li>● UUT shall not transmit RF</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

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## 7.6.5 WINNF.FT.C.SCS.5

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> <li>● UUT shall start CBSD-SAS communication with the security procedures</li> </ul>	■ Pass	□ Fail
2	<ul style="list-style-type: none"> <li>● Make sure that UUT uses TLS v1.2 for security establishment.</li> <li>● Make sure UUT selects the correct cipher suite.</li> <li>● UUT shall use CRL or OCSP to verify the validity of the server certificate</li> <li>● Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.</li> </ul>	■ Pass	□ Fail
3	<ul style="list-style-type: none"> <li>● UUT may retry for the security procedure which shall fail.</li> </ul>	■ Pass	□ Fail
4	<ul style="list-style-type: none"> <li>● SAS Test-Harness shall not receive any Registration request or any application data.</li> </ul>	--	--
5	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> <li>● UUT shall not transmit RF</li> </ul>	■ Pass	□ Fail

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## 7.7 CBSD RF Power Measurement

### 7.7.1 WINNF.PT.C.HBT

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>● UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness</li> <li>● UUT has registered with the SAS, with CBSD ID = C</li> <li>● UUT has a single valid grant G with parameters {lowFrequency = FL, highFrequency = FH, maxEirp = Pi}, with grant in AUTHORIZED state, and grantExpireTime set to a value far past the duration of this test case</li> <li>● Note: in order for the UUT to request a grant with the parameters {lowFrequency, highFrequency, maxEirp}, the SAS Test Harness may need to provide appropriate guidance in the availableChannel object of the spectrumInquiry response message, and the operationParam object of the grant response message. Alternately, the UUT vendor may provide the ability to set those parameters on the UUT so that the UUT will request a grant with those parameters</li> </ul>	--	--
2	<p>UUT and SAS Test Harness perform a series of Heartbeat Request/Response cycles, which continues until the other test steps are complete. Messaging for each cycle is as follows:</p> <ul style="list-style-type: none"> <li>● UUT sends Heartbeat Request, including: <ul style="list-style-type: none"> <li>○ cbsdId = C</li> <li>○ grantId = G</li> </ul> </li> <li>● SAS Test Harness responds with Heartbeat Response, including: <ul style="list-style-type: none"> <li>○ cbsdId = C</li> <li>○ grantId = G</li> <li>○ transmitExpireTime = current UTC time + 200 seconds</li> <li>● responseCode = 0</li> </ul> </li> </ul>	--	--
3	<p>Tester performs power measurement on RF interface(s) of UUT, and verifies it complies with the maxEirp setting, Pi. The RF measurement method is out of scope of this document, but may include additional configuration of the UUT, as required, to fulfill the requirements of the power measurement method.</p> <ul style="list-style-type: none"> <li>● Note: it may be required for the vendor to provide a method or configuration to bring the UUT to a mode which is required by the measurement methodology. Any such mode is vendor-specific and depends upon UUT behavior and the measurement methodology.</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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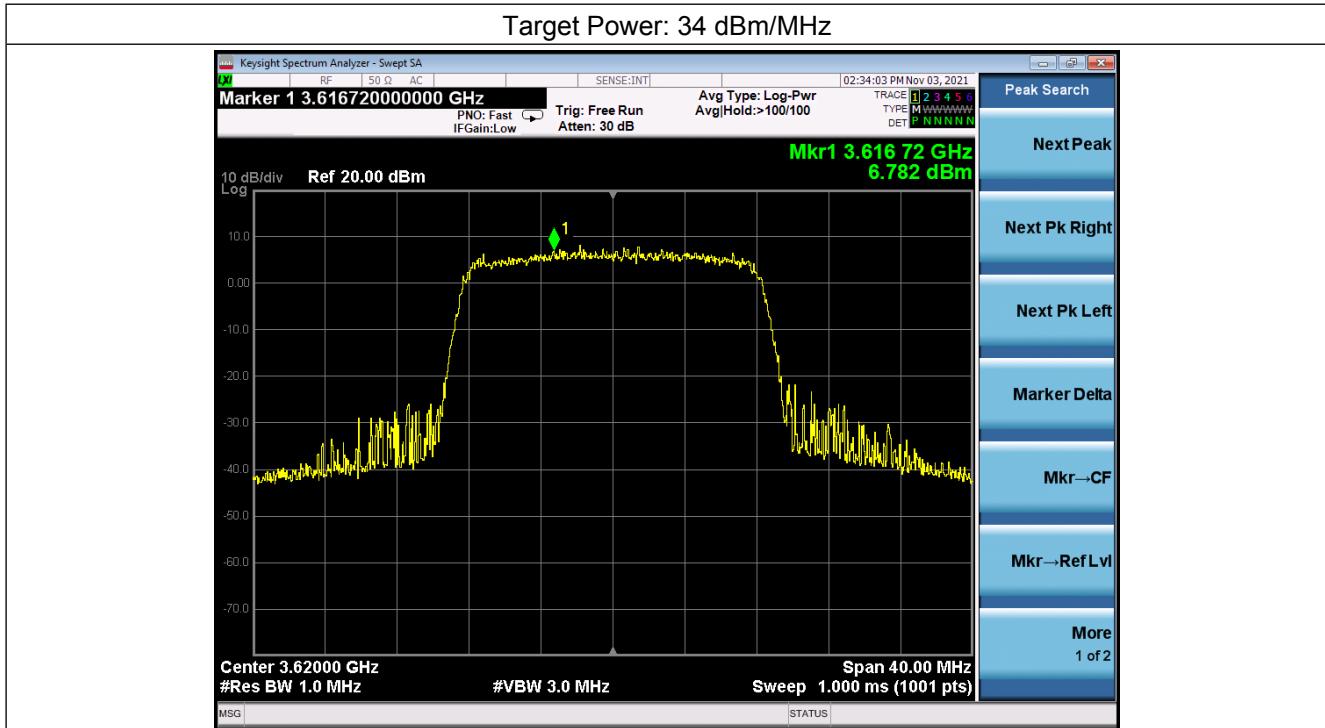
## RF measurement plot for Test Case:

