

# **Test Under Dynamic Transmission Condition**

**APPLICANT**: OnePlus Technology (Shenzhen) Co., Ltd.

**PRODUCT NAME**: Mobile Phone

MODEL NAME: CPH2513,CPH2515

**BRAND NAME**: ONEPLUS

STANDARD : IEC/IEEE 62209-1528

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# 1. Introduction

The equipment under test (EUT) is a smart phone. It contains the Qualcomm modem supporting 2G/3G/4G technologies. These modems enable Qualcomm Smart Transmit feature to control and manage transmitting power in real time and to ensure at all times the time-averaged RF exposure is in compliance with the FCC requirement.

This purpose of this report is to demonstrate the EUT complies with FCC RF exposure requirement under Tx varying transmission scenarios, thereby validity of Qualcomm Smart Transmit feature for FCC equipment authorization.

The P<sub>limit</sub> used in this report is determined in Part 1 reports.

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The Part 1 report please refer to SZ22120264S01.





# 2. Equipment under Test (EUT) Description

Product Name:	Mobile Phone
EUT IMEI:	869320060047596
	869320060046531
Hardware Version:	11
Software Version:	OxygenOS 13.1
Frequency Bands:	GSM 850: 824 MHz ~ 849 MHz
	GSM 1900: 1850 MHz ~ 1910 MHz
	WCDMA Band II: 1850 MHz ~ 1910 MHz
	WCDMA Band IV: 1710 MHz ~ 1755 MHz
	WCDMA Band V: 824 MHz ~ 849 MHz
	LTE Band 2: 1850 MHz ~ 1910 MHz
	LTE Band 4: 1710 MHz ~ 1755 MHz
	LTE Band 5: 824 MHz ~ 849 MHz
	LTE Band 7: 2500 MHz ~ 2570 MHz
	LTE Band 12: 699 MHz ~ 716 MHz
	LTE Band 13: 777 MHz ~ 787 MHz
	LTE Band 17: 704 MHz ~ 716 MHz
	LTE Band 18: 815 MHz ~ 830 MHz
	LTE Band 25: 1850 MHz ~ 1915 MHz
	LTE Band 26: 814 MHz ~ 849 MHz
	LTE Band 38: 2570 MHz ~ 2620 MHz
	LTE Band 41: 2496 MHz ~ 2690 MHz
	LTE Band 48: 3550 MHz ~ 3700 MHz
	LTE Band 66: 1710 MHz ~ 1780 MHz
	LTE Band 71: 663 MHz ~ 698 MHz
	5G NR n2: 1850 MHz ~ 1910 MHz
	5G NR n5: 824 MHz ~ 849 MHz
	5G NR n12: 699 MHz ~ 716 MHz
	5G NR n25: 1850 MHz ~ 1915 MHz
	5G NR n41: 2496 MHz ~ 2690 MHz
	5G NR n66: 1710 MHz ~ 1780 MHz
	5G NR n71: 663 MHz ~ 698 MHz
	5G NR n77: 3450 MHz ~ 3550 MHz; 3700 MHz ~ 3980 MHz
	5G NR n78: 3450 MHz ~ 3550 MHz; 3700 MHz ~ 3800 MHz
	WLAN 2.4GHz: 2412 MHz ~ 2462 MHz
	WLAN 5.2GHz: 5180 MHz ~ 5240 MHz
	WLAN 5.3GHz: 5260 MHz ~ 5320 MHz
	WLAN 5.5GHz: 5500 MHz ~ 5720 MHz
	WLAN 5.8GHz: 5745 MHz ~ 5825 MHz

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	Bluetooth: 2402 MHz	~ 2480 MHz						
	NFC: 13.56 MHz							
Modulation Mode:	GSM/GPRS: GMSK							
	EDGE: 8PSK							
	WCDMA: QPSK, 160	QAM						
	LTE: QPSK, 16QAM,	64QAM						
	5G NR: DFT-s-OFDM	I/CP-OFDM, PI/2 BPSK						
	QPSK, 16QA	M, 64QAM, 256QAM						
	802.11b: DSSS							
	802.11a/g/n-HT20/HT	-40/ac-VHT20/40/80: OFDM						
	BR+EDR: GFSK(1Mb	pps), π/4-DQPSK(2Mbps), 8-DPSK(3Mbps)						
	Bluetooth LE: GFSK(	1Mbps, 2Mbps)						
	NFC: ASK							
Multi-slot Class:	GPRS: Multi-slot Class 12							
	EDGE: Multi-slot Clas	ss 12						
Operation Class:	Class B							
Carrier Aggregation:	Uplink & Downlink							
VoLTE Mode:	Support							
VoWi-Fi Mode:	Support							
Hotspot Mode:	Support (5G WLAN o	nly for B1 & B4)						
Antenna Type:	WWAN: Fixed Interna	al Antenna						
	WLAN: Inverted F An	tenna						
	Bluetooth: Inverted F Antenna							
	NFC: Loop Antenna							
SIM Cards Description:	SIM 1	GSM+WCDMA+LTE+5G NR						
	SIM 2	GSM+WCDMA+LTE+5G NR						

#### Note:

According to the model confirmation letter of the manufacture that both the two models are only different from model name and SIM card slot, only the model of CPH2513 was tested and the results were recorded in this report. The difference of the SIM card as below:

Card tray	Front	Black
Single card tray		
tray		
	micro SQ.	

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Double card tray





The highlight part is that the single card arrow notch is filled.





# 3. Tx Varying Transmission Test Cases and Test **Proposal**

To validate time averaging feature and demonstrate the compliance in Tx varying transmission conditions, the following transmission scenarios are covered in Part 2 test:

- During a time-varying Tx power transmission: To prove that the Smart Transmit feature accounts for Tx power variations in time accurately.
- During a call disconnect and re-establish scenario: To prove that the Smart Transmit feature accounts for history of past Tx power transmissions accurately.
- During technology/band handover: To prove that the Smart Transmit feature functions correctly during transitions in technology/band.
- During DSI (Device State Index) change: To prove that the Smart Transmit feature functions correctly during transition from one device state (DSI) to another.
- During antenna (or beam) switch: To prove that the Smart Transmit feature functions correctly during transitions in antenna (such as AsDiv scenario) or beams (different antenna array configurations).
- During time window switch: To prove that the Smart Transmit feature correctly handles the transition from one time window to another specified by FCC, and maintains the normalized time-averaged RF exposure to be less than normalized FCC limit of 1.0 at all times.
- SAR exposure switching between two active radios (radio1 and radio2): To prove that the Smart Transmit feature functions correctly and ensures total RF exposure compliance when exposure varies among SAR\_radio1 only, SAR\_radio1 + SAR\_radio2, and SAR\_radio2 only scenarios.

As described in Part 1 report, the RF exposure is proportional to the Tx power for a SAR wireless device. Thus, feature validation in Part 2 can be effectively performed through conducted (for f < 6GHz) and radiated (for f ≥ 6GHz) power measurement. Therefore, the compliance demonstration under dynamic transmission conditions and feature validation are done in conducted/radiated power measurement setup for transmission scenario 1 through 8.

The strategy for testing in Tx varying transmission condition is outlined as follows:

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- Demonstrate the total RF exposure averaged over FCC defined time windows does not exceed FCC's SAR and PD limits, through time-averaged power measurements
  - Measure conducted Tx power (for f < 6GHz) versus time, and radiated Tx power (EIRP for f > 10GHz) versus time.
  - Convert it into RF exposure and divide by respective FCC limits to get normalized exposure versus time.
  - Perform running time-averaging over FCC defined time windows.
  - Demonstrate that the total normalized time-averaged RF exposure is less than 1 for all transmission scenarios (i.e., transmission scenarios 1, 2, 3, 4, 5, 6, 7, and 8) at all times.

Mathematical expression:

For sub-6 transmission only:

$$1g\_or\_10gSAR(t) = \frac{conducted\_Tx\_power(t)}{conducted\_Tx\_power\_P_{limit}} * 1g\_or\_10gSAR\_P_{limit}$$
(1a)

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^{t} 1g\_or\_10gSAR(t)dt}{FCC\ SAR\ limit} \le 1 \tag{1b}$$

For sub-6+mmW transmission:

$$1g\_or\_10gSAR(t) = \frac{conducted\_Tx\_power(t)}{conducted\_Tx\_power\_P_{limit}} * 1g\_or\_10gSAR\_P_{limit} \tag{2a}$$

$$4cm^{2}PD(t) = \frac{radiated\_Tx\_power(t)}{radiated\_Tx\_power\_input.power.limit} * 4cm^{2}PD\_input.power.limit$$
(2b)

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^{t} 1g\_or\_10gSAR(t)dt}{FCC\_SAR\_limit} + \frac{\frac{1}{T_{PD}} \int_{t-T_{PD}}^{t} 4cm^2PD(t)dt}{FCC\_4cm^2\_PD\_limit} \le 1$$
 (2c)

where,  $conducted\_Tx\_power(t)$ ,  $conducted\_Tx\_power\_P_{limit}$ , and  $1g\_or\_10gSAR\_P_{limit}$  correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at  $P_{limit}$ , and measured 1gSAR or 10gSAR values at  $P_{limit}$  corresponding to sub-6 transmission. Similarly,  $radiated\_Tx\_power(t)$ ,  $radiated\_Tx\_power\_input.power.limit$ , and  $4cm^2PD\_input.power.limit$  correspond to the measured instantaneous radiated Tx power, radiated Tx power at input.power.limit (i.e., radiated power limit), and  $4cm^2PD$  value at input.power.limit corresponding to mmW transmission. Both  $P_{limit}$  and input.power.limit are the parameters pre-defined in Part 0 and loaded via Embedded File System (EFS) onto the EUT.  $T_{SAR}$  is the FCC defined time window for sub-6 radio;  $T_{PD}$  is the FCC defined time window for mmW radio.

 Demonstrate the total RF exposure averaged over FCC defined time windows does not exceed FCC's SAR and PD limits, through time-averaged SAR and PD measurements. Note as mentioned earlier, this measurement is performed for transmission scenario 1 only.





- For sub-6 transmission only, measure instantaneous SAR versus time; for LTE+sub6 NR. transmission, request low power (or all-down bits) on LTE so that measured SAR predominantly corresponds to sub6 NR.
- For LTE + mmW transmission, measure instantaneous E-field versus time for mmW radio and instantaneous conducted power versus time for LTE radio.
- Convert it into RF exposure and divide by respective FCC limits to obtain normalized exposure versus time.
- Perform time averaging over FCC defined time window.
- Demonstrate that the total normalized time-averaged RF exposure is less than 1 for transmission scenario 1 at all times.

Mathematical expression:

For sub-6 transmission only:

$$1g\_or\_10gSAR(t) = \frac{pointSAR(t)}{pointSAR\_P_{limit}} * 1g\_or\_10gSAR(t)\_P_{limit}$$
(3a)

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^{t} \frac{1g\_or\_10gSAR(t)dt}{FCC\ SAR\ limit} \le 1$$
(3b)

For LTE+mmW transmission:

$$1g\_or\_10gSAR(t) = \frac{conducted\_Tx\_power(t)}{conducted\_Tx\_power\_P_{limit}} * 1g\_or\_10gSAR\_P_{limit}$$
 (4a)

$$4cm^2PD(t) = \frac{[pointE(t)]^2}{[pointE\_input.power.limit]^2} * 4cm^2PD\_input.power.limit$$
 (4b)

$$\frac{\frac{1}{T_{SAR}}\int_{t-T_{SAR}}^{t} \frac{1g\_or\_10gSAR(t)dt}{FCC\ SAR\ limit} + \frac{\frac{1}{T_{PD}}\int_{t-T_{PD}}^{t} \frac{4cm^2PD(t)dt}{FCC\ 4cm^2PD\ limit} \leq 1 \tag{4c}$$

where, pointSAR(t),  $pointSAR_{limit}$ , and  $1g_{or}_{10gSAR_{limit}}$  correspond to the measured instantaneous point SAR, measured point SAR at Plimit, and measured IgSAR or 10gSAR values at Plimit corresponding to sub-6 transmission. Similarly, pointE(t), pointE\_input.power.limit, and 4cm2PD\_input.power.limit correspond to the measured instantaneous E-field, E-field at input.power.limit, and 4cm<sup>2</sup>PD value at input.power.limit corresponding to mmW transmission.

NOTE: cDASY6 measurement system by Schmid & Partner Engineering AG (SPEAG ) of Zurich, Switzerland measures relative E-field, and provides ratio of

$$\frac{[pointE(t)]^2}{[pointE\_input.power.limit]^2}$$
 versus time.





time.

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# 4. Tx Varying Transmission Test Cases and Test **Proposal**

This chapter provides the test plan and test procedure for validating Qualcomm Smart Transmit feature for sub-6 transmission. The 360 seconds time window for operating f < 3GHz is used as an example to detail the test procedures in this chapter. The same test plan and test procedures described in this chapter apply to 60 seconds time window for operating  $f \ge 3$ GHz.

# 4.1. Test Sequence Determination for Validation

Following the FCC recommendation, two test sequences having time-variation in Tx power are predefined for sub-6 (f < 6 GHz) validation:

- Test sequence 1: request EUT's Tx power to be at maximum power, measured *Pmax* †, for 80s, then requesting for half of the maximum power, i.e., measured Pmax/2, for the rest of the
- Test sequence 2: request EUT's Tx power to vary with time. This sequence is generated relative to measured Pmax, measured Plimit and calculated Preserve (= measured Plimit in dBm -Reserve\_power\_margin in dB) of EUT based on measured Plimit.

