

## SAR TEST REPORT

The following samples were submitted and identified on behalf of the client as:

<b>Equipment Under Test</b>	Handheld UHF RFID Reader
<b>Brand Name</b>	Szok-C
<b>Model No.</b>	SRF-C210
<b>Company Name</b>	Szok Energy and Communication Co., Ltd.
<b>Company Address</b>	3F., No.9, Ln. 121, Sec. 2, Jiouzong Rd., Neihu Dist., Taipei City 11494, Taiwan (R.O.C.)
<b>Standards</b>	IEEE /ANSI C95.1 , C95.3, IEEE 1528, KDB865664 D01, KDB865664D02, KDB447498D01, KDB941225D07
<b>FCC ID</b>	2ADGD-SRFC210US
<b>Date of Receipt</b>	Dec. 03, 2014
<b>Date of Test(s)</b>	Dec. 12, 2014
<b>Date of Issue</b>	Dec. 24, 2014

In the configuration tested, the EUT complied with the standards specified above.

**Remarks:**

This report details the results of the testing carried out on one sample, the results contained in this test report do not relate to other samples of the same product. The manufacturer should ensure that all products in series production are in conformity with the product sample detailed in this report.

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**Signed on behalf of SGS**

**Engineer**

Mason Wu

Date: Dec. 24, 2014

**Sr. Engineer**

John Yeh

Date: Dec. 24, 2014

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# Version

**This test report contains a reference to the previous version test report that it replaces.**

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## 1. General Information

### 1.1 Testing Laboratory

SGS Taiwan Ltd. Electronics & Communication Laboratory	
No.134, Wu Kung Road, New Taipei Industrial Park, Wuku District, New Taipei City, Taiwan	
Tel	+886-2-2299-3279
Fax	+886-2-2298-0488
Internet	<a href="http://www.tw.sgs.com/">http://www.tw.sgs.com/</a>

### 1.2 Details of Applicant

Company Name	Szok Energy and Communication Co., Ltd.
Company Address	3F., No.9, Ln. 121, Sec. 2, Jiouzong Rd., Neihu Dist., Taipei City 11494, Taiwan (R.O.C.)

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### 1.3 Description of EUT

The Test Device is a production unit.

Equipment Under Test	Handheld UHF RFID Reader		
Brand Name	Szok-C		
Model No.	SRF-C210		
FCC ID	2ADGD-SRFC210US		
Mode of Operation	<input checked="" type="checkbox"/> RFID <input checked="" type="checkbox"/> Bluetooth		
Duty Cycle	RFID	1	
	Bluetooth	1	
TX Frequency Range (MHz)	RFID	902.25	— 927.75
	Bluetooth	2402	— 2480

Max. SAR (1 g) (Unit: W/Kg)					
Configuration	Band	Frequency	Measured	Reported	Position
Cover open	RFID	927.75	0.306	0.371	Front

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**#. RFID conducted power table:**

Frequency (MHz)	Max. Rated Avg. Power + Max. Tolerance (dBm)	Average Power (dBm)
902.25	18.6	17.75
914.75	18.6	17.69
927.75	18.6	17.76

**#. Bluetooth maximum power table:**

Mode	Maximum power(dBm)	Maximum power(mW)
all	0	1

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## 1.4 Test Environment

Ambient Temperature:  $22 \pm 2^\circ \text{C}$   
Tissue Simulating Liquid:  $22 \pm 2^\circ \text{C}$

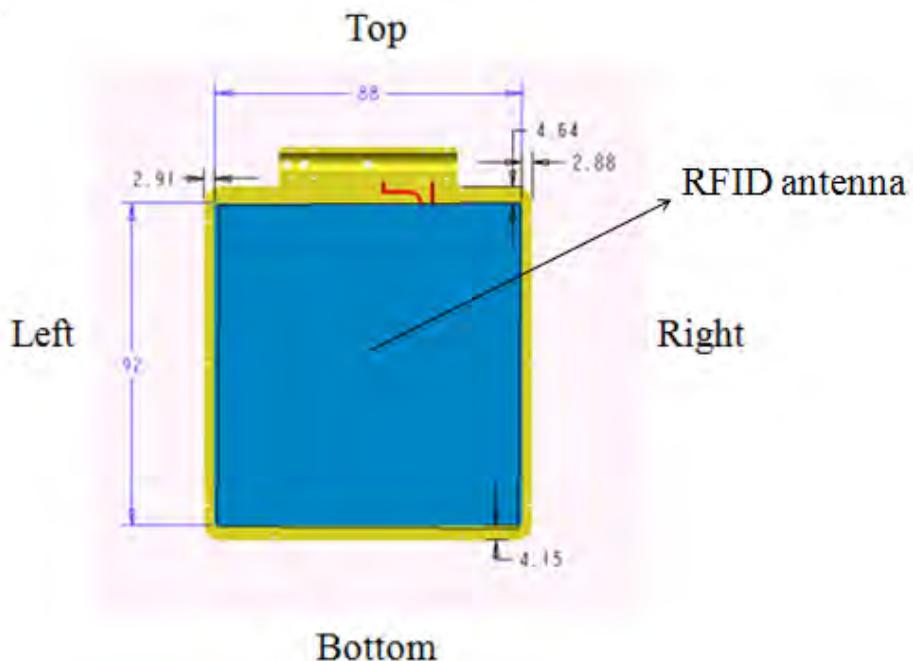
## 1.5 Operation Description

### 1. RFID (900MHz):

Use specific software to control the EUT, and makes it transmit in maximum power. The EUT was tested in two configurations based on **KDB inquiry (tracking number 695206)**:

**Configuration 1: Cover closed: Top/right/left/bottom/Back/front sides at 5mm test separation distance.**

**Configuration 2: Cover opened: Top/right/left/bottom/Back/front sides at 10mm test separation distance.** (For the cover opened configuration, please refer to the test photos)

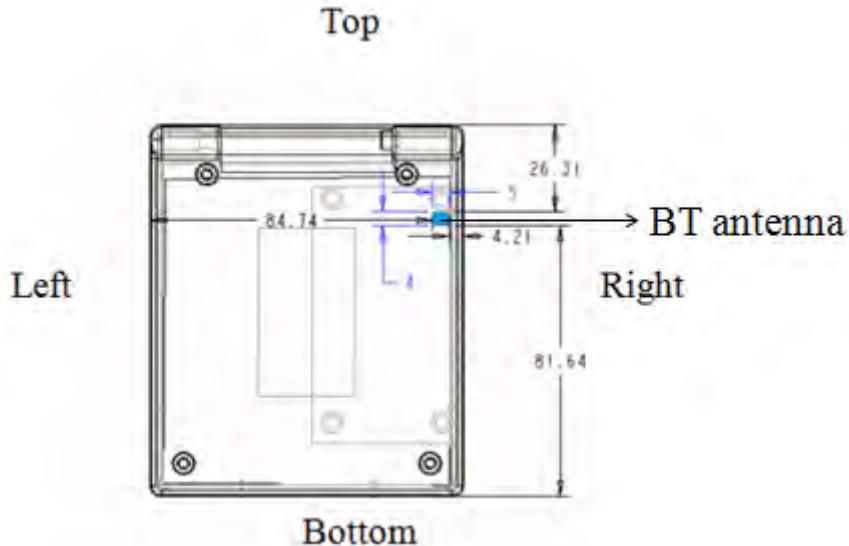


**Front view of the portable RFID reader(cover closed)**

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**Front view of the portable RFID reader(cover closed)**

Note:

1. The device is a UHF RFID reader operable at 900MHz and there is a BT transmitter in it.
2. The device will continue to transmit while in a close configuration and these transmitters may operate simultaneously.
3. According to KDB447498 D01,

- (1) The SAR test exclusion thresholds for 100 MHz to 6 GHz at test separation distances  $\leq$  50 mm are determined by:

$$\frac{\text{Max. tune up power(mW)}}{\text{Min. test separation distance(mm)}} \times \sqrt{f(\text{GHz})} \leq 3$$

When the minimum test separation distance is  $< 5\text{mm}$ , 5mm is applied to determine SAR test exclusion.

- (2) For test separation distances  $> 50\text{ mm}$ , and the frequency at 100 MHz to 1500MHz, the SAR test exclusion threshold is determined according to the following, and as illustrated in Appendix B of KDB447498 D01.  
[(Threshold at 50mm in step1) + (test separation distance-50mm)  $\times$   $\frac{(\text{MHz})}{1\text{mW}}$ ](mW),
- (3) For test separation distances  $> 50\text{ mm}$ , and the frequency at  $> 1500\text{MHz}$  to 6GHz, the SAR test exclusion threshold is determined according to the following, and as illustrated in Appendix B of KDB447498 D01.

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[(Threshold at 50mm in step1) + (test separation distance-50mm)x10](mW),

Mode	Maximum power(dBm)	Maximum power(mW)	Top side(cover closed)			Right side(cover closed)			Left side(cover closed)		
			Ant. to surface (mm)	Exclusion threshold (mW)	Require SAR testing?	Ant. to surface (mm)	Exclusion threshold (mW)	Require SAR testing?	Ant. to surface (mm)	Exclusion threshold (mW)	Require SAR testing?
BT	0	1	5	0.315	NO	5	0.315	NO	5	0.315	NO
Mode	Maximum power(dBm)	Maximum power(mW)	Bottom side(cover closed)			Front side(cover closed)			Back side(cover closed)		
			Ant. to surface (mm)	Exclusion threshold (mW)	Require SAR testing?	Ant. to surface (mm)	Exclusion threshold (mW)	Require SAR testing?	Ant. to surface (mm)	Exclusion threshold (mW)	Require SAR testing?
BT	0	1	5	0.315	NO	5	0.315	NO	5	0.315	NO

4. According to KDB447498 D01, testing of other required channels is not required when the reported 1-g SAR for the highest output channel is  $\leq 0.8$  W/kg, when the transmission band is  $\leq 100$  MHz.
5. According to KDB447498 D01, testing of other required channels is not required when the reported 1-g SAR for the highest output channel is  $\leq 0.6$  W/kg, when the transmission band is between 100 MHz and 200MHz.
6. According to KDB447498 D01, testing of other required channels is not required when the reported 1-g SAR for the highest output channel is  $\leq 0.4$  W/kg, when the transmission band is  $\geq 200$ MHz.
7. According to KDB865664 D01, SAR measurement variability must be assessed for each frequency band. When the original highest measured SAR is  $\geq 0.8$  W/kg, repeated that measurement once. Perform a second repeated measurement only if the ratio of largest to smallest SAR for the original and first repeated measurements is  $> 1.20$  or when the original or repeated measurement is  $\geq 1.45$  W/kg (~ 10% from the 1-g SAR limit)

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## 1.7 The SAR Measurement System

A block diagram of the SAR measurement System is given in Fig. a. This SAR Measurement System uses a Computer-controlled 3-D stepper motor system (SPEAG DASY 5 professional system). The model EX3DV4 field probe is used to determine the internal electric fields. The SAR can be obtained from the equation  $SAR = \sigma (|E|^2) / \rho$  where  $\sigma$  and  $\rho$  are the conductivity and mass density of the tissue-simulant.