- Tester performs power measurement on RF interface(s) of UUT, and verifies it complies with the maxEirp setting, Pi. The RF measurement method is out of scope of this document, but may include additional configuration of the UUT, as required, to fulfill the requirements of the power measurement method.

Frequency (MHz)	Channel Bandwidth (MHz)	Granted maxEIRP (dBm/MHz)	Conducted PSD (dBm/MHz)		Antenna Gain (dBi)	Directional Gain (dB)	Cable Loss (dB)	maxEIRP (dBm/MHz)
			Ant. 0	Ant. 1				
3620	20	34	6.782	/	13	3.01	5	27.792
3620	20	20	-1.625	/	13	3.01	5	19.385

Note:

1. maxEIRP = Conducted PSD + Antenna Gain + Directional Gain + Cable loss

2. Directional Gain =  $10\log(n)$ , n=2 for this product

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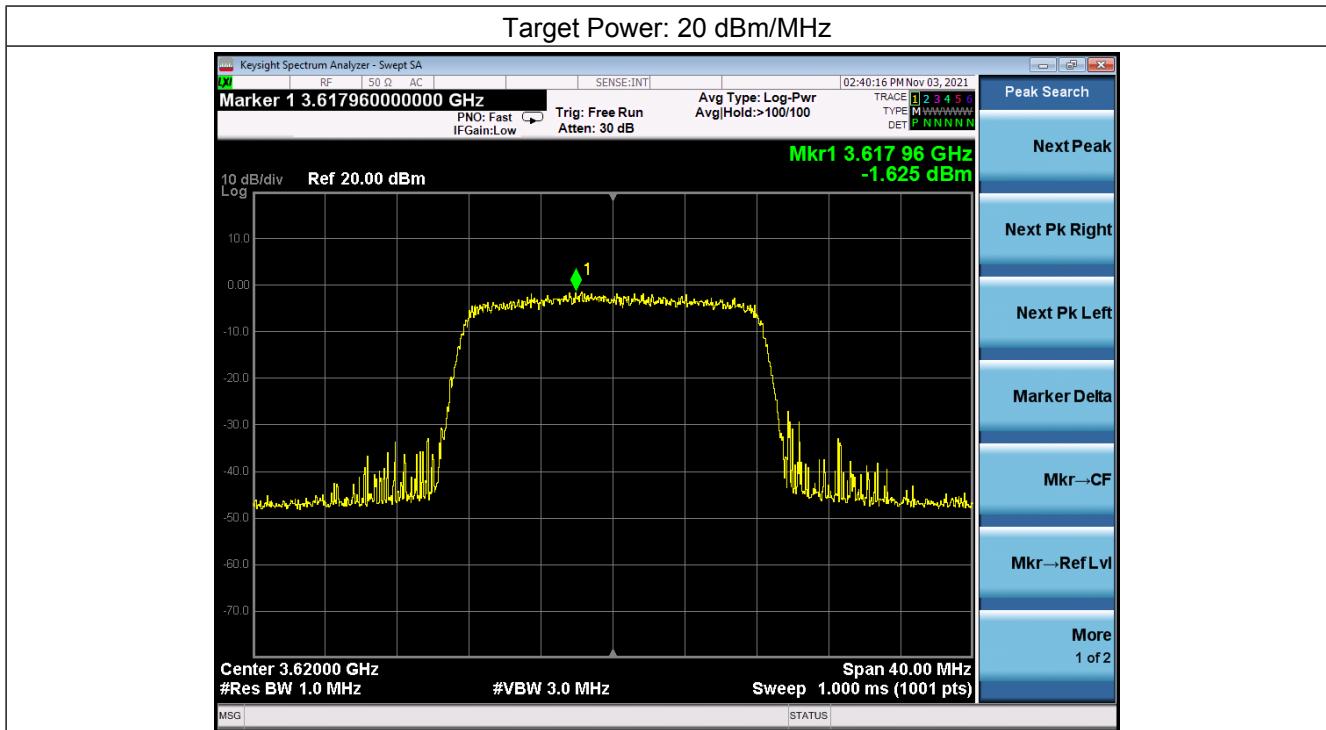