The details for generating these two test sequences is described and listed in Appendix A. Note: For test sequence generation, "measured Plimit" and "measured Pmax" are used instead of the "Plimit" specified in EFS entry and "Pmax" specified for the device, because Smart Transmit feature operates against the actual power level of the "Plimit" that was calibrated for the EUT. The "measured Plimit accurately reflects what the feature is referencing to, therefore, it should be used during feature validation testing. The RF tune up and device-to-device variation are already considered in Part 0 report prior to determining Plimit.

# 4.2. Test Configuration Selection Criteria for Validating Smart

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For validating Smart Transmit feature, this section provides a general guidance to select test cases. In practice, an adjustment can be made in test case selection. The justification/clarification may be provided.





# 4.2.1.Test Configuration Selection for Time-varying Tx power Transmission

The Smart Transmit time averaging feature operation is independent of bands, modes, and channels for a given technology. Hence, validation of Smart Transmit in one band/mode/channel per technology is sufficient. Two bands per technology are proposed and selected for this testing to provide high confidence in this validation.

The criteria for the selection are based on the *Plimit* values determined in Part 0 report. Select two bands\* in each supported technology that correspond to least\*\* and highest\*\*\* *Plimit* values that are less than *Pmax* for validating Smart Transmit.

- \* If one *Plimit* level applies to all the bands within a technology, then only one band needs to be tested. In this case, within the bands having the same *Plimit*, the radio configuration (e.g., # of RBs, channel#) and device position that correspond to the highest *measured 1g* SAR at *Plimit* shown in Part 1 report is selected.
- \*\* In case of multiple bands having the same least *Plimit* within the technology, then select the band having the highest *measured 1g* SAR at *Plimit*.
- \*\*\* The band having a higher *Plimit* needs to be properly selected so that the power limiting enforced by Smart Transmit can be validated using the pre-defined test sequences. If the highest *Plimit* in a technology is too high where the power limiting enforcement is not needed when testing with the pre-defined test sequences, then the next highest level is checked. This process is continued within the technology until the second band for validation testing is determined.

# 4.2.2. Test Configuration Selection for Change in Call

The criteria to select a test configuration for call-drop measurement is:

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■ Select technology/band with least *Plimit* among all supported technologies/bands, and select the radio configuration (e.g., # of RBs, channel#) in this technology/band that corresponds to the highest *measured* 

10g SAR at *Plimit* listed in Part 1 report.

■ In case of multiple bands having same least *Plimit*, then select the band having the highest *measured 1g* SAR at *Plimit* in Part 1 report.

This test is performed with the EUT's Tx power requested to be at maximum power, the above band selection will result in Tx power enforcement (i.e., EUT forced to have Tx power at *Preserve*) for longest duration in one FCC defined time window. The call change (call drop/reestablish) is performed during the Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at *Preserve*). One test is sufficient as the feature operation is independent of technology and band.

# 4.2.3. Test Configuration Selection for Change in Technology/Band

The selection criteria for this measurement is, for a given antenna, to have EUT switch from a technology/band with lowest *Plimit* within the technology group (in case of multiple bands having the same *Plimit*, then select the band with highest *measured 1g* SAR at *Plimit*) to a technology/band with





highest Plimit within the technology group, in case of multiple bands having the same Plimit, then select the band with lowest measured 1g SAR at Plimit in Part 1 report, or vice versa. This test is performed with the EUT's Tx power requested to be at maximum power, the technology/band switch is performed during Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at *Preserve*).

# 4.2.4. Test Configuration Selection for Change in Antenna

The criteria to select a test configuration for antenna switch measurement is:

- Whenever possible and supported by the EUT, first select antenna switch configuration within the same technology/band (i.e., same technology and band combination).
- Then, select any technology/band that supports multiple Tx antennas, and has the highest difference in *Plimit* among all supported antennas.
- In case of multiple bands having same difference in *Plimit* among supported antennas, then select the band having the highest measured 1g SAR at Plimit in Part 1 report.

This test is performed with the EUT's Tx power requested to be at maximum power in selected technology/band, and antenna change is conducted during Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at *Preserve*).

# 4.2.5. Test Configuration Selection for Change in DSI

The criteria to select a test configuration for DSI change test is:

■ Select a technology/band having the *Plimit < Pmax* within any technology and DSI group, and for the same technology/band having a different *Plimit* in any other DSI group. Note that the selected DSI transition needs to be supported by the device.

This test is performed with the EUT's Tx power requested to be at maximum power in selected technology/band, and DSI change is conducted during Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at *Preserve*).

# 4.2.6. Test Configuration Selection for SAR Exposure Switching

If supported, the test configuration for SAR exposure switching should cover:

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- SAR exposure switch when two active radios are in the same time window.
- SAR exposure switch when two active radios are in different time windows. One test with two active radios in any two different time windows is sufficient as Smart Transmit operation is the same for RF exposure switch in any combination of two different time windows. For device supporting LTE + mmW NR, this test is covered in Section 8.2.3 and 8.2.4.

The Smart Transmit time averaging operation is independent of the source of SAR exposure (for example, LTE vs. Sub6 NR) and ensures total time-averaged RF exposure compliance. Hence, validation of Smart Transmit in any one simultaneous SAR transmission scenario (i.e., one combination for LTE + Sub6 NR transmission) is sufficient, where the SAR exposure varies among SARradio1 only, SARradio1 + SARradio2, and SARradio2 only scenarios.





The criteria to select a test configuration for validating Smart Transmit feature during SAR exposure switching scenarios is:

- Select any two < 6GHz technologies/bands that the EUT supports simultaneous transmission (for example, LTE+Sub6 NR).
- Among all supported simultaneous transmission configurations, the selection order is:
- 1. Select one configuration where both Plimit of radio1 and radio2 is less than their corresponding Pmax, preferably, with different Plimits. If this configuration is not available, select one configuration that has Plimit less than its Pmax for at least one radio. If this cannot be found, then, select one configuration that has Plimit of radio1 and radio2 greater than Pmax but with least (Plimit Pmax)delta.
- 2. Test for one simultaneous transmission scenario is sufficient as the feature operation is the same.

#### 4.3. Test Procedures for Conducted Power Measurements

This section provides general conducted power measurement procedures to perform compliance test under dynamic transmission scenarios described in Section 2. In practice, an adjustment can be made in these procedures. The justification/clarification may be provided.

# 4.3.1. Time-varying Tx Power Transmission Scenario

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This test is performed with the two pre-defined test sequences described in Section 3.1 for all the technologies and bands selected in Section 3.2.1. The purpose of the test is to demonstrate the effectiveness of power limiting enforcement and that the time-averaged SAR (corresponding time- averaged Tx power) does not exceed the FCC limit at all times (see Eq. (1a) and (1b)).

#### Test procedure

- Pmax, measure Plimit and calculate Preserve (= measured Plimit in dBm
   —Reserve\_power\_margin in dB) and follow Section 3.1 to generate the test sequences for all the technologies and bands selected in Section 3.2.1. Both test sequence 1 and test sequence 2 are created based on measured *Pmax* and measured *Plimit* of the EUT. Test condition to measure *Pmax* and *Plimit* is:
- □ Measure *Pmax* with Smart Transmit disabled and callbox set to request maximum power.
- □ Measure *Plimit* with Smart Transmit enabled and *Reserve\_power\_margin* set to 0 dB; callbox set to request maximum power.
- 2. Set Reserve\_power\_margin to actual (intended) value (3dB for this EUT based on Part 1 report) and reset power on EUT to enable Smart Transmit, establish radio link in desired radio configuration, with callbox requesting the EUT's Tx power to be at pre-defined test sequence 1,





measure and record Tx power versus time, and then convert the conducted Tx power into 1g SAR or 1g SAR value (see Eq. (1a)) using measured Plimit from above Step 1. Perform running time average to determine time-averaged power and 1g SAR or 1g SAR versus time as illustrated in Figure 3-1 where using 100-seconds time window as an example.

Note 1: In Eq.(1a), instantaneous Tx power is converted into instantaneous 1g SAR or 1g SAR value by applying the measured worst-case 1g SAR or 1g SAR value at Plimit for the corresponding technology/band/antenna/DSI reported in Part 1 report.

Note 2: For an easier computation of the running time average, 0 dBm can be added at the beginning of the test sequences the length of the responding time window, for example, add 0dBm for 100-seconds so the running time average can be directly performed starting with the first 100-seconds data using excel spreadsheet. This technique applies to all tests performed in this Part 2 report for easier time-averaged computation using excel spreadsheet.

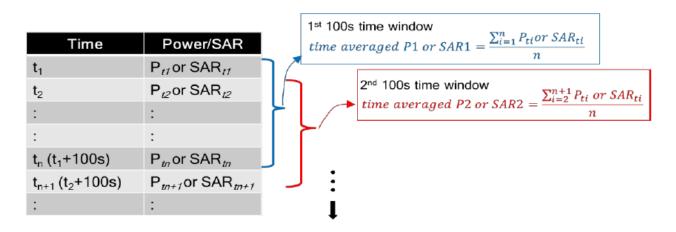


Figure 4-1 100s running average illustration

- 3. Make one plot containing:
- a. Instantaneous Tx power versus time measured in Step 2,
- b. Requested Tx power used in Step 2 (test sequence 1),
- c. Computed time-averaged power versus time determined in Step 2,
- d. Time-averaged power limit (corresponding to FCC SAR limit of 1.6 W/kg for 1g SAR or
- 2.0W/kg for 1g SAR) given by:

Time avearged power limit = meas. 
$$P_{limit} + 10 \times \log(\frac{FCC SAR \ limit}{meas.SAR\_P limit})$$
 (5a) where

meas. Plimit and meas. SAR\_Plimit correspond to measured power at Plimit and measured SAR at Plimit.

Make another plot containing:



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- a. Amputed time-averaged 1g SAR or 1g SAR versus time determined in Step 2
- b. SAR limit of 2.0W/kg or FCC 1g SAR limit of 2.0W/kg.
- 5. Repeat Steps 2 ~ 4 for pre-defined test sequence 2 and replace the requested Tx power (test sequence 1) in Step 2 with test sequence 2.
- 6. Repeat Steps 2 ~ 5 for all the selected technologies and bands.

The validation criteria are, at all times, the time-averaged power versus time shown in Step 3 plot shall not exceed the time-averaged power limit (defined in Eq. (5a)), in turn, the time- averaged 10g SAR or 1g SAR versus time shown in Step 4 plot shall not exceed the FCC limit of 1.6 W/kg for 1g SAR or 1.6 W/kg for 1g SAR (i.e., Eq. (1b)).

# 4.3.2. Change in Call Scenario

This test is to demonstrate that Smart Transmit feature accurately accounts for the past Tx powers during time-averaging when a new call is established.

The call disconnects and re-establishment needs to be performed during power limit enforcement, i.e., when the EUT's Tx power is at *Preserve* level, to demonstrate the continuity of RF exposure management and limiting in call change scenario. In other words, the RF exposure averaged over any FCC defined time window (including the time windows containing the call change) doesn't exceed FCC limit of 1.6 W/kg for 1g SAR or 1.6 W/kg for 1g SAR.

#### **Test procedure**

- 1. Measure Plimit for the technology/band selected in Section 3.2.2. Measure Plimit with Smart Transmit enabled and Reserve\_power\_margin set to 0 dB; callbox set to request maximum power.
- 2. Set Reserve\_power\_margin to actual (intended) value and reset power on EUT to enable Smart Transmit.
- 3. Establish radio link with callbox in the selected technology/band.
- 4. Request EUT's Tx power at 0 dBm for at least one time window specified for the selected technology/band, followed by requesting EUT's Tx power to be at maximum power for about ~60 seconds, and then drop the call for ~10 seconds. Afterwards, re-establish another call in the same radio configuration (i.e., same technology/band/channel) and continue callbox requesting EUT's Tx power to be at maximum power for the remaining time of at least another full duration of the specified time window. Measure and record Tx power versus time. Once the measurement is done, extract instantaneous Tx power versus time, convert the measured conducted Tx power into 1g SAR or 1g SAR value using Eq. (1a), and then perform the running time average to determine time-averaged power and 1g SAR or 1g SAR versus time.

**Note:** In Eq.(1a), instantaneous Tx power is converted into instantaneous 1g SAR or 1g SAR value by applying the measured worst-case 1g SAR or 1g SAR value at Plimit for the corresponding technology/band/antenna/DSI reported in Part 1 report.

5. Make one plot containing: (a) instantaneous Tx power versus time, (b) requested power, (c)



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computed time-averaged power, (d) time-averaged power limit calculated using Eq.(5a).

6. Make another plot containing: (a) computed time-averaged 1g SAR or 1g SAR versus time, and (b) FCC limit of 1.6 W/kg for 1g SAR or 1.6 W/kg for 1g SAR. The validation criteria are, at all times, the time-averaged power versus time shall not exceed the time- averaged power limit (defined in Eq.(5a)), in turn, the time-averaged 1g SAR or 1g SAR versus time shall not exceed the FCC limit of 1.6 W/kg for 1g SAR or 1.6 W/kg for 1g SAR (i.e., Eq. (1b)).

