



# SAR TEST REPORT

No. I15N01413-SAR

For

**Shenzhen Guo Wei Electronics Co., Ltd.**

**Fixed Wireless Telephone**

**Model Name: FW200L+**

**With**

**Hardware Version: V1.0**

**Software Version: V0001**

**FCC ID: 2AA3EFW200L**

**Issued Date: 2016-1-14**

**Note:**

The test results in this test report relate only to the devices specified in this report. This report shall not be reproduced except in full without the written approval of CTTL.

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## REPORT HISTORY

Report Number	Revision	Issue Date	Description
I15N01413-SAR	Rev.0	2016-1-14	Initial creation of test report

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## 1 Test Laboratory

### 1.1 Testing Location

Company Name:	CTTL(Shenzhen)
Address:	Multimedia Building, TCL International E City, Zhongshan Road, Nanshan District, Shenzhen, P. R. China

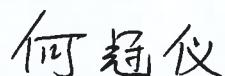
### 1.2 Testing Environment

Temperature:	18°C~25 °C,
Relative humidity:	30%~ 70%
Ground system resistance:	< 0.5 Ω
Ambient noise & Reflection:	< 0.012 W/kg

### 1.3 Project Data

Project Leader:	Cao Junfei
Test Engineer:	He Guanyi
Testing Start Date:	January 4, 2016
Testing End Date:	January 11, 2016

### 1.4 Signature



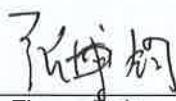
He Guanyi

(Prepared this test report)



Cao Junfei

(Reviewed this test report)



Zhang Bojun

Director of the laboratory

(Approved this test report)

## 2 Statement of Compliance

The maximum results of Specific Absorption Rate (SAR) found during testing for Shenzhen Guo Wei Electronics Co., Ltd. Fixed Wireless Telephone FW200L+ are as follows:

**Table 2.1: Highest Reported SAR (1g)**

Exposure Configuration	Technology Band	Highest Reported SAR 1g (W/Kg)	Equipment Class
Body-worn (Separation Distance 0mm)	GSM 850	<b>0.50</b>	PCE
	PCS 1900	<b>0.11</b>	

The SAR values found for the Mobile Phone are below the maximum recommended levels of 1.6 W/Kg as averaged over any 1g tissue according to the ANSI C95.1-1992.

For body worn operation, this device has been tested and meets FCC RF exposure guidelines when used with any accessory that contains no metal and which provides a minimum separation distance of 0 mm between this device and the body of the user. Use of other accessories may not ensure compliance with FCC RF exposure guidelines.

The EUT battery must be fully charged and checked periodically during the test to ascertain uniform power output.

The measurement together with the test system set-up is described in annex C of this test report. A detailed description of the equipment under test can be found in chapter 4 of this test report.

The highest reported SAR value is obtained at the case of **(Table 2.1)**, and the values are: **0.50 W/kg (1g)**.

## 3 Client Information

### 3.1 Applicant Information

Company Name:	Shenzhen Guo Wei Electronics Co., Ltd.
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### 3.2 Manufacturer Information

Company Name:	Meizhou Guo Wei Electronics Co., Ltd.
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## 4 Equipment Under Test (EUT) and Ancillary Equipment (AE)

### 4.1 About EUT

Description:	Fixed Wireless Telephone
Model Name:	FW200L+
Operating mode(s):	GSM 850/900/1800/1900
Tested Tx Frequency:	825 – 848.8 MHz (GSM 850) 1850.2 – 1910 MHz (GSM 1900)
GPRS&EGPRS Multislot Class:	/
GPRS capability Class:	/
Test device Production information:	Production unit
Device type:	Portable device
Antenna type:	External antenna
Accessories/Body-worn configurations:	/
Hotspot mode:	/
Form factor:	200 mm x 170 mm

### 4.2 Internal Identification of EUT used during the test

EUT ID*	SN or IMEI	HW Version	SW Version
EUT1	352361070002622	V1.0	V0001
EUT2	352361070002621	V1.0	V0001

\*EUT ID: is used to identify the test sample in the lab internally.

**Note:** It is performed to test SAR with the EUT1 and conducted power with the EUT 2.

### 4.3 Internal Identification of AE used during the test

AE ID*	Description	Model	SN	Manufacturer
AE1	Battery	HFR-44AAAJ550x3	/	Shenzhen Highpower Technology Co., Ltd.

\*AE ID: is used to identify the test sample in the lab internally.

## 5 TEST METHODOLOGY

### 5.1 Applicable Limit Regulations

**ANSI C95.1-1992:** IEEE Standard for Safety Levels with Respect to Human Exposure to Radio Frequency Electromagnetic Fields, 3 kHz to 300 GHz.

It specifies the maximum exposure limit of **1.6 W/kg** as averaged over any 1 gram of tissue for portable devices being used within 20 cm of the user in the uncontrolled environment.

### 5.2 Applicable Measurement Standards

**IEEE 1528-2013:** Recommended Practice for Determining the Peak Spatial-Average Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Experimental Techniques.

**KDB 447498 D01: General RF Exposure Guidance v05r02:** Mobile and Portable Devices RF Exposure Procedures and Equipment Authorization Policies.

**KDB 648474 D04 Handset SAR v01r02:** SAR Evaluation Considerations for Wireless Handsets.

**KDB 865664 D01 SAR measurement 100 MHz to 6 GHz v01r03:** SAR Measurement Requirements for 100 MHz to 6 GHz.

**KDB 865664 D02 RF Exposure Reporting v01r01:** RF Exposure Compliance Reporting and Documentation Considerations

## 6 Specific Absorption Rate (SAR)

### 6.1 Introduction

SAR is related to the rate at which energy is absorbed per unit mass in an object exposed to a radio field. The SAR distribution in a biological body is complicated and is usually carried out by experimental techniques or numerical modeling. The standard recommends limits for two tiers of groups, occupational/controlled and general population/uncontrolled, based on a person's awareness and ability to exercise control over his or her exposure. In general, occupational/controlled exposure limits are higher than the limits for general population/uncontrolled.

### 6.2 SAR Definition

The SAR definition is the time derivative (rate) of the incremental energy ( $dW$ ) absorbed by (dissipated in) an incremental mass ( $dm$ ) contained in a volume element ( $dv$ ) of a given density ( $\rho$ ). The equation description is as below:

$$SAR = \frac{d}{dt} \left( \frac{dW}{dm} \right) = \frac{d}{dt} \left( \frac{dW}{\rho dv} \right)$$

SAR is expressed in units of Watts per kilogram (W/kg)

SAR measurement can be either related to the temperature elevation in tissue by

$$SAR = c \left( \frac{\delta T}{\delta t} \right)$$

Where:  $C$  is the specific heat capacity,  $\delta T$  is the temperature rise and  $\delta t$  is the exposure duration, or related to the electrical field in the tissue by

$$SAR = \frac{\sigma |E|^2}{\rho}$$

Where:  $\sigma$  is the conductivity of the tissue,  $\rho$  is the mass density of tissue and  $E$  is the RMS electrical field strength.

However for evaluating SAR of low power transmitter, electrical field measurement is typically applied.

## 7 Tissue Simulating Liquids

### 7.1 Targets for tissue simulating liquid

Table 7.1: Targets for tissue simulating liquid

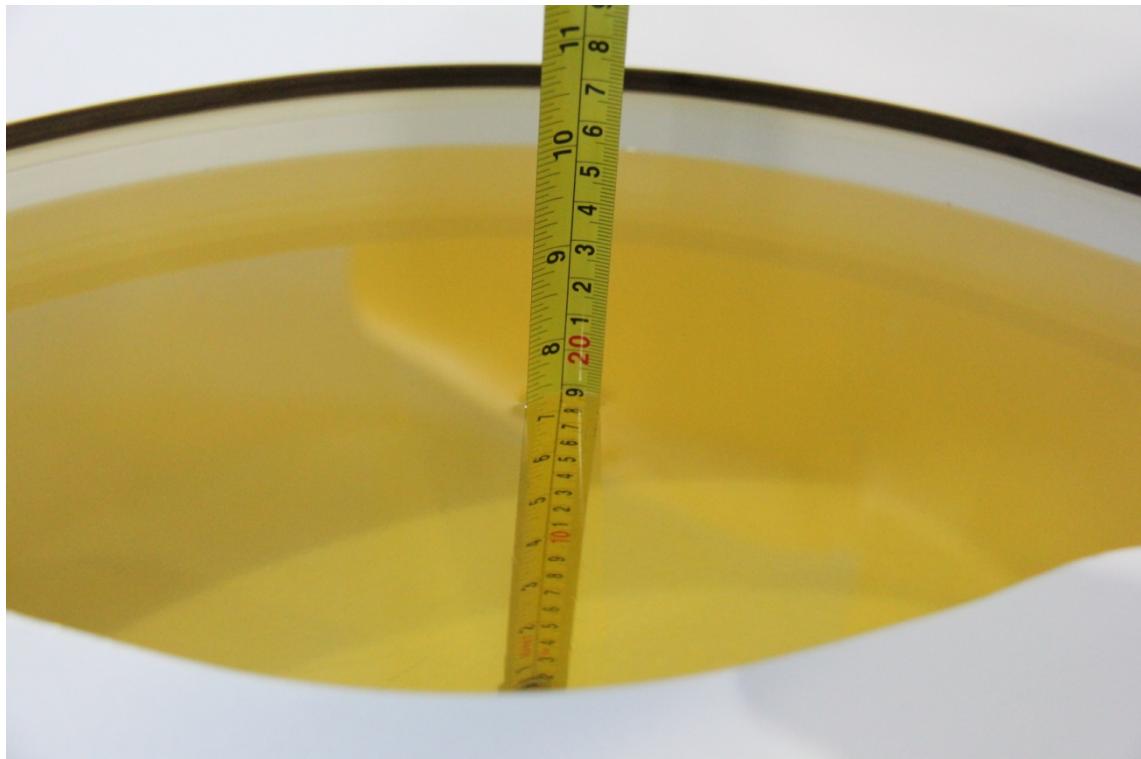
Frequency (MHz)	Liquid Type	Permittivity ( $\epsilon$ )	$\pm 5\%$ Range	Conductivity ( $\sigma$ )	$\pm 5\%$ Range
835	Body	55.2	52.4~58.0	0.97	0.92~1.02
1900	Body	53.3	50.6~56.0	1.52	1.44~1.60

### 7.2 Dielectric Performance

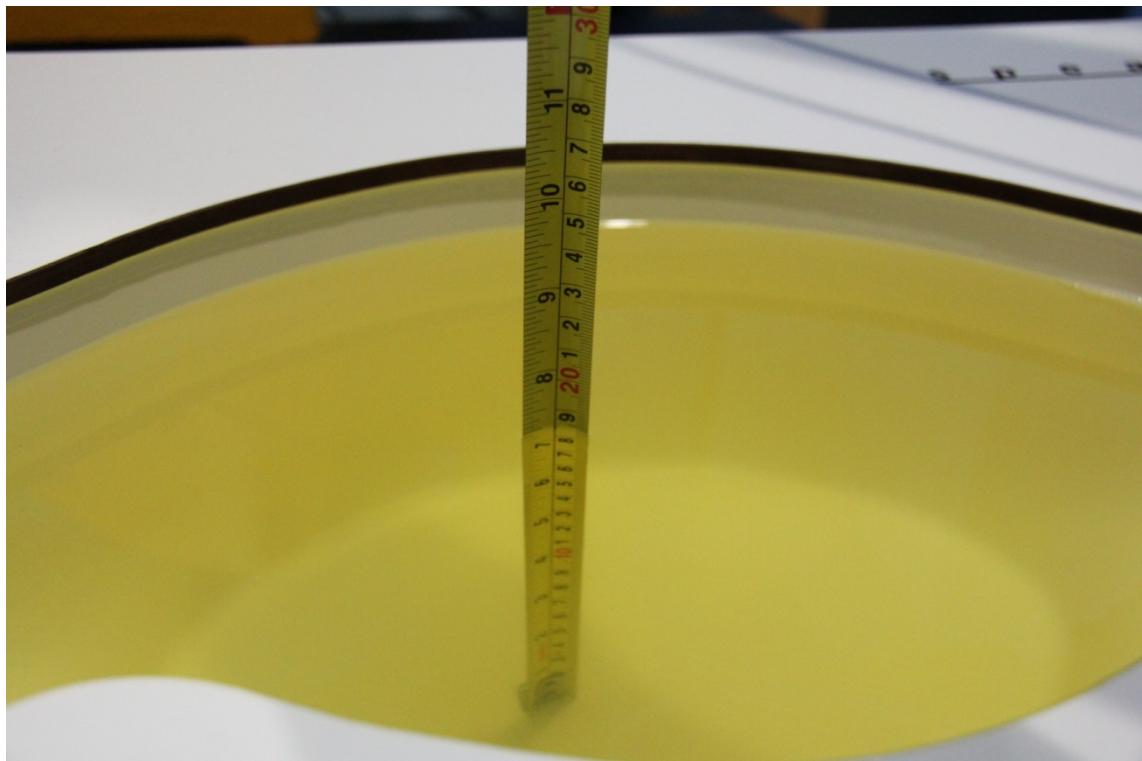
Table 7.2: Dielectric Performance of Tissue Simulating Liquid