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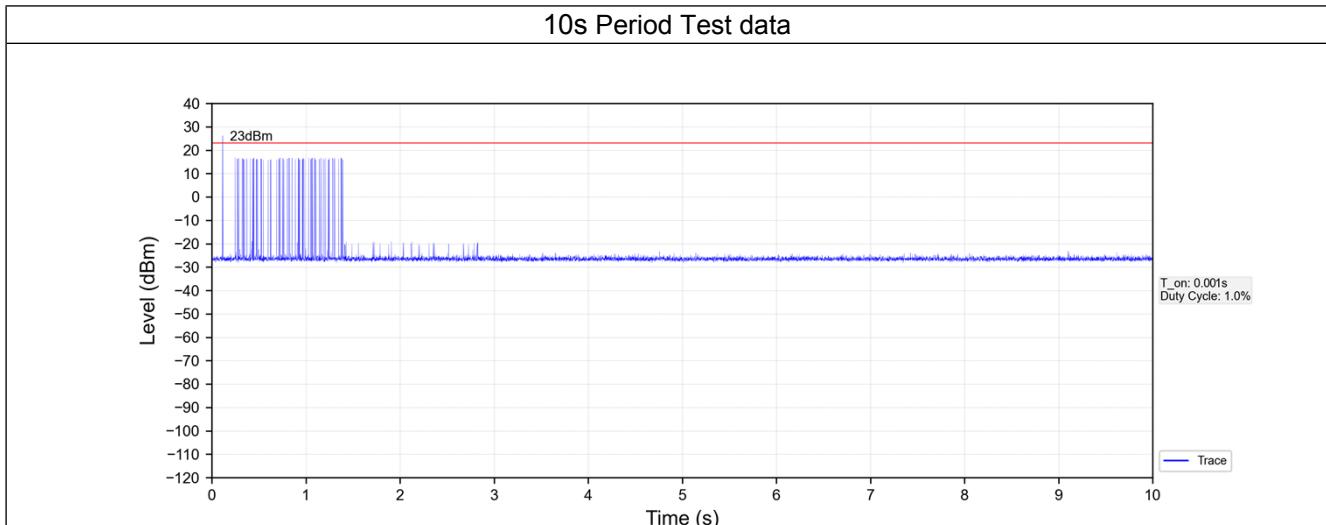


## 7.8 Duration and Duty Cycle

Duration and Duty Cycle			
Period	Minimum Time (s)	Limit (s)	Pass / Fail
10-second	0.001	1-second	Pass
300-second	0.025	10-second	Pass
3600-second	0.175	20-second	Pass

Note:

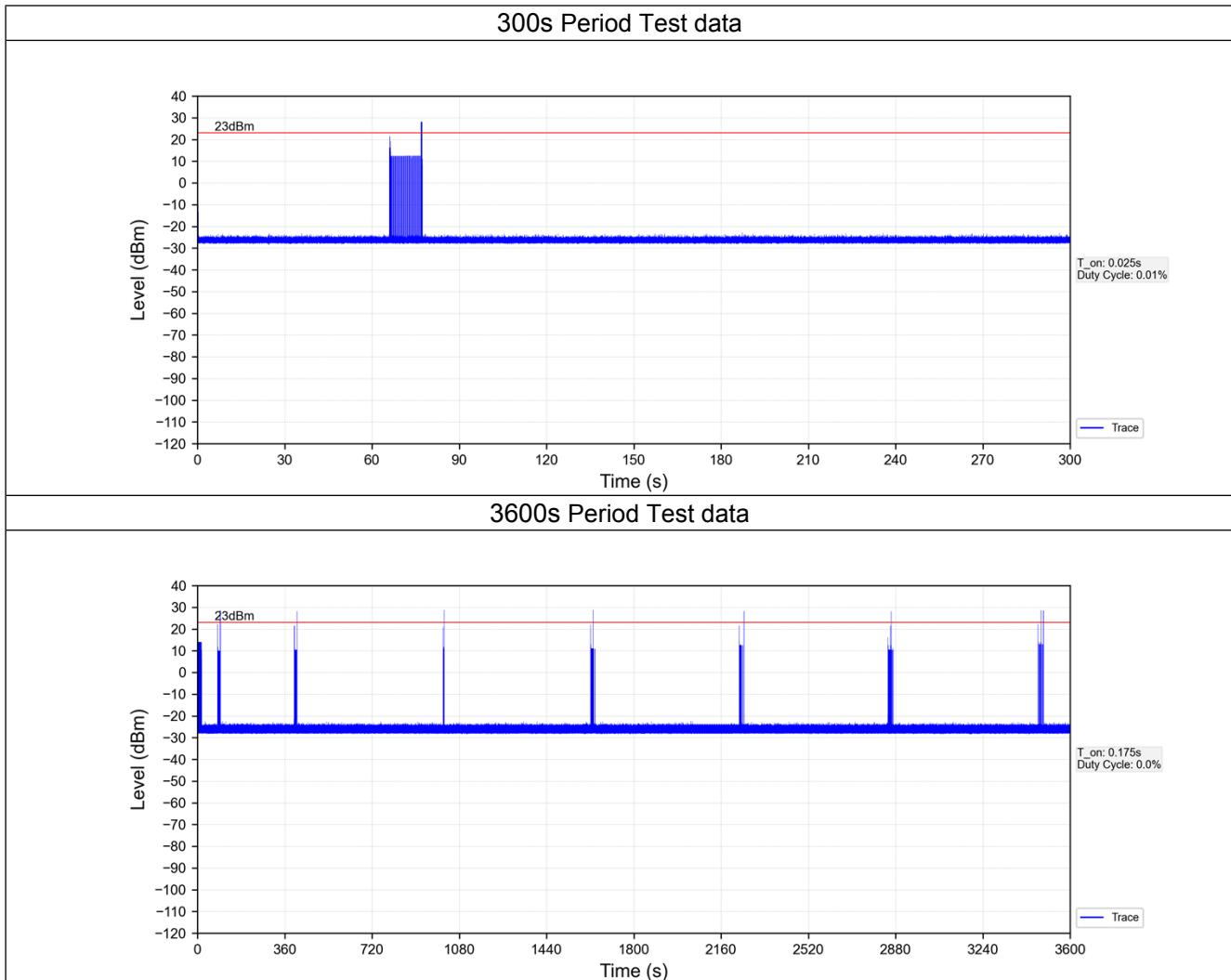
1. Limited in duration and duty cycle to the minimum time necessary to get a grant from the SAS. This time should not exceed 1 second within any 10-second period, 10seconds within any 300-second period, or 20 seconds within any 3600-second period.
2. Pass = Minimum Time < Limit



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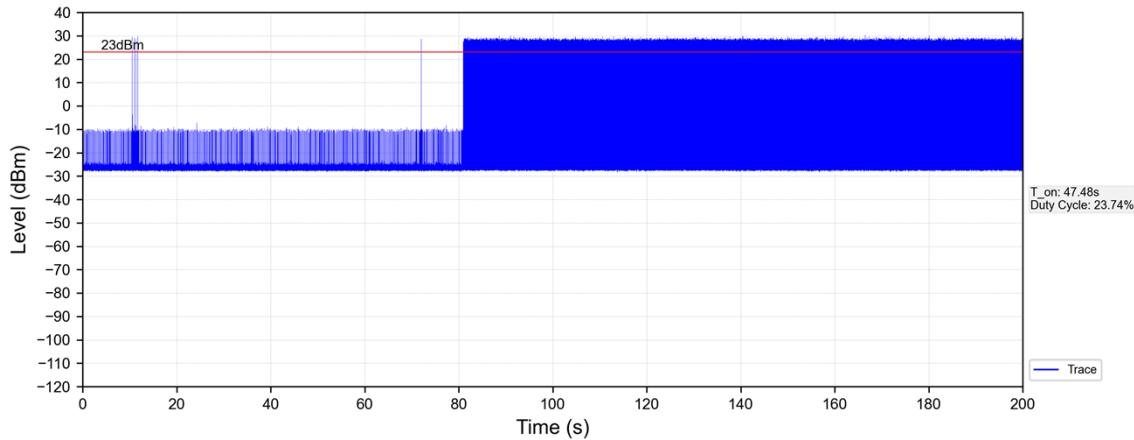
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## 7.9 Verify that the device will register with a SAS when operating below 23 dBm