# 4.3.3. Change in Technology and Band

This test is to demonstrate the correct power control by Smart Transmit during technology switches and/or band handovers.

Similar to the change in call test in Section 3.3.2, to validate the continuity of RF exposure limiting during the transition, the technology and band handover needs to be performed when EUT's Tx power is at *Preserve* level (i.e., during Tx power enforcement) to make sure that the EUT's Tx power from previous *Preserve* level to the new *Preserve* level (corresponding to new technology/band). Since the *Plimit* could vary with technology and band, Eq. (1a) can be written as follows to convert the instantaneous Tx power in 1g SAR or 1g SAR exposure for the two given radios, respectively:

$$1g\_or\_10gSAR_1(t) = \frac{conducted\_Tx\_power\_1(t)}{conducted\_Tx\_power\_P_{limit\_1}} * 1g\_or\_10gSAR\_P_{limit\_1}$$
 (6a)

$$1g\_or\_10gSAR_2(t) = \frac{conducted\_Tx\_power\_2(t)}{conducted\_Tx\_power\_P_{limit\_2}} * 1g\_or\_10gSAR\_P_{limit\_2}$$
 (6b)

$$\frac{1}{T_{SAR}} \left[ \int_{t-T_{SAR}}^{t_1} \frac{1g\_or\_10gSAR_1(t)}{FCC\ SAR\ limit} dt + \int_{t-T_{SAR}}^{t} \frac{1g\_or\_10gSAR_2(t)}{FCC\ SAR\ limit} dt \right] \leq 1 \ \ (\text{6c})$$

where, conducted\_Tx\_power\_1(t), conducted\_Tx\_power\_Plimit\_1, and 1g\_or\_10g SAR\_Plimit\_1 correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at Plimit, and measured 1g SAR or 1g SAR value at Plimit of technology1/band1; conducted\_Tx\_power\_2(t), conducted\_Tx\_power\_Plimit\_2(t), and 1g\_or\_10g SAR\_Plimit\_2 correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at Plimit, and measured 1g SAR or 1g SAR value at Plimit of technology2/band2. Transition from technology1/band1 to the technology2/band2 happens at time- instant 't1'.

#### **Test procedure**

- Measure Plimit for both the technologies and bands selected in Section 3.2.3. Measure Plimit
  with Smart Transmit enabled and Reserve\_power\_margin set to 0 dB; callbox set to request
  maximum power.
- 2. Set Reserve\_power\_margin to actual (intended) value and reset power on EUT to enable Smart





#### **Transmit**

- Establish radio link with callbox in first technology/band selected. 3.
- Request EUT's Tx power at 0 dBm for at least one time window specified for the selected technology/band, followed by requesting EUT's Tx power to be at maximum power for about 60 seconds, and then switch to second technology/band selected. Continue with callbox requesting EUT's Tx power to be at maximum power for the remaining time of at least another full duration of the specified time window. Measure and record Tx power versus time for the full duration of the test.
- 5. Once the measurement is done, extract instantaneous Tx power versus time, and convert the conducted Tx power into 1g SAR or 1g SAR value using Eq. (6a) and (6b) and corresponding measured Plimit values from Step 1 of this section. Perform the running time average to determine time-averaged power and 1g SAR or 1g SAR versus time.

Note: In Eq.(6a) & (6b), instantaneous Tx power is converted into instantaneous 1g SAR or 1g SAR value by applying the measured worst-case 1g SAR or 1g SAR value at Plimit for the corresponding technology/band/antenna/DSI reported in Part 1 report.

- Make one plot containing: (a) instantaneous Tx power versus time, (b) requested power, (c) computed time-averaged power, (d) time-averaged power limit calculated using Eq.(5a).
- Make another plot containing: (a) computed time-averaged 1g SAR or 1g SAR versus time, and (b) FCC limit of 1.6 W/kg for 1g SAR or 1.6 W/kg for 1g SAR. The validation criteria are, at all times, the time-averaged 1g SAR or 1g SAR versus time shall not exceed the FCC limit of 1.6 W/kg for 1g SAR or 1.6 W/kg for 1g SAR (i.e., Eq. (6c)).

# 4.3.4. Change in Antenna

This test is to demonstrate the correct power control by Smart Transmit during antenna switches from one antenna to another. The test procedure is identical to Section 3.3.3, by replacing technology/band switch operation with antenna switch. The validation criteria are, at all times, the time-averaged 1g SAR or 1g SAR versus time shall not exceed FCC limit of 1.6 W/kg for 1g SAR. Note: If the EUT does not support antenna switch within the same technology/band, but has multiple antennas to support different frequency bands, then the antenna switch test is included as part of change in technology and band (Section 3.3.3) test.

# 4.3.5. Change in DSI

This test is to demonstrate the correct power control by Smart Transmit during DSI switches from one DSI to another. The test procedure is identical to Section 3.3.3, by replacing technology/band switch operation with DSI switch. The validation criteria are, at all times, the time-averaged 1g SAR or 1g SAR versus time shall not exceed FCC limit of 1.6 W/kg for 1g SAR or 4.0 W/kg for 10g SAR.

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# 4.3.6. Change in Time Window

This test is to demonstrate the correct power control by Smart Transmit during the change in averaging time window when a specific band handover occurs. FCC specifies time-averaging windows of 100s for Tx frequency < 3GHz, and 60s for Tx frequency between 3GHz and 6GHz. To validate the continuity of RF exposure limiting during the transition, the band handover test needs to be performed when EUT handovers from operation band less than 3GHz to greater than 3GHz and vice versa. The equations (3a) and (3b) in Section 2 can be written as follows for transmission scenario having change in time window,

$$1gSAR_{1}(t) = \frac{conducted\_Tx\_power\_1(t)}{conducted\_Tx\_power\_P_{limit\_1}} * 1g\_or \ 10g\_SAR\_P_{limit\_1}$$
 (7a)

$$1gSAR_{2}(t) = \frac{conducted\_Tx\_power\_2(t)}{conducted\_Tx\_power\_P_{limit\_2}} * 1g\_or\ 10g\_SAR\_P_{limit\_2}$$
 (7b)

$$\frac{1}{T1_{SAR}} \left[ \int_{t-T1_{SAR}}^{t_1} \frac{1g\_or\ 10g\_SAR_1(t)}{FCC\ SAR\ limit} dt \right] + \frac{1}{T2_{SAR}} \left[ \int_{t-T2_{SAR}}^{t} \frac{1g_or\ 10g\_SAR_2(t)}{FCC\ SAR\ limit} dt \right] \leq 1 \tag{7c}$$

where,  $conducted\_Tx\_power\_1(t)$ ,  $conducted\_Tx\_power\_P_{limit\_1}(t)$ , and  $1g\_or$   $10g\_SAR\_P_{limit\_1}$  correspond to the instantaneous Tx power, conducted Tx power at  $P_{limit}$ , and compliance  $1g\_or$   $10g\_SAR$  values at  $P_{limit\_1}$  of band1 with time-averaging window ' $T1_{SAR}$ ';  $conducted\_Tx\_power\_2(t)$ ,  $conducted\_Tx\_power\_P_{limit\_2}(t)$ , and  $1g\_or$   $10g\_SAR\_P_{limit\_2}$  correspond to the instantaneous Tx power, conducted Tx power at  $P_{limit}$ , and compliance  $1g\_or$   $10g\_SAR$  values at  $P_{limit\_2}$  of band2 with time-averaging window ' $T2_{SAR}$ '. One of the two bands is less than 3GHz, another is greater than 3GHz. Transition from first band with time-averaging window ' $T1_{SAR}$ ' to the second band with time-averaging window ' $T1_{SAR}$ ' happens at time-instant ' $t_1$ '.

#### **Test procedure**

- Measure Plimit for both the technologies and bands selected in Section 3.2.6. Measure Plimit
  with Smart Transmit enabled and Reserve\_power\_margin set to 0 dB, callbox set to request
  maximum power.
- 9. Set Reserve\_power\_margin to actual (intended) value and enable Smart Transmit

#### Transition from 360s time window to 60s time window, and vice versa

- 10. Establish radio link with callbox in the technology/band having 360s time window selected in Section 3.2.6.
- 11. Request EUT's Tx power to be at 0 dBm for at least 100 seconds, followed by requesting EUT's Tx power to be at maximum power for about ~140 seconds, and then switch to second technology/band (having 60s time window) selected in Section 3.2.6. Continue with callbox requesting EUT's Tx power to be at maximum power for about ~60s in this second technology/band, and then switch back to the first technology/band. Continue with callbox requesting EUT's Tx power to be at maximum power for at least another 360s. Measure and





record Tx power versus time for the entire duration of the test.

Once the measurement is done, extract instantaneous Tx power versus time, and convert the conducted Tx power into 1gSAR or 1gSAR value (see Eq. (7a) and (7b)) using corresponding technology/band Step 1 result, and then perform 360s running average to determine time-averaged 1g SAR or 1gSAR versus time. Note that in Eq.(7a) & (7b),instantaneous Tx power is converted into instantaneous 1gSAR or 1gSAR value by playing the worst-case 1gSAR or 1gSAR value tested in Part 1 for the selected technologies/bands at Plimit.

- 12. Make one plot containing: (a) instantaneous Tx power versus time measured in Step 4.
- 13. Make another plot containing: (a) instantaneous 1gSAR versus time determined in Step 5, (b) computed time-averaged 1gSAR versus time determined in Step 5, and (c) corresponding regulatory 1gSAR limit of 2.0W/kg.

#### Transition from 60s time window to 360s time window, and vice versa

- 14. Establish radio link with callbox in the technology/band having 60s time window selected in Section 3.2.6.
- 15. Request EUT's Tx power to be at 0 dBm for at least 60 seconds, followed by requesting EUT's Tx power to be at maximum power for about ~80 seconds, and then switch to second technology/band (having 360s time window) selected in Section 3.2.6.Continue with callbox requesting EUT's Tx power to be at maximum power for about ~360s in this second technology/band, and then switch back to the first technology/band. Continue with callbox requesting EUT's Tx power to be at maximum power for the remaining time for a total test time of 500 seconds. Measure and record Tx power versus time for the entire duration of the test.
- 16. Repeat above Step 5~7 to generate the plots

The validation criteria is, at all times, the time-averaged 1gSAR or 1gSAR versus time shall not exceed the regulatory 1gSARlimit of 2.0W/kg.

# 4.3.7.SAR Exposure Switching

This test is to demonstrate that Smart Transmit feature is accurately accounts for switching in exposures among SAR from radio1 only, SAR from both radio1 and radio2, and SAR from radio2 only scenarios, and ensures total time-averaged RF exposure complies with the FCC limit. Here, radio1 represents primary radio (for example, LTE anchor in a NR non-standalone mode call) and radio2 represents secondary radio (for example, sub6 NR or mmW NR). The detailed test procedure for SAR exposure switching in the case of LTE+Sub6 NR non-standalone mode transmission scenario is provided in Appendix B.2.

#### **Test procedure**

- Measure conducted Tx power corresponding to Plimit for radio1 and radio2 in selected band.
   Test condition to measure conducted Plimit is:
- Establish device in call with the callbox for radio1 technology/band. Measure conducted Tx power corresponding to radio1 *Plimit* with Smart Transmit enabled and *Reserve\_power\_margin* set to 0 dB, callbox set to request maximum power.
- repeat above step to measure conducted Tx power corresponding to radio2 Plimit. If radio2 is

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dependent on radio1 (for example, non-standalone mode of Sub6 NR requiring radio1 LTE as anchor), then establish radio1 + radio2 call with callbox, and request all down bits for radio1 LTE. In this scenario, with callbox requesting maximum power from radio2 Sub6 NR, measured conducted Tx power corresponds to radio2 Plimit (as radio1 LTE is at all-down bits)

- Set Reserve power margin to actual (intended) value, with EUT setup for radio1 + radio2 call. In this description, it is assumed that radio2 has lower priority than radio1. Establish device in radio1+radio2 call, and request all-down bits or low power on radio1, with callbox requesting EUT's Tx power to be at maximum power in radio2 for at least one time window. After one time window, set callbox to request EUT's Tx power to be at maximum power on radio1, i.e., all-up bits. Continue radio1+radio2 call with both radios at maximum power for at least one time window, and drop (or request all-down bits on) radio2. Continue radio1 at maximum power for at least one time window. Record the conducted Tx power for both radio1 and radio2 for the entire duration of this test.
- Once the measurement is done, extract instantaneous Tx power versus time for both radio1 and radio2 links. Convert the conducted Tx power for both these radios into 1g SAR or 1g SAR value (see Eq. (6a) and (6b)) using corresponding technology/band Plimit measured in Step 1, and then perform the running time average to determine time-averaged 1g SAR or 1g SAR versus
- 4. Make one plot containing: (a) instantaneous Tx power versus time measured in Step 2.
- Make another plot containing: (a) instantaneous 1g SAR versus time determined in Step 3, (b) computed time-averaged 1g SAR versus time determined in Step 3, and (c) corresponding regulatory 1g SAR limit of 2.0W/kg or 1g SAR limit of 2.0W/kg.