The DASY 5 system for performing compliance tests consists of the following items:

- A standard high precision 6-axis robot (Staubli RX family) with controller, teach pendant and software. An arm extension is for accommodating the data acquisition electronics (DAE).
- A dosimetric probe, i.e., an isotropic E-field probe optimized and calibrated for usage intissue simulating liquid. The probe is equipped with an optical surface detector system.
- A data acquisition electronics (DAE) which performs the signal amplification, signal multiplexing, AD-conversion, offset measurements, mechanical surface detection, collision detection, etc. The unit is battery powered with standard or rechargeable batteries. The signal is optically transmitted to the EOC.

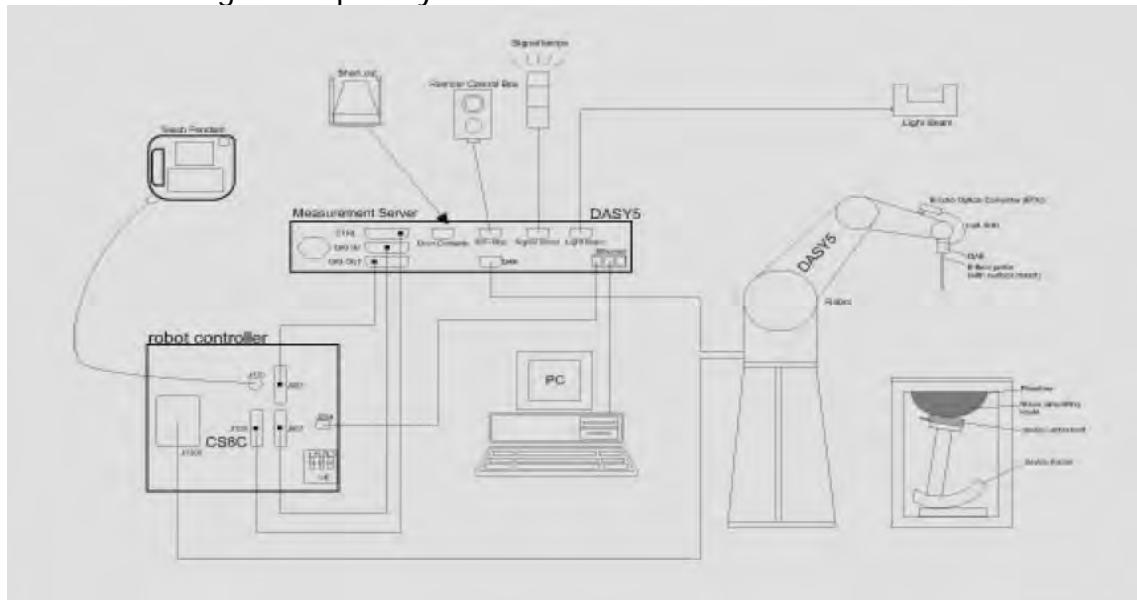


Fig. a The block diagram of SAR system

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- The Electro-optical converter (EOC) performs the conversion between optical and electrical of the signals for the digital communication to the DAE and for the analog signal from the optical surface detection. The EOC is connected to the measurement server.
- The function of the measurement server is to perform the time critical tasks such as signal filtering, control of the robot operation and fast movement interrupts.
- A probe alignment unit which improves the (absolute) accuracy of the probe positioning.
- A computer operating Windows 7.
- DASY 5 software.
- Remote control with teach pendant and additional circuitry for robot safety such as warning lamps, etc.
- The SAM twin phantom enabling testing left-hand and right-hand usage.
- The device holder for handheld mobile phones.
- Tissue simulating liquid mixed according to the given recipes.
- Validation dipole kits allowing to validate the proper functioning of the system.

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## 1.8 System Components

### EX3DV4 E-Field Probe

Construction	Symmetrical design with triangular core Built-in shielding against static charges PEEK enclosure material (resistant to organic solvents, e.g., DGBE)	
Calibration	Basic Broad Band Calibration in air Conversion Factors (CF) for HSL 900 MHz Additional CF for other liquids and frequencies upon request	
Frequency	10 MHz to > 6 GHz	
Directivity	± 0.3 dB in HSL (rotation around probe axis) ± 0.5 dB in tissue material (rotation normal to probe axis)	
Dynamic Range	10 µW/g to > 100 mW/g Linearity: ± 0.2 dB (noise: typically < 1 µW/g)	
Dimensions	Tip diameter: 2.5 mm	
Application	High precision dosimetric measurements in any exposure scenario (e.g., very strong gradient fields). Only probe which enables compliance testing for frequencies up to 6 GHz with precision of better 30%.	

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**SAM PHANTOM V4.0C**

Construction	The shell corresponds to the specifications of the Specific Anthropomorphic Mannequin (SAM) phantom defined in IEEE 1528-200X, CENELEC 50361 and IEC 62209. It enables the dosimetric evaluation of left and right hand phone usage as well as body mounted usage at the flat phantom region. A cover prevents evaporation of the liquid. Reference markings on the phantom allow the complete setup of all predefined phantom positions and measurement grids by manually teaching three points with the robot.
Shell Thickness	2 ± 0.2 mm
Filling Volume	Approx. 25 liters
Dimensions	Height: 850 mm; Length: 1000 mm; Width: 500 mm

**DEVICE HOLDER**

Construction	The device holder (Supporter) for Notebook is made by POM (polyoxymethylene resin) , which is non-metal and non-conductive. The height can be adjusted to fit varies kind of notebooks.	 Device Holder
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## 1.9 SAR System Verification

The microwave circuit arrangement for system verification is sketched in Fig. b. The daily system accuracy verification occurs within the flat section of the SAM phantom. A SAR measurement was performed to see if the measured SAR was within +/- 10% from the target SAR values. These tests were done at 900 MHz. The tests were conducted on the same days as the measurement of the DUT. The obtained results from the system accuracy verification are displayed in the table 1 (SAR values are normalized to 1W forward power delivered to the dipole). During the tests, the ambient temperature of the laboratory was 21.7°C, the relative humidity was 62% and the liquid depth above the ear reference points was  $\geq 15 \text{ cm} \pm 5 \text{ mm}$  (frequency  $\leq 3 \text{ GHz}$ ) or  $\geq 10 \text{ cm} \pm 5 \text{ mm}$  (frequency  $> 3 \text{ GHz}$ ) in all the cases. It is seen that the system is operating within its specification, as the results are within acceptable tolerance of the reference values.

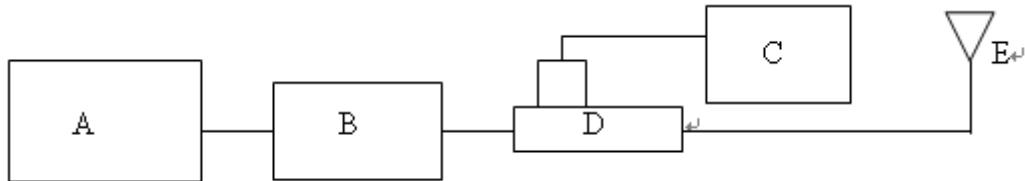


Fig. b The block diagram of system verification

- A. Signal generator
- B. Amplifier
- C. Power meter
- D. Dual directional coupling
- E. Reference dipole antenna



Photograph of the dipole Antenna

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Validation Kit	S/N	Frequency (MHz)	Target SAR (1g) (Pin=250mW) (mW/g)	Measured SAR (1g)(mW/g)	Deviation (%)	Measured Date
D900V2	178	900 Body	2.66	2.58	3.01%	Dec. 12, 2014

Table 1. Results of system validation

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## 1.10 Tissue Simulant Fluid for the Frequency Band

The dielectric properties for this body-simulant fluid were measured by using the Agilent Model 85070E Dielectric Probe (rates frequency band 200 MHz to 20 GHz) in conjunction with Network Analyzer (30 KHz-6000 MHz).

All dielectric parameters of tissue simulates were measured within 24 hours of SAR measurements. The depth of the tissue simulant in the flat section of the phantom was  $\geq 15 \text{ cm} \pm 5 \text{ mm}$  (Frequency  $\leq 3\text{G}$ ) or  $\geq 10 \text{ cm} \pm 5 \text{ mm}$  (Frequency  $> 3\text{G}$ ) during all tests. (Fig. 2)

Tissue Type	Measurement Date	Measured Frequency (MHz)	Target Dielectric Constant, $\epsilon_r$	Target Conductivity, $\sigma$ (S/m)	Measured Dielectric Constant, $\epsilon_r$	Measured Conductivity, $\sigma$ (S/m)	% dev $\epsilon_r$	% dev $\sigma$
Body	Dec. 12, 2014	900	55	1.05	52.966	1.055	3.70%	-0.48%
		902.25	55	1.052	52.915	1.065	3.79%	-1.24%
		914.75	55	1.06	52.82	1.074	3.96%	-1.32%
		927.75	54.976	1.066	52.688	1.081	4.16%	-1.41%

Table 2. Dielectric Parameters of Tissue Simulant Fluid

### The composition of the body tissue simulating liquid:

Frequency (MHz)	Mode	Ingredient						Total amount
		DGMBE	Water	Salt	Preventol D-7	Cellulose	Sugar	
900	Body	—	631.68 g	11.72 g	1.2 g	—	600 g	1.0L(Kg)

Table 3. Recipes for Tissue Simulating Liquid

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## 1.11 Evaluation Procedures

The entire evaluation of the spatial peak values is performed within the Post-processing engine (SEMCAD). The system always gives the maximum values for the 1 g and 10 g cubes. The algorithm to find the cube with highest averaged SAR is divided into the following stages:

1. The extraction of the measured data (grid and values) from the Zoom Scan.
2. The calculation of the SAR value at every measurement point based on all stored data (A/D values and measurement parameters)
3. The generation of a high-resolution mesh within the measured volume
4. The interpolation of all measured values from the measurement grid to the high-resolution grid
5. The extrapolation of the entire 3-D field distribution to the phantom surface over the distance from sensor to surface
6. The calculation of the averaged SAR within masses of 1g and 10g.

The probe is calibrated at the center of the dipole sensors that is located 1 to 2.7mm away from the probe tip. During measurements, the probe stops shortly above the phantom surface, depending on the probe and the surface detecting system. Both distances are included as parameters in the probe configuration file. The software always knows exactly how far away the measured point is from the surface. As the probe cannot directly measure at the surface, the values between the deepest measured point and the surface must be extrapolated. The angle between the probe axis and the surface normal line is less than 30 degree.