Measurement Date (yyyy-mm-dd)	Type	Frequency	Permittivity $\epsilon$	Drift (%)	Conductivity $\sigma$ (S/m)	Drift (%)
2016-1-4	Body	835 MHz	53.49	-3.10	0.97	0.00
2016-1-11	Body	1900 MHz	52.16	-2.14	1.58	3.95

Note: The liquid temperature is 22.0 °C



Picture 7-2: Liquid depth in the Flat Phantom (835 MHz)

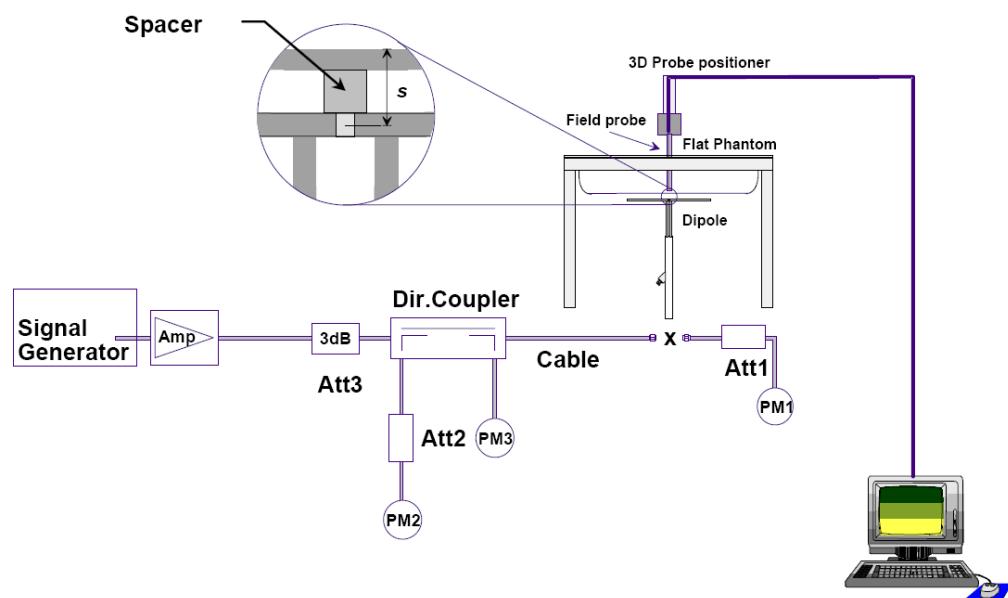


**Picture 7-4 Liquid depth in the Flat Phantom (1900MHz)**

## 8 System verification

### 8.1 System Setup

In the simplified setup for system evaluation, the DUT is replaced by a calibrated dipole and the power source is replaced by a continuous wave that comes from a signal generator. The calibrated dipole must be placed beneath the flat phantom section of the SAM twin phantom with the correct distance holder. The distance holder should touch the phantom surface with a light pressure at the reference marking and be oriented parallel to the long side of the phantom. The equipment setup is shown below:



Picture 8.1 System Setup for System Evaluation



Picture 8.2 Photo of Dipole Setup

## 8.2 System Verification

SAR system verification is required to confirm measurement accuracy, according to the tissue dielectric media, probe calibration points and other system operating parameters required for measuring the SAR of a test device. The system verification must be performed for each frequency band and within the valid range of each probe calibration point required for testing the device.

The system verification results are required that the area scan estimated 1-g SAR is within 3% of the zoom scan 1-g SAR. The details are presented in annex B.

**Table 8.2: System Verification of Body**

<b>Measurement Date (yyyy-mm-dd)</b>	<b>Frequency</b>	<b>Target value (W/kg)</b>		<b>Measured value (W/kg)</b>		<b>Deviation</b>	
		<b>10 g Average</b>	<b>1 g Average</b>	<b>10 g Average</b>	<b>1 g Average</b>	<b>10 g Average</b>	<b>1 g Average</b>
2016-1-4	835 MHz	6.20	9.44	1.58	2.42	1.94%	2.54%
2016-1-11	1900 MHz	21.3	41.1	5.40	10.1	1.41%	-1.70%

## 9 Measurement Procedures

### 9.1 Tests to be performed

In order to determine the highest value of the peak spatial-average SAR of a handset, all device positions, configurations and operational modes shall be tested for each frequency band according to steps 1 to 3 below. A flowchart of the test process is shown in picture 9.1.

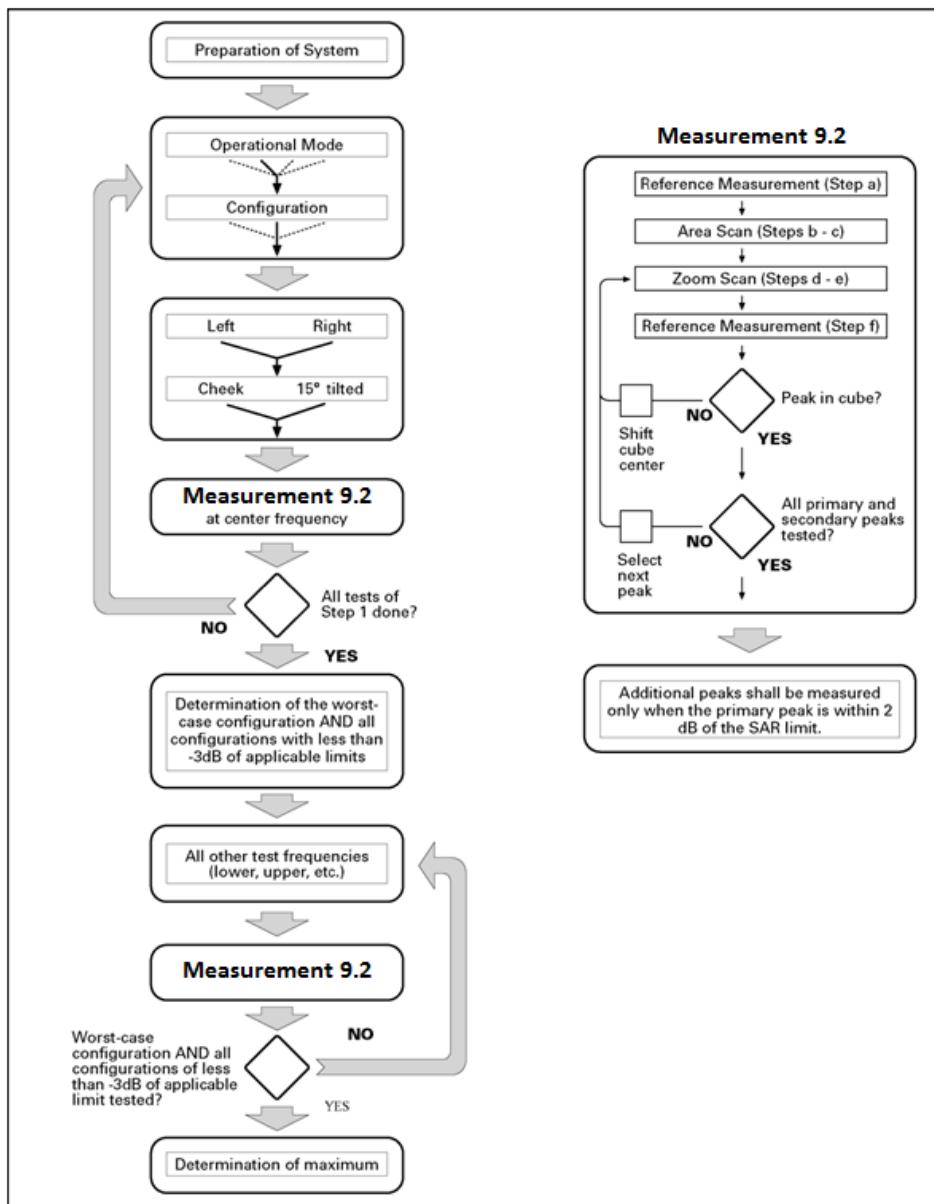
**Step 1:** The tests described in 9.2 shall be performed at the channel that is closest to the centre of the transmit frequency band ( $f_c$ ) for:

- a) all device positions (cheek and tilt, for both left and right sides of the SAM phantom, as described in annex D),
- b) all configurations for each device position in a), e.g., antenna extended and retracted, and
- c) all operational modes, e.g., analogue and digital, for each device position in a) and configuration in b) in each frequency band.

If more than three frequencies need to be tested according to 11.1 (i.e.,  $N_c > 3$ ), then all frequencies, configurations and modes shall be tested for all of the above test conditions.

**Step 2:** For the condition providing highest peak spatial-average SAR determined in Step 1, perform all tests described in 9.2 at all other test frequencies, i.e., lowest and highest frequencies. In addition, for all other conditions (device position, configuration and operational mode) where the peak spatial-average SAR value determined in Step 1 is within 3 dB of the applicable SAR limit, it is recommended that all other test frequencies shall be tested as well.

**Step 3:** Examine all data to determine the highest value of the peak spatial-average SAR found in Steps 1 to 2.



Picture 9.1 Block diagram of the tests to be performed

## 9.2 General Measurement Procedure

The area and zoom scan resolutions specified in the table below must be applied to the SAR measurements and fully documented in SAR reports to qualify for TCB approval. Probe boundary effect error compensation is required for measurements with the probe tip closer than half a probe tip diameter to the phantom surface. Both the probe tip diameter and sensor offset distance must satisfy measurement protocols; to ensure probe boundary effect errors are minimized and the higher fields closest to the phantom surface can be correctly measured and extrapolated to the phantom surface for computing 1-g SAR. Tolerances of the post-processing algorithms must be verified by the test laboratory for the scan resolutions used in the SAR measurements, according to the reference distribution functions specified in IEEE Std 1528-2003. The results should be documented as part of the system validation records and may be requested to support test results

when all the measurement parameters in the following table are not satisfied.

		$\leq 3$ GHz	$> 3$ GHz
Maximum distance from closest measurement point (geometric center of probe sensors) to phantom surface		$5 \pm 1$ mm	$\frac{1}{2} \cdot 5 \cdot \ln(2) \pm 0.5$ mm
Maximum probe angle from probe axis to phantom surface normal at the measurement location		$30^\circ \pm 1^\circ$	$20^\circ \pm 1^\circ$
		$\leq 2$ GHz: $\leq 15$ mm $2 - 3$ GHz: $\leq 12$ mm	$3 - 4$ GHz: $\leq 12$ mm $4 - 6$ GHz: $\leq 10$ mm
Maximum area scan spatial resolution: $\Delta x_{\text{Area}}, \Delta y_{\text{Area}}$		When the x or y dimension of the test device, in the measurement plane orientation, is smaller than the above, the measurement resolution must be $\leq$ the corresponding x or y dimension of the test device with at least one measurement point on the test device.	
Maximum zoom scan spatial resolution: $\Delta x_{\text{Zoom}}, \Delta y_{\text{Zoom}}$		$\leq 2$ GHz: $\leq 8$ mm $2 - 3$ GHz: $\leq 5$ mm*	$3 - 4$ GHz: $\leq 5$ mm* $4 - 6$ GHz: $\leq 4$ mm*
Maximum zoom scan spatial resolution, normal to phantom surface	uniform grid: $\Delta z_{\text{Zoom}}(n)$		$3 - 4$ GHz: $\leq 4$ mm $4 - 5$ GHz: $\leq 3$ mm $5 - 6$ GHz: $\leq 2$ mm
	graded grid	$\Delta z_{\text{Zoom}}(1)$ : between 1 <sup>st</sup> two points closest to phantom surface	$\leq 4$ mm
		$\Delta z_{\text{Zoom}}(n > 1)$ : between subsequent points	$\leq 1.5 \cdot \Delta z_{\text{Zoom}}(n-1)$
Minimum zoom scan volume	x, y, z	$\geq 30$ mm	$3 - 4$ GHz: $\geq 28$ mm $4 - 5$ GHz: $\geq 25$ mm $5 - 6$ GHz: $\geq 22$ mm
Note: $\delta$ is the penetration depth of a plane-wave at normal incidence to the tissue medium; see draft standard IEEE P1528-2011 for details.			
* When zoom scan is required and the <u>reported</u> SAR from the area scan based <i>1-g SAR estimation</i> procedures of KDB 447498 is $\leq 1.4$ W/kg, $\leq 8$ mm, $\leq 7$ mm and $\leq 5$ mm zoom scan resolution may be applied, respectively, for 2 GHz to 3 GHz, 3 GHz to 4 GHz and 4 GHz to 6 GHz.			

## 9.5 Power Drift

To control the output power stability during the SAR test, DASY4 system calculates the power drift by measuring the E-field at the same location at the beginning and at the end of the measurement for each test position. These drift values can be found in Table 14.2 to Table 14.25 labeled as: (Power Drift [dB]). This ensures that the power drift during one measurement is within 5%.

## 10 Area Scan Based 1-g SAR

### 10.1 Requirement of KDB

According to the KDB447498 D01 v05, when the implementation is based the specific polynomial fit algorithm as presented at the 29th Bioelectromagnetics Society meeting (2007) and the estimated 1-g SAR is  $\leq 1.2$  W/kg, a zoom scan measurement is not required provided it is also not needed for any other purpose; for example, if the peak SAR location required for simultaneous transmission SAR test exclusion can be determined accurately by the SAR system or manually to discriminate between distinctive peaks and scattered noisy SAR distributions from area scans.