The validation criteria is, at all times, the time-averaged 1g SAR or 1g SAR versus time shall not exceed the regulatory 1g SARlimit of 2.0W/kg or 1g SAR limit of 2.0W/kg

# 4.4. Test Procedure for Time-varying SAR Measurements

This section provides general time-varying SAR measurement procedures to perform compliance test under dynamic transmission scenarios described in Section 2. In practice, an adjustment can be made in these procedures. The justification/clarification may be provided.

To perform the validation through SAR measurement for transmission scenario 1 described in Section 2, the "path loss" between callbox antenna and EUT needs to be calibrated to ensure that the EUT Tx power reacts to the requested power from callbox in a radiated call. It should be noted that when signaling in closed loop mode, protocol-level power control is in play, resulting in EUT not solely following callbox TPC (Tx power control) commands. In other words, EUT response has many dependencies (RSSI, quality of signal, path loss variation, fading, etc.,) other than just TPC commands. These dependencies have less impact in conducted setup (as it is a controlled environment and the path loss can be very well calibrated) but have significant impact on radiated testing in an uncontrolled environment, such as SAR test setup. Therefore, the deviation in EUT Tx power from callbox requested power is expected, however the time-averaged SAR should not





exceed FCC SAR requirement at all times as Smart Transmit controls Tx power at EUT.

The following steps are for time averaging feature validation through SAR measurement:

- 1. "Path Loss" calibration: Place the EUT against the phantom in the worst-case position determined based on Section 3.2.1. For each band selected, prior to SAR measurement, perform "path loss" calibration between callbox antenna and EUT. Since the SAR test environment is not controlled and well calibrated for OTA (Over the Air) test, extreme care needs to be taken to avoid the influence from reflections. The test setup is described in Section 6.1.
- 2. Time averaging feature validation:
- For a given radio configuration (technology/band) selected in Section 3.2.1, enable Smart Transmit and set Reserve\_power\_margin to 0 dB, with callbox to request maximum power, perform area scan, conduct pointSAR measurement at peak location of the area scan. This point SAR value, point SAR\_Plimit, corresponds to point SAR at the measured Plimit (i.e., measured Plimit from the EUT in Step 1 of Section 3.3.1).
- Set Reserve\_power\_margin to actual (intended) value and reset power on EUT to enable Smart Transmit. Note, if Reserve\_power\_margin cannot be set wirelessly, care must be taken to re-position the EUT in the exact same position relative to the SAM phantom as in above Step 2.i. Establish radio link in desired radio configuration, with callbox requesting the EUT's Tx power at power levels described by test sequence 1 generated in Step 1 of Section 3.3.1, conduct point SAR measurement versus time at peak location of the area scan determined in Step 2.i of this section. Once the measurement is done, extract instantaneous point SAR vs time data, point SAR(t), and convert it into instantaneous 1g SAR or 1g SAR vs. time using Eq. (3a), rewritten below:

$$|1g\_or\_10gSAR(t) = \frac{pointSAR(t)}{pointSAR\_P_{limit}} * 1g\_or\_10gSAR\_P_{limit}$$

where, pointSAR Plimit is the value determined in Step 2.i, and pointSAR(t) is the instantaneous point SAR measured in Step 2.ii, 1g\_or\_10gSAR\_Plimit is the measured 1g SAR or 1g SAR value listed in Part 1 report.

III. Perform 360s running average to determine time-averaged 1g SAR versus time.

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- IV. Make one plot containing: (a) time-averaged 1g SAR or 1g SAR versus time determined in Step 2.III of this section, (b) FCC limit of 1.6 W/kg for 1g SAR. Repeat 2.II ~ 2.IV for test sequence 2 generated in Step 1 of Section 3.3.1.
- V. Repeat 2.i ~ 2.v for all the technologies and bands selected in Section 3.2.1. The time-averaging validation criteria for SAR measurement is that, at all times, the time-averaged 1g SAR versus time shall not exceed FCC limit of 1.6 W/kg for 1g SAR (i.e., Eq. (3b)).





# 5. Test Configurations

#### WWAN (sub-6) Transmission

The Plimit values, corresponding to SAR\_design\_target, for technologies and bands supported by EUT are derived in Part 0 report and summarized in Table 4-1. Note all *Plimit* power levels entered in Table 4-1 correspond to average power levels after accounting for duty cycle in the case of TDD modulation schemes (for e.g., GSM, LTE TDD & Sub6 NR TDD).

Table 5-1 P<sub>limit</sub> for supported technologies and bands (P<sub>limit</sub> in EFS file)

Ida	I I I III	in ioi sappo		s and bands (P <sub>limit</sub> In			
		Head	Head 2TX	Head 3TX/4TX			
Band	Antenna	11000	(+2.4G/5G/BT)	(+2.4G+5G+BT)	Pmax		
		DSI=5	DSI=10	DSI=15			
GSM850(4Tx)	1	17.5	14.5	14.5	28.5		
GSM1900(4Tx)	1	12.0	12.0	12.0	25.5		
WCDMA II	1	15.3	15.3	15.3	24.8		
WCDMA IV	1	15.8	15.8	15.8	24.8		
WCDMA V	1	21.3	21.3	21.3	24.8		
LTE B4	1	16.1	15.1	15.1	24.6		
LTE B5	1	21.80	18.3	18.3	24.8		
LTE B7	1	18.8	15.3	15.3	23.3		
LTE B12/17	1	21.8	16.8	16.8	24.8		
LTE B13	1	21.8	16.8	16.8	23.8		
LTE B18	1	21.8	17.8	17.8	23.8		
LTE B25/2	1	16.3	13.3	13.3	24.8		
LTE B26	1	21.3	18.3	18.3	24.8		
LTE B38	1	17.8	13.8	13.8	23.8		
LTE B41(PC2)	1	16.4	12.9	12.9	26.4		
LTE B41(PC3)	1	15.8	13.8	13.8	23.8		
LTE D40	3	21.3	17.8	17.8	23.8		
LTE B48	5	-	20.8	20.8	23.8		
LTE B66	1	16.1	15.1	13.1	24.6		
LTE B71	1	23.3	20.3	20.3	24.8		
5G NR n2	1	16.7	14.7	14.7	25.2		
5G NR n5	1	22.2	20.2	20.2	24.2		
5G NR n12	1	22.2	22.2	22.2	24.2		
5G NR n25	1	16.7	14.2	14.2	24.2		
5G NR n41 (PC2)	1	16.8	16.8	16.8	26.8		
5G NR n41 (PC3)	1	16.2	14.2	14.2	24.2		
5G NR n66	1	16.2	13.7	13.7	25.2		
5G NR n71	1	23.7	22.2	22.2	24.2		

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50 ND =77	3	22.2	19.7	19.7	26.7
5G NR n77	4	23.7	22.7	22.7	26.7
(PC2)	6	20.7	17.7	17.7	26.7
50 ND =77	3	20.2	18.7	18.7	24.2
5G NR n77	4	-	23.7	23.7	24.2
(PC3)	6	21.2	19.2	19.2	24.2
50 ND 70	3	22.2	20.2	20.2	26.7
5G NR n78	4	23.7	23.7	23.7	26.7
(PC2)	6	22.2	19.7	19.7	26.7
5G NR n78	3	20.2	19.2	19.2	24.2
(PC3)	6	21.2	19.2	19.2	24.2

**Note:** Maximum tune up target power, *Pmax*, is configured in NV settings in EUT to limit maximum transmitting power. This power is converted into peak power in NV settings for TDD schemes. The EUT maximum allowed output power is equal to *Pmax* + device uncertainty. Based on selection criteria described in Section 3.2.1, the selected technologies/bands for testing

Reserve\_power\_margin(dB) for this EUT is set to 3dB in EFS.

time-varying test sequences are listed in Table 4-1. During Part 2 testing, the

The radio configurations used in Part 2 test for selected technologies, bands, DSIs and antennas are listed in Table 4-2. The corresponding worst-case radio configuration 1g SAR values for selected technology/band/DSI are extracted from Part 1 report and are listed in the last column of Table 4-2.

Based on equations (1a), (2a), (3a) and (4a), it is clear that Part 2 testing outcome is normalized quantity, which implies that it can be applied to any radio configuration within a selected technology/band/DSI. Thus, as long as applying the worst-case SAR obtained from the worst radio configuration in Part 1 testing to calculate time-varying SAR exposure in equations (1a), (2a), (3a) and (4a), the accuracy in compliance demonstration remains the same.

Table 5-2: Radio configurations selected for Part 2 test

Test Case	Test Scenario	Tech	Band	Ant	DSI	Channel	Fre. (MHz)	BW	RB Size	RB Offset	Mode	Position	Plim EFS Setting (dBm)	Taget Pmax (dBm)	Part 1 1g Worst-case radio cofiguration (W/kg)
1		GSM	1900	1	5	810	1909.8	-	-	-	4TX Slots	Right Cheek	12.0	25.5	0.918
2	Time-varying	WCDMA	2	1	5	9400	1880	-	-	-	RMC	Right Tilt	15.3	24.8	0.629
3	Tx Power	WCDMA	4	1	5	1413	1732.6	-	-	-	RMC	Right Tilt	15.8	24.8	0.807
4	Transmission	LTE	7	1	5	21350	2560	20	1	0	QPSK	Right Tilt	18.8	23.3	0.807
5		LTE	26	1	5	26765	821.5	15	1	0	QPSK	Right Cheek	22.3	24.8	0.716
6	Call Drop	LTE	7	1	5	21350	2560	20	1	0	QPSK	Right Tilt	18.8	23.3	0.655
7	Tech/Band	LTE	25	1	5	26365	1882.5	20	1	0	QPSK	Right Cheek	16.3	23.8	0.716
8	Switch	WCDMA	2	1	5	9400	1880	-	-	-	RMC	Right Tilt	15.3	24.8	0.629
9	DSI Switch	LTE	25	1	5	26365	1882.5	20	1	0	QPSK	Right Cheek	16.3	23.8	0.716
10	Change in time	LTE	66	1	5	132072	1720	20	1	0	QPSK	Right Cheek	16.1	24.6	0.675
11	window	LTE	7	1	5	21350	2560	20	1	0	QPSK	Right Tilt	18.8	23.3	0.807
12	SAR vs SAR	LTE	13	1	5	23230	782	20	1	0	QPSK	Right Cheek	21.8	23.8	0.476
13	SAN VS SAN	5G NR	n66	1	5	518598	2592.99	100	1	1	QPSK	Right Tilt	16.2	24.2	0.746

Note that the EUT only has one DSI state to manage power for different RF exposure conditions, detail DSI states and trigger conditions shown on the following table, the maximum 1g SAR/or 10g SAR among all exposure scenarios is used in Smart Transmit feature for time averaging operation. The Plimit of simultaneous transmission is the same as single transmission.





DSI	5
scenario	Defult
SAR sensor	Close to receiver

Based on the selection criteria described in Section 3.2, the radio configurations for the Tx varying transmission test cases listed in Section 2 are:

- 3. Technologies and bands for time-varying Tx power transmission: The test case 1~5 listed in Table 4-2 are selected to test with the test sequences defined in Section 3.1 in both time-varying conducted power measurement and time-varying SAR measurement.
- 4. Technology and band for change in call test: The test case 9 listed in Table 4-2 is selected for performing the call drop test in LTE in conducted power setup.
- 5. Technologies and bands for change in technology/band test: The test case 7 listed in Table 4-2 is selected for handover test from a technology/band to another technology/band, in conducted power setup.
- 6. Technologies and bands for switch in SAR exposure: The test case 8 listed in Table 4-2 are selected for SAR exposure switching test in one of the supported simultaneous WWAN transmission scenario, i.e., LTE + Sub6 NR active in the same 360s time window, in conducted power setup.

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# 6. Conducted Power Test Results for Sub-6 Smart Transmit Feature Validation

# 6.1. Measurement Setup

The Rohde & Schwarz CMW500 callbox is used in this test. The test setup picture and schematic are shown in Figures 6-1a & 6-1c for measurements with a single antenna of EUT, and in Figures 6-1b & 6-1d for measurements involving antenna switch. For single antenna measurement, one port (RF1 COM) of the callbox is connected to the RF port of the EUT using a directional coupler. For antenna & technology switch measurement, two ports (RF1 COM and RF3 COM) of the callbox used for signaling two different technologies are connected to a combiner, which is in turn connected to a directional coupler. The other end of the directional coupler is connected to a splitter to connect to two RF ports of the EUT corresponding to the two antennas of interest. In both the setups, power meter is used to tap the directional coupler for measuring the conducted output power of the EUT. For time averaging validation test (Section 4.3.1), call drop test (Section 4.3.2), and DSI switch test (Section 4.3.5), only RF1 COM port of the callbox is used to communicate with the EUT. For technology/band switch measurement (Section. 4.3.3), both RF1 COM and RF3 COM port of callbox are used to switch from one technology communicating on RF1 COM port to another technology communicating on RF3 COM port. Note that for this EUT, antenna switch test (Section 4.3.4) is included within time-window switch test (Section 4.3.6) as the selected technology/band combinations for the time-window switch test are on two different antennas. All the path losses from RF port of EUT to the callbox RF COM port and to the power meter are calibrated and automatically entered as offsets in the callbox and the power meter via test scripts on the PC used to control callbox and power meter.