In the Area Scan, the gradient of the interpolation function is evaluated to find all the extreme of the SAR distribution. The uncertainty on the locations of the extreme is less than 1/20 of the grid size. Only local maximum within -2 dB of the global maximum are searched and passed for the Cube Scan measurement. In the Cube Scan, the interpolation function is used to extrapolate the Peak SAR from the lowest measurement points to the inner phantom surface (the extrapolation distance). The uncertainty increases with the extrapolation distance. To keep the uncertainty within 1% for the 1 g and 10 g cubes, the extrapolation distance should not be larger than 5mm.

The maximum search is automatically performed after each area scan measurement. It is based on splines in two or three dimensions. The procedure can find the maximum for most SAR distributions even with relatively large grid spacing. After the area scanning measurement, the probe is automatically moved to a position at the interpolated maximum. The following scan can directly use this position for reference, e.g., for a finer resolution grid or the cube evaluations. The 1g and 10g peak evaluations are only available for the predefined cube 7x7x7 scans. The routines are verified and optimized for the grid dimensions used in these cube measurements.

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The measured volume of 30x30x30mm contains about 30g of tissue.

The first procedure is an extrapolation (incl. Boundary correction) to get the points between the lowest measured plane and the surface. The next step uses 3D interpolation to get all points within the measured volume. In the last step, a 1g cube is placed numerically into the volume and its averaged SAR is calculated. This cube is moved around until the highest averaged SAR is found. If the highest SAR is found at the edge of the measured volume, the system will issue a warning: higher SAR values might be found outside of the measured volume. In that case the cube measurement can be repeated, using the new interpolated maximum as the center.

## 1.12 Probe Calibration Procedures

For the calibration of E-field probes in lossy liquids, an electric field with an accurately known field strength must be produced within the measured liquid. For standardization purposes it would be desirable if all measurements which are necessary to assess the correct field strength would be traceable to standardized measurement procedures. In the following two different calibration techniques are summarized:

### 1.12.1 Transfer Calibration with Temperature Probes

In lossy liquids the specific absorption rate (SAR) is related both to the electric field ( $E$ ) and the temperature gradient ( $\delta T / \delta t$ ) in the liquid.

$$SAR = \frac{\sigma}{\rho} |E|^2 = c \frac{\delta T}{\delta t}$$

whereby  $\sigma$  is the conductivity,  $\rho$  the density and  $c$  the heat capacity of the liquid.

Hence, the electric field in lossy liquid can be measured indirectly by measuring the temperature gradient in the liquid. Non-disturbing temperature probes (optical probes or thermistor probes with resistive lines) with high spatial resolution (<1-2 mm) and fast reaction time (<1 s) are available and can be easily calibrated with high precision [1]. The setup and the exciting source have no influence on the calibration; only the relative positioning uncertainties of the standard temperature probe and the E-field probe to be calibrated must be considered. However, several problems limit the available accuracy of probe calibrations with temperature probes:

- The temperature gradient is not directly measurable but must be evaluated from temperature measurements at different time steps. Special precaution is necessary to avoid measurement errors caused by temperature gradients due to energy equalizing effects or convection currents in the liquid. Such effects cannot be completely avoided, as the measured field itself destroys the thermal equilibrium in the liquid. With a careful setup these errors can be kept small.

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- The measured volume around the temperature probe is not well defined. It is difficult to calculate the energy transfer from a surrounding gradient temperature field into the probe. These effects must be considered, since temperature probes are calibrated in liquid with homogeneous temperatures. There is no traceable standard for temperature rise measurements.
- The calibration depends on the assessment of the specific density, the heat capacity and the conductivity of the medium. While the specific density and heat capacity can be measured accurately with standardized procedures (~ 2% for  $c$ ; much better for  $\rho$ ), there is no standard for the measurement of the conductivity. Depending on the method and liquid, the error can well exceed  $\pm 5\%$ .
- Temperature rise measurements are not very sensitive and therefore are often performed at a higher power level than the E-field measurements. The nonlinearities in the system (e.g., power measurements, different components, etc.) must be considered.

Considering these problems, the possible accuracy of the calibration of E-field probes with temperature gradient measurements in a carefully designed setup is about  $\pm 10\%$  (RSS) [2]. Recently, a setup which is a combination of the waveguide techniques and the thermal measurements was presented in [3]. The estimated uncertainty of the setup is  $\pm 5\%$  (RSS) when the same liquid is used for the calibration and for actual measurements and  $\pm 7\text{--}9\%$  (RSS) when not, which is in good agreement with the estimates given in [2].

### 1.12.2 Calibration with Analytical Fields

In this method a technical setup is used in which the field can be calculated analytically from measurements of other physical magnitudes (e.g., input power). This corresponds to the standard field method for probe calibration in air; however, there is no standard defined for fields in lossy liquids.

When using calculated fields in lossy liquids for probe calibration, several points must be considered in the assessment of the uncertainty:

- The setup must enable accurate determination of the incident power.
- The accuracy of the calculated field strength will depend on the assessment of the dielectric parameters of the liquid.
- Due to the small wavelength in liquids with high permittivity, even small setups might be above the resonant cutoff frequencies. The field distribution in the setup must be carefully checked for conformity with the theoretical field distribution.

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### 1.13 Test Standards and Limits

According to FCC 47CFR §2.1093(d) The limits to be used for evaluation are based generally on criteria published by the American National Standards Institute (ANSI) for localized specific absorption rate ("SAR") in Section 4.2 of "IEEE Standard for Safety Levels with Respect to Human Exposure to Radio Frequency Electromagnetic Fields, 3 kHz to 300 GHz," ANSI/IEEE C95.1–1992, Copyright 1992 by the Institute of Electrical and Electronics Engineers, Inc., New York, New York 10017. These criteria for SAR evaluation are similar to those recommended by the National Council on Radiation Protection and Measurements (NCRP) in "Biological Effects and Exposure Criteria for Radio frequency Electromagnetic Fields," NCRP Report No. 86, Section 17.4.5. Copyright NCRP, 1986, Bethesda, Maryland 20814. SAR is a measure of the rate of energy absorption due to exposure to an RF transmitting source. SAR values have been related to threshold levels for potential biological hazards. The criteria to be used are specified in paragraphs (d)(1) and (d)(2) of this section and shall apply for portable devices transmitting in the frequency range from 100 kHz to 6 GHz. Portable devices that transmit at frequencies above 6 GHz are to be evaluated in terms of the MPE limits specified in § 1.1310 of this chapter. Measurements and calculations to demonstrate compliance with MPE field strength or power density limits for devices operating above 6 GHz should be made at a minimum distance of 5 cm from the radiating source.

- (1) Limits for Occupational/Controlled exposure: 0.4 W/kg as averaged over the whole-body and spatial peak SAR not exceeding 8 W/kg as averaged over any 1 gram of tissue (defined as a tissue volume in the shape of a cube). Exceptions are the hands, wrists, feet and ankles where the spatial peak SAR shall not exceed 20 W/kg, as averaged over an 10 grams of tissue (defined as a tissue volume in the shape of a cube).
- (2) Occupational/Controlled limits apply when persons are exposed as a consequence of their employment provided these persons are fully aware of and exercise control over their exposure. Awareness of exposure can be accomplished by use of warning labels or by specific training or education through appropriate means, such as an RF safety program in a work environment.
- (3) Limits for General Population/Uncontrolled exposure: 0.08 W/kg as averaged over the whole-body and spatial peak SAR not exceeding 1.6 W/kg as averaged over any 1 gram of tissue (defined as a tissue volume in the shape of a cube). Exceptions are the hands, wrists, feet and ankles where the spatial peak SAR shall not exceed 4 W/kg, as averaged over any 10 grams of tissue (defined as a tissue volume in the shape of a cube). General Population/Uncontrolled limits apply when the general public may be exposed, or when persons that are exposed as a consequence of their employment may not be fully aware of the potential for exposure or do not exercise control over their exposure. Warning labels placed on consumer devices such as cellular telephones will not be sufficient reason to allow these devices to be evaluated subject to limits for occupational/controlled exposure in paragraph (d)(1)

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of this section. (Table 4.)

Human Exposure	Uncontrolled Environment General Population	Controlled Environment Occupational
Spatial Peak SAR (Brain)	1.60 m W/g	8.00 m W/g
Spatial Average SAR (Whole Body)	0.08 m W/g	0.40 m W/g
Spatial Peak SAR (Hands/Feet/Ankle/Wrist)	4.00 m W/g	20.00 m W/g

Table 4. RF exposure limits

Notes:

1. Uncontrolled environments are defined as locations where there is potential exposure of individuals who have no knowledge or control of their potential exposure.
2. Controlled environments are defined as locations where there is potential exposure of individuals who have knowledge of their potential exposure and can exercise control over their exposure.

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## 2. Summary of Results

### Cover closed

Mode	Position	Distance (mm)	Freq. (MHz)	Max. Rated Avg. Power + Max. Tolerance (dBm)	Measured Avg. Power (dBm)	Scaling	Averaged SAR over 1g (W/kg)		Plot page
							Measured	Reported	
RFID	Top	5mm	927.75	18.6	17.76	21.34%	0.086	0.104	-
	Right	5mm	927.75	18.6	17.76	21.34%	0.013	0.016	-
	Left	5mm	927.75	18.6	17.76	21.34%	0.012	0.015	-
	Bottom	5mm	927.75	18.6	17.76	21.34%	0.116	0.141	-
	Back	5mm	927.75	18.6	17.76	21.34%	0.094	0.114	-
	Front	5mm	902.25	18.6	17.75	21.62%	0.27	0.328	30
	Front	5mm	914.75	18.6	17.69	23.31%	0.251	0.310	-
	Front	5mm	927.75	18.6	17.76	21.34%	0.232	0.282	-

### Cover opened

Mode	Position	Distance (mm)	Freq. (MHz)	Max. Rated Avg. Power + Max. Tolerance (dBm)	Measured Avg. Power (dBm)	Scaling	Averaged SAR over 1g (W/kg)		Plot page
							Measured	Reported	
RFID	Top	10mm	927.75	18.6	17.76	21.34%	0.084	0.102	-
	Right	10mm	927.75	18.6	17.76	21.34%	0.012	0.015	-
	Left	10mm	927.75	18.6	17.76	21.34%	0.00963	0.012	-
	Bottom	10mm	927.75	18.6	17.76	21.34%	0.01	0.012	-
	Back	10mm	927.75	18.6	17.76	21.34%	0.151	0.183	-
	Front	10mm	902.25	18.6	17.75	21.62%	0.242	0.294	-
	Front	10mm	914.75	18.6	17.69	23.31%	0.285	0.351	-
	Front	10mm	927.75	18.6	17.76	21.34%	0.306	0.371	31

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### 3. Simultaneous Transmission Analysis

#### Simultaneous Transmission Scenarios:

Simultaneous Transmit Configurations	Body
RFID + BT	Yes

Note:

1. RFID and BT antennas may transmit simultaneously.
2. The SAR sum for each configuration is less than the SAR limit, so the simultaneous transmission SAR measurement is not required.