There must not be any warning or alert messages due to various measurement concerns identified by the SAR system; for example, noise in measurements, peaks too close to scan boundary, peaks are too sharp, spatial resolution and uncertainty issues etc. The SAR system verification must also demonstrate that the area scan estimated 1-g SAR is within 3% of the zoom scan 1-g SAR (See Annex B). When all the SAR results for each exposure condition in a frequency band and wireless mode are based on estimated 1-g SAR, the 1-g SAR for the highest SAR configuration must be determined by a zoom scan.

### 10.2 Fast SAR Algorithms

The approach is based on the area scan measurement applying a frequency dependent attenuation parameter. This attenuation parameter was empirically determined by analyzing a large number of phones. The MOTOROLA FAST SAR was developed and validated by the MOTOROLA Research Group in Ft. Lauderdale.

In the initial study, an approximation algorithm based on Linear fit was developed. The accuracy of the algorithm has been demonstrated across a broad frequency range (136-2450 MHz) and for both 1- and 10-g averaged SAR using a sample of 264 SAR measurements from 55 wireless handsets. For the sample size studied, the root-mean-squared errors of the algorithm are 1.2% and 5.8% for 1- and 10-g averaged SAR, respectively. The paper describing the algorithm in detail is expected to be published in August 2004 within the Special Issue of Transactions on MTT.

In the second step, the same research group optimized the fitting algorithm to an Polynomial fit whereby the frequency validity was extended to cover the range 30-6000MHz. Details of this study can be found in the BEMS 2007 Proceedings.

Both algorithms are implemented in DASY software.

## 11 Conducted Output Power

### 11.1 Manufacturing tolerance

**Table 11.1: GSM Speech**

GSM 850			
Channel	Channel 251	Channel 190	Channel 128
Target (dBm)	33	33	33
Tolerance $\pm$ (dB)	1	1	1
GSM 1900			
Channel	Channel 810	Channel 661	Channel 512
Target (dBm)	30	30	30
Tolerance $\pm$ (dB)	1	1	1

### 11.2 GSM Measurement result

During the process of testing, the EUT was controlled via Agilent Digital Radio Communication tester (E5515C) to ensure the maximum power transmission and proper modulation. This result contains conducted output power for the EUT. In all cases, the measured peak output power should be greater and within 5% than EMI measurement.

**Table 11.6: The conducted power measurement results for GSM850/1900**

GSM 850MHz	Conducted Power (dBm)		
	Channel 251(848.8MHz)	Channel 190(836.6MHz)	Channel 128(824.2MHz)
	32.50	32.35	32.38
GSM 1900MHz	Conducted Power (dBm)		
	Channel 810(1909.8MHz)	Channel 661(1880MHz)	Channel 512(1850.2MHz)
	29.67	29.68	29.81

## 12 SAR Test Result

It is determined by user manual for the distance between the EUT and the phantom bottom.

The distance is 10mm and just applied to the condition of body worn accessory.

It is performed for all SAR measurements with area scan based 1-g SAR estimation (Fast SAR). A zoom scan measurement is added when the estimated 1-g SAR is the highest measured SAR in each exposure configuration, wireless mode and frequency band combination or  $> 1.2\text{W/kg}$ .

The calculated SAR is obtained by the following formula:

$$\text{Reported SAR} = \text{Measured SAR} \times 10^{(P_{\text{Target}} - P_{\text{Measured}})/10}$$

Where  $P_{\text{Target}}$  is the power of manufacturing upper limit;

$P_{\text{Measured}}$  is the measured power in chapter 11.

### 12.1 SAR results for Fast SAR

**Table 12.1: SAR Values (GSM 850 MHz Band - Body)**

		Ambient Temperature: 22.5 °C			Liquid Temperature: 23.0 °C						
Frequency		Test Position	Figure No.	Conducted Power (dBm)	Max. tune-up Power (dBm)	Measured SAR(10g) (W/kg)	Reported SAR(10g) (W/kg)	Measured SAR(1g) (W/kg)	Reported SAR(1g) (W/kg)	Power Drift (dB)	
MHz	Ch.										
848.8	251	Rear Position 1	Fig.1	32.50	34	0.254	<b>0.36</b>	0.356	<b>0.50</b>	-0.11	
836.6	190	Rear Position 1	/	32.35	34	0.212	<b>0.31</b>	0.308	<b>0.45</b>	-0.06	
824.2	128	Rear Position 1	/	32.38	34	0.195	<b>0.28</b>	0.283	<b>0.41</b>	-0.05	
836.6	190	Rear Position 2	/	32.35	34	0.009	<b>0.01</b>	0.014	<b>0.02</b>	-0.06	
836.6	190	Rear Position 3	/	32.35	34	0.172	<b>0.25</b>	0.249	<b>0.36</b>	0.11	

Note1: The distance between the EUT and the phantom bottom is 0mm.

**Table 12.2: SAR Values (GSM 1900 MHz Band - Body)**

		Ambient Temperature: 22.5 °C			Liquid Temperature: 23.0 °C						
Frequency		Test Position	Figure No.	Conducted Power (dBm)	Max. tune-up Power (dBm)	Measured SAR(10g) (W/kg)	Reported SAR(10g) (W/kg)	Measured SAR(1g) (W/kg)	Reported SAR(1g) (W/kg)	Power Drift (dB)	
MHz	Ch.										
1909.8	810	Rear Position 1	/	29.67	31	0.039	<b>0.05</b>	0.065	<b>0.09</b>	0.04	
1880	661	Rear Position 1	/	29.68	31	0.038	<b>0.05</b>	0.064	<b>0.09</b>	0.13	
1850.2	512	Rear Position 1	Fig.2	29.81	31	0.054	<b>0.07</b>	0.086	<b>0.11</b>	-0.10	
1880	661	Rear Position 2	/	29.68	31	0.010	<b>0.01</b>	0.016	<b>0.02</b>	0.06	
1880	661	Rear Position 3	/	29.68	31	0.005	<b>0.01</b>	0.008	<b>0.01</b>	0.05	

Note1: The distance between the EUT and the phantom bottom is 0mm.

## 12.2 SAR results for Standard procedure

There is zoom scan measurement to be added for the highest measured SAR in each exposure configuration/band.

**Table 12.3: SAR Values (GSM 850 MHz Band - Body)**

		Ambient Temperature: 22.5 °C			Liquid Temperature: 23.0 °C					
Frequency		Test Position	Figure No.	Conducted Power (dBm)	Max. tune-up Power (dBm)	Measured SAR(10g) (W/kg)	Reported SAR(10g) (W/kg)	Measured SAR(1g) (W/kg)	Reported SAR(1g) (W/kg)	Power Drift (dB)
MHz	Ch.									
848.8	251	Rear Position 1	Fig.1	32.50	34	0.254	<b>0.36</b>	0.356	<b>0.50</b>	-0.11

Note1: The distance between the EUT and the phantom bottom is 0mm.

**Table 12.4: SAR Values (GSM 1900 MHz Band - Body)**

		Ambient Temperature: 22.5 °C			Liquid Temperature: 23.0 °C					
Frequency		Test Position	Figure No.	Conducted Power (dBm)	Max. tune-up Power (dBm)	Measured SAR(10g) (W/kg)	Reported SAR(10g) (W/kg)	Measured SAR(1g) (W/kg)	Reported SAR(1g) (W/kg)	Power Drift (dB)
MHz	Ch.									
1850.2	512	Rear Position 1	Fig.2	29.81	31	0.054	<b>0.07</b>	0.086	<b>0.11</b>	-0.10

Note1: The distance between the EUT and the phantom bottom is 0mm.

## 13 Measurement Uncertainty

### 13.1 Measurement Uncertainty for Normal SAR Tests (300MHz~3GHz)

2No.	Error Description	Type	Uncertainty value	Probably Distribution	Div.	(Ci) 1g	(Ci) 10g	Std. Unc. (1g)	Std. Unc. (10g)	Degree of freedom
<b>Measurement system</b>										
1	Probe calibration	B	5.5	N	1	1	1	5.4	5.4	$\infty$
2	Isotropy	B	4.7	R	$\sqrt{3}$	1	1	1.6	1.6	$\infty$
3	Boundary effect	B	1.0	R	$\sqrt{3}$	1	1	6.4	6.4	$\infty$
4	Linearity	B	4.7	R	$\sqrt{3}$	1	1	0.5	0.5	$\infty$
5	Detection limit	B	1.0	N	1	1	1	1	1	$\infty$
6	Readout electronics	B	0.3	R	$\sqrt{3}$	1	1	0.6	0.6	$\infty$
7	Response time	B	0.8	R	$\sqrt{3}$	1	1	0.0	0.0	$\infty$
8	Integration time	B	2.6	R	$\sqrt{3}$	1	1	1.0	1.0	$\infty$
9	RF ambient conditions-noise	B	0	R	$\sqrt{3}$	1	1	1.7	1.7	$\infty$
10	RF ambient conditions-reflection	B	0	R	$\sqrt{3}$	1	1	1.7	1.7	$\infty$
11	Probe positioned mech. restrictions	B	0.4	R	$\sqrt{3}$	1	1	0.2	0.2	$\infty$
12	Probe positioning with respect to phantom shell	B	2.9	R	$\sqrt{3}$	1	1	1.7	1.7	$\infty$
13	Post-processing	B	1.0	R	$\sqrt{3}$	1	1	1.2	1.2	$\infty$
<b>Test sample related</b>										
14	Test sample positioning	A	3.3	N	1	1	1	3.3	3.3	5
15	Device holder uncertainty	A	3.4	N	1	1	1	3.4	3.4	5
16	Drift of output power	B	5.0	R	$\sqrt{3}$	1	1	2.9	2.9	$\infty$
<b>Phantom and set-up</b>										
17	Phantom uncertainty	B	4.0	R	$\sqrt{3}$	1	1	2.3	2.3	$\infty$
18	Liquid conductivity (target)	B	5.0	R	$\sqrt{3}$	0.64	0.43	1.8	1.2	$\infty$
19	Liquid conductivity (meas.)	A	2.06	N	1	0.64	0.43	1	0.28	9
20	Liquid permittivity (target)	B	5.0	R	$\sqrt{3}$	0.6	0.49	1.7	1.4	$\infty$
21	Liquid permittivity (meas.)	A	1.6	N	1	0.6	0.49	0.31	0.25	9
Combined standard uncertainty		$u_c = \sqrt{\sum_{i=1}^{21} c_i^2 u_i^2}$						11.1	11.0	95.5
Expanded uncertainty (confidence interval of 95 %)		$u_e = 2u_c$						22.3	22.1	

## 13.2 Measurement Uncertainty for Fast SAR Tests (300MHz~3GHz)

No.	Error Description	Type	Uncertainty value	Probably Distribution	Div.	(Ci) 1g	(Ci) 10g	Std. Unc. (1g)	Std. Unc. (10g)	Degree of freedom
<b>Measurement system</b>										
1	Probe calibration	B	10.8	N	1	1	5.4	5.4	1	$\infty$
2	Isotropy	B	2.8	R	1	1	1.6	1.6	1	$\infty$
3	Boundary effect	B	1.0	R	1	1	0.6	0.6	1	$\infty$
4	Linearity	B	4.7	R	1	1	2.7	2.7	1	$\infty$
5	Detection limit	B	1.0	R	1	1	0.6	0.6	1	$\infty$
6	Readout electronics	B	0.3	R	1	1	0.3	0.3	1	$\infty$
7	Response time	B	0.8	R	1	1	0.5	0.5	1	$\infty$
8	Integration time	B	2.6	R	1	1	1.5	1.5	1	$\infty$
9	RF ambient conditions-noise	B	0	R	1	1	0	0	1	$\infty$
10	RF ambient conditions-reflection	B	0	R	1	1	0	0	1	$\infty$
11	Probe positioned mech. Restrictions	B	0.4	R	1	1	0.2	0.2	1	$\infty$
12	Probe positioning with respect to phantom shell	B	2.9	R	1	1	1.7	1.7	1	$\infty$
13	Post-processing	B	1.0	R	1	1	0.6	0.6	1	$\infty$
14	Fast SAR z-Approximation	B	7.0	R	1	1	4.0	4.0	1	$\infty$
<b>Test sample related</b>										
15	Test sample positioning	A	3.3	N	1	1	1	3.3	3.3	71
16	Device holder uncertainty	A	3.4	N	1	1	1	3.4	3.4	5
17	Drift of output power	B	5.0	R	$\sqrt{3}$	1	1	2.9	2.9	$\infty$
<b>Phantom and set-up</b>										
18	Phantom uncertainty	B	4.0	R	$\sqrt{3}$	1	1	2.3	2.3	$\infty$
19	Liquid conductivity (target)	B	5.0	R	$\sqrt{3}$	0.64	0.43	1.8	1.2	$\infty$
20	Liquid conductivity (meas.)	A	2.06	N	1	0.64	0.43	1.32	0.89	43
21	Liquid permittivity (target)	B	5.0	R	$\sqrt{3}$	0.6	0.49	1.7	1.4	$\infty$
22	Liquid permittivity (meas.)	A	1.6	N	1	0.6	0.49	1.0	0.8	521
Combined standard uncertainty		$u_c = \sqrt{\sum_{i=1}^{22} c_i^2 u_i^2}$						13.1	12.4 5	257
Expanded uncertainty (confidence interval of 95 %)		$u_e = 2u_c$						26.2	25.9	