Verified in test case WINNF.PT.C.HBT.1, the CPE-CBSD as UUT default EIRP is under 23 dBm and can register success with SAS. After granted, the CPE-CBSD UUT will adjust the EIRP by maxEirp.



### Note:

1. Red color line in above plot represents the 23 dBm.
2. When Granted the product will transmit at power level above 23dBm.
3. Only when the product sends packets the product will work at max EIRP.

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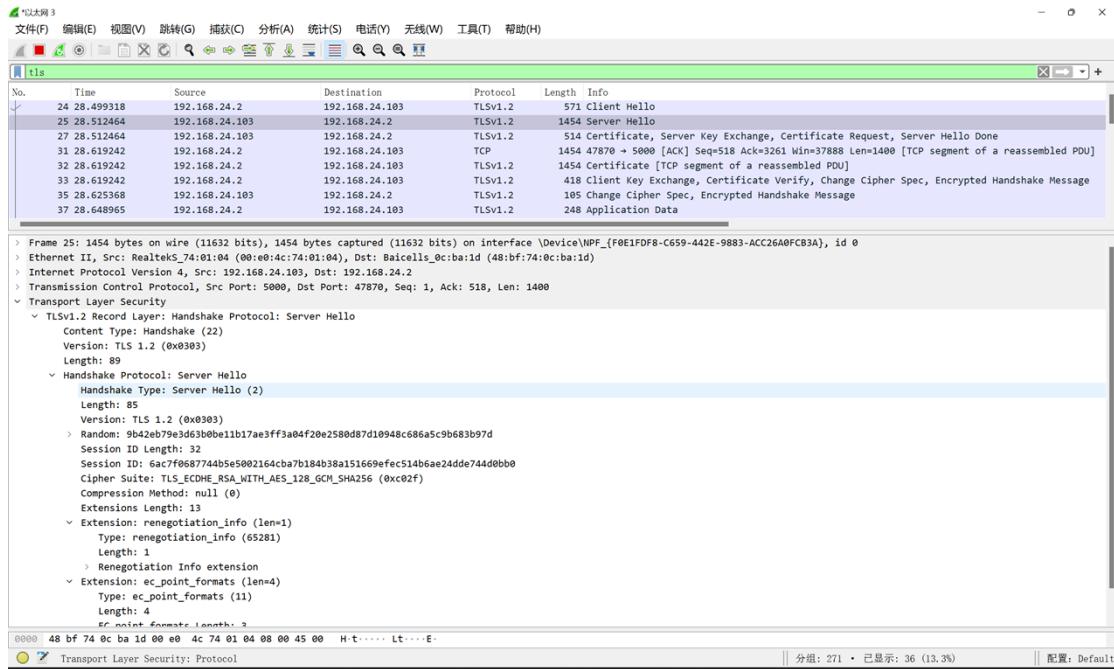
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## 8 Test Data Log

Test data log refer to log files (Log files appendix) except for securitytest cases which shows below.

### 8.1 WINNF.FT.C.SCS.1



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## 8.2 WINNF.FT.C.SCS.2

Wireshark Network Traffic Analysis Software

http

No.	Time	Source	Destination	Protocol	Length	Info
81	87.025954	192.168.24.2	192.168.24.103	HTTP	144	GET /crlserver.crl HTTP/1.1
83	87.049355	192.168.24.103	192.168.24.2	HTTP	1086	HTTP/1.1 200 OK

```

> Frame 81: 144 bytes on wire (1152 bits), 144 bytes captured (1152 bits) on interface \Device\NPF_{F0E1FDF8-C659-442E-9883-ACC26A0FCB3A}, id 0
> Ethernet II, Src: Baicells_0c:ba:1d (48:bf:74:0c:ba:1d), Dst: Realtek5_74:01:04 (00:e0:4c:74:01:04)
> Internet Protocol Version 4, Src: 192.168.24.2, Dst: 192.168.24.103
> Transmission Control Protocol, Src Port: 32930, Dst Port: 80, Seq: 1, Ack: 1, Len: 90
  Hypertext Transfer Protocol
    > GET /crlserver.crl HTTP/1.1\r\n
      Host: 192.168.24.103\r\n
      User-Agent: Wget\r\n
      Connection: close\r\n
      \r\n
      [Full request URL: http://192.168.24.103/crlserver.crl]
      [HTTP request 1/1]
      [Response in frame: 83]