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### 3.1 Estimated SAR calculation

According to KDB447498 D01v05 – When standalone SAR test exclusion applies to an antenna that transmits simultaneously with other antennas, the standalone SAR must be estimated according to following to determine simultaneous transmission SAR test exclusion:

$$\text{Estimated SAR} = \frac{\text{Max. tune up power(mW)}}{\text{Min. test separation distance(mm)}} \times \frac{\sqrt{f(\text{GHz})}}{7.5}$$

If the minimum test separation distance is < 5mm, a distance of 5mm is used for estimated SAR calculation. When the test separation distance is >50mm, the 0.4W/kg is used for SAR-1g.

Mode / Band	frequency(GHz)	Maximum power(dBm)	Test position	test separation distance(mm)	Estimated SAR(W/kg)
BT (cover closed)	2.48	0	Right/Left/Top/Bottom/ Front/Back sides	5	0.042

Mode / Band	frequency(GHz)	Maximum power(dBm)	Test position	test separation distance(mm)	Estimated SAR(W/kg)
BT (cover opened)	2.48	0	Right/Left/Top/Bottom/ Front/Back sides	10	0.021

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### 3.2 SPLSR evaluation and analysis

Per KDB447498D01, when the sum of SAR is larger than the limit, SAR test exclusion is determined by the SAR sum to peak location separation ratio(SPLSR).

The simultaneous transmitting antennas in each operating mode and exposure condition combination must be considered one pair at a time to determine the SAR to peak location separation ratio to qualify for test exclusion.

The ratio is determined by **(SAR1 + SAR2)^1.5/Ri**, rounded to two decimal digits, and must be **≤ 0.04** for all antenna pairs in the configuration to qualify for 1-g SAR test exclusion.

SAR1 and SAR2 are the highest reported or estimated SAR for each antenna in the pair, and Ri is the separation distance between the peak SAR locations for the antenna pair in mm.

When standalone test exclusion applies, SAR is estimated; the peak location is assumed to be at the feed-point or geometric center of the antenna.

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### RFID + BT (5mm)

No.	Conditions	Position	Distance (mm)	Max. RFID	Max. BT (estimated)	SAR Sum
1	RFID + BT	Back	5	0.114	0.42	0.534
		Front	5	0.328	0.42	0.748
		Top	5	0.104	0.42	0.524
		Right	5	0.016	0.42	0.436
		Left	5	0.015	0.42	0.435
		Bottom	5	0.141	0.42	0.561

### RFID + BT (10mm)

No.	Conditions	Position	Distance (mm)	Max. RFID	Max. BT (estimated)	SAR Sum
2	RFID + BT	Back	10	0.183	0.021	0.204
		Front	10	0.371	0.021	0.392
		Top	10	0.102	0.021	0.123
		Right	10	0.015	0.021	0.036
		Left	10	0.012	0.021	0.033
		Bottom	10	0.012	0.021	0.033

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## 4. Instruments List

Manufacturer	Device	Type	Serial number	Date of last calibration	Date of next calibration
Schmid & Partner Engineering AG	Dosimetric E-Field Probe	EX3DV4	3820	May.15,2014	May.14,2015
Schmid & Partner Engineering AG	System Validation Dipole	D900V2	178	Apr.17,2014	Apr.16,2015
Schmid & Partner Engineering AG	Data acquisition Electronics	DAE4	547	Mar.26,2014	Mar.25,2015
Schmid & Partner Engineering AG	Software	DASY 52 V52.8.8	N/A	Calibration not required	Calibration not required
Schmid & Partner Engineering AG	Phantom	SAM	N/A	Calibration not required	Calibration not required
Agilent	Network Analyzer	E5071C	MY46107530	Feb.14,2014	Feb.13,2015
Agilent	Dielectric Probe Kit	85070E	MY44300677	Calibration not required	Calibration not required
Agilent	Dual-directional coupler	772D	MY46151242	Jul.14,2014	Jul.13,2015
		778D	MY48220468	Apr.01,2014	Mar.31,2015
Agilent	RF Signal Generator	N5181A	MY50144143	Jun.25.2014	Jun.24.2015
Agilent	Power Meter	E4417A	MY51410006	Oct.25,2013	Oct.24,2015
Agilent	Power Sensor	E9301H	MY51470001	Dec.16,2013	Dec.15,2014
TECPYL	Digital thermometer	DTM-303A	TP130077	Mar.17,2014	Mar.16,2015

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Manufacturer	Device	Type	Serial number	Date of last calibration	Date of next calibration
Anritsu	Power Meter	ML2495A	1005007	Jan.13,2014	Jan.12,2015
Anritsu	Power Sensor	MA2411B	917032	Jan.13,2014	Jan.12,2015
Mini-Circuit	Attenuator	BW-S10W2+	002	Feb.27,2014	Feb.26,2015

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## 5. Measurements

Date: 2014/12/12

### RFID\_Body-worn\_Front\_5mm\_Cover Close

Communication System: RFID; Frequency: 902.25 MHz

Medium parameters used:  $f = 902.25$  MHz;  $\sigma = 1.065$  S/m;  $\epsilon_r = 52.915$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Flat Section

DASY5 Configuration:

- Probe: EX3DV4 - SN3820; ConvF(8.83, 8.83, 8.83); Calibrated: 2014/5/15;
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn547; Calibrated: 2014/3/26
- Phantom: Body;
- DASY52 52.8.8(1222); SEMCAD X 14.6.10(7331)

**Configuration/Body/Area Scan (91x81x1):** Interpolated grid:  $dx=15$  mm,

$dy=15$  mm

Maximum value of SAR (interpolated) = 0.324 W/kg

**Configuration/Body/Zoom Scan (5x5x7)/Cube 0:** Measurement grid:

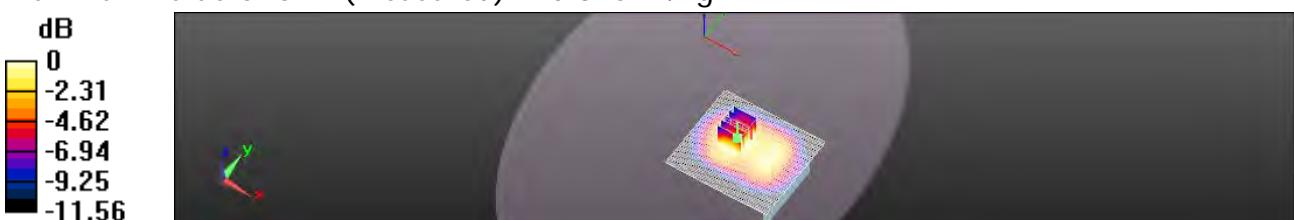
$dx=8$  mm,  $dy=8$  mm,  $dz=5$  mm

Reference Value = 8.605 V/m; Power Drift = 0.13 dB

Peak SAR (extrapolated) = 0.350 W/kg

**SAR(1 g) = 0.270 W/kg; SAR(10 g) = 0.193 W/kg**

Maximum value of SAR (measured) = 0.316 W/kg



0 dB = 0.316 W/kg = -5.00 dBW/kg

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Date: 2014/12/12

## RFID\_Body-worn\_Front\_10mm\_Cover Open

Communication System: RFID; Frequency: 927.75 MHz

Medium parameters used:  $f = 927.75$  MHz;  $\sigma = 1.081$  S/m;  $\epsilon_r = 52.688$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Flat Section

DASY5 Configuration:

- Probe: EX3DV4 - SN3820; ConvF(8.83, 8.83, 8.83); Calibrated: 2014/5/15;
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn547; Calibrated: 2014/3/26
- Phantom: Body;
- DASY52 52.8.8(1222); SEMCAD X 14.6.10(7331)

**Configuration/Body/Area Scan (81x81x1):** Interpolated grid:  $dx=15$  mm,  $dy=15$  mm

Maximum value of SAR (interpolated) = 0.329 W/kg

**Configuration/Body/Zoom Scan (5x5x7)/Cube 0:** Measurement grid:

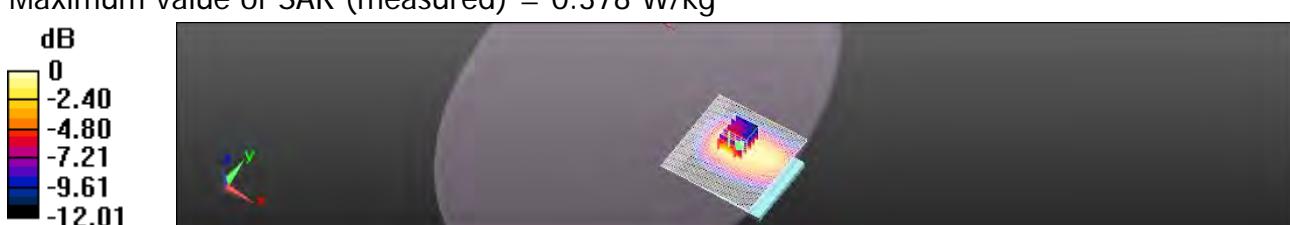
$dx=8$  mm,  $dy=8$  mm,  $dz=5$  mm

Reference Value = 1.122 V/m; Power Drift = 0.17 dB

Peak SAR (extrapolated) = 0.414 W/kg

**SAR(1 g) = 0.306 W/kg; SAR(10 g) = 0.197 W/kg**

Maximum value of SAR (measured) = 0.378 W/kg



0 dB = 0.378 W/kg = -4.23 dBW/kg

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## 6. SAR System Performance Verification

Date: 2014/12/12

### Dipole 900 MHz\_SN:178\_Body

Communication System: CW; Frequency: 900 MHz

Medium parameters used:  $f = 900$  MHz;  $\sigma = 1.055$  S/m;  $\epsilon_r = 52.966$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Flat Section

DASY5 Configuration:

- Probe: EX3DV4 - SN3820; ConvF(8.83, 8.83, 8.83); Calibrated: 2014/5/15;
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn547; Calibrated: 2014/3/26
- Phantom: Body;
- DASY52 52.8.8(1222); SEMCAD X 14.6.10(7331)

**Configuration/Pin=250mW/Area Scan (41x101x1):** Interpolated grid:

$dx=15$  mm,  $dy=15$  mm

Maximum value of SAR (interpolated) = 3.32 W/kg

**Configuration/Pin=250mW/Zoom Scan (5x5x7)/Cube 0:** Measurement

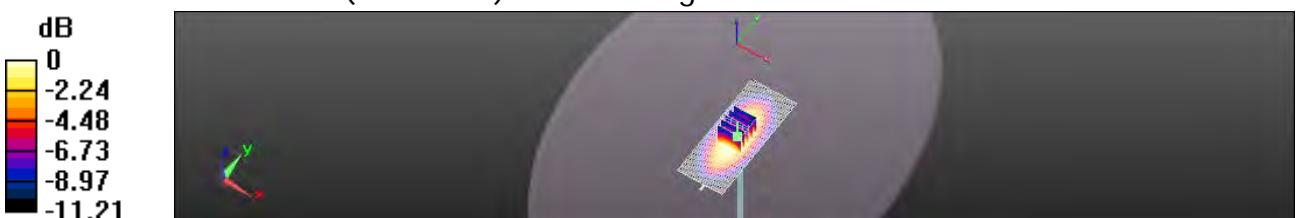
grid:  $dx=8$  mm,  $dy=8$  mm,  $dz=5$  mm

Reference Value = 57.01 V/m; Power Drift = -0.02 dB

Peak SAR (extrapolated) = 3.92 W/kg

**SAR(1 g) = 2.58 W/kg; SAR(10 g) = 1.66 W/kg**

Maximum value of SAR (measured) = 3.32 W/kg



0 dB = 3.32 W/kg = 5.21 dBW/kg

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## 7. DAE & Probe Calibration Certificate

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Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: SCS 108

Client: SGS - TW (Auden)

Certificate No.: DAE4-547\_Mar14

### CALIBRATION CERTIFICATE

Object: DAE4 - SD 000 D04 BM - SN: 547

Calibration procedure: QA CAL-06.v26  
Calibration procedure for the data acquisition electronics (DAE)

Calibration date: March 26, 2014

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).  
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility, environment temperature (22 ± 3°C) and humidity < 70%.