## 14 MAIN TEST INSTRUMENTS

Table 15.1: List of Main Instruments

No.	Name	Type	Serial Number	Calibration Date	Valid Period
01	Network analyzer	Agilent E5071C	MY46103759	December 16,2015	One year
02	Dielectric probe	85070E	MY44300317	No Calibration Requested	
03	Power meter	NRVD	101253	March 5,2015	One year
04	Power sensor	NRV-Z5	100333		
05	Signal Generator	E4438C	MY45095825	January 13, 2015	One year
06	Amplifier	VTL5400	0404	No Calibration Requested	
07	BTS	E5515C	GB46110723	May 20, 2015	One year
08	E-field Probe	SPEAG EX3DV4	3151	October 30, 2015	One year
09	DAE	SPEAG DAE4	786	November 16, 2015	One year
11	Dipole Validation Kit	SPEAG D835V2	4d057	October 22, 2015	One year
12	Dipole Validation Kit	SPEAG D1900V2	5d088	November 4, 2015	One year

\*\*\*END OF REPORT BODY\*\*\*

## ANNEX A Graph Results

### GSM 850 Body Rear

Date/Time: 2016-1-4

Electronics: DAE4 Sn786

Medium: 850 MHz Body

Medium parameters used (interpolated):  $f = 848.8$  MHz;  $\sigma = 0.984$  S/m;  $\epsilon_r = 53.419$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Communication System: GSM Frequency: 848.8 MHz Duty Cycle: 1:8.3

Probe: ES3DV3 - SN3151 ConvF(6.13, 6.13, 6.13);

**Rear side High position 1/Area Scan (121x131x1):** Interpolated grid: dx=1.500 mm, dy=1.500 mm

Maximum value of SAR (interpolated) = 0.391 W/kg

**Rear side High position 1/Zoom Scan (5x5x7)/Cube 0:** Measurement grid: dx=8mm, dy=8mm, dz=5mm

Reference Value = 4.528 V/m; Power Drift = -0.11 dB

Peak SAR (extrapolated) = 0.475 W/kg

**SAR(1 g) = 0.356 W/kg; SAR(10 g) = 0.254 W/kg**

Maximum value of SAR (measured) = 0.378 W/kg

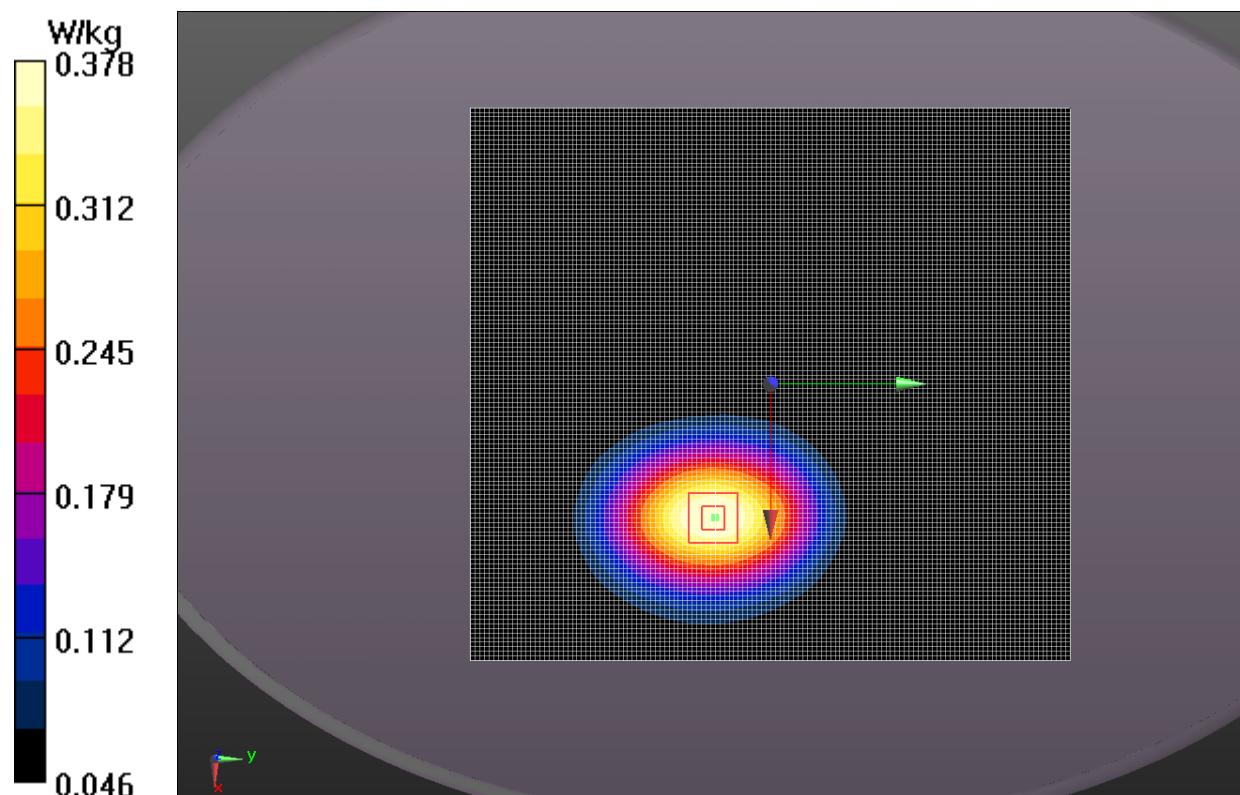


Fig.1 850 MHz CH251

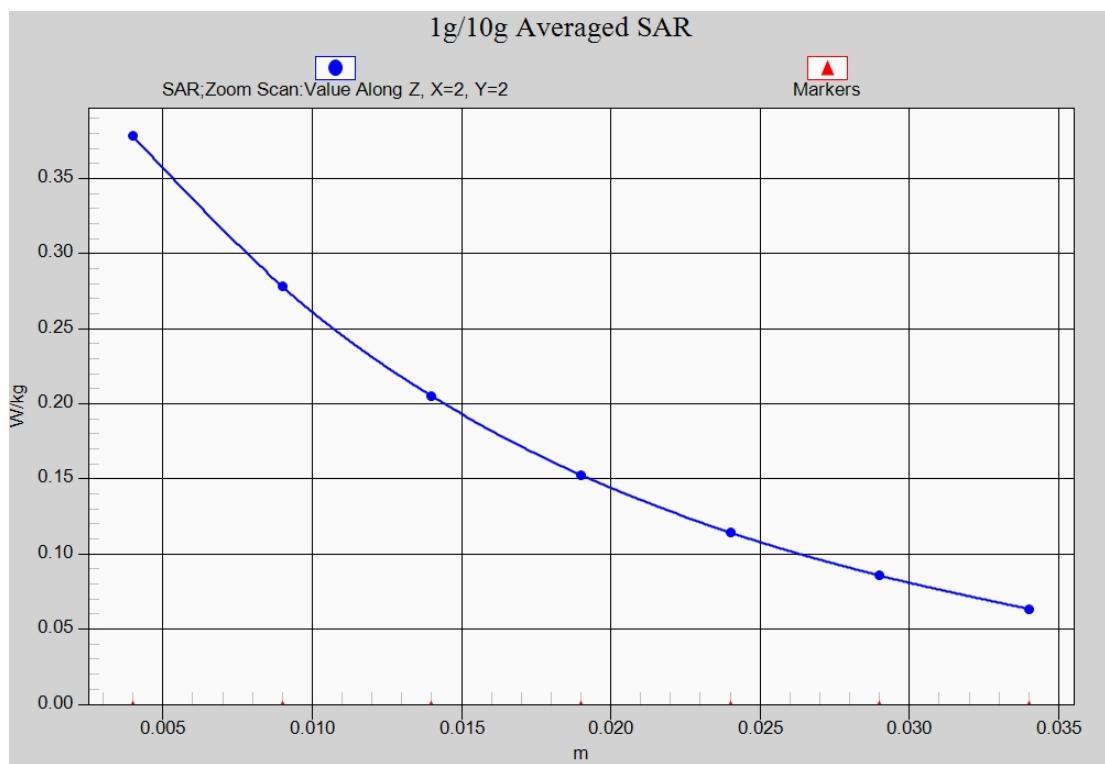


Fig. 2-1 Z-Scan at power reference point (850 MHz CH251)

## GSM 1900 Body Rear

Date/Time: 2016-1-11

Electronics: DAE4 Sn786

Medium: 1900 MHz Body

Medium parameters used (interpolated):  $f = 1850.2$  MHz;  $\sigma = 1.53$  S/m;  $\epsilon_r = 52.234$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Communication System: GSM Frequency: 1850.2 MHz Duty Cycle: 1:8.3

Probe: ES3DV3 - SN3151 ConvF(4.5, 4.5, 4.5);

**Rear side Low position 1/Area Scan (121x121x1):** Interpolated grid: dx=1.500 mm, dy=1.500 mm  
 Maximum value of SAR (interpolated) = 0.0955 W/kg

**Rear side Low position 1/Zoom Scan (5x5x7)/Cube 0:** Measurement grid: dx=8mm, dy=8mm, dz=5mm

Reference Value = 1.510 V/m; Power Drift = -0.10 dB

Peak SAR (extrapolated) = 0.133 W/kg

**SAR(1 g) = 0.086 W/kg; SAR(10 g) = 0.054 W/kg**

Maximum value of SAR (measured) = 0.0921 W/kg

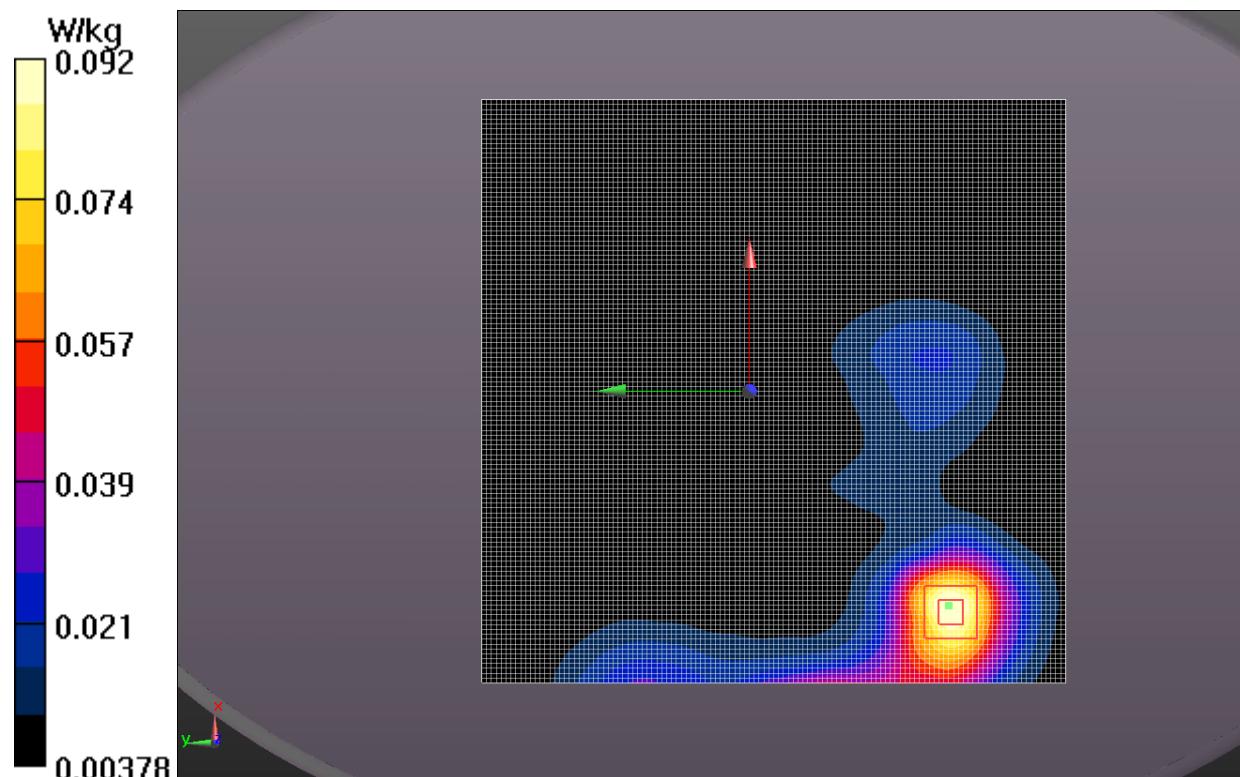
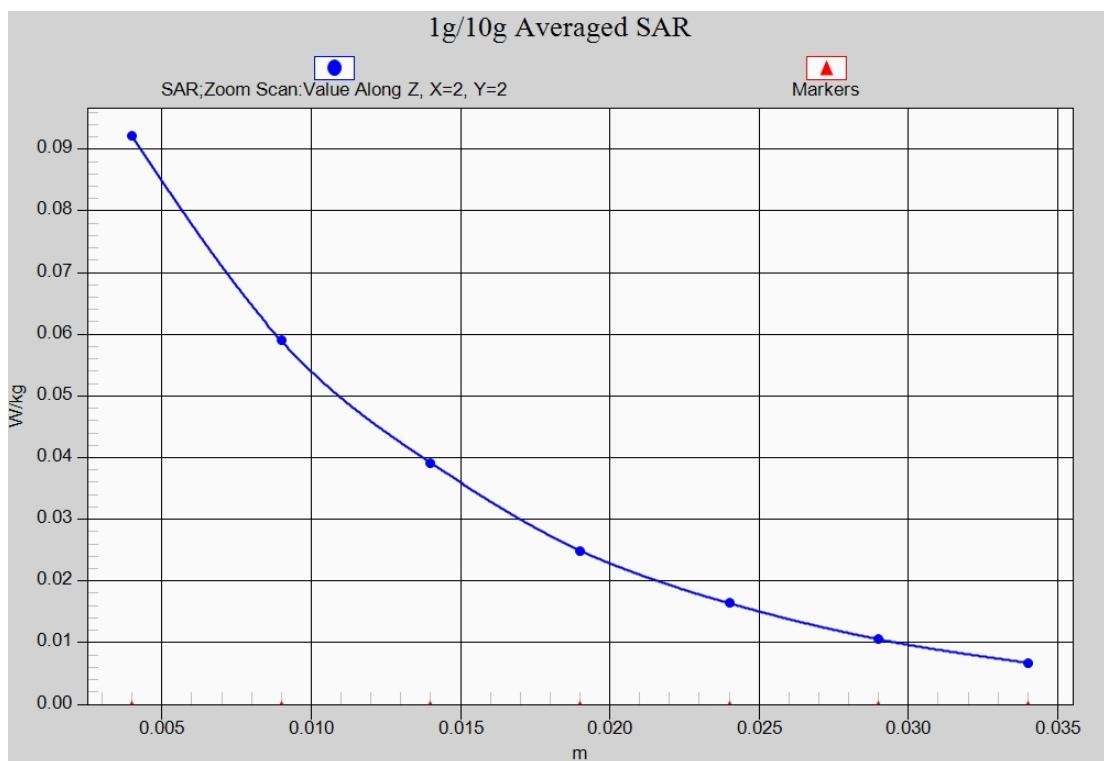