```

0030 01 c9 d2 d8 00 00 47 45 54 20 2f 63 72 6c 73 65 .....GE T /crlse

0 Hypertext Transfer Protocol (http), 90 byte(s) 分组: 162 • 已显示: 2 (1.2%) 配置: Default

正在捕获 以太网 3

tls

No.	Time	Source	Destination	Protocol	Length	Info
23	21.177321	192.168.24.2	192.168.24.103	TLSv1.2	571	Client Hello
24	21.183618	192.168.24.103	192.168.24.2	TLSv1.2	1454	Server Hello
26	21.183618	192.168.24.103	192.168.24.2	TLSv1.2	649	Certificate, Server Key Exchange, Certificate Request, Server Hello Done
30	21.227238	192.168.24.2	192.168.24.103	TLSv1.2	61	Alert (Level: Fatal, Description: Certificate Revoked)

```

> Frame 24: 1454 bytes on wire (11632 bits), 1454 bytes captured (11632 bits) on interface \Device\NPF_{F0E1FDF8-C659-442E-9883-ACC26A0FCB3A}, id 0
> Ethernet II, Src: Realtek5_74:01:04 (00:e0:4c:74:01:04), Dst: Baicells_0c:ba:1d (48:bf:74:0c:ba:1d)
> Internet Protocol Version 4, Src: 192.168.24.103, Dst: 192.168.24.2
> Transmission Control Protocol, Src Port: 5080, Dst Port: 5246, Seq: 1, Ack: 518, Len: 1400
  Transport Layer Security
    > TLSv1.2 Record Layer: Handshake Protocol: Server Hello
      Content Type: Handshake (22)
      Version: TLS 1.2 (0x0030)
      Length: 89
      Handshake Protocol: Server Hello (2)
        Length: 85
        Version: TLS 1.2 (0x0030)
        Random: 769fdcae010f743a6219d5c4ea0b36109e30cbf569c73fdd8c45db0637d0232
        Session ID Length: 32
        Session ID: e01f43d52c922f01d4f66c626d901e33f3161f6545ef21a5f5d136aa4bba3824
        Cipher Suite: TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256 (0xc02f)
        Compression Method: null (0)
        Extensions Length: 13
        Extension: renegotiation_info (len=1)
          Type: renegotiation_info (65281)
          Length: 1
          > Renegotiation Info extension
        Extension: ec_point_formats (len=4)
          Type: ec_point_formats (11)
          Length: 4
          EC point formats length: 3

```

0000 48 bf 74 0c ba 1d 00 e0 4c 74 01 04 08 00 45 00 H:t.....Lt....E.

0 以太网 3: <live capture in progress> 分组: 39 • 已显示: 4 (10.3%) 配置: Default

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### 8.3 WINNF.FT.C.SCS.3

正在分析 (以太网 3)

文件(E) 编辑(E) 视图(V) 跳转(G) 捕获(C) 分析(A) 统计(S) 电话(U) 无线(W) 工具(I) 帮助(H)

tls

No.	Time	Source	Destination	Protocol	Length	Info
183	183.564451	192.168.24.2	192.168.24.103	TLSv1.2	571	Client Hello
184	183.579388	192.168.24.103	192.168.24.2	TLSv1.2	1454	[Server Hello]
186	183.579388	192.168.24.103	192.168.24.2	TLSv1.2	513	Certificate, Server Key Exchange, Certificate Request, Server Hello Done
190	183.614381	192.168.24.2	192.168.24.103	TLSv1.2	61	Alert (Level: Fatal, Description: Certificate Expired)

> Frame 184: 1454 bytes on wire (11632 bits), 1454 bytes captured (11632 bits) on interface \Device\NPF\_{F0E1FDF8-C659-442E-9883-ACC26A0FCB3A}, id 0  
> Ethernet II, Src: Realtel II\_74:01:04 (08:0e:04:74:01:04), Dst: Baicells\_0c:ba:1d (48:bf:74:0c:ba:1d)  
> Internet Protocol Version 4, Src: 192.168.24.103, Dst: 192.168.24.2  
> Transmission Control Protocol, Src Port: 5000, Dst Port: 49828, Seq: 1, Ack: 518, Len: 1400