Calibration Equipment used (M&amp;TE critical for calibration):

Primary Standards	ID #	Cal Date (Certificate No.)	Scheduled Calibration
Keithley Multimeter Type 2001	SN: 081027H	01-Dec-13 (No. 13976)	Oct-14
Secondary Standards	ID #	Check Date (in house)	Scheduled Check
Auto DAE Calibration Unit: Calibration Box V2.1	SE UMS 052 AA 1001 SE UMS 008 AA 1002	07-Jan-14 (in house check) 07-Jan-14 (in house check)	In house check: Jan-15 In house check: Jan-15

Calibrated by:

Name: Eric Hartleb

Function: Technician

Signature:

Approved by:

Name: Eric Bemmel

Function: Deputy Technical Manager

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

Issued: March 26, 2014

Certificate No: DAE4-547\_Mar14

Page 1 of 5

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Accreditation No.: SCS 108

### Glossary

DAE	data acquisition electronics
Connector angle	information used in DASY system to align probe sensor X to the robot coordinate system.

### Methods Applied and Interpretation of Parameters

- *DC Voltage Measurement*: Calibration Factor assessed for use in DASY system by comparison with a calibrated instrument traceable to national standards. The figure given corresponds to the full scale range of the voltmeter in the respective range.
- *Connector angle*: The angle of the connector is assessed measuring the angle mechanically by a tool inserted. Uncertainty is not required.
- The following parameters as documented in the Appendix contain technical information as a result from the performance test and require no uncertainty.
  - *DC Voltage Measurement Linearity*: Verification of the Linearity at +10% and -10% of the nominal calibration voltage. Influence of offset voltage is included in this measurement.
  - *Common mode sensitivity*: Influence of a positive or negative common mode voltage on the differential measurement.
  - *Channel separation*: Influence of a voltage on the neighbor channels not subject to an input voltage.
  - *AD Converter Values with inputs shorted*: Values on the internal AD converter corresponding to zero input voltage
  - *Input Offset Measurement*: Output voltage and statistical results over a large number of zero voltage measurements.
  - *Input Offset Current*: Typical value for information; Maximum channel input offset current, not considering the input resistance.
  - *Input resistance*: Typical value for information: DAE input resistance at the connector, during internal auto-zeroing and during measurement.
  - *Low Battery Alarm Voltage*: Typical value for information. Below this voltage, a battery alarm signal is generated.
  - *Power consumption*: Typical value for information. Supply currents in various operating modes.

**DC Voltage Measurement**

A/D - Converter Resolution nominal

High Range: 1LSB = 6.1 $\mu$ V, full range = -100...+300 mV

Low Range: 1LSB = 61nV, full range = -1.....+3mV

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

Calibration Factors	X	Y	Z
High Range	404.032 ± 0.02% (k=2)	404.058 ± 0.02% (k=2)	404.202 ± 0.02% (k=2)
Low Range	3.95713 ± 1.50% (k=2)	3.96202 ± 1.50% (k=2)	3.97561 ± 1.50% (k=2)

**Connector Angle**

Connector Angle to be used in DASY system	158.0 ° ± 1 °
---	---------------

**Appendix****1. DC Voltage Linearity**

High Range	Reading (µV)	Difference (µV)	Error (%)
Channel X + Input	199995.43	-0.60	-0.00
Channel X + Input	20004.43	4.15	0.02
Channel X - Input	-19997.69	3.25	-0.02
Channel Y + Input	199994.87	-1.15	-0.00
Channel Y + Input	19998.43	-1.93	-0.01
Channel Y - Input	-20001.87	-0.85	0.00
Channel Z + Input	199997.48	1.41	0.00
Channel Z + Input	20001.10	0.79	0.00
Channel Z - Input	-20003.63	-2.53	0.01

Low Range	Reading (µV)	Difference (µV)	Error (%)
Channel X + Input	2000.64	0.17	0.01
Channel X + Input	201.77	0.85	0.42
Channel X - Input	-199.11	-0.24	0.12
Channel Y + Input	2000.97	0.62	0.03
Channel Y + Input	200.19	-0.69	-0.34
Channel Y - Input	-199.95	-0.97	0.49
Channel Z + Input	2000.53	0.21	0.01
Channel Z + Input	200.38	-0.40	-0.20
Channel Z - Input	-199.62	-0.59	0.29

**2. Common mode sensitivity**

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

	Common mode Input Voltage (mV)	High Range Average Reading (µV)	Low Range Average Reading (µV)
Channel X	200	19.65	17.65
	-200	-14.62	-15.78
Channel Y	200	-6.89	-7.43
	-200	3.98	4.06
Channel Z	200	20.93	20.96
	-200	-22.42	-22.42

**3. Channel separation**

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

	Input Voltage (mV)	Channel X (µV)	Channel Y (µV)	Channel Z (µV)
Channel X	200	-	2.53	-2.12
Channel Y	200	9.67	-	3.63
Channel Z	200	5.84	6.75	-

**4. AD-Converter Values with inputs shorted**

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

	High Range (LSB)	Low Range (LSB)
Channel X	16141	15478
Channel Y	16453	16523
Channel Z	15984	17120

**5. Input Offset Measurement**

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

Input 10MΩ

	Average (μV)	min. Offset (μV)	max. Offset (μV)	Std. Deviation (μV)
Channel X	2.01	0.79	3.52	0.47
Channel Y	-0.51	-1.15	0.66	0.34
Channel Z	-0.87	-1.96	0.11	0.45

**6. Input Offset Current**

Nominal Input circuitry offset current on all channels: &lt;25fA

**7. Input Resistance (Typical values for information)**

	Zeroing (kOhm)	Measuring (MOhm)
Channel X	200	200
Channel Y	200	200
Channel Z	200	200

**8. Low Battery Alarm Voltage (Typical values for information)**

Typical values	Alarm Level (VDC)
Supply (+ Vcc)	+7.9
Supply (- Vcc)	-7.6

**9. Power Consumption (Typical values for information)**

Typical values	Switched off (mA)	Stand by (mA)	Transmitting (mA)
Supply (+ Vcc)	+0.01	+6	+14
Supply (- Vcc)	-0.01	-8	-9

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Accreditation No.: **SCS 108**Client **Auden**Certificate No: **EX3-3820\_May14****CALIBRATION CERTIFICATE**

Ref: EX3DV4 - SN:3820

Calibration procedure(s): QA CAL-01.v9, QA CAL-14.v4, QA CAL-23.v5, QA CAL-25.v6  
Calibration procedure for dosimetric E-field probes

Calibration date: May 15, 2014

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).  
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility, environmental temperature ( $22 \pm 3$  °C) and humidity ( $70 \pm 5$  %).

Calibration Equipment used (MATEL critical for calibration)

Primary Standards	ID	Cal. Date (Certificate No.)	Scheduled Calibration
Power meter E4419B	GBM12B3874	10-Apr-14 (No. 217-01911)	Apr-15
Power sensor E4412A	MY41499087	03-Apr-14 (No. 217-01911)	Apr-15
Reference 3 dB Attenuator	SN: 55054 (3c)	03-Apr-14 (No. 217-01915)	Apr-15
Reference 20 dB Attenuator	SN: 55271 (20c)	03-Apr-14 (No. 217-01916)	Apr-15
Reference 30 dB Attenuator	SN: 55129 (30b)	03-Apr-14 (No. 217-01920)	Apr-15
Reference Probe ES3DV2	SN: 3013	30-Dec-13 (No. ES3-3013_Dec13)	Dec-14
DAE8	SN: 660	13-Dec-13 (No. DAE4-660_Dec13)	Dec-14

Secondary Standards	ID	Check Date (in house)	Scheduled Check
RF generator HP 8641C	US3642U03700	4-Aug-14 (in house check Apr-15)	In house check: Apr-16
Network Analyzer HP 8753E	US3753H0585	18-Oct-14 (in house check Oct-13)	In house check: Oct-14

Calibrated by:	Name:	Function:	Signature:
	Carsten Leibler	Laboratory Technician	
Approved by:	Julie Pollock	Technical Manager	

Issued: May 17, 2014

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#### Glossary:

TSL	tissue simulating liquid
NORM <sub>x,y,z</sub>	sensitivity in free space
ConvF	sensitivity in TSL / NORM <sub>x,y,z</sub>
DCP	diode compression point
CF	crest factor (1/duty_cycle) of the RF signal
A, B, C, D	modulation dependent linearization parameters
Polarization $\varphi$	$\varphi$ rotation around probe axis
Polarization $\beta$	$\beta$ rotation around an axis that is in the plane normal to probe axis (at measurement center), i.e., $\beta = 0$ is normal to probe axis
Connector Angle	information used in DASY system to align probe sensor X to the robot coordinate system

#### Calibration is Performed According to the Following Standards:

- IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

#### Methods Applied and Interpretation of Parameters:

- $NORM_{x,y,z}$ : Assessed for E-field polarization  $\beta = 0$  ( $f \leq 900$  MHz in TEM-cell;  $f > 1800$  MHz: R22 waveguide).  $NORM_{x,y,z}$  are only intermediate values, i.e., the uncertainties of  $NORM_{x,y,z}$  does not affect the E<sup>2</sup>-field uncertainty inside TSL (see below ConvF).
- $NORM(f)x,y,z = NORM_{x,y,z} * frequency\_response$  (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- $DCPx,y,z$ : DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- $PAR$ : PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- $Ax,y,z$ ;  $Bx,y,z$ ;  $Cx,y,z$ ;  $Dx,y,z$ ;  $VRx,y,z$ ;  $A$ ,  $B$ ,  $C$ ,  $D$  are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters:** Assessed in flat phantom using E-field (or Temperature Transfer Standard for  $f \leq 800$  MHz) and inside waveguide using analytical field distributions based on power measurements for  $f > 800$  MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to  $NORM_{x,y,z} * ConvF$  whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from  $\pm 50$  MHz to  $\pm 100$  MHz.
- Spherical Isotropy (3D deviation from isotropy):** in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset:** The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle:** The angle is assessed using the information gained by determining the  $NORMx$  (no uncertainty required).