Fig.4 1900 MHz CH512



**Fig.4-1 Z-Scan at power reference point (1900 MHz CH512)**

## ANNEX B System Verification Results

Date: 2016-1-4

Electronics: DAE4 Sn786

Medium: 850 MHz Body

Medium parameters used:  $f = 835$  MHz;  $\sigma = 0.971$  S/m;  $\epsilon_r = 53.492$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Ambient Temperature: 22.5°C      Liquid Temperature: 22.0°C

Communication System: CW Frequency: 835 MHz Duty Cycle: 1:1

Probe: ES3DV3 - SN3151 ConvF(6.13, 6.13, 6.13);

**System Validation /Area Scan (81x171x1):** Interpolated grid: dx=1.000 mm, dy=1.000 mm

Reference Value = 53.566 V/m; Power Drift = -0.02 dB

**Fast SAR: SAR(1 g) = 2.41 W/kg; SAR(10 g) = 1.56 W/kg**

Maximum value of SAR (interpolated) = 2.61 W/kg

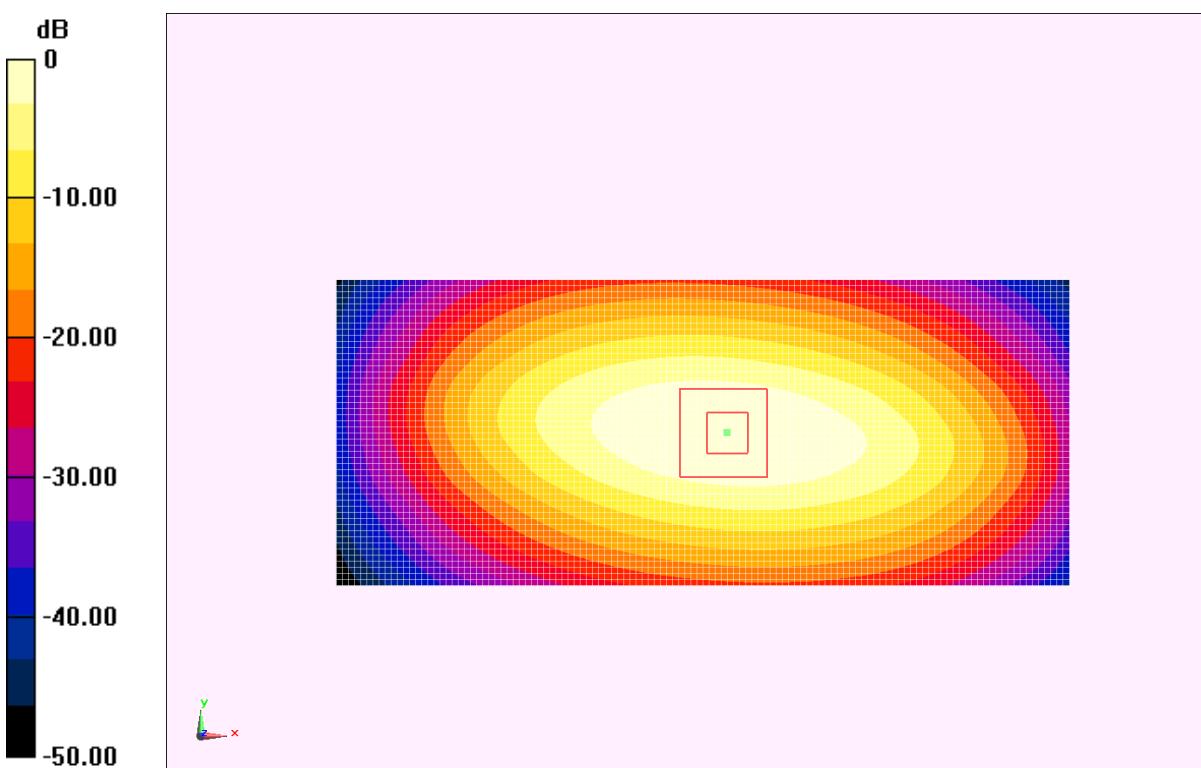
**System Validation /Zoom Scan (7x7x7)/Cube 0:** Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 53.566 V/m; Power Drift = -0.02 dB

Peak SAR (extrapolated) = 3.58 W/kg

**SAR(1 g) = 2.42 W/kg; SAR(10 g) = 1.58 W/kg**

Maximum value of SAR (measured) = 2.61 W/kg



0 dB = 2.61 W/kg = 1.82 dBW/kg

**Fig.B.2 validation 835MHz 250mW**

**1900MHz**

Date: 2016-1-11

Electronics: DAE4 Sn786

Medium: 1900 MHz Body

Medium parameters used:  $f = 1900$  MHz;  $\sigma = 1.583$  S/m;  $\epsilon_r = 52.156$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Ambient Temperature: 22.3°C      Liquid Temperature: 21.8°C

Communication System: CW Frequency: 1900 MHz Duty Cycle: 1:1

Probe: ES3DV3 - SN3151 ConvF(4.5, 4.5, 4.5);

**System validation /Area Scan (81x121x1):** Interpolated grid: dx=1.000 mm, dy=1.000 mm

Reference Value = 60.339 V/m; Power Drift = 0.08 dB

**Fast SAR: SAR(1 g) = 10.2 W/kg; SAR(10 g) = 5.43 W/kg**

Maximum value of SAR (interpolated) = 13.5 W/kg

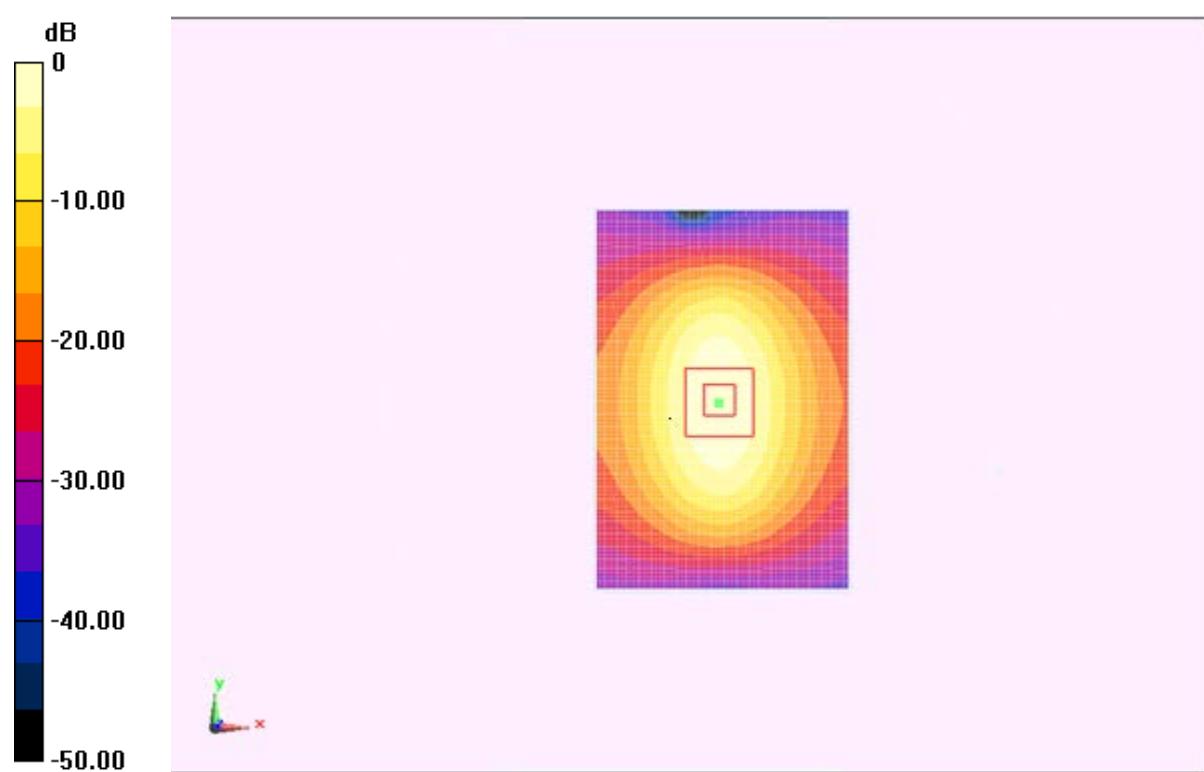
**System validation /Zoom Scan (7x7x7)/Cube 0:** Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 60.339 V/m; Power Drift = 0.08 dB

Peak SAR (extrapolated) = 19.3 W/kg

**SAR(1 g) = 10.1 W/kg; SAR(10 g) = 5.40 W/kg**

Maximum value of SAR (measured) = 12.2 W/kg



0 dB = 12.4 W/kg = 16.60 dBW/kg

**Fig.B.4 validation 1900MHz 250mW**

The SAR system verification must be required that the area scan estimated 1-g SAR is within 3% of the zoom scan 1-g SAR.

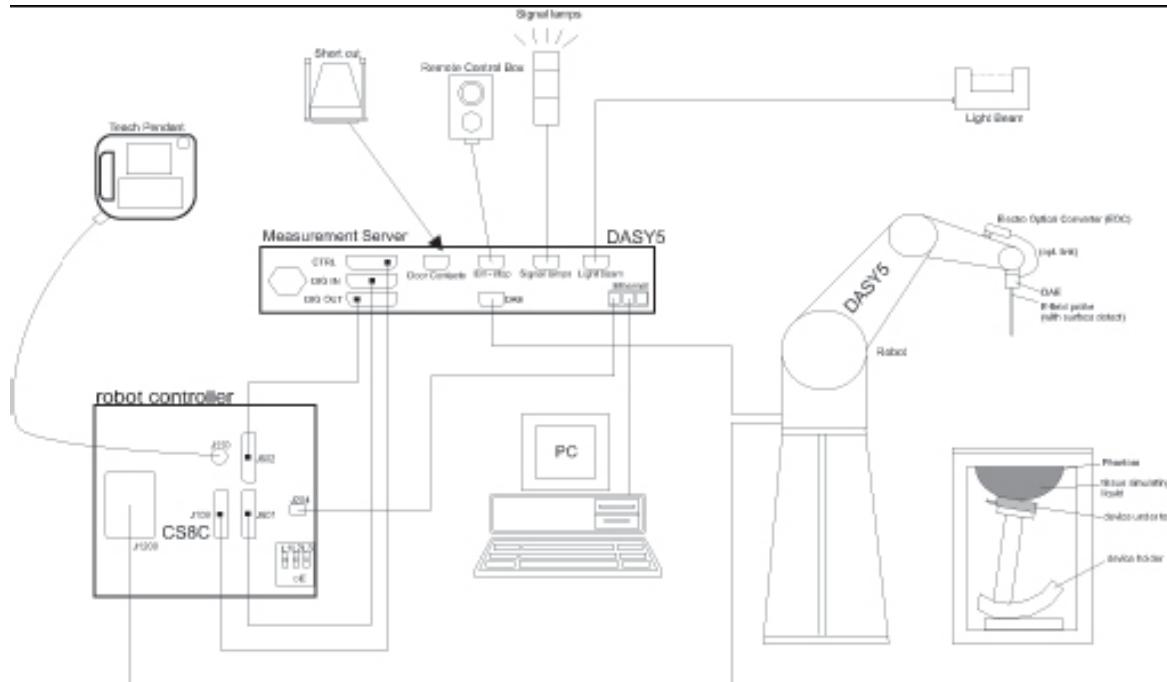
**Table B.1 Comparison between area scan and zoom scan for system verification**

Band	Position	Area scan (1g)	Zoom scan (1g)	Drift (%)
835	Body	2.41	2.42	0.41
1900	Body	10.20	10.10	-0.98

## ANNEX C SAR Measurement Setup

### C.1 Measurement Set-up

The Dasy4 or DASY5 system for performing compliance tests is illustrated above graphically. This system consists of the following items:



Picture C.1 SAR Lab Test Measurement Set-up

- A standard high precision 6-axis robot (Stäubli TX=RX family) with controller, teach pendant and software. An arm extension for accommodating the data acquisition electronics (DAE).
- An isotropic field probe optimized and calibrated for the targeted measurement.
- A data acquisition electronics (DAE) which performs the signal amplification, signal multiplexing, AD-conversion, offset measurements, mechanical surface detection, collision detection, etc. The unit is battery powered with standard or rechargeable batteries. The signal is optically transmitted to the EOC.
- The Electro-optical converter (EOC) performs the conversion from optical to electrical signals for the digital communication to the DAE. To use optical surface detection, a special version of the EOC is required. The EOC signal is transmitted to the measurement server.
- The function of the measurement server is to perform the time critical tasks such as signal filtering, control of the robot operation and fast movement interrupts.
- The Light Beam used is for probe alignment. This improves the (absolute) accuracy of the probe positioning.
- A computer running WinXP and the DASY4 or DASY5 software.
- Remote control and teach pendant as well as additional circuitry for robot safety such as warning lamps, etc.
- The phantom, the device holder and other accessories according to the targeted measurement.