#### LTE+Sub6 NR test setup:

If LTE conducted port and Sub6 NR conducted port are same on this EUT (i.e., they share the same antenna), then low-/high-pass filter is used to separate LTE and Sub6 NR signals for power meter measurement via directional couplers, as shown in below Figures 6-1a, 6-1b & 6-1c.

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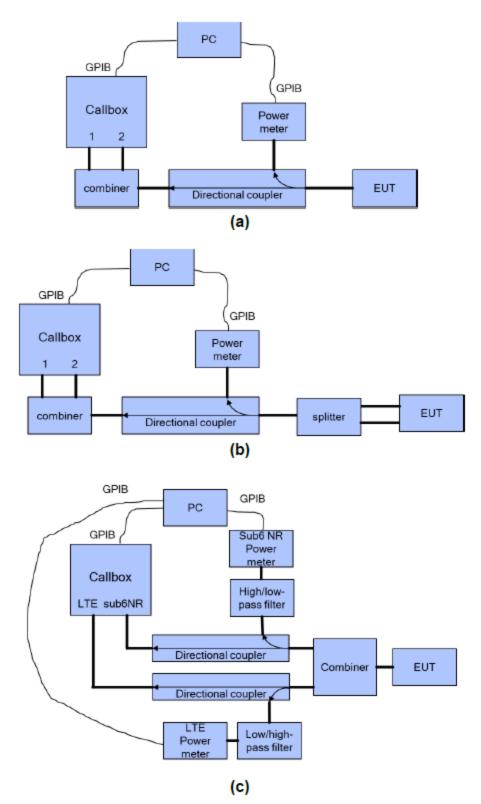


Figure 6-1 Example conducted power measurement setup





Both the callbox and power meter are connected to the PC using GPIB cables. Two test scripts are custom made for automation, and the test duration set in the test scripts is 500 seconds.

For time-varying Tx power measurement, the PC runs the 1st test script to send GPIB commands to control the callbox's requested power versus time, while at the same time to record the conducted power measured at EUT RF port using the power meter. The commands sent to the callbox to request power are:

- · 0dBm for 100 seconds
- Test sequence 1 or test sequence 2 (defined in Section 3.1 and generated in Section 3.2.1), for 360 seconds
- Stay at the last power level of test sequence 1 or test sequence 2 for the remaining time. Power meter readings are periodically recorded every 100ms. A running average of this measured Tx power over 100 seconds is performed in the post-data processing to determine the 360s-time averaged power.

For call drop, technology/band/antenna switch, after the call is established, the callbox is set to request the EUT's Tx power at 0dBm for 100 seconds while simultaneously starting the 2nd test script runs at the same time to start recording the Tx power measured at EUT RF port using the power meter. After the initial 100 seconds since starting the Tx power recording, the callbox is set to request maximum power from the EUT for the rest of the test. Note that the call drop/re-establish, or technology/band/antenna switch is manually performed when the Tx power of EUT is at Preserve level. See Section 3.3 for detailed test procedure of call drop test, technology/band/antenna switch test.

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# 6.2. Plimit and Pmax Measurement Results

The measured Plimit for all the selected radio configurations given in Table 5-1 are listed in below Table 5-1. Pmax was also measured for radio configurations selected for testing time-varying Tx power transmission scenarios in order to generate test sequences following the test procedures in Section 4.1.

Table 6-1: Measured  $P_{\text{limit}}$  and  $P_{\text{max}}$  of selected radio configurations

Test Case	Test Scenario	Tech	Band	Ant	DSI	Channel	Fre. (MHz)	BW	RB Size	RB Offset	Mode	Position	Plim EFS Setting (dBm)	Taget Pmax (dBm)	Measured Plim (dBm)	Measured Pmax (dBm)
1		GSM	1900	1	5	810	1909.8	-	-	-	4TX Slots	Right Cheek	12.0	25.5	10.414	23.657
2	Time-varying	WCDMA	2	1	5	9400	1880	-	-	-	RMC	Right Tilt	15.3	24.8	13.057	22.581
3	Tx Power	WCDMA	4	1	5	1413	1732.6	-	-	-	RMC	Right Tilt	15.8	24.8	13.065	21.942
4	Transmission	LTE	7	1	5	21350	2560	20	1	0	QPSK	Right Tilt	18.8	23.3	15.451	19.775
5		LTE	26	1	5	26765	821.5	15	1	0	QPSK	Right Cheek	22.3	24.8	19.619	22.125
6	Call Drop	LTE	7	1	5	21350	2560	20	1	0	QPSK	Right Tilt	18.8	23.3	15.451	19.775
7	Tech/Band	LTE	25	1	5	26365	1882.5	20	1	0	QPSK	Right Cheek	16.3	23.8	14.003	22.446
8	Switch	WCDMA	2	1	5	9400	1880	-	-	-	RMC	Right Tilt	15.3	24.8	13.057	22.581
9	DSI Switch	LTE	25	1	5	26365	1882.5	20	1	0	QPSK	Right Cheek	16.3	23.8	14.003	22.446
10	Change in time	LTE	66	1	5	132072	1720	20	1	0	QPSK	Right Cheek	16.1	24.6	13.465	21.958
11	window	LTE	7	1	5	21350	2560	20	1	0	QPSK	Right Tilt	18.8	23.3	15.451	19.775
12	SAR vs SAR	LTE	13	1	5	23230	782	20	1	0	QPSK	Right Cheek	21.8	23.8	19.65	21.958
13	SAR VS SAR	5G NR	n66	1	5	518598	2592.99	100	1	1	QPSK	Right Tilt	16.2	24.2	14.216	22.854

**Note**: the device uncertainty of  $P_{max}$  is +1dB/-1dB as provided by manufacturer.

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# 6.3. Time-varying Tx Power Measurement Results

The measurement setup is shown in Figures 6-1(a) and 6-1(c). The purpose of the time-varying Tx power measurement is to demonstrate the effectiveness of power limiting enforcement and that the time- averaged Tx power when represented in time-averaged 1g SAR or 1g SAR values does not exceed FCC limit as shown in Eq. (1a) and (1b), rewritten below:

$$\begin{split} 1g\_or\_10gSAR(t) &= \frac{conducted\_Tx\_power(t)}{conducted\_Tx\_power\_P_{limit}} * 1g\_or\_10gSAR\_P_{limit} \ \, \text{(1a)} \\ &\frac{\frac{1}{T_{SAR}}\int_{t-T_{SAR}}^{t} 1g\_or\_10gSAR(t)dt}{FCC\,SAR\,limit} \leq 1 \ \, \text{(1b)} \end{split}$$

where,  $conducted\_Tx\_power(t)$ ,  $conducted\_Tx\_power\_Plimit$ , and  $1g\_or\_10gSAR\_Plimit$  correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at Plimit, and measured 1g SAR and 1g SAR values at Plimit reported in Part 1 test (listed in Table 5-2 of this report as well).

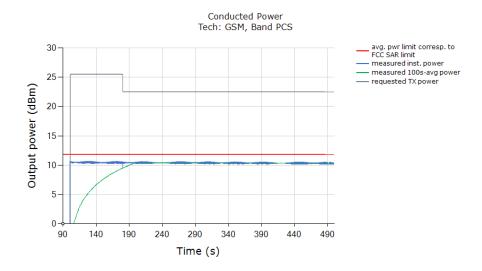
Following the test procedure in Section 4.3, the conducted Tx power measurement for all selected configurations are reported in this section. In all the conducted Tx power plots, the dotted line represents the requested power by callbox (test sequence 1 or test sequence 2), the blue curve represents the instantaneous conducted Tx power measured using power meter, the green curve represents time- averaged power and red line represents the conducted power limit that corresponds to FCC limit of 1.6 W/kg for 1g SAR or 1.6 W/kg for 1g SAR. Similarly, in all the 1g or 1g SAR plots (when converted using Eq. (1a)), the green curve represents the 360s/60s-time averaged 1g SAR or 1g SAR value calculated based on instantaneous 1g SAR or 1g SAR; and the red line limit represents the FCC limit of 1.6 W/kg for 1g SAR or 1.6 W/kg for 1g SAR.

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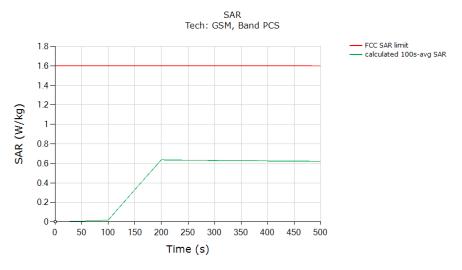


## > GSM 1900 (Test Case 1)

Test result for test sequence 1:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time does not exceed the FCC limit of 1.6 W/kg for 1g SAR:

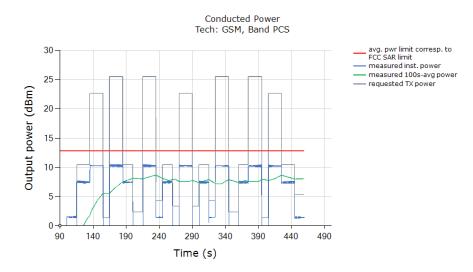


1	(W/kg)					
FCC 1g SAR limit	1.6					
Max 100s-time averaged 1gSAR (green curve)	0.623					
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured						
SAR at Plimit						

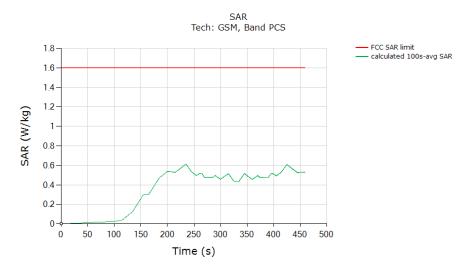




## Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time does not exceed the FCC limit of 1.6 W/kg for 1g SAR:



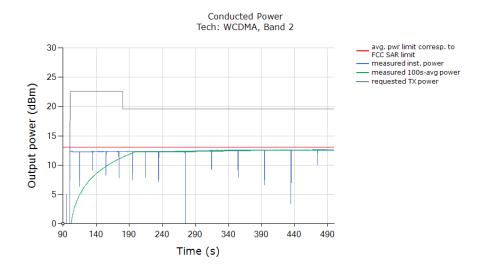
1	(W/kg)	
FCC 1g SAR limit	1.6	
Max 100s-time averaged 1gSAR (green curve)	0.601	
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured		
SAR at Plimit		



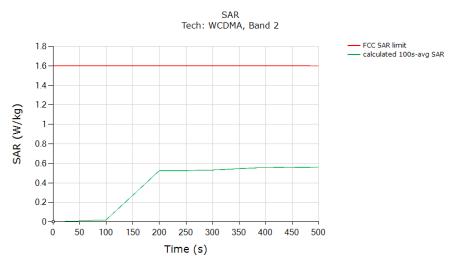


## WCDMA Band 2 (Test Case 2)

Test result for test sequence 1:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time does not exceed the FCC limit of 1.6 W/kg for 1g SAR:

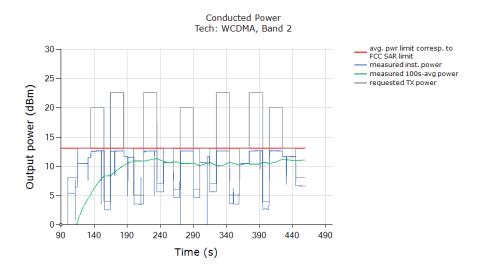


1	(W/kg)	
FCC 1g SAR limit	1.6	
Max 100s-time averaged 1gSAR (green curve)	0.584	
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured		
SAR at Plimit		

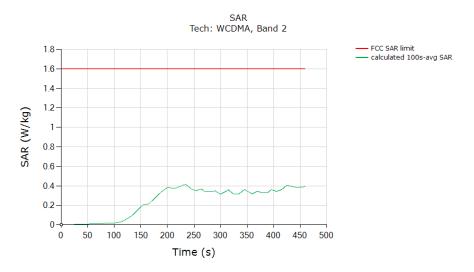




## Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time does not exceed the FCC limit of 1.6 W/kg for 1g SAR:



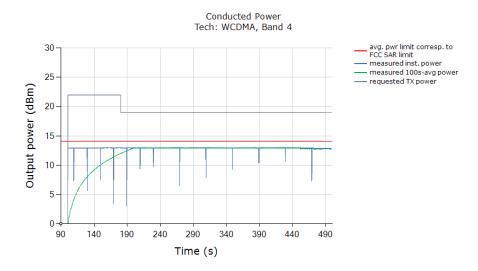
1	(W/kg)	
FCC 1g SAR limit	1.6	
Max 100s-time averaged 1gSAR (green curve)	0.387	
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured		
SAR at Plimit		



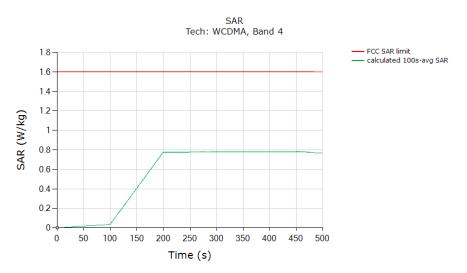


## WCDMA Band 4 (Test Case 3)

Test result for test sequence 1:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time does not exceed the FCC limit of 1.6 W/kg for 1g SAR:

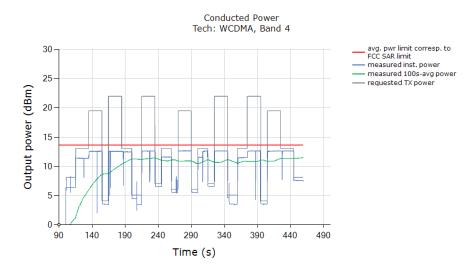


1	(W/kg)	
FCC 1g SAR limit	1.6	
Max 100s-time averaged 1gSAR (green curve)	0.793	
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured		
SAR at Plimit		

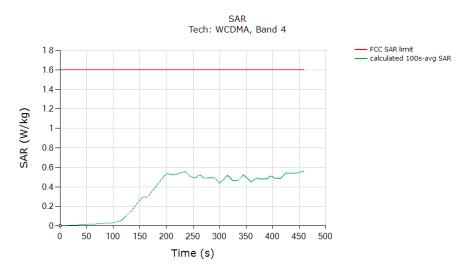




## Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time does not exceed the FCC limit of 1.6 W/kg for 1g SAR:



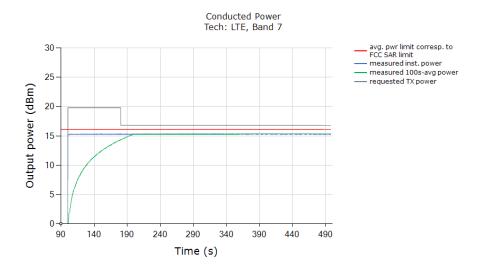
1	(W/kg)	
FCC 1g SAR limit	1.6	
Max 100s-time averaged 1gSAR (green curve)	0.584	
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured		
SAR at Plimit		



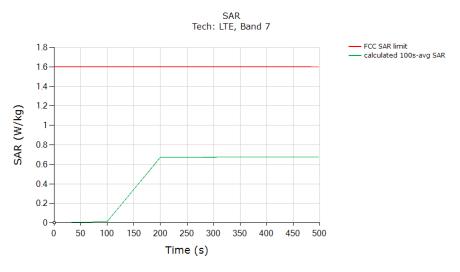


# LTE Band 7 (Test Case 4)

Test result for test sequence 1:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time does not exceed the FCC limit of 1.6 W/kg for 1g SAR:



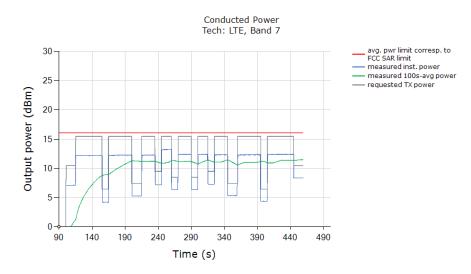
1	(W/kg)
FCC 1g SAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.678
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured	
SAR at Plimit	

The test result validated the continuity of power limiting in call change scenario.

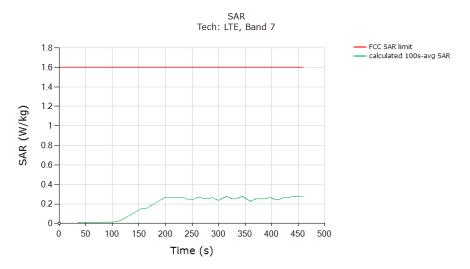




# Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time does not exceed the FCC limit of 1.6 W/kg for 1g SAR:



١	(W/kg)
FCC 1g SAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.38
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured	
SAR at Plimit	

The test result validated the continuity of power limiting in call change scenario.

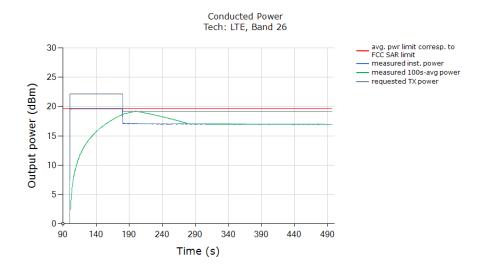
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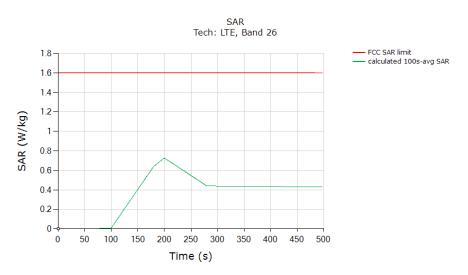


# LTE Band 26 (Test Case 5)

Test result for test sequence 1:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time does not exceed the FCC limit of 1.6 W/kg for 1g SAR:



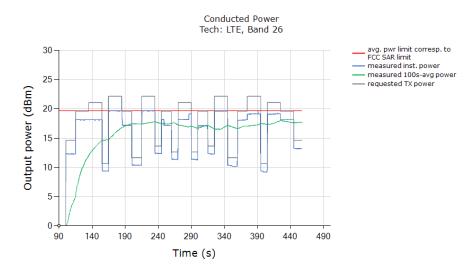
1	(W/kg)
FCC 1g SAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.639
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured	
SAR at Plimit	

The test result validated the continuity of power limiting in call change scenario.

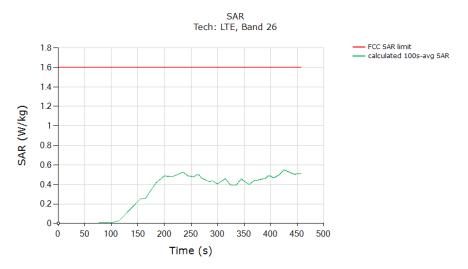




# Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time does not exceed the FCC limit of 1.6 W/kg for 1g SAR:



	·
1	(W/kg)
FCC 1g SAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.517
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured	
SAR at Plimit	

The test result validated the continuity of power limiting in call change scenario.





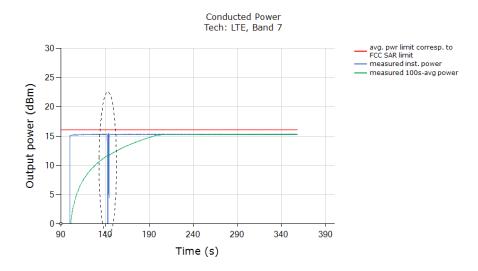
#### Change in Call Drop Test Results (Test Case 6)

This test was measured with LTE Band 7 and with callbox requesting maximum power. The call drop was manually performed when the EUT is transmitting at Preserve level as shown in the plot below (dotted black region). The measurement setup is shown in Figure 6-1(a) and (c).

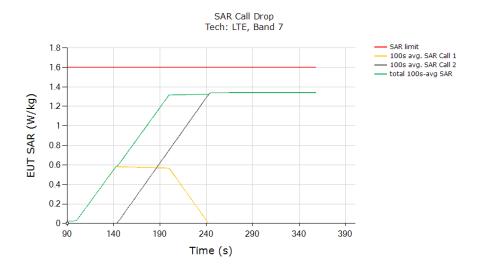
The detailed test procedure is described in Section 3.3.2.

#### Call drop test result:

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power kept the same Preserve level of LTE Band 7 after the call was re-established:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time does not exceed the FCC limit of 1.6 W/kg for 1g SAR:







١	(W/kg)
FCC 1g SAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	1.361
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured	
SAR at Plimit	

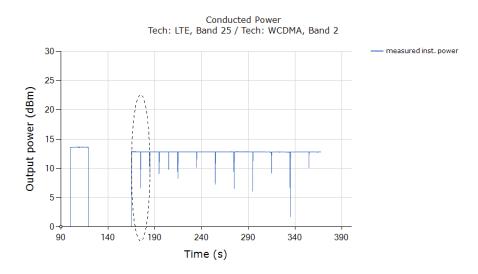
The test result validated the continuity of power limiting in call change scenario.

## Change in Technology/Band/Antenna Switch Test Results (Test case 7)

This test was conducted with callbox requesting maximum power, and with antenna & technology switch from LTE B25, DSI=5 to Band 2, DSI=5. Following procedure detailed in Section 3.3.3, and using the measurement setup shown in Figure 5-1(a) and (c), the technology/band switch was performed when the EUT is transmitting at Preserve level as shown in the plot below (dotted black region).

Test result for change in technology/band:

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power changed from LTE Band 25, DSI=5 Preserve level to WCDMA Band 2, DSI=5 (within device uncertainty):

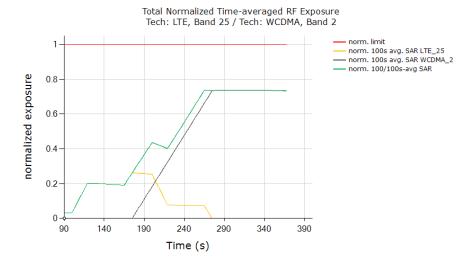


Plot 2: All the time-averaged conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (6a), (6b) and (6c), and plotted below to demonstrate that the time- averaged normalized SAR versus time does not exceed the normalized FCC limit of 1.0:

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1	(W/kg)
FCC 1g SAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.782
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured	
SAR at Plimit	

The test result validated the continuity of power limiting in call change scenario.

#### Change in DSI Switch Test Results (Test case 8)

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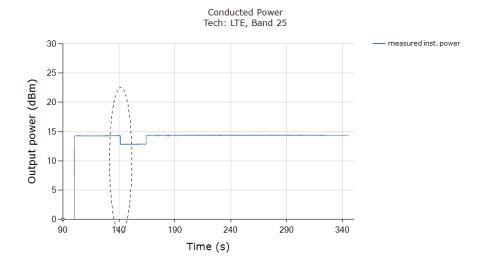
This test was conducted with callbox requesting maximum power, and with DSI switch from LTE Band 25, DSI=5 to DSI=0. Following procedure detailed in Section 3.3.5, and using the measurement setup shown in Figure 5-1(a) and (c), the technology/band switch was performed when the EUT is transmitting at P<sub>reserve</sub> level as shown in the plot below (dotted black region).

Test result for change in technology/band:

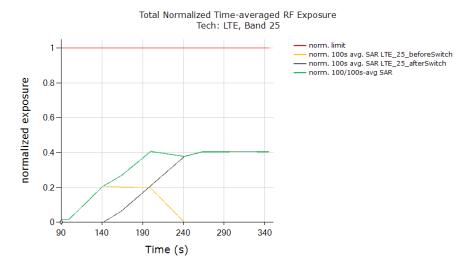
Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power changed from DSI=2 switches to DSI=1 (within device uncertainty):







Plot 2: All the time-averaged conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (6a), (6b) and (6c), and plotted below to demonstrate that the time- averaged normalized SAR versus time does not exceed the normalized FCC limit of 1.0:



1	(W/kg)	
FCC 1g SAR limit	1.6	
Max 100s-time averaged 1gSAR (green curve)	0.401	
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured		
SAR at Plimit		

The test result validated the continuity of power limiting in call change scenario.

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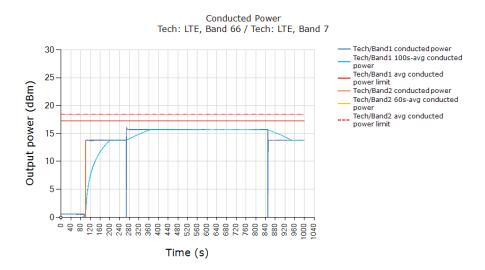


## Change in Time Window / Antenna Switch Results (Test case 9)

Test results for changing in time window from LTE Band 66 to LTE Band 7 (from 100s to 60s to 100s):

This test was conducted with callbox requesting maximum power, and with time-window/antenna switch between LTE Band 66, Antenna 1, DSI = 5 (100s window) and LTE Band 7, Antenna 1, DSI = 5 (60s window). Following procedure detailed in Section 3.3.6, and using the measurement setup shown in Figure 6-1(b) and (d), the time-window switch via tech/band/antenna switch was performed when the EUT is transmitting at Preserve level.

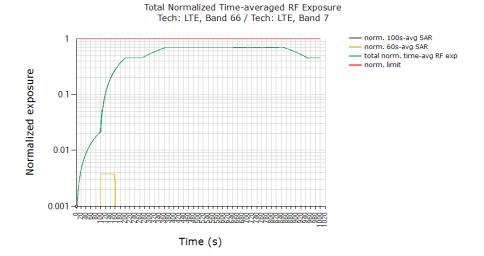
Plots 1: Measured Tx power (dBm) versus time shows that the transmitting power changed from LTE Band 66 to LTE Band 7 (~245 seconds timestamp) and switches back to LTE Band 7 (~310 seconds timestamp):



Plot notes: The conducted Tx power plots shows expected transition in Tx power at ~245 seconds (100s to 60s transitions) and at ~310 seconds (60s to 100s transitions) in order to maintain total time-averaged RF exposure compliance across to time windows, as shown in next plot.

Plot 2: All the conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (7a), (7b) and (7c), and plotted below to demonstrate that the time-averaged normalized SAR versus time does not exceed the FCC limit of 1 unit. Equation (7a) is used to convert the Tx power of device to obtain 100s-averaged normalized SAR in LTE 66 as shown in black curve. Similarly, equation (7b) is used to obtain 60s-averaged normalized SAR in LTE 48 as shown in orange curve. Equation (7c) is used to obtain total time-averaged normalized SAR as shown in green curve (i.e., sum of black and orange curves).