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EX3DV4 – SN:3820

May 15, 2014

# Probe EX3DV4

SN:3820

Manufactured: September 2, 2011  
Repaired: April 28, 2014  
Calibrated: May 15, 2014

Calibrated for DASY/EASY Systems  
(Note: non-compatible with DASY2 system!)

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Certificate No: EX3-3820\_May14

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EX3DV4- SN:3820

May 15, 2014

**DASY/EASY - Parameters of Probe: EX3DV4 - SN:3820****Basic Calibration Parameters**

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm ( $\mu$ V/(V/m)) <sup>a</sup>	0.41	0.48	0.51	$\pm$ 10.1 %
DCP (mV) <sup>b</sup>	101.9	94.0	97.6	

**Modulation Calibration Parameters**

UID	Communication System Name	A dB	B dB $\sqrt{\mu}$ V	C	D dB	VR mV	Unc <sup>c</sup> (k=2)
D	CW	X 0.0	0.0	1.0	0.00	144.8	$\pm$ 3.5 %
		Y 0.0	0.0	1.0		131.9	
		Z 0.0	0.0	1.0		142.9	

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>a</sup> The uncertainties of Norm X, Y, Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).<sup>b</sup> Numerical linearization parameter: uncertainty not required.<sup>c</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

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**DASY/EASY - Parameters of Probe: EX3DV4 - SN:3820****Calibration Parameter Determined in Head Tissue Simulating Media**

f (MHz) <sup>c</sup>	Relative Permittivity <sup>f</sup>	Conductivity (Sim) <sup>f</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>g</sup>	Depth <sup>g</sup> (mm)	Unct. (k=2)
750	41.9	0.89	9.55	9.55	9.55	0.41	0.88	± 12.0 %
835	41.5	0.90	9.22	9.22	9.22	0.30	1.08	± 12.0 %
900	41.5	0.97	9.23	9.23	9.23	0.47	0.78	± 12.0 %
1450	40.5	1.20	8.49	8.49	8.49	0.27	1.21	± 12.0 %
1750	40.1	1.37	8.26	8.26	8.26	0.80	0.59	± 12.0 %
1900	40.0	1.40	7.73	7.73	7.73	0.58	0.68	± 12.0 %
2100	39.8	1.49	7.71	7.71	7.71	0.75	0.58	± 12.0 %
2450	39.2	1.80	6.85	6.85	6.85	0.41	0.85	± 12.0 %
2600	39.0	1.96	6.73	6.73	6.73	0.40	0.85	± 12.0 %
5200	36.0	4.66	4.94	4.94	4.94	0.35	1.80	± 13.1 %
5300	35.9	4.76	4.66	4.66	4.66	0.35	1.80	± 13.1 %
5500	35.6	4.96	4.70	4.70	4.70	0.35	1.80	± 13.1 %
5600	35.5	5.07	4.47	4.47	4.47	0.35	1.80	± 13.1 %
5800	35.3	5.27	4.29	4.29	4.29	0.40	1.80	± 13.1 %

<sup>c</sup> Frequency validity of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. Above 5 GHz frequency validity can be extended to ± 110 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

<sup>f</sup> At frequencies below 3 GHz, the validity of tissue parameters (ε and σ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (ε and σ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>g</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

**DASY/EASY - Parameters of Probe: EX3DV4 - SN:3820****Calibration Parameter Determined in Body Tissue Simulating Media**

f (MHz) <sup>c</sup>	Relative Permittivity <sup>f</sup>	Conductivity (S/m) <sup>f</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>g</sup>	Depth <sup>h</sup> (mm)	Unc. (k=2)
750	55.5	0.96	9.12	9.12	9.12	0.42	0.92	± 12.0 %
835	55.2	0.97	9.01	9.01	9.01	0.37	0.97	± 12.0 %
900	55.0	1.05	8.83	8.83	8.83	0.59	0.73	± 12.0 %
1450	54.0	1.30	7.88	7.88	7.88	0.58	0.73	± 12.0 %
1750	53.4	1.49	7.48	7.48	7.48	0.80	0.61	± 12.0 %
1900	53.3	1.52	7.23	7.23	7.23	0.63	0.70	± 12.0 %
2100	53.2	1.62	7.54	7.54	7.54	0.53	0.75	± 12.0 %
2450	52.7	1.95	6.87	6.87	6.87	0.80	0.58	± 12.0 %
2600	52.5	2.16	6.63	6.63	6.63	0.80	0.50	± 12.0 %
5200	49.0	5.30	4.44	4.44	4.44	0.40	1.90	± 13.1 %
5300	48.9	5.42	4.25	4.25	4.25	0.40	1.90	± 13.1 %
5500	48.6	5.65	3.99	3.99	3.99	0.45	1.90	± 13.1 %
5600	48.5	5.77	3.83	3.83	3.83	0.45	1.90	± 13.1 %
5800	48.2	6.00	4.00	4.00	4.00	0.50	1.90	± 13.1 %

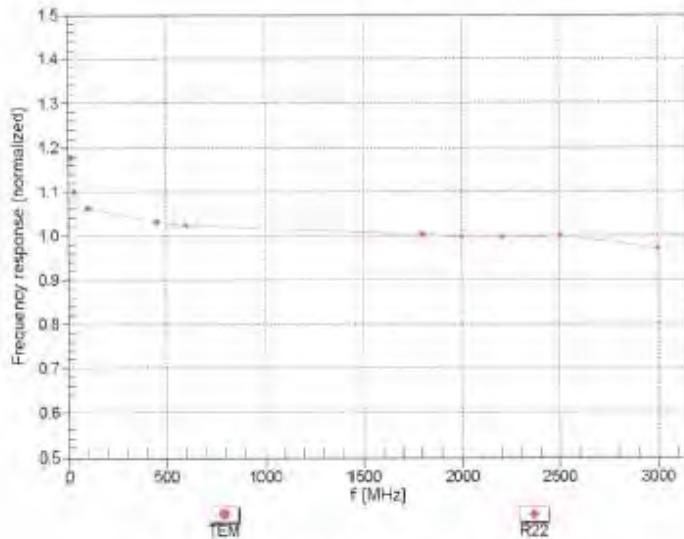
<sup>c</sup> Frequency validity of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. Above 5 GHz frequency validity can be extended to ± 110 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

<sup>f</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>g</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

EX3DV4- SN.3620

May 15, 2014

**Frequency Response of E-Field**  
(TEM-Cell:ifi110 EXX, Waveguide: R22)

Certificate No: EX3-3620\_May14

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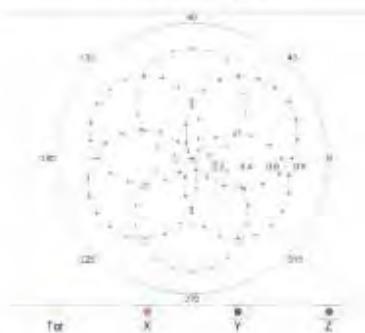
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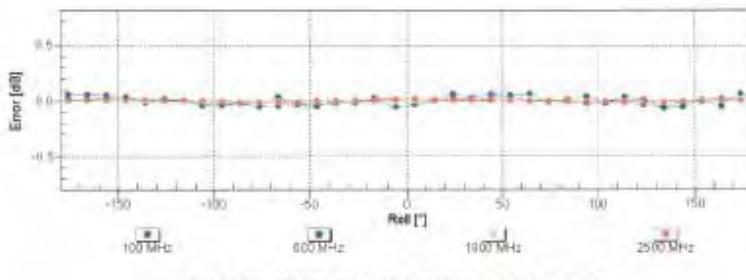
May 15, 2014

**Receiving Pattern ( $\phi$ ),  $\theta = 0^\circ$** 

f=600 MHz, TEM



f=1800 MHz, R22

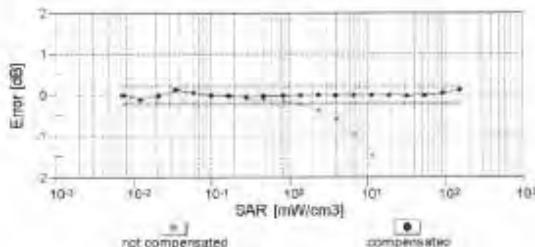
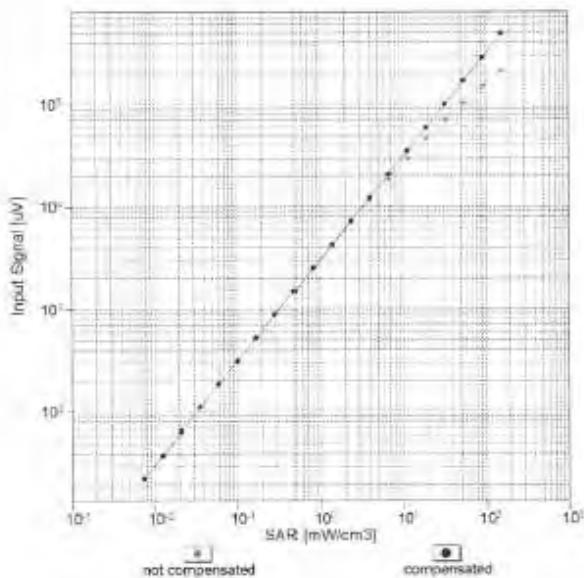


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**Dynamic Range  $f(\text{SAR}_{\text{head}})$**   
(TEM cell,  $f_{\text{eval}} = 1900 \text{ MHz}$ )Uncertainty of Linearity Assessment:  $\pm 0.6\%$  ( $k=2$ )

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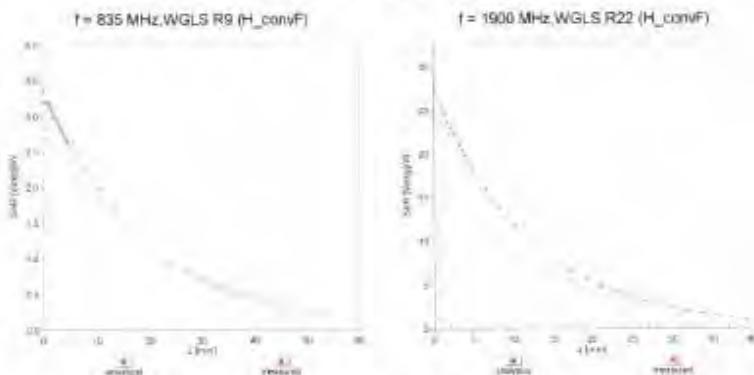
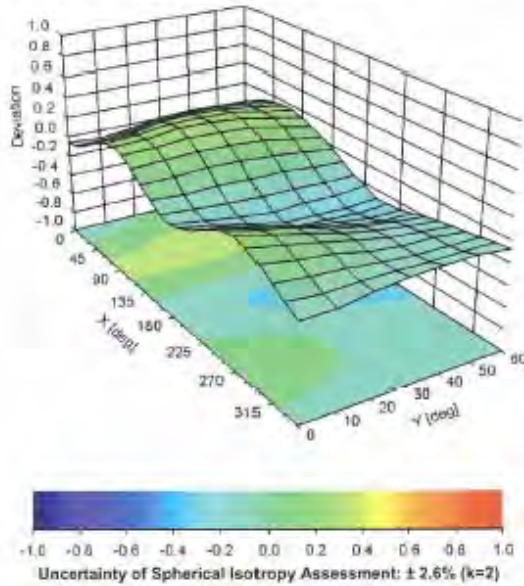
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EX3DV4- SN-3820