## C.2 Dasy4 or DASY5 E-field Probe System

The SAR measurements were conducted with the dosimetric probe designed in the classical triangular configuration and optimized for dosimetric evaluation. The probe is constructed using the thick film technique; with printed resistive lines on ceramic substrates. The probe is equipped with an optical multifiber line ending at the front of the probe tip. It is connected to the EOC box on the robot arm and provides an automatic detection of the phantom surface. Half of the fibers are connected to a pulsed infrared transmitter, the other half to a synchronized receiver. As the probe approaches the surface, the reflection from the surface produces a coupling from the transmitting to the receiving fibers. This reflection increases first during the approach, reaches maximum and then decreases. If the probe is flatly touching the surface, the coupling is zero. The distance of the coupling maximum to the surface is independent of the surface reflectivity and largely independent of the surface to probe angle. The DASY4 or DASY5 software reads the reflection during a software approach and looks for the maximum using 2<sup>nd</sup> ord curve fitting. The approach is stopped at reaching the maximum.

### Probe Specifications:

Model:	EX3DV4, EX3DV4
Frequency	10MHz — 6.0GHz(EX3DV4)
Range:	10MHz — 4GHz(EX3DV4)
Calibration:	In head and body simulating tissue at Frequencies from 835 up to 5800MHz
Linearity:	± 0.2 dB(30 MHz to 6 GHz) for EX3DV4 ± 0.2 dB(30 MHz to 4 GHz) for EX3DV4
Dynamic Range:	10 mW/kg — 100W/kg
Probe Length:	330 mm
Probe Tip	
Length:	20 mm
Body Diameter:	12 mm
Tip Diameter:	2.5 mm (3.9 mm for EX3DV4)
Tip-Center:	1 mm (2.0mm for EX3DV4)
Application:	SAR Dosimetry Testing Compliance tests of mobile phones Dosimetry in strong gradient fields



Picture C.2 Near-field Probe



Picture C.3 E-field Probe

## C.3 E-field Probe Calibration

Each E-Probe/Probe Amplifier combination has unique calibration parameters. A TEM cell calibration procedure is conducted to determine the proper amplifier settings to enter in the probe parameters. The amplifier settings are determined for a given frequency by subjecting the probe to a known E-field density (1 mW/cm<sup>2</sup>) using an RF Signal generator, TEM cell, and RF Power Meter. The free space E-field from amplified probe outputs is determined in a test chamber. This calibration can be performed in a TEM cell if the frequency is below 1 GHz and in a waveguide or other methodologies above 1 GHz for free space. For the free space calibration, the probe is placed in the volumetric center of the cavity and at the proper orientation with the field. The probe is then

rotated 360 degrees until the three channels show the maximum reading. The power density readings equates to 1 mW/ cm<sup>2</sup>.

E-field temperature correlation calibration is performed in a flat phantom filled with the appropriate simulated brain tissue. The E-field in the medium correlates with the temperature rise in the dielectric medium. For temperature correlation calibration a RF transparent thermistor-based temperature probe is used in conjunction with the E-field probe.

$$SAR = C \frac{\Delta T}{\Delta t}$$

Where: C = Heat capacity of tissue (brain or muscle),  $\Delta T$  = Temperature increase due to RF exposure,  $\Delta t$  = Exposure time (30 seconds).

$$SAR = \frac{|E|^2 \cdot \sigma}{\rho}$$

Where:  $\sigma$  = Simulated tissue conductivity,  $\rho$  = Tissue density (kg/m<sup>3</sup>).

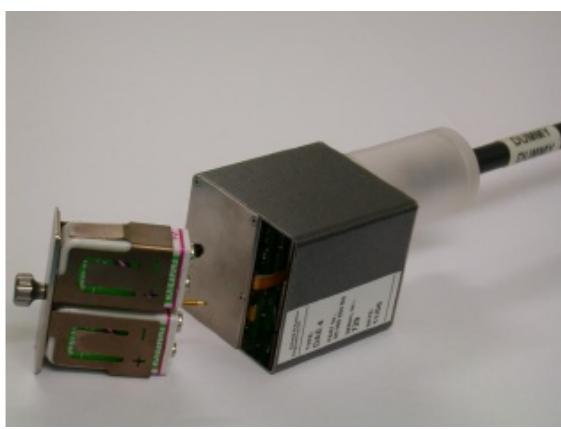
## C.4 Other Test Equipment

### C.4.1 Data Acquisition Electronics(DAE)

The data acquisition electronics consist of a highly sensitive electrometer-grade preamplifier with auto-zeroing, a channel and gain-switching multiplexer, a fast 16 bit AD-converter and a command decoder with a control logic unit. Transmission to the measurement server is accomplished through an optical downlink for data and status information, as well as an optical uplink for commands and the clock.

The mechanical probe mounting device includes two different sensor systems for frontal and sideways probe contacts. They are used for mechanical surface detection and probe collision detection.

The input impedance of the DAE is 200 M $\Omega$ ; the inputs are symmetrical and floating. Common mode rejection is above 80 dB.



PictureC.4: DAE

### C.4.2 Robot

The SPEAG DASY system uses the high precision robots (DASY4: RX90XL; DASY5: RX160L) type from Stäubli SA (France). For the 6-axis controller system, the robot controller version from Stäubli is used. The Stäubli robot series have many features that are important for our application:

- High precision (repeatability 0.02mm)

- High reliability (industrial design)
- Low maintenance costs (virtually maintenance free due to direct drive gears; no belt drives)
- Jerk-free straight movements (brushless synchron motors; no stepper motors)
- Low ELF interference (motor control fields shielded via the closed metallic construction shields)



Picture C.6 DASY 5

#### C.4.3 Measurement Server

The Measurement server is based on a PC/104 CPU broad with CPU (dasy4: 166 MHz, Intel Pentium; DASY5: 400 MHz, Intel Celeron), chipdisk (DASY4: 32 MB; DASY5: 128MB), RAM (DASY4: 64 MB, DASY5: 128MB). The necessary circuits for communication with the DAE electronic box, as well as the 16 bit AD converter system for optical detection and digital I/O interface are contained on the DASY I/O broad, which is directly connected to the PC/104 bus of the CPU broad.

The measurement server performs all real-time data evaluation of field measurements and surface detection, controls robot movements and handles safety operation. The PC operating system cannot interfere with these time critical processes. All connections are supervised by a watchdog, and disconnection of any of the cables to the measurement server will automatically disarm the robot and disable all program-controlled robot movements. Furthermore, the measurement server is equipped with an expansion port which is reserved for future applications. Please note that this expansion port does not have a standardized pinout, and therefore only devices provided by SPEAG can be connected. Devices from any other supplier could seriously damage the measurement server.



Picture C.7 Server for DASY 4



Picture C.8 Server for DASY 5

#### C.4.4 Device Holder for Phantom

The SAR in the phantom is approximately inversely proportional to the square of the distance between the source and the liquid surface. For a source at 5mm distance, a positioning uncertainty of  $\pm 0.5\text{mm}$  would produce a SAR uncertainty of  $\pm 20\%$ . Accurate device positioning is therefore crucial for accurate and repeatable measurements. The positions in which the devices must be

measured are defined by the standards.

The DASY device holder is designed to cope with the different positions given in the standard. It has two scales for device rotation (with respect to the body axis) and device inclination (with respect to the line between the ear reference points). The rotation centers for both scales is the ear reference point (ERP). Thus the device needs no repositioning when changing the angles.

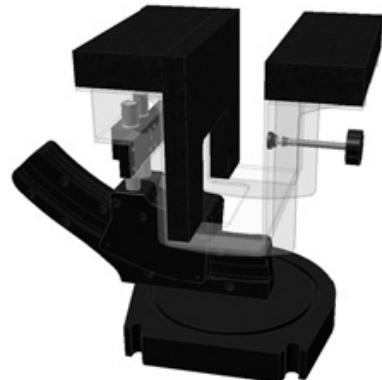
The DASY device holder is constructed of low-loss POM material having the following dielectric parameters: relative permittivity  $\epsilon = 3$  and loss tangent  $\delta = 0.02$ . The amount of dielectric material has been reduced in the closest vicinity of the device, since measurements have suggested that the influence of the clamp on the test results could thus be lowered.

<Laptop Extension Kit>

The extension is lightweight and made of POM, acrylic glass and foam. It fits easily on the upper part of the Mounting Device in place of the phone positioned. The extension is fully compatible with the Twin-SAM and ELI phantoms.



Picture C.9-1: Device Holder



Picture C.9-2: Laptop Extension Kit

#### C.4.5 Phantom

The SAM Twin Phantom V4.0 is constructed of a fiberglass shell integrated in a table. The shape of the shell is based on data from an anatomical study designed to

Represent the 90<sup>th</sup> percentile of the population. The phantom enables the dissymmetric evaluation of SAR for both left and right handed handset usage, as well as body-worn usage using the flat phantom region. Reference markings on the Phantom allow the complete setup of all predefined phantom positions and measurement grids by manually teaching three points in the robot. The shell phantom has a 2mm shell thickness (except the ear region where shell thickness increases to 6 mm).

Shell Thickness:  $2 \pm 0.2$  mm

Filling Volume: Approx. 25 liters

Dimensions: 810 x 1000 x 500 mm (H x L x W)

Available: Special

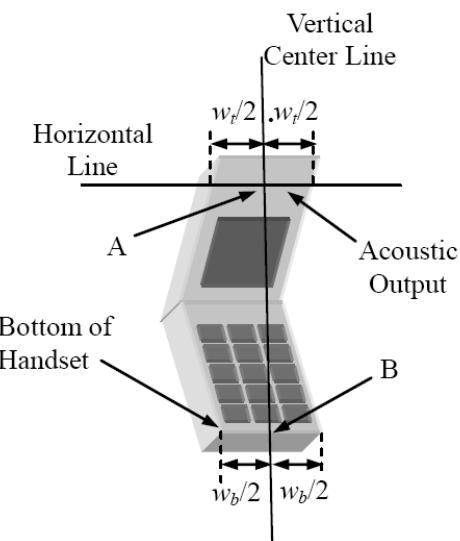
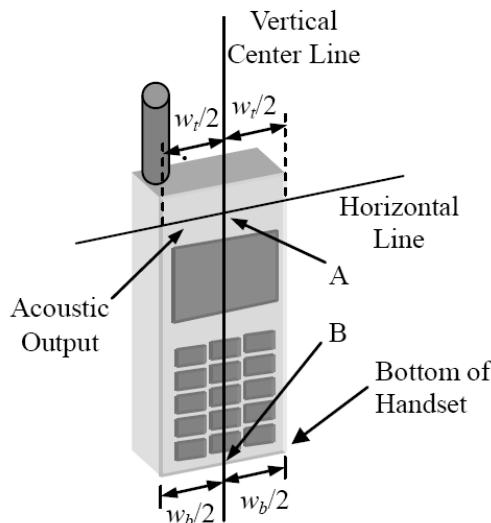


Picture C.10: SAM Twin Phantom

## ANNEX D Position of the wireless device in relation to the phantom

### D.1 General considerations

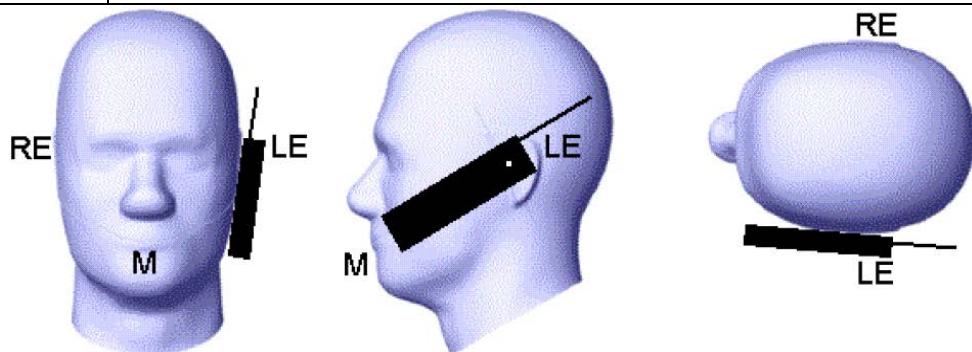
This standard specifies two handset test positions against the head phantom – the “cheek” position and the “tilt” position.