Transport Layer Security

- TLSv1.2 Record Layer: Handshake Protocol: Server Hello
  - Content Type: Handshake (22)
  - Version: TLS 1.2 (0x0303)
  - Length: 89
- Handshake Protocol: Server Hello
  - Handshake Type: Server Hello (2)
  - Length: 85
  - Version: TLS 1.2 (0x0303)
  - Random: e4ccb4289743e0eb653879266e62b3a36c0c5759e8f8010bd61cc26fbfb4fad
  - Session ID Length: 32
  - Session ID: f66cb388464c300a356ee493f80ce55185456859bcf3d6f4af51af510326316
  - Cipher Suite: TLS\_ECDHE\_RSA\_WITH\_AES\_128\_GCM\_SHA256 (0xc02f)
  - Compression Method: null (0)
  - Extensions Length: 13
    - Extension: renegotiation\_info (len=1)
      - Type: renegotiation\_info (65281)
      - Length: 1
    - Extension: Renegotiation Info extension
  - Extension: ec\_point\_formats (len=4)
    - Type: ec\_point\_formats (11)
    - Length: 4
    - ec\_point\_formats Length: 3

0030 0f fe b7 4c 00 00 16 03 03 00 59 02 00 00 55 05 ...L....Y...L...

Version selected by server (tls.handshake.version), 2 bytes(s)

分组: 193 • 已显示: 4 (2.1%) 配置: Default

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Compliance Certification Services (Kunshan) Inc.  
EMC Laboratory

Test Report Form Version: Rev01

Member of the SGS Group (SGS SA)

## 8.4 WINNF.FT.C.SCS.4

正在捕获 以太网 3

文件(E) 编辑(E) 视图(V) 跳转(G) 捕获(C) 分析(A) 统计(S) 电话(Y) 无线(W) 工具(I) 帮助(H)

tls

No.	Time	Source	Destination	Protocol	Length	Info
10	9.230791	192.168.24.2	192.168.24.103	TLSv1.2	571	Client Hello
11	9.248867	192.168.24.103	192.168.24.2	TLSv1.2	1454	Server Hello
13	9.248867	192.168.24.103	192.168.24.2	TLSv1.2	515	Certificate, Server Key Exchange, Certificate Request, Server Hello Done
17	9.280971	192.168.24.2	192.168.24.103	TLSv1.2	61	Alert (Level: Fatal, Description: Unknown CA)

> Frame 17: 61 bytes on wire (488 bits), 61 bytes captured (488 bits) on interface \Device\NPF\_{F0E1FDF8-C659-442E-9883-ACC26A0FCB3A}, id 0

> Ethernet II, Src: Baicells\_0c:ba:1d (48:bf:74:0c:ba:1d), Dst: Realtek5\_74:01:04 (00:e0:4c:74:01:04)

> Internet Protocol Version 4, Src: 192.168.24.2, Dst: 192.168.24.103

> Transmission Control Protocol, Src Port: 50870, Dst Port: 50800, Seq: 518, Ack: 3262, Len: 7

▼ Transport Layer Security

  ▼ TLSv1.2 Record Layer: Alert (Level: Fatal, Description: Unknown CA)

    Content Type: Alert (21)

    Version: TLS 1.2 (0x0303)

    Length: 2

    ▼ Alert Message

      Level: Fatal (2)

      Description: Unknown CA (48)

0000 00 e0 4c 74 01 04 48 bf 74 0c ba 1d 08 00 45 00 ...Lt..H..t.....E...

以太网 3: <Live capture in progress> 分组: 44 已显示: 4 (9.1%) 配置: Default

正在捕获 以太网 3

文件(E) 编辑(E) 视图(V) 跳转(G) 捕获(C) 分析(A) 统计(S) 电话(Y) 无线(W) 工具(I) 帮助(H)

tls

No.	Time	Source	Destination	Protocol	Length	Info
10	9.230791	192.168.24.2	192.168.24.103	TLSv1.2	571	Client Hello
11	9.248867	192.168.24.103	192.168.24.2	TLSv1.2	1454	Server Hello
13	9.248867	192.168.24.103	192.168.24.2	TLSv1.2	515	Certificate, Server Key Exchange, Certificate Request, Server Hello Done
17	9.280971	192.168.24.2	192.168.24.103	TLSv1.2	61	Alert (Level: Fatal, Description: Unknown CA)

> Frame 11: 1454 bytes on wire (11632 bits), 1454 bytes captured (11632 bits) on interface \Device\NPF\_{F0E1FDF8-C659-442E-9883-ACC26A0FCB3A}, id 0