1	(W/kg)	
FCC 1g SAR limit	1.6	
Max 100s-time averaged 1gSAR (green curve)	0.701	
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured		
SAR at Plimit		

The test result validated the continuity of power limiting in call change scenario.

## Change in Time Window / Antenna Switch Results (Test case 10)

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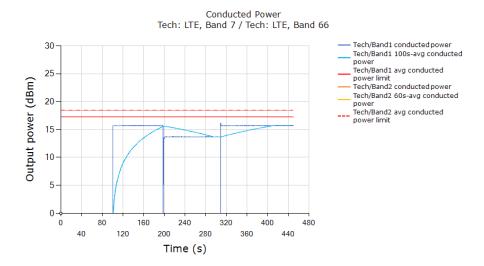
Test results for changing in time window from LTE Band 7 to LTE Band 66 (from 60s to 100s to 60s):

This test was conducted with callbox requesting maximum power, and with time-window/antenna switch between LTE Band 7, Antenna 1, DSI = 5 (100s window) and LTE Band 66, Antenna 1, DSI = 5 (60s window). Following procedure detailed in Section 3.3.6, and using the measurement setup shown in Figure 6-1(b) and (d), the time-window switch via tech/band/antenna switch was performed when the EUT is transmitting at  $P_{reserve}$  level.

Plots 1: Measured Tx power (dBm) versus time shows that the transmitting power changed from LTE Band 7 to LTE Band 66 (~245 seconds timestamp) and switches back to LTE Band 66 (~310 seconds timestamp):

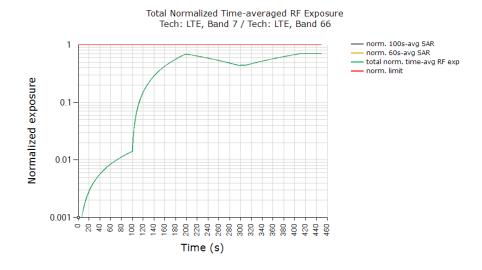






Plot notes: The conducted Tx power plots shows expected transition in Tx power at ~245 seconds (100s to 60s transitions) and at ~310 seconds (60s to 100s transitions) in order to maintain total time-averaged RF exposure compliance across to time windows, as shown in next plot.

Plot 2: All the conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (7a), (7b) and (7c), and plotted below to demonstrate that the time-averaged normalized SAR versus time does not exceed the FCC limit of 1 unit. Equation (7a) is used to convert the Tx power of device to obtain 100s-averaged normalized SAR in LTE 66 as shown in black curve. Similarly, equation (7b) is used to obtain 60s-averaged normalized SAR in LTE 48 as shown in orange curve. Equation (7c) is used to obtain total time-averaged normalized SAR as shown in green curve (i.e., sum of black and orange curves).



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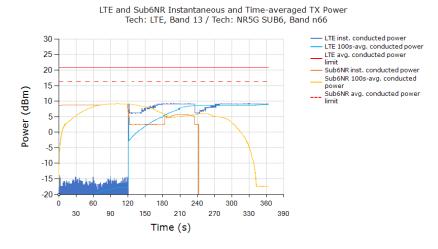


1	(W/kg)	
FCC 1g SAR limit	1.6	
Max 100s-time averaged 1gSAR (green curve)	0.701	
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured		
SAR at Plimit		

The test result validated the continuity of power limiting in call change scenario.

## Switch in SAR Exposure Test Results LTE B13+NR n66 (Test case 11)

This test was conducted with callbox requesting maximum power, and with the EUT in LTE Band 13 + Sub-6G NR n66 call. Following procedure detailed in Section 3.3.6 and Appendix B.2, and using the measurement setup shown in Figure 6-1(a) and (c) since LTE and Sub-6 NR are sharing the same antenna port (otherwise, it should be Figure 6-1(b) and (d) for different antenna ports), the SAR exposure switch measurement is performed with the EUT in various SAR exposure scenarios, i.e., in SAR sub-6 NR only scenario (t =10s ~125s), SAR<sub>Sub-6 NR</sub> + SAR<sub>LTE</sub> scenario (t=125s ~ 235s) and SARLTE only scenario (t > 235s).



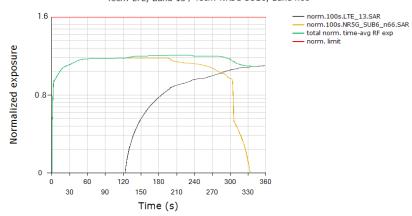
Plot 2: All the conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (7a), (7b) and (7c), and plotted below to demonstrate that the time-averaged normalized SAR versus time does not exceed the FCC limit of 1 unit. Equation (7a) is used to convert the LTE Tx power of device to obtain 100s-averaged normalized SAR in LTE 13 as shown in black curve. Similarly, equation (7b) is used to obtain 100s-averaged normalized SAR in Sub6 NR n2 as shown in orange curve. Equation (7c) is used to obtain total time-averaged normalized SAR as shown in green curve (i.e., sum of black and orange curves).

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Total Normalized Time-averaged RF Exposure Tech: LTE, Band 13 / Tech: NR5G SUB6, Band n66



\	(W/kg)
FCC 1g SAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	1.074
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured	
SAR at Plimit	

The test result validated the continuity of power limiting in call change scenario.



# 7. SAR Test Results for Sub-6 Smart Transmit Feature

# 7.1. Measurement Setup

The measurement setup is similar to normal SAR measurements. The difference in SAR measurement setup for time averaging feature validation is that the callbox is signaling in close loop power control mode (instead of requesting maximum power in open loop control mode) and callbox is connected to the PC using GPIB so that the test script executed on PC can send GPIB commands to control the callbox's requested power over time (test sequence). The same test script used in conducted setup for time-varying Tx power measurements is also used in this section for running the test sequences during SAR measurements, and the recorded values from the disconnected power meter by the test script were discarded.

As mentioned in Section 4.4, for EUT to follow TPC command sent from the callbox wirelessly, the "path loss" between callbox antenna and the EUT needs to be very well calibrated. Since the SAR chamber is in uncontrolled environment, precautions must be taken to minimize the environmental influences on "path loss". Similarly, in the case of time-varying SAR measurements in LTE, "path loss" between callbox antenna and the EUT needs to be carefully calibrated for both LTE link as well as for Sub6 NR link.

The EUT is placed in worst-case position according to Table 4-2.

# 7.2. SAR Measurement Results for Time-varying Tx power

# **Transmission scenario**

Following Section 4.4 procedure, time-averaged SAR measurements are conducted using EX3DV4 probe at peak location of area scan over 500 seconds. cDASY6 system verification for SAR measurement is provided in Appendix C, and the associated SPEAG FCCrtificates are attached in Appendix D.

SAR probe integration times depend on the communication signal being tested. Integration times used by SPEAG for their probe calibrations can be downloaded from here (integration time is listed on the bottom of the first page for each tech):



#### https://www.speag.com/assets/downloads/services/cs/UIDSummary171205.pdf

Since the sampling rate used by cDASY6 for pointSAR measurements is not in user control, the number of points in 360s or 60s interval is determined from the scan duration setting in cDASY6 time-average pointSAR measurement by (360s or 60s / cDASY6\_scan\_duration \* total number of pointSAR values recorded). Running average is performed over these number of points in excel spreadsheet to obtain 360s-/60s-averaged pointSAR.

Following Section 4.4, for each of selected technology/band (listed in Table 5-2):

- 7. With Reserve\_power\_margin set to 0 dB, area scan is performed at Plimit, and timeaveraged point SAR measurements are conducted to determine the pointSAR at Plimit at peak location, denoted as pointSARPlimit.
- 8. With Reserve\_power\_margin set to actual (intended) value, two more time-averaged pointSAR measurements are performed at the same peak location for test sequences 1 and 2. To demonstrate compliance, all the pointSAR measurement results were converted into 1gSAR or 1gSAR values by using Equation (3a), rewritten below:

$$1g\_or\_10gSAR(t) = \frac{pointSAR(t)}{pointSAR\_P_{limit}} * 1g\_or\_10gSAR\_P_{limit}$$
(3a)

where, *pointSAR(t)*, *pointSAR\_Plimit*, and 1*g\_or\_*10*gSAR\_Plimit* correspond to the measured instantaneous point SAR, measured point SAR at Plimit from above step 1 and 2, and measured 1gSAR or 1gSAR values at Plimit obtained from Part 1 report and listed in Table 5-2 in Section 5 of this report.



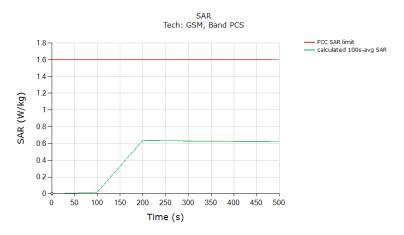
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# ➤ GSM 1900 SAR Test Results (Test case 1)

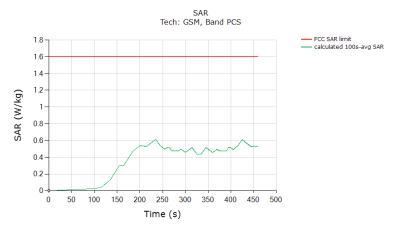
SAR test result for test sequence 1:



1	(W/kg)
FCC 1gSAR limit	1.6
Max 360s-time averaged 1gSAR (green curve) 0.623	
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured	

Validated: Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit

Test result for test sequence 2:

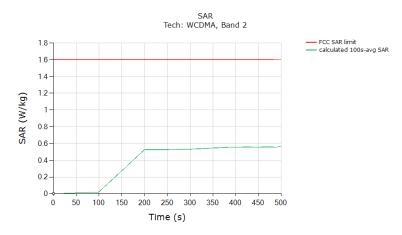


1	(W/kg)	
FCC 1gSAR limit	1.6	
Max 100s-time averaged 1gSAR (green curve)	0.601	
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured		
SAR at Plimit		



# WCDMA Band 2 SAR Test Results (Test case 2)

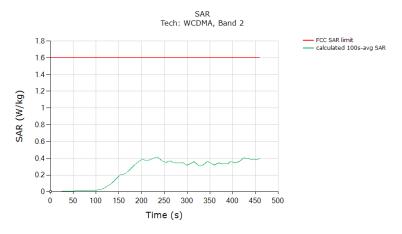
SAR test result for test sequence 1:



1	(W/kg)
FCC 1gSAR limit	1.6
Max 360s-time averaged 1gSAR (green curve) 0.584	
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured	

Validated: Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit

Test result for test sequence 2:

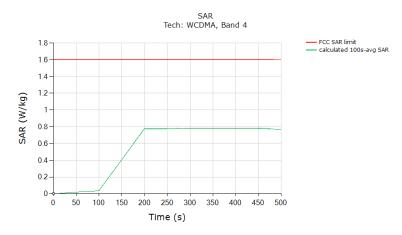


1	(W/kg)	
FCC 1gSAR limit	1.6	
Max 100s-time averaged 1gSAR (green curve)	1.2	
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured		
SAR at Plimit		



# WCDMA Band 4 SAR Test Results (Test case 3)

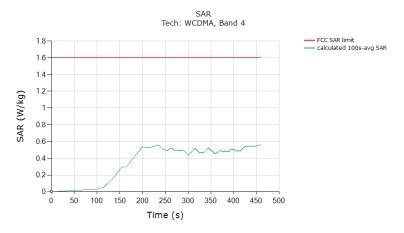
SAR test result for test sequence 1:



1	(W/kg)
FCC 1gSAR limit	1.6
Max 360s-time averaged 1gSAR (green curve) 0.793	
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured	

Validated: Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit

Test result for test sequence 2:

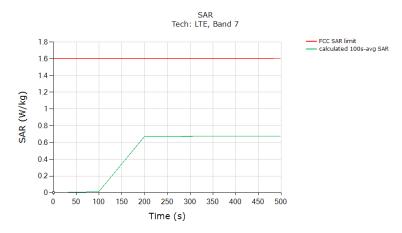


1	(W/kg)	
FCC 1gSAR limit	1.6	
Max 100s-time averaged 1gSAR (green curve)	0.584	
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured		
SAR at Plimit		



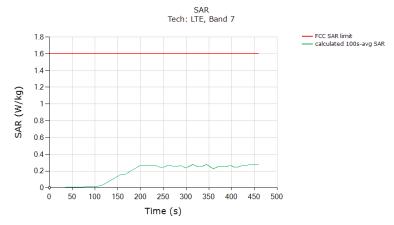
# > LTE Band 7 SAR Test Results (Test case 4)

SAR test result for test sequence 1:



1	(W/kg)	
FCC 1gSAR limit	1.6	
Max 100s-time averaged 1gSAR (green curve)	0.678	
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured		
SAR at Plimit		

Test result for test sequence 2:



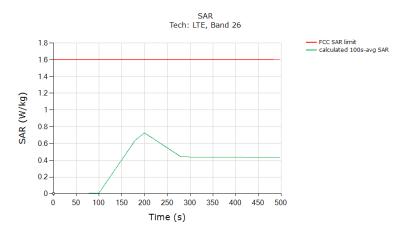
1	(W/kg)	
FCC 1gSAR limit	1.6	
Max 100s-time averaged 1gSAR (green curve)	0.38	
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured		
SAR at Plimit		