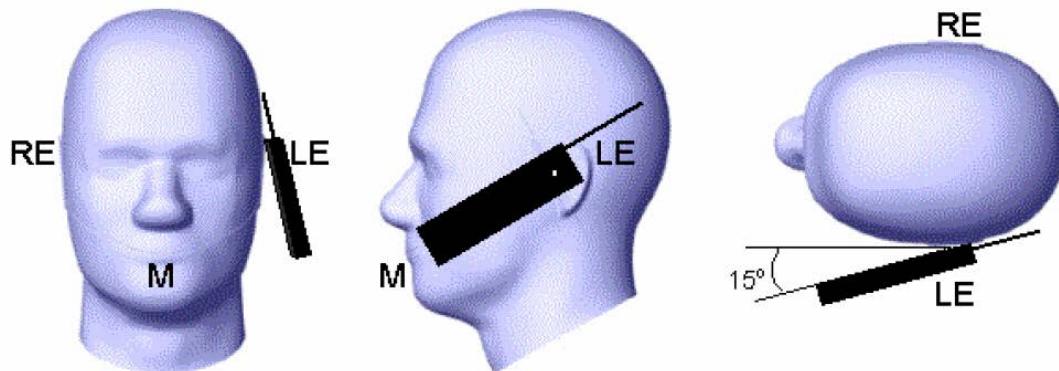
Picture D.1-a Typical “fixed” case handset

Picture D.1-b Typical “clam-shell” case handset

$w_t$	Width of the handset at the level of the acoustic output
$w_b$	Width of the bottom of the handset
A	Midpoint of the width $w_t$ of the handset at the level of the acoustic output
B	Midpoint of the width $w_b$ of the bottom of the handset



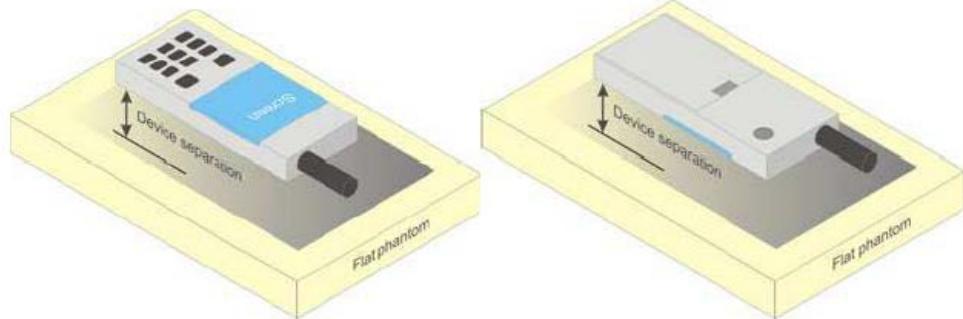
Picture D.2 Cheek position of the wireless device on the left side of SAM



Picture D.3 Tilt position of the wireless device on the left side of SAM

## D.2 Body-worn device

A typical example of a body-worn device is a mobile phone, wireless enabled PDA or other battery operated wireless device with the ability to transmit while mounted on a person's body using a carry accessory approved by the wireless device manufacturer.

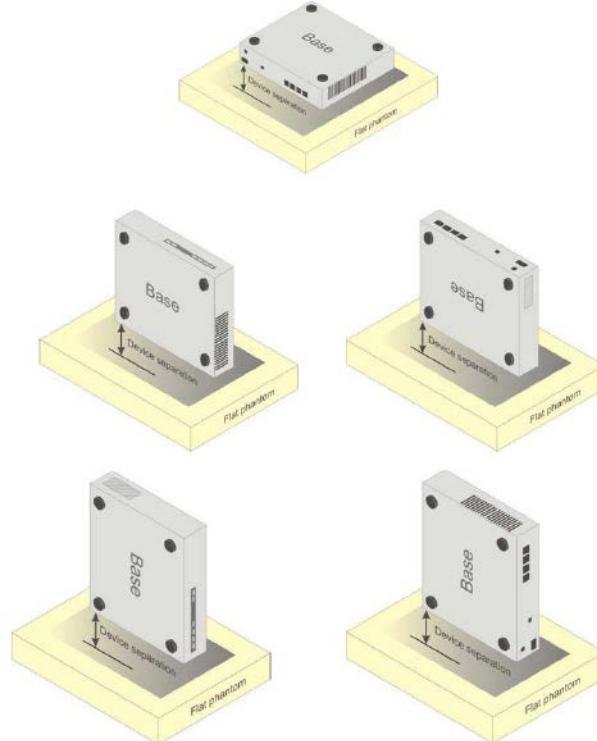


Picture D.4 Test positions for body-worn devices

## D.3 Desktop device

A typical example of a desktop device is a wireless enabled desktop computer placed on a table or desk when used.

The DUT shall be positioned at the distance and in the orientation to the phantom that corresponds to the intended use as specified by the manufacturer in the user instructions. For devices that employ an external antenna with variable positions, tests shall be performed for all antenna positions specified. Picture 8.5 show positions for desktop device SAR tests. If the intended use is not specified, the device shall be tested directly against the flat phantom.



Picture D.5 Test positions for desktop devices

## ANNEX E Equivalent Media Recipes

The liquid used for the frequency range of 800-3000 MHz consisted of water, sugar, salt, preventol, glycol monobutyl and Cellulose. The liquid has been previously proven to be suited for worst-case. The Table E.1 shows the detail solution. It's satisfying the latest tissue dielectric parameters requirements proposed by the IEEE 1528 and IEC 62209.

**Table E.1: Composition of the Tissue Equivalent Matter**

Frequency (MHz)	835 Head	835 Body	1900 Head	1900 Body	2450 Head	2450 Body	5800 Head	5800 Body
Ingredients (% by weight)								
Water	41.45	52.5	55.242	69.91	58.79	72.60	65.53	65.53
Sugar	56.0	45.0	\	\	\	\	\	\
Salt	1.45	1.4	0.306	0.13	0.06	0.18	\	\
Preventol	0.1	0.1	\	\	\	\	\	\
Cellulose	1.0	1.0	\	\	\	\	\	\
Glycol Monobutyl	\	\	44.452	29.96	41.15	27.22	\	\
Diethylenglycol monohexylether	\	\	\	\	\	\	17.24	17.24
Triton X-100	\	\	\	\	\	\	17.24	17.24
Dielectric Parameters Target Value	$\epsilon=41.5$ $\sigma=0.90$	$\epsilon=55.2$ $\sigma=0.97$	$\epsilon=40.0$ $\sigma=1.40$	$\epsilon=53.3$ $\sigma=1.52$	$\epsilon=39.2$ $\sigma=1.80$	$\epsilon=52.7$ $\sigma=1.95$	$\epsilon=35.3$ $\sigma=5.27$	$\epsilon=48.2$ $\sigma=6.00$

## ANNEX F System Validation

The SAR system must be validated against its performance specifications before it is deployed. When SAR probes, system components or software are changed, upgraded or recalibrated, these must be validated with the SAR system(s) that operates with such components.

**Table F.1: System Validation**

Probe SN.	Liquid name	Validation date	Frequency point	Status (OK or Not)
3151	Head 750MHz	Nov. 7, 2015	750 MHz	OK
3151	Head 900MHz	Nov. 7, 2015	850 MHz	OK
3151	Head 1900MHz	Nov. 8, 2015	1900 MHz	OK
3151	Body 750MHz	Nov. 7, 2015	750 MHz	OK
3151	Body 900MHz	Nov. 7, 2015	850 MHz	OK
3151	Body 1900MHz	Nov. 8, 2015	1900 MHz	OK

## ANNEX G Probe Calibration Certificate

### Probe ES3DV3-SN:3151 Calibration Certificate



In Collaboration with



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Client

CTTL(South Branch)

Certificate No: Z15-97160

#### CALIBRATION CERTIFICATE

Object ES3DV3 - SN:3151

Calibration Procedure(s) FD-Z11-2-004-01

Calibration Procedures for Dosimetric E-field Probes

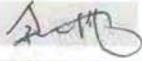
Calibration date: October 30, 2015

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature( $22\pm3$ )°C and humidity<70%.

#### Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
Power Meter NRP2	101919	01-Jul-15 (CTTL, No.J15X04256)	Jun-16
Power sensor NRP-Z91	101547	01-Jul-15 (CTTL, No.J15X04256)	Jun-16
Power sensor NRP-Z91	101548	01-Jul-15 (CTTL, No.J15X04256)	Jun-16
Reference10dBAttenuator	18N50W-10dB	13-Mar-14(TMC, No.JZ14-1103)	Mar-16
Reference20dBAttenuator	18N50W-20dB	13-Mar-14(TMC, No.JZ14-1104)	Mar-16
Reference Probe EX3DV4	SN 7307	27-Feb-15(SPEAG, No.EX3-7307_Feb15)	Feb-16
DAE4	SN 771	27-Jan-15(SPEAG, No.DAE4-771_Jan15)	Jan -16
Secondary Standards	ID #	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
SignalGeneratorMG3700A	6201052605	01-Jul-15 (CTTL, No.J15X04255)	Jun-16
Network Analyzer E5071C	MY46110673	03-Feb-15 (CTTL, No.J15X00728)	Feb-16

	Name	Function	Signature
Calibrated by:	Yu Zongying	SAR Test Engineer	
Reviewed by:	Qi Dianyuan	SAR Project Leader	
Approved by:	Lu Bingsong	Deputy Director of the laboratory	

Issued: October 31, 2015

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.



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**Glossary:**

TSL	tissue simulating liquid
NORM $x,y,z$	sensitivity in free space
ConvF	sensitivity in TSL / NORM $x,y,z$
DCP	diode compression point
CF	crest factor (1/duty_cycle) of the RF signal
A,B,C,D	modulation dependent linearization parameters
Polarization $\Phi$	$\Phi$ rotation around probe axis
Polarization $\theta$	$\theta$ rotation around an axis that is in the plane normal to probe axis (at measurement center), i $\theta=0$ is normal to probe axis

Connector Angle information used in DASY system to align probe sensor X to the robot coordinate system  
**Calibration is Performed According to the Following Standards:**

- IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300MHz to 3GHz)", February 2005

**Methods Applied and Interpretation of Parameters:**

- $NORM $x,y,z$$ : Assessed for E-field polarization  $\theta=0$  ( $f \leq 900$ MHz in TEM-cell;  $f > 1800$ MHz: waveguide).  $NORM $x,y,z$  are only intermediate values, i.e., the uncertainties of  $NORM $x,y,z$  does not effect the  $E^2$ -field uncertainty inside TSL (see below ConvF).$$
- $NORM(f)x,y,z = NORM $x,y,z$ * frequency_response$  (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- $DCPx,y,z$ : DCP are numerical linearization parameters assessed based on the data of power sweep (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics.
- $Ax,y,z; Bx,y,z; Cx,y,z; VRx,y,z; A,B,C$  are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters:* Assessed in flat phantom using E-field (or Temperature Transfer Standard for  $f \leq 800$ MHz) and inside waveguide using analytical field distributions based on power measurements for  $f > 800$ MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty valued are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to  $NORM $x,y,z$ * ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from  $\pm 50$ MHz to  $\pm 100$ MHz.$
- Spherical isotropy (3D deviation from isotropy):* in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset:* The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle:* The angle is assessed using the information gained by determining the  $NORM $x$$



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# Probe ES3DV3

SN: 3151

Calibrated: October 30, 2015

Calibrated for DASY/EASY Systems

(Note: non-compatible with DASY2 system!)



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## DASY/EASY – Parameters of Probe: ES3DV3 - SN: 3151

### Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm( $\mu$ V/(V/m) <sup>2</sup> ) A	1.19	1.27	1.20	$\pm$ 10.8%
DCP(mV)B	102.8	103.1	103.6	

### Modulation Calibration Parameters

UID	Communication System Name		A dB	B dB/ $\mu$ V	C	D dB	VR mV	Unc <sup>E</sup> (k=2)
0	CW	X	0.0	0.0	1.0	0.00	260.1	$\pm$ 2.2%
		Y	0.0	0.0	1.0		269.1	
		Z	0.0	0.0	1.0		261.0	

The reported uncertainty of measurement is stated as the standard uncertainty of Measurement multiplied by the coverage factor k=2, which for a normal distribution Corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of Norm X, Y, Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Page 5 and Page 6).

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

<sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.