May 15, 2014

**Conversion Factor Assessment****Deviation from Isotropy in Liquid**  
Error ( $\theta, \phi$ ),  $f = 900$  MHz

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EX3DV4- SN:3820

May 15, 2014

### DASY/EASY - Parameters of Probe: EX3DV4 - SN:3820

#### Other Probe Parameters

Sensor Arrangement	Triangular
Connector Angle (°)	-56
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disabled
Probe Overall Length	337 mm
Probe Body Diameter	10 mm
Tip Length	9 mm
Tip Diameter	2.5 mm
Probe Tip to Sensor X Calibration Point	1 mm
Probe Tip to Sensor Y Calibration Point	1 mm
Probe Tip to Sensor Z Calibration Point	1 mm
Recommended Measurement Distance from Surface	2 mm

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## 8. Uncertainty Budget

 Measurement Uncertainty evaluation template for DUT SAR test  
 IEEE 1528

A	c	D	e	f	g	$h=c * f / e$	$i=c * g / e$	k	
Source of Uncertainty	Tolerance/ Uncertainty	Probabilit y	Div	Div Value	ci (1g)	ci (10g)	Standard uncertainty	Standard uncertainty	vi, or Veff
<b>Measurement system</b>									
Probe calibration	6.55%	N	1	1	1	1	6.55%	6.55%	$\infty$
<i>Isotropy, Axial</i>	3.50%	R	$\sqrt{3}$	1.732	1	1	2.02%	2.02%	$\infty$
<i>Isotropy, Hemispherical</i>	9.60%	R	$\sqrt{3}$	1.732	1	1	5.54%	5.54%	$\infty$
Boundary Effect	1.00%	R	$\sqrt{3}$	1.732	1	1	0.58%	0.58%	$\infty$
Linearity	4.70%	R	$\sqrt{3}$	1.732	1	1	2.71%	2.71%	$\infty$
Detection Limits	1.00%	R	$\sqrt{3}$	1.732	1	1	0.58%	0.58%	$\infty$
Readout Electronics	0.30%	N	1	1	1	1	0.30%	0.30%	$\infty$
Response time	0.80%	R	$\sqrt{3}$	1.732	1	1	0.46%	0.46%	$\infty$
Integration Time	2.60%	R	$\sqrt{3}$	1.732	1	1	1.50%	1.50%	$\infty$
<i>Measurement drift (class A evaluation)</i>	1.75%	R	$\sqrt{3}$	1.732	1	1	1.01%	1.01%	$\infty$
RF ambient condition - noise	3.00%	R	$\sqrt{3}$	1.732	1	1	1.73%	1.73%	$\infty$
RF ambient conditions - reflections	3.00%	R	$\sqrt{3}$	1.732	1	1	1.73%	1.73%	$\infty$
Probe positioner Mechanical restrictions	0.40%	R	$\sqrt{3}$	1.732	1	1	0.23%	0.23%	$\infty$
Probe Positioning with respect to phantom	2.90%	R	$\sqrt{3}$	1.732	1	1	1.67%	1.67%	$\infty$
Post-processing	1.00%	R	$\sqrt{3}$	1.732	1	1	0.58%	0.58%	$\infty$
Max SAR Eval	1.00%	R	$\sqrt{3}$	1.732	1	1	0.58%	0.58%	$\infty$
<b>Test Sample related</b>									
Test sample positioning	2.90%	N	1	1	1	1	2.90%	2.90%	M-1
Device Holder Uncertainty	3.60%	N	1	1	1	1	3.60%	3.60%	M-1
Drift of output power	5.00%	R	$\sqrt{3}$	1.732	1	1	2.89%	2.89%	$\infty$
<b>Phantom and Setup</b>									
Phantom Uncertainty	4.00%	R	$\sqrt{3}$	1.732	1	1	2.31%	2.31%	$\infty$
Deviation from reference	4.16%	N	1	1	0.64	0.43	2.66%	1.79%	M
Deviation from reference	1.41%	N	1	1	0.6	0.49	0.85%	0.69%	M
Combined standard uncertainty		RSS					11.90%	11.73%	
Explant uncertainty (95% confidence)							23.81%	23.46%	

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## 9. Phantom Description

Schmid &amp; Partner Engineering AG

**s p e a c**Zeughausstrasse 43, 8004 Zurich, Switzerland  
Phone +41 1 245 9700, Fax +41 1 245 9779  
Info@speag.com, http://www.speag.com**Certificate of Conformity / First Article Inspection**

Item	SAM Twin Phantom V4.0
Type No	QD 000 P40 C
Series No	TP-1150 and higher
Manufacturer	SPEAG Zeughausstrasse 43 CH-8004 Zürich Switzerland

**Tests**

The series production process used allows the limitation to test of first articles.  
Complete tests were made on the pre-series Type No. QD 000 P40 AA, Serial No. TP-1001 and on the series first article Type No. QD 000 P40 BA, Serial No. TP-1006. Certain parameters have been retested using further series items (called samples) or are tested at each item.

Test	Requirement	Details	Units tested
Dimensions	Compliant with the geometry according to the CAD model.	IT'IS CAD File (*)	First article, Samples
Material thickness of shell	Compliant with the requirements according to the standards	2mm +/- 0.2mm in flat and specific areas of head section	First article, Samples, TP-1314 fl.
Material thickness at ERP	Compliant with the requirements according to the standards	8mm +/- 0.2mm at ERP	First article, All items
Material parameters	Dielectric parameters for required frequencies	300 MHz – 6 GHz: Relative permittivity < 5, Loss tangent < 0.05	Material samples
Material resistivity	The material has been tested to be compatible with the liquids defined in the standards if handled and cleaned according to the instructions. Observe technical Note for material compatibility.	DEGMME based simulating liquids	Pre-series, First article, Material samples
Sagging	Compliant with the requirements according to the standards. Sagging of the flat section when filled with tissue simulating liquid.	< 1% typical < 0.8% if filled with 155mm of HSL900 and without DUT below	Prototypes, Sample testing

**Standards**

- [1] CENELEC EN 50361
- [2] IEEE Std 1528-2003
- [3] IEC 62209 Part 1
- [4] FCC OET Bulletin 85, Supplement C, Edition 01-01

(\*) The IT'IS CAD file is derived from [2] and is also within the tolerance requirements of the shapes of the other documents.

**Conformity**

Based on the sample tests above, we certify that this item is in compliance with the uncertainty requirements of SAR measurements specified in standards [1] to [4].

Date

07.07.2005

**s p e a c**

Signature / Stamp

Schmid & Partner Engineering AG  
Zeughausstrasse 43, 8004 Zurich, Switzerland  
Phone +41 1 245 9700, Fax +41 1 245 9779  
Info@speag.com, http://www.speag.com

Doc No. 841 - QD 000 P40 C - F

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## 10. System Validation from Original Equipment Supplier

Calibration Laboratory of  
Schmid & Partner  
Engineering AG  
Zeughausstrasse 43, 8004 Zurich, Switzerland



S Schweizerischer Kalibrierdienst  
C Service suisse d'étalonnage  
S Servizio svizzero di misura  
S Swiss Calibration Service

Accredited by the Swiss Accreditation Service (SAS)  
The Swiss Accreditation Service is one of the signatories to the EA  
Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: SCS 108

Client: SGS-TW (Auden)

Certificate No: D900V2-178\_Apr14

### CALIBRATION CERTIFICATE

Object	D900V2 - SN: 178		
Calibration procedure:	QA-CAL-05.v9 Calibration procedure for dipole validation kits above 700 MHz		
Calibration date:	April 17, 2014		
<p>This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.</p> <p>All calibrations have been conducted in the closed laboratory facility, environment temperature (22 ± 5)°C and humidity &lt; 70%.</p> <p>Calibration Equipment used (M&amp;TE critical for calibration)</p>			
Primary Standards:	ID #	Cal Date (Certificate No.)	Scheduled Calibration
Power meter EPM-4424	GB37480704	09-Oct-13 (No. 217-01827)	Oct-14
Power sensor HP 8481A	US37292762	09-Oct-13 (No. 217-01827)	Oct-14
Power sensor HP 8481A	MY41092317	09-Oct-13 (No. 217-01828)	Oct-14
Reference 20 dB Attenuator	SN: 5068 (20k)	03-Apr-14 (No. 217-01918)	Apr-15
Type-N mismatch compensation	SN: 5047.2 / 06327	03-Apr-14 (No. 217-01921)	Apr-15
Reference Probe E33DV3	SN: 3209	30-Dec-13 (No. E33-3205_Dev13)	Dec-14
DAE4	SN: 601	25-Apr-13 (No. DAE4-601_Apr13)	Apr-14
Secondary Standards:	ID #	Check Date (in house)	Scheduled Check
RF generator R&S SMT-06	US8005	04-Aug-09 (in house check Oct-13)	In house check: Oct-14
Network Analyzer HP 8753E	US37300565-B4206	18-Oct-01 (in house check Oct-13)	In house check: Oct-14
Calibrated by:	Name: Israel El-Naouf	Function: Laboratory Technician	
Approved by:	Name: Kaja Pekovic	Function: Technical Manager	
Issued: April 18, 2014			
This calibration certificate shall not be reproduced except in full without written approval of the laboratory.			

Certificate No: D900V2-178\_Apr14

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t (886-2) 2299-3279

f (886-2) 2298-0488

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**Calibration Laboratory of**  
Schmid & Partner  
Engineering AG  
Zeughausstrasse 43, 8004 Zurich, Switzerland



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S Servizio svizzero di teratura  
S Swiss Calibration Service

Accredited by the Swiss Accreditation Service (SAS)  
The Swiss Accreditation Service is one of the signatories to the EA  
Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: SCS 108

**Glossary:**

TSL	tissue simulating liquid
ConvF	sensitivity in TSL / NORM x,y,z
N/A	not applicable or not measured

**Calibration is Performed According to the Following Standards:**

- IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005
- KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

**Additional Documentation:**

- DASY4/5 System Handbook

**Methods Applied and Interpretation of Parameters:**

- Measurement Conditions:** Further details are available from the Validation Report at the end of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- Antenna Parameters with TSL:** The dipole is mounted with the spacer to position its feed point exactly below the center marking of the flat phantom section, with the arms oriented parallel to the body axis.
- Feed Point Impedance and Return Loss:** These parameters are measured with the dipole positioned under the liquid filled phantom. The impedance stated is transformed from the measurement at the SMA connector to the feed point. The Return Loss ensures low reflected power. No uncertainty required.
- Electrical Delay:** One-way delay between the SMA connector and the antenna feed point. No uncertainty required.
- SAR measured:** SAR measured at the stated antenna input power.
- SAR normalized:** SAR as measured, normalized to an input power of 1 W at the antenna connector.
- SAR for nominal TSL parameters:** The measured TSL parameters are used to calculate the nominal SAR result.