> Ethernet II, Src: Realtek5\_74:01:04 (00:e0:4c:74:01:04), Dst: Baicells\_0c:ba:1d (48:bf:74:0c:ba:1d)

> Internet Protocol Version 4, Src: 192.168.24.103, Dst: 192.168.24.2

> Transmission Control Protocol, Src Port: 50800, Dst Port: 50870, Seq: 1, Ack: 518, Len: 1400

▼ Transport Layer Security

  ▼ TLSv1.2 Record Layer: Handshake Protocol: Server Hello

    Content Type: Handshake (22)

    Version: TLS 1.2 (0x0303)

    Length: 89

    ▼ Handshake Protocol: Server Hello (2)

      Handshake Type: Server Hello (2)

      Length: 85

      Version: TLS 1.2 (0x0303)

      Random: 09b172a8e9e81f65249e3d52654e2776ace7ca2ee6683e2ba5356b15b52911

      Session ID Length: 32

      Session ID: 1a61d65e24d1d075b60a760a8d187ed52d490e5fd3574c15f6e601eb1517bd6

      Cipher Suite: TLS\_ECDHE\_RSA\_WITH\_AES\_128\_GCM\_SHA256 (0xc02f)

      Compression Method: null (0)

      Extensions Length: 13

      ▼ Extension: renegotiation\_info (len=1)

        Type: renegotiation\_info (65281)

        Length: 1

        > Renegotiation Info extension

      ▼ Extension: ec\_point\_formats (len=4)

        Type: ec\_point\_formats (11)

        Length: 4

        EC point formats length: 3

0030 0f fe b7 4c 00 00 16 03 03 00 59 02 00 00 05 03 ...L.....Y...L...

以太网 3: Version selected by server (tls.handshake.version), 2 byte(s) 分组: 18 已显示: 4 (22.2%) 配置: Default

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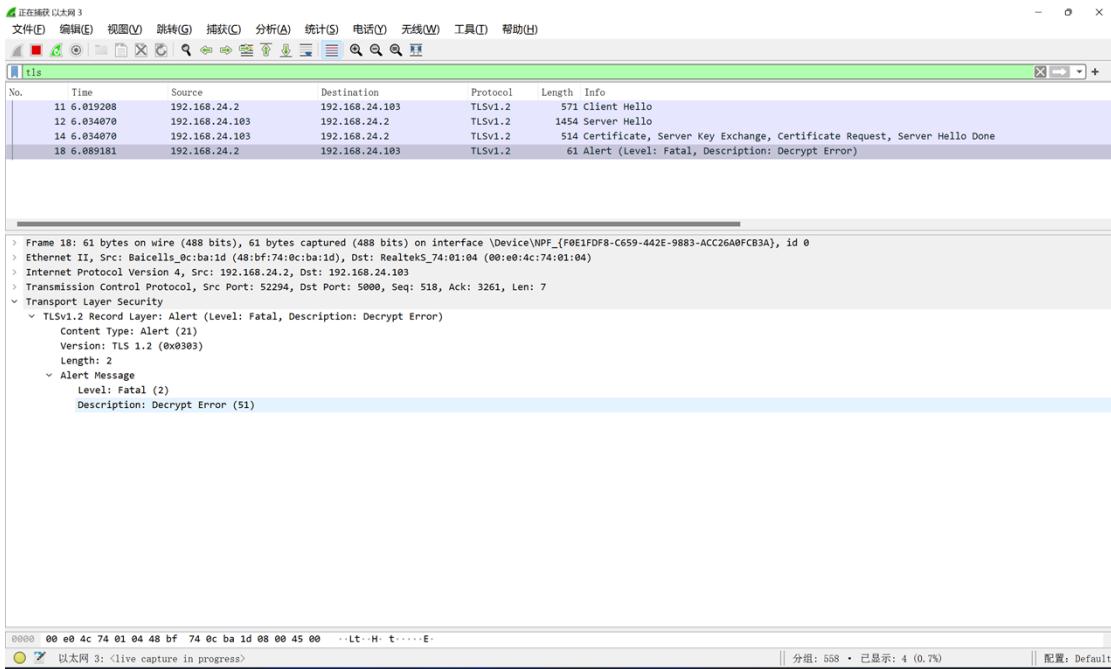
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## 8.5 WINNF.FT.C.SCS.5



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Compliance Certification Services (Kunshan) Inc.  
EMC Laboratory

## 9 Photographs

### 9.1 EUT Constructional Details (EUT Photos)

Please Refer to Integral and internal photos for details.

- End of the Report -



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