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## DASY/EASY – Parameters of Probe: ES3DV3 - SN: 3151

### Calibration Parameter Determined in Head Tissue Simulating Media

f [MHz] <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unct. (k=2)
750	41.9	0.89	6.00	6.00	6.00	0.55	1.15	±12%
900	41.5	0.97	6.05	6.05	6.05	0.31	1.63	±12%
1450	40.5	1.20	5.23	5.23	5.23	0.27	1.70	±12%
1750	40.1	1.37	5.06	5.06	5.06	0.52	1.32	±12%
1900	40.0	1.40	4.96	4.96	4.96	0.56	1.32	±12%
2000	40.0	1.40	4.83	4.83	4.83	0.40	1.61	±12%
2300	39.5	1.67	4.68	4.68	4.68	0.90	1.00	±12%
2450	39.2	1.80	4.55	4.55	4.55	0.68	1.21	±12%
2600	39.0	1.96	4.39	4.39	4.39	0.56	1.41	±12%

<sup>C</sup> Frequency validity of ±100MHz only applies for DASY v4.4 and higher (Page 2), else it is restricted to ±50MHz. The uncertainty is the RSS of ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

<sup>F</sup> At frequency below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ±10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ±5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for the frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



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## DASY/EASY – Parameters of Probe: ES3DV3 - SN: 3151

### Calibration Parameter Determined in Body Tissue Simulating Media

f [MHz] <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unct. (k=2)
750	55.5	0.96	6.13	6.13	6.13	0.45	1.33	± 12%
900	55.0	1.05	5.91	5.91	5.91	0.38	1.55	± 12%
1450	54.0	1.30	5.15	5.15	5.15	0.38	1.61	± 12%
1750	53.4	1.49	4.75	4.75	4.75	0.53	1.37	± 12%
1900	53.3	1.52	4.50	4.50	4.50	0.52	1.42	± 12%
2000	53.3	1.52	4.50	4.50	4.50	0.56	1.39	± 12%
2300	52.9	1.81	4.32	4.32	4.32	0.90	1.10	± 12%
2450	52.7	1.95	4.26	4.26	4.26	0.71	1.24	± 12%
2600	52.5	2.16	4.00	4.00	4.00	0.55	1.49	± 12%

<sup>C</sup> Frequency validity of ±100MHz only applies for DASY v4.4 and higher (Page 2), else it is restricted to ±50MHz. The uncertainty is the RSS of ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

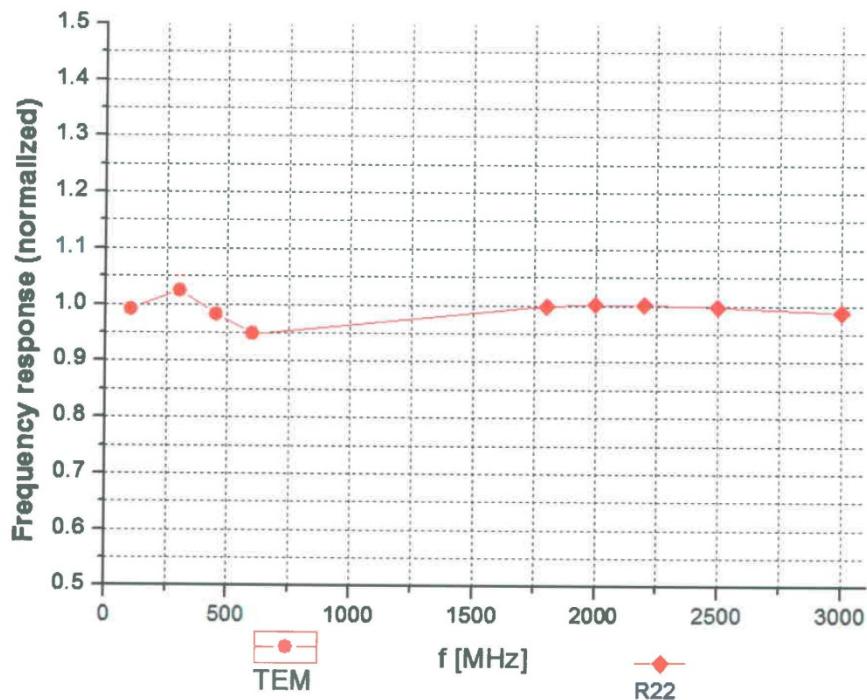
<sup>F</sup> At frequency below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ±10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ±5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for the frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



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### Frequency Response of E-Field (TEM-Cell: ifi110 EXX, Waveguide: R22)



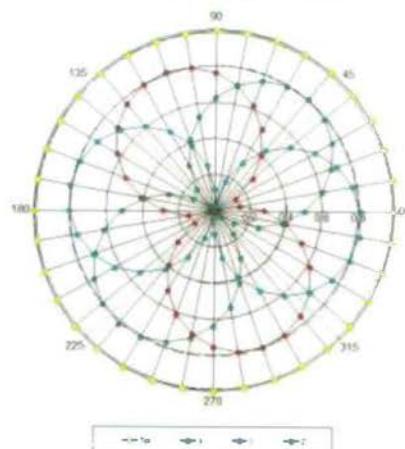
Uncertainty of Frequency Response of E-field:  $\pm 7.5\%$  ( $k=2$ )



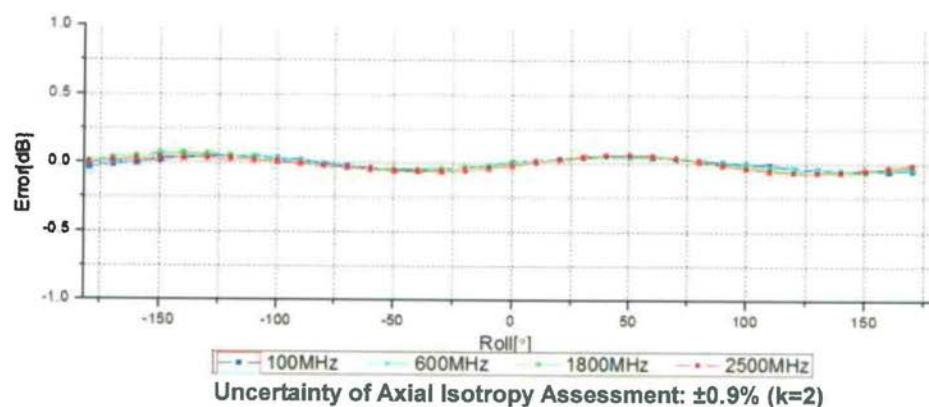
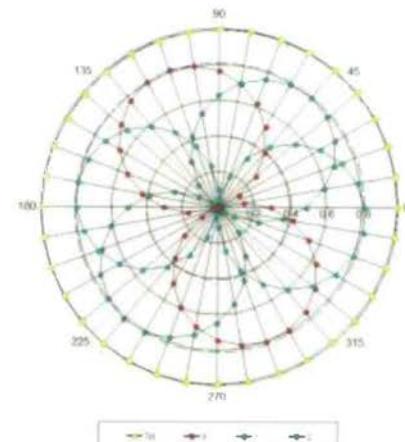
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### Receiving Pattern ( $\Phi$ ), $\theta=0^\circ$

**f=600 MHz, TEM**



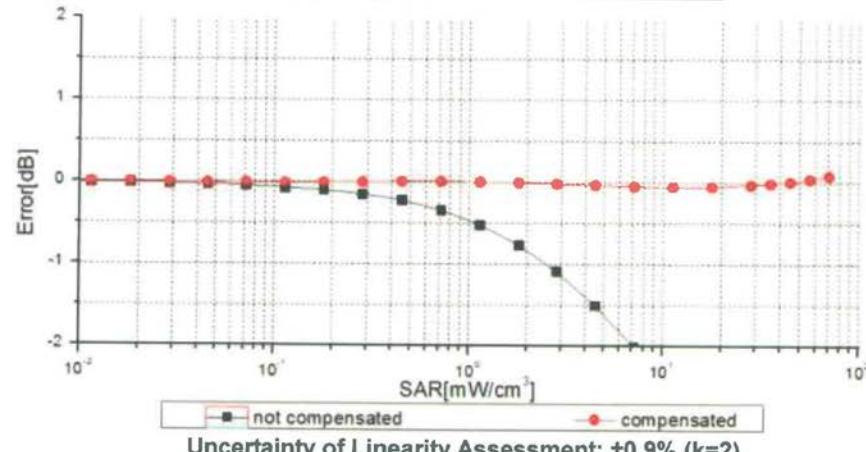
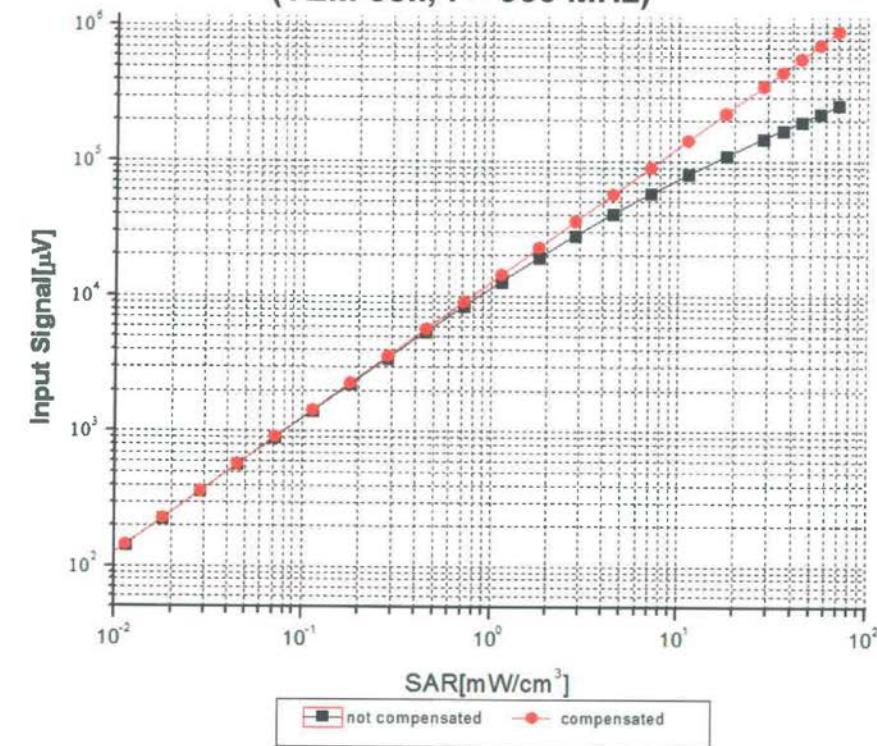
**f=1800 MHz, R22**





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### Dynamic Range f(SAR<sub>head</sub>) (TEM cell, f = 900 MHz)



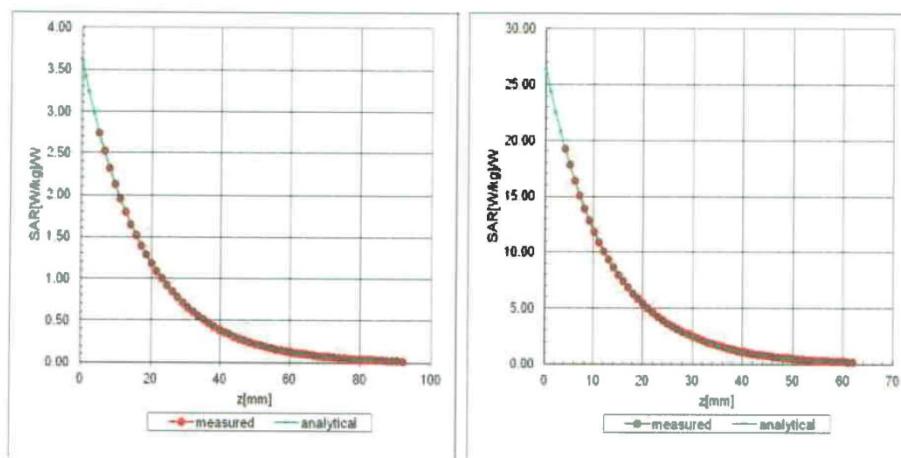
Uncertainty of Linearity Assessment: ±0.9% (k=2)



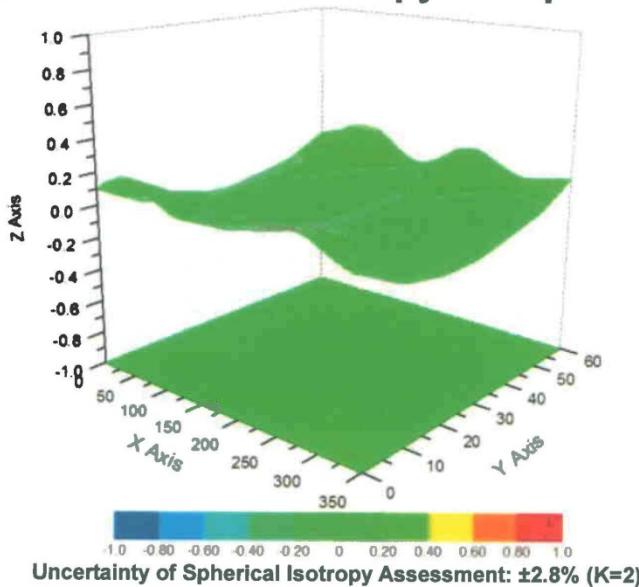
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## Conversion Factor Assessment

$f=900$  MHz, WGLS R9(H\_convF)       $f=1750$  MHz, WGLS R22(H\_convF)



## Deviation from Isotropy in Liquid





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## DASY/EASY – Parameters of Probe: ES3DV3 - SN: 3151

### Other Probe Parameters

Sensor Arrangement	Triangular
Connector Angle (°)	85.2
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disable
Probe Overall Length	337mm
Probe Body Diameter	10mm
Tip Length	10mm
Tip Diameter	4mm
Probe Tip to Sensor X Calibration Point	2mm
Probe Tip to Sensor Y Calibration Point	2mm
Probe Tip to Sensor Z Calibration Point	2mm
Recommended Measurement Distance from Surface	3mm