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor  $k=2$ , which for a normal distribution corresponds to a coverage probability of approximately 95%.

**Measurement Conditions**

DASY system configuration, as far as not given on page 1.

DASY Version	DASY5	V52.8.7
Extrapolation	Advanced Extrapolation	
Phantom	Modular Flat Phantom	
Distance Dipole Center - TSL	15 mm	with Spacer
Zoom Scan Resolution	dx, dy, dz = 5 mm	
Frequency	900 MHz ± 1 MHz	

**Head TSL parameters**

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Head TSL parameters	22.0 °C	41.5	0.97 mho/m
Measured Head TSL parameters	(22.0 ± 0.2) °C	41.4 ± 6 %	0.97 mho/m ± 6 %
Head TSL temperature change during test	< 0.5 °C	---	---

**SAR result with Head TSL**

SAR averaged over 1 cm <sup>3</sup> (1 g) of Head TSL	Condition	
SAR measured	250 mW input power	2.61 W/kg
SAR for nominal Head TSL parameters	normalized to 1W	10.4 W/kg ± 17.0 % (k=2)
SAR averaged over 10 cm <sup>3</sup> (10 g) of Head TSL	condition	
SAR measured	250 mW input power	1.67 W/kg
SAR for nominal Head TSL parameters	normalized to 1W	6.68 W/kg ± 16.5 % (k=2)

**Body TSL parameters**

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Body TSL parameters	22.0 °C	55.0	1.05 mho/m
Measured Body TSL parameters	(22.0 ± 0.2) °C	53.5 ± 6 %	1.05 mho/m ± 6 %
Body TSL temperature change during test	< 0.5 °C	---	---

**SAR result with Body TSL**

SAR averaged over 1 cm <sup>3</sup> (1 g) of Body TSL	Condition	
SAR measured	250 mW input power	2.86 W/kg
SAR for nominal Body TSL parameters	normalized to 1W	10.6 W/kg ± 17.0 % (k=2)
SAR averaged over 10 cm <sup>3</sup> (10 g) of Body TSL	condition	
SAR measured	250 mW input power	1.72 W/kg
SAR for nominal Body TSL parameters	normalized to 1W	6.85 W/kg ± 16.5 % (k=2)

## Appendix

### Antenna Parameters with Head TSL

Impedance, transformed to feed point	51.0 $\Omega$ - 0.5 $j\Omega$
Return Loss	- 39.2 dB

### Antenna Parameters with Body TSL

Impedance, transformed to feed point	45.6 $\Omega$ - 3.2 $j\Omega$
Return Loss	- 25.0 dB

### General Antenna Parameters and Design

Electrical Delay (one direction)	1.408 ns
----------------------------------	----------

After long term use with 100W radiated power, only a slight warming of the dipole near the feedpoint can be measured.

The dipole is made of standard semirigid coaxial cable. The center conductor of the feeding line is directly connected to the second arm of the dipole. The antenna is therefore short-circuited for DC-signals. On some of the dipoles, small end caps are added to the dipole arms in order to improve matching when loaded according to the position as explained in the "Measurement Conditions" paragraph. The SAR data are not affected by this change. The overall dipole length is still according to the Standard.  
No excessive force must be applied to the dipole arms, because they might bend or the soldered connections near the feedpoint may be damaged.

### Additional EUT Data

Manufactured by	SPEAG
Manufactured on	January 28, 2003

**DASY5 Validation Report for Head TSL**

Date: 17.04.2014

Test Laboratory: SPEAG, Zurich, Switzerland

**DUT: Dipole 900 MHz; Type: D900V2; Serial: D900V2 - SN: 178**

Communication System: UID 0 - CW; Frequency: 900 MHz

Medium parameters used:  $f = 900 \text{ MHz}$ ;  $\sigma = 0.97 \text{ S/m}$ ;  $\epsilon_r = 41.4$ ;  $\rho = 1000 \text{ kg/m}^3$ 

Phantom section: Flat Section

Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2007)

**DASY52 Configuration:**

- Probe: ES3DV3 - SN3205; ConvF(6.09, 6.09, 6.09); Calibrated: 30.12.2013.
- Sensor-Surface: 3mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn601; Calibrated: 25.04.2013
- Phantom: Flat Phantom 4.9L; Type: QD000P49AA; Serial: 1001
- DASY52 52.8.7(1)37; SEMCAD X 14.6.10(7)64

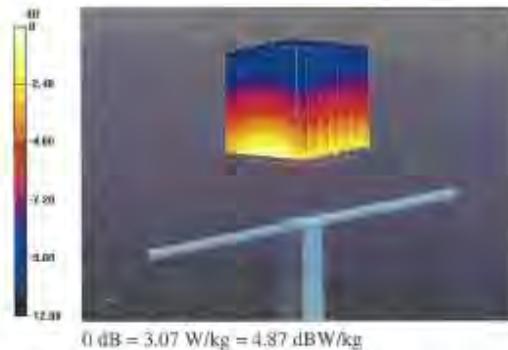
**Dipole Calibration for Head Tissue/Pin=250 mW, d=15mm/Zoom Scan (7x7x7)/Cube 0:**Measurement grid:  $dx=5\text{mm}$ ,  $dy=5\text{mm}$ ,  $dz=5\text{mm}$ 

Reference Value = 58.034 V/m; Power Drift = 0.01 dB

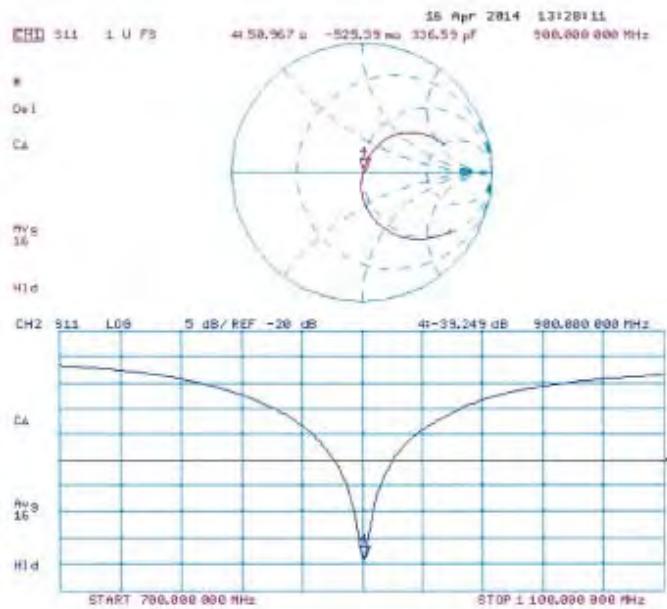
Peak SAR (extrapolated) = 3.95 W/kg

SAR(1 g) = 2.61 W/kg; SAR(10 g) = 1.67 W/kg

Maximum value of SAR (measured) = 3.07 W/kg



## Impedance Measurement Plot for Head TSL



**DASY5 Validation Report for Body TSL**

Date: 17.04.2014

Test Laboratory: SPEAG, Zurich, Switzerland

**DUT: Dipole 900 MHz; Type: D900V2; Serial: D900V2 - SN: 178**

Communication System: UJD 0 - CW; Frequency: 900 MHz

Medium parameters used:  $f = 900$  MHz;  $\sigma = 1.05$  S/m;  $\epsilon_r = 53.5$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Flat Section

Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2007)

DASY52 Configuration:

- Probe: ES3DV3 - SN3205; ConvF(5.98, 5.98, 5.98); Calibrated: 30.12.2013;
- Sensor-Surface: 3mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn601; Calibrated: 25.04.2013
- Phantom: Flat Phantom 4.9L; Type: QD000P49AA; Serial: 1001
- DASY52 52.8.7(1137); SEMCAD X 14.6.10(7164)

**Dipole Calibration for Body Tissue/Pin=250 mW, d=15mm/Zoom Scan (7x7x7)/Cube 0:**

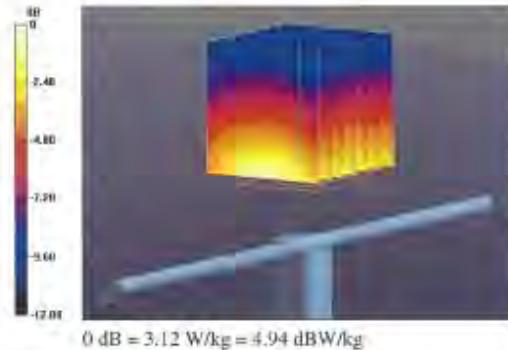
Measurement grid: dx=5mm, dy=5mm, dz=5mm

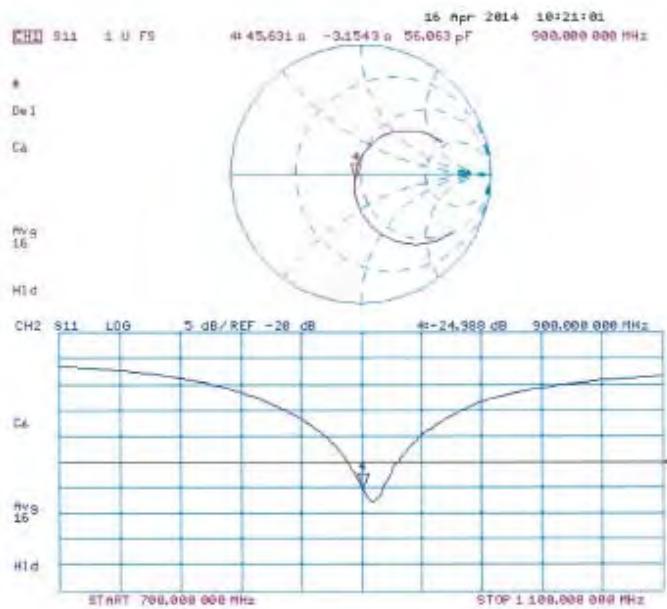
Reference Value = 56.392 V/m; Power Drift = -0.04 dB

Peak SAR (extrapolated) = 3.96 W/kg

SAR(1 g) = 2.66 W/kg; SAR(10 g) = 1.72 W/kg

Maximum value of SAR (measured) = 3.12 W/kg



**Impedance Measurement Plot for Body TSL**

Certificate No: D900V2-17B\_Apr14

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**- End of 1<sup>st</sup> part of report -**

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f (886-2) 2298-0488

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