# > LTE Band 26 SAR Test Results (Test case 5)

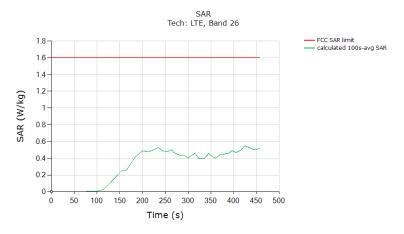
SAR test result for test sequence 1:



1	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve) 0.639	
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured	

Test result for test sequence 2:

SAR at Plimit



1	(W/kg)	
FCC 1gSAR limit	1.6	
Max 100s-time averaged 1gSAR (green curve)	0.517	
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured		
SAR at Plimit		





# 8. Conclusions

Qualcomm Smart Transmit feature employed has been validated through the conducted/radiated power measurement, as well as SAR measurement as demonstrated in this report, the power limiting enforcement is effective and the total normalized time-averaged RF exposure does not exceed 1.0 for all the transmission scenarios described in Section 3. Therefore, the EUT complies with FCC RF exposure requirement



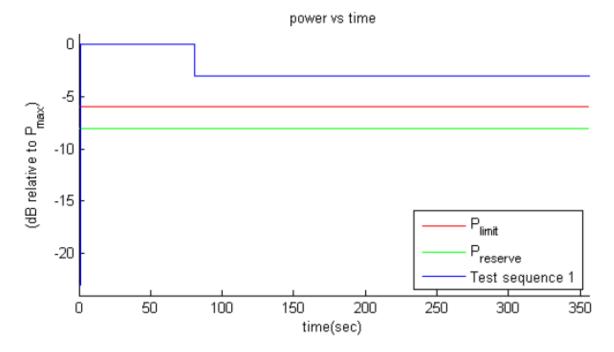
# **Annex A Test Sequences**

- 9. Test sequence is generated based on below parameters of the EUT:
- Measured maximum power (Pmax) a.
- Measured Tx\_power\_at\_SAR\_design\_target (Plimit) b.
- Reserve\_power\_margin (dB) C.
- Preserve (dBm) = measured Plimit (dBm) Reserve\_power\_margin (dB)
- SAR time window (100s for FCC)

#### 10. Test Sequence 1 Waveform:

Based on the parameters above, the Test Sequence 1 is generated with one transition between high and low Tx powers. Here, high power = Pmax; low power = Pmax/2, and the transition occurs after 80 seconds at high power Pmax. As long as the power enforcement is taking into effective during one 360s/60s time window, the validation test with this defined test sequence 1 is valid, otherwise, select other radio configuration (band/DSI within the same technology group) having lower Plimit for this test. The Test sequence 1 waveform is shown

below:



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Figure A-1 Test sequence 1 waveform





## 11. Test Sequence 2 Waveform:

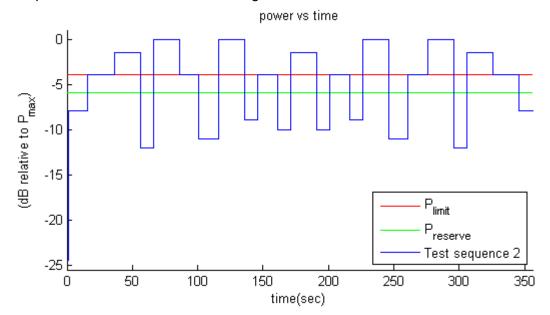
Based on the parameters in A-1, the Test Sequence 2 is generated as described in Table 10-1, which contains two 170 second-long sequences (yellow and green highlighted rows) that are mirrored around the FCCnter row of 20s, resulting in a total duration of 360 seconds:

**Table A-1 Test Sequence 2** 

Time duration (seconds)	dB relative to P <sub>limit</sub> or P <sub>reserve</sub>
<mark>15</mark>	Preserve — 2
20	P <sub>limit</sub>
20	(Plimit + Pmax)/2 averaged in mW and rounded to nearest 0.1 dB step
10	P <sub>reserve</sub> – 6
20	P <sub>max</sub>
<mark>15</mark>	Plimit
15	P <sub>reserve</sub> – 5
20	P <sub>max</sub>
10	P <sub>reserve</sub> – 3
<mark>15</mark>	P <sub>limit</sub>
10	P <sub>reserve</sub> – 4
20	(P <sub>limit</sub> + P <sub>max</sub> )/2 averaged in mW and rounded to nearest 0.1 dB step
<mark>10</mark>	P <sub>reserve</sub> – 4
<mark>15</mark>	P <sub>limit</sub>
<mark>10</mark>	P <sub>reserve</sub> – 3
20	P <sub>max</sub>
<mark>15</mark>	Preserve — 5
<mark>15</mark>	P <sub>limit</sub>
<mark>20</mark>	P <sub>max</sub>
<mark>10</mark>	P <sub>reserve</sub> – 6
20	$(P_{limit} + P_{max})/2$ averaged in mW and rounded to nearest 0.1 dB step
<mark>20</mark>	P <sub>limit</sub>
<mark>15</mark>	Preserve – 2



# The Test Sequence 2 waveform is shown in Figure A-2.





# **Annex B Test Procedure for Sub6 Simultaneous** Transmission Scenarions

Appendix B provides the test procedures for validating Qualcomm Smart Transmit feature for LTE + Sub6 NR non-standalone (NSA) mode transmission scenario, where sub-6GHz LTE link acts as an anchor.

# Time-varying Tx power test for sub6 NR in NSA mode

Following Section 6.2 to select test configurations for time-varying test. This test is performed with two pre-defined test sequences (described in Section 6.3) applied to Sub6 NR (with LTE on all-down bits for the entire test after establishing the LTE+Sub6 NR call with the callbox). Following the test procedures described in Section 5 to demonstrate the effectiveness of power limiting enforcement and that the time averaged Tx power of Sub6 NR when converted into 1gSAR values does not exceed the regulatory limit at all times (see Eq. (3a) and (3b)). Sub6 NR response to test sequence 1 and test sequence 2 will be similar to other technologies (say, LTE) shown in Section 6.3 and 7.2.

## Switch in SAR exposure between LTE vs. Sub6 NR during transmission

This test is to demonstrate that Smart Transmit feature accurately accounts for switching in exposures among SAR for LTE radio only, SAR from both LTE radio and sub6 NR, and SAR from sub6 NR only scenarios, and ensures total time-averaged RF exposure compliance with FCC limit.

#### Test procedure:

- 1. Measure conducted Tx power corresponding to Plimit for LTE and sub6 NR in selected band. Test condition to measure conducted Plimit is:
  - ☐ Establish device in call with the callbox for LTE in desired band. Measure conducted Tx power corresponding to LTE Plimit with Smart Transmit enabled and Reserve\_power\_margin set to 0 dB, callbox set to request maximum power.
  - ☐ Repeat above step to measure conducted Tx power corresponding to Sub6 NR Plimit. If testing LTE+Sub6 NR in non-standalone mode, then establish LTE+Sub6 NR call with callbox and request all down bits for radio1 LTE. In this scenario, with callbox requesting maximum power from Sub6 NR, measured conducted Tx power corresponds to radio 2 Plimit (as radio1 LTE is at all-down bits)
- 2. Set Reserve\_power\_margin to actual (intended) value, with EUT setup for LTE + Sub6 NR call. First, establish LTE connection with the callbox, and then add Sub6 NR connection. As soon as the Sub6 NR connection is established, request all-down bits (or low power) on LTE link and then request UE to transmit at maximum power in Sub6 NR. Continue LTE (all-down bits)+Sub6 NR transmission for more than one time-window duration to test predominantly





Sub6 NR SAR exposure scenario (as SAR exposure is negligible from all-down bits in LTE). After at least one time-window, request LTE to go all-up bits to test LTE SAR and Sub6 NR SAR exposure scenario. After at least one more time-window, drop (or request all-down bits) Sub6 NR transmission to test predominantly LTE SAR exposure scenario. Continue the test for at least one more time-window. Record the conducted Tx powers for both LTE and Sub6 NR for the entire duration of this test.

- 3. Once the measurement is done, extract instantaneous Tx power versus time for both LTE and Sub6 NR links. Similar to technology/band switch test in Section 4.3.3, convert the conducted Tx power for both these radios into 1g\_or\_10gSAR value and using corresponding technology/band Plimit measured in Step 1, and then perform 100s running average to determine time-averaged 1g or 10gSAR versus time as illustrated in Figure 4-1. Divide this by regulatory 1g\_or\_10gSAR limit to obtain normalized time-averaged 1g\_or\_10gSAR versus time. Note that here it is assumed both radios have Tx frequencies < 3GHz, otherwise, 60s running average should be performed for radios having Tx frequency between 3GHz and 6GHz.
- 4. Make one plot containing: (a) computed normalized time-averaged 1g or 10gSAR for LTE versus time determined in Step 3, (b) computed normalized time-averaged 1g\_or\_10gSAR for Sub6 NR versus time determined in Step 3, (c) computed total normalized time-averaged 1g or 10gSAR versus time (sum of Steps (4.a) and (4.b)) determined in Step 3, and (d) corresponding normalized regulatory 1g\_or\_10gSAR<sub>limit</sub> of 1.0.

The validation criteria is, at all times, the time-averaged 1g\_or\_10gSAR versus time shall not exceed the regulatory 1g\_or\_10gSAR<sub>limit</sub>.



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# **Annex C Test Equipments List**

Manuelantona	Name of Engineers	Ti /841 - 1	Serial	Calib	ration
Manufacturer	Name of Equipment	Type/Model	Number	Last Cal.	Due Date
SPEAG	DOSIMETRIC ASSESSMENT SYSTEM	DASY52	52.10.4.1527	NCR	NCR
SPEAG	Dosimetric E-Field Probe	EX3DV4	7624	2022.03.31	2023.03.30
SPEAG	Data Acquisition Electronics	DAE4	480	2022.06.22	2023.06.21
SPEAG	750MHz System Validation Kit	D750V3	1223	2022.08.22	2025.08.21
SPEAG	900MHz System Validation Kit	D900V2	1d064	2021.12.17	2024.12.16
SPEAG	1800MHz System Validation Kit	D1800V2	2d158	2021.12.17	2024.12.16
SPEAG	2000MHz System Validation Kit	D2000V2	1050	2021.12.18	2024.12.17
SPEAG	2600MHz System Validation Kit	D2600V2	1198	2022.08.17	2025.08.16
SPEAG	Twin-SAM	QD000P40Ax	2020	NCR	NCR
Agilent	Signal Generator	N5182B	MY53050509	2022.11.30	2023.11.29
R&S	Power Meter	NRP8S	103215	2023.02.09	2024.02.08
R&S	Power Meter	NRP8S	103240	2023.02.09	2024.02.08
Agilent	Dual Directional Coupler	778D	50422	NA	NA
R&S	Network Emulator	CMW500	165755	2023.02.09	2024.02.08
KEYSIGHT	Network Emulator	E7515B	MY58300665	2022.11.30	2023.11.29
mini-circuits	Amplifier	ZHL-42W+	608501717	NCR	NCR
mini-circuits	Amplifier	ZVE-8G+	754401735	NCR	NCR
Agilent	Dual Directional Coupler	778D	50422	NA	NA



# **Annex D Antenna Information**

The antenna location was shown in annex E.

Ant 0: TX/RX: GSM850/1900; WCDMA Band II/IV/V;

LTE Band 2/4/5/7/12/13/17/18/25/26/38/41/48/66/71; 5G NR n2/5/12/25/41/66/71

Ant 1: TX/RX: GSM850/1900; WCDMA Band II/IV/V;

LTE Band 2/4/5/7/12/13/17/18/25/26/38/41/48/66/71; 5G NR n2/5/12/25/41/66/71

Ant 2: RX: GPS L5

Ant 3: TX/RX: LTE Band48; 5G NR n77/78;

RX: LTE Band2/4/25/41/66; 5G NR n2/25/41/66

Ant 4: TX/RX: LTE Band 2/4/25/41/48/66; 5G NR n2/25/41/66/77/78

Ant 5: TX/RX: 5G NR n77/78; RX: LTE Band 41

Ant 6: TX/RX: 5G NR n77/78; RX: GPS

Ant 7: TX/RX: WLAN 2.4GHz/5GHz;Bluetooth

Ant 8: NFC





# **Annex E General Information**

# 1. Identification of the Responsible Testing Laboratory

	<u> </u>	
Laboratory Name:	Shenzhen Morlab Communications Technology Co., Ltd.	
Laboratory Address:	FL.3, Building A, FeiYang Science Park, No.8 LongChang	
	Road, Block 67, BaoAn District, ShenZhen, GuangDong	
	Province, P. R. China	
Telephone:	+86 755 36698555	
Facsimile:	+86 755 36698525	

# 2. Identification of the Responsible Testing Location

Name:	Shenzhen Morlab Communications Technology Co., Ltd.
Address:	FL.3, Building A, FeiYang Science Park, No.8 LongChang
	Road, Block 67, BaoAn District, ShenZhen, GuangDong
	Province, P. R. China

#### 3. Facilities and Accreditations

The FCC designation number is CN1192, the test firm registration number is 226174.

E-mail: service@morlab.cn

REPORT No.: SZ22120264S04



The antenna location was shown in annex F.

# **Annex G DASY Calibration Certificate**

The certification reports of testing equipments will be applied separately.

\*\*\*\*\*\* END OF REPORT \*\*\*\*\*